

UMLsec

Presenting the Profile

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Roadmap

Prologue

The profile

Security analysis

Security patterns

Case studies

Using Java security, CORBAsec

Outlook

A need for Security

Society and economies rely on **computer networks** for communication, finance, energy distribution, transportation. . .

Attacks threaten **economical** and **physical** well-being of people and organizations.

Interconnected systems can be attacked **anonymously** and from a safe **distance**.

Networked computers need to be **secure**.

Problems

Many **flaws** found in designs of security-critical systems, sometimes years after publication or use.

Example (1997):

NSA hacker team breaks into U.S. Department of Defense computers and the U.S. electric power grid system.

Simulates power outages and 911 emergency telephone overloads in Washington, D.C..

Causes I

- Designing secure systems correctly is **difficult**.
- Designers often **lack** background in security.
- Security as an **afterthought**.

Even experts may fail:

- Needham-Schroeder protocol: published **1978**
- attacks found **1981** (Denning-Sacco), **1995** (Lowe)

Causes II

Cannot use security mechanisms “blindly”:

- Security often compromised by **circumventing** (rather than **breaking**) them.
- Assumptions on system **context**, physical environment.

“Those who think that their problem can be solved by simply applying cryptography don’t understand cryptography and don’t understand their problem” (Lampson/Needham).

Difficulties

Exploit information spreads **quickly**.

No feedback on delivered security from customers.

Previous approaches

“Penetrate-and-patch” : unsatisfactory.

- **insecure** (how much damage until discovered ?)
- **disruptive** (distributing patches **costs** money, **destroys** confidence, **annoys** customers)

Traditional formal methods: **expensive**.

- training people
- constructing formal specifications

Goal: Security by design

Consider security

- within industrial **development** context
- from **early** on.

“An **expansive** view of the problem is most appropriate to help ensure that no gaps appear in the strategy” (Saltzer, Schroeder 1975).

But “no complete method applicable to the construction of large general-purpose systems exists yet” – since **1975**.

Using UML

Unified Modeling Language (UML):

- **visual** modeling for OO systems
- different **views** on a system
- high degree of **abstraction** possible
- de-facto industry **standard** (OMG)
- standard **extension** mechanisms

UMLsec: extension for **secure systems** development.

Goals:

- evaluate UML specifications for **vulnerabilities** in design
- encapsulate **established rules** of prudent security engineering
- make available to developers **not specialized** in security
- consider security from **early** design phases, in system **context**
- make verification **cost-effective**

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Security analysis

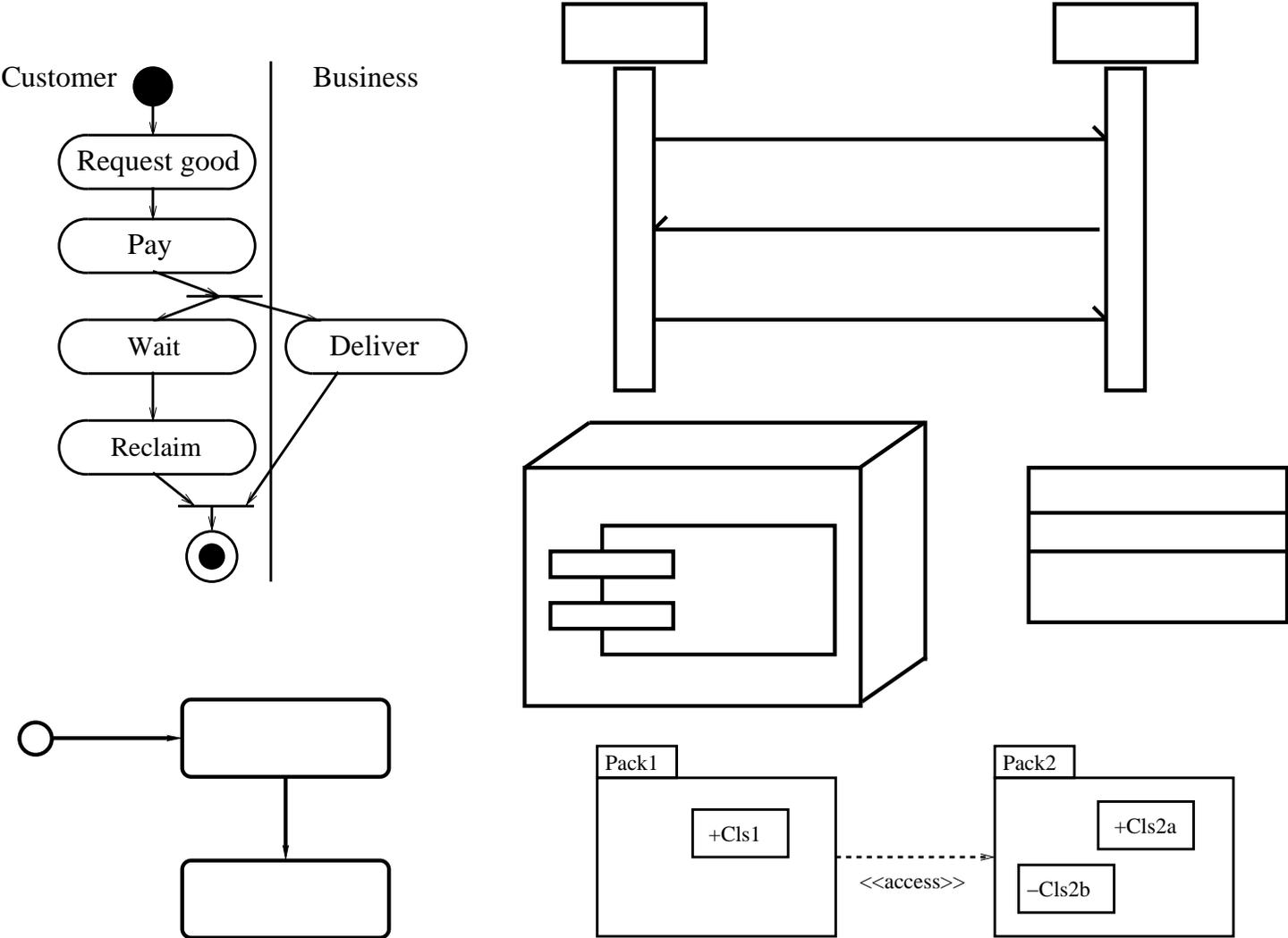
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A glimpse at UML



Used fragment of UML

Activity diagram: flow of **control** between system components

Class diagram: class **structure** of the system

Sequence diagram: **interaction** between components by message exchange

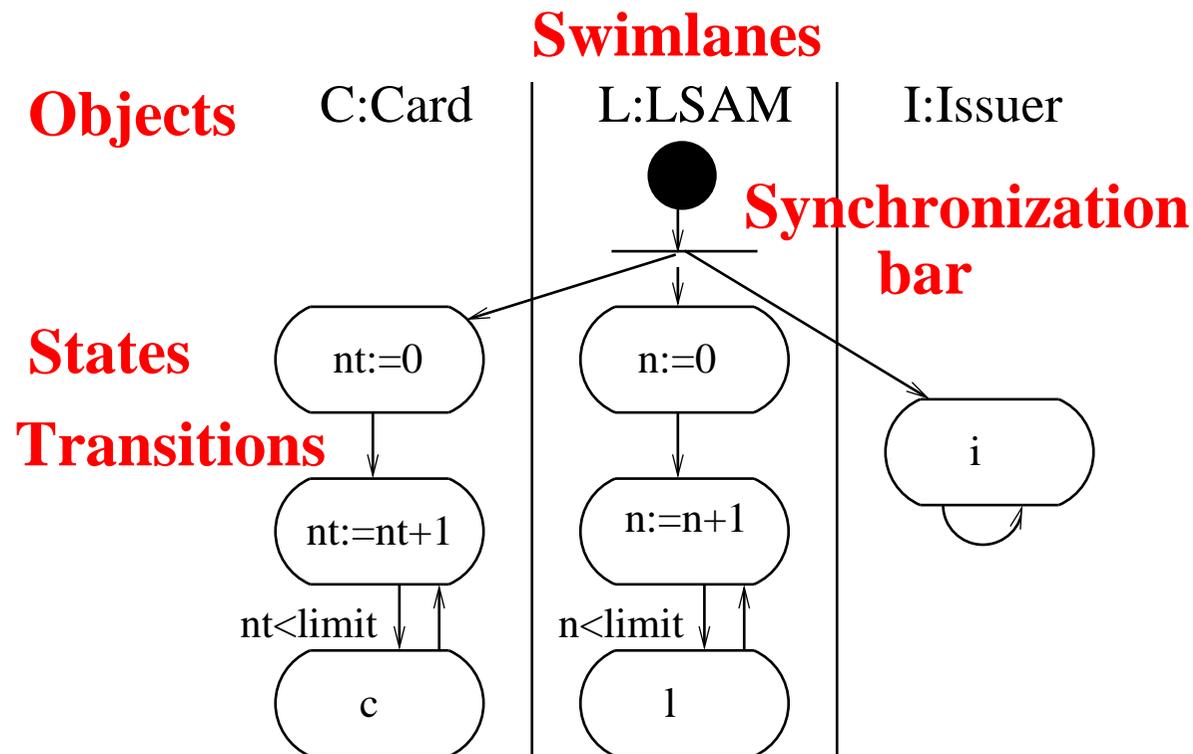
Statechart diagram: **dynamic** component behaviour

Deployment diagram: Components in physical **environment**

Package: **collect** system parts into groups

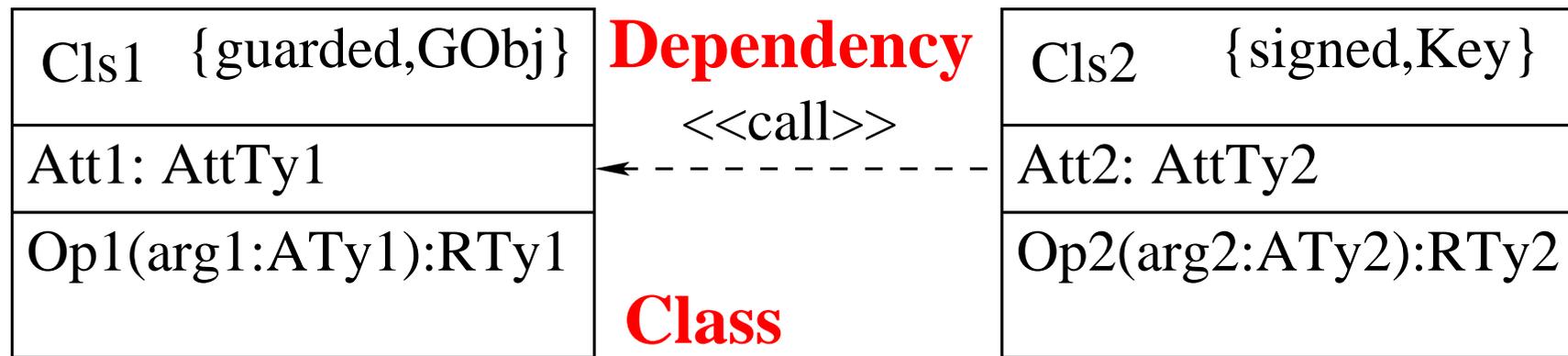
Current: UML 1.4 (released Feb. 2001)

UML run-through: Activity diagrams



Specify the control flow between components within the system, at higher degree of abstraction than statecharts and sequence diagrams.

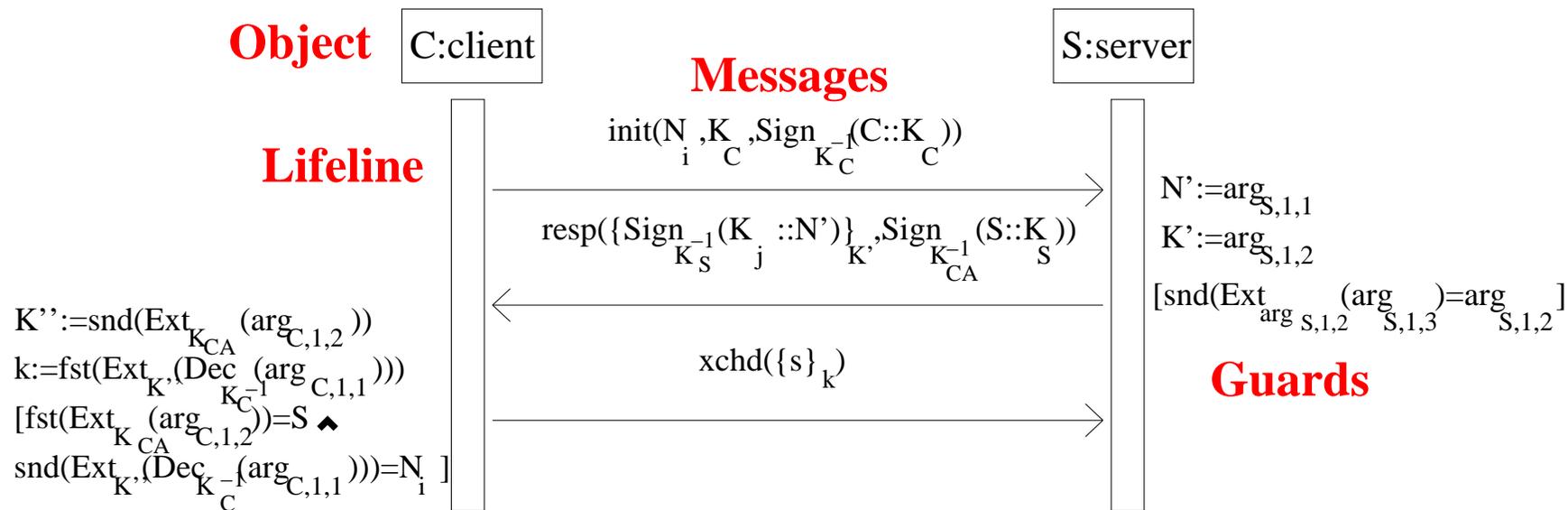
UML run-through: Class diagrams



Class structure of system.

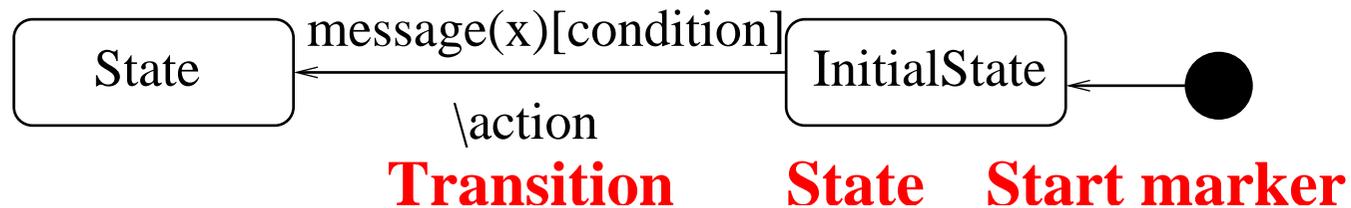
Classes with attributes and operations/signals; relationships between classes.

UML run-through: Sequence diagrams



Describe interaction between objects or system components via message exchange.

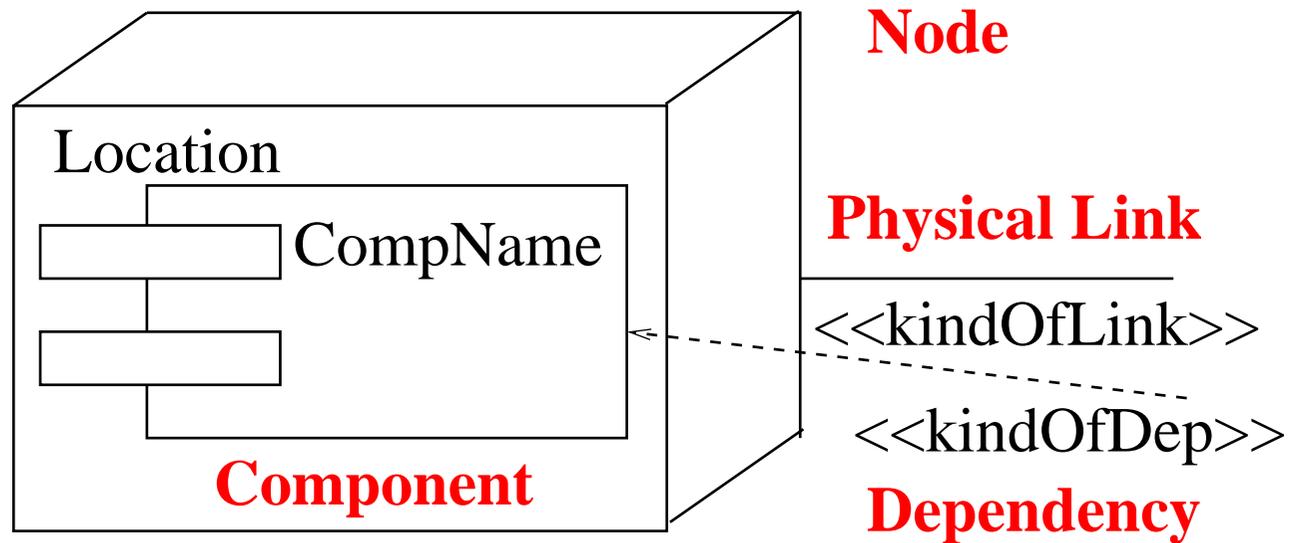
UML run-through: Statecharts



Dynamic behaviour of individual object.

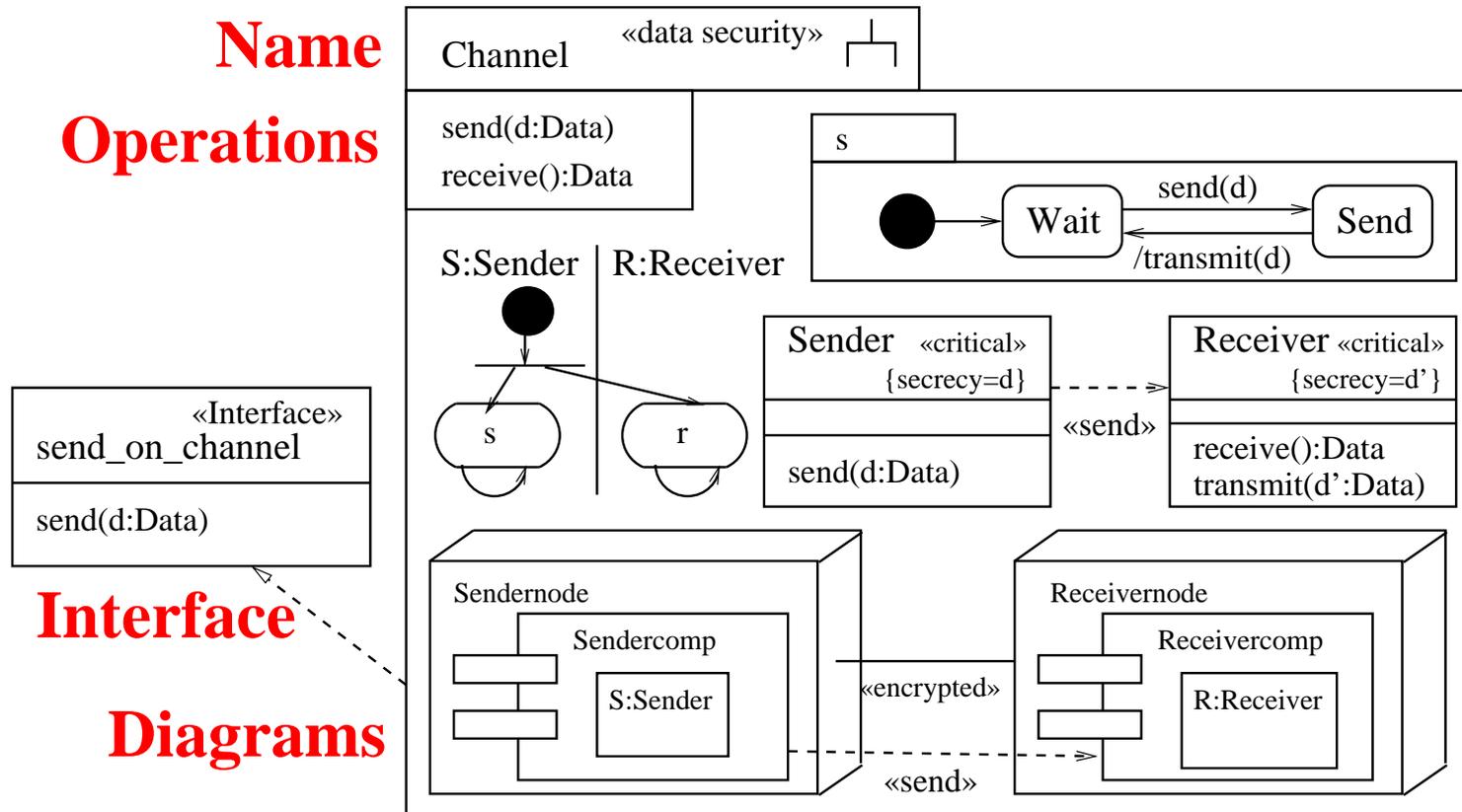
Input events cause state change and output actions.

UML run-through: Deployment diagrams



Describe the physical layer on which the system is to be implemented.

UML run-through: Packages



May be used to organize model elements into groups.

UML Extension mechanisms

Stereotype: **specialize** model element using « label »

Tagged value: **attach** {tag = value} pair to stereotyped element

Constraint: **refine** semantics of stereotyped element

Profile: **gather** above information

The UMLsec profile

Recurring security requirements offered as stereotypes with tags (secrecy, integrity, . . .).

Use associated constraints to **evaluate** specifications and indicate possible **vulnerabilities**.

Ensures that stated security requirements **enforce** given security policy.

Ensures that UML specification **provides** requirements.

Requirements on UML extension for security I

Mandatory requirements:

- Provide basic **security requirements** such as secrecy and integrity.
- Allow considering different **threat scenarios** depending on adversary strengths.
- Allow including important **security concepts** (e.g. *tamper-resistant hardware*).
- Allow incorporating **security mechanisms** (e.g. access control).

Requirements on UML extension for security II

- Provide **security primitives** (e.g. (a)symmetric encryption).
- Allow considering underlying **physical security**.
- Allow addressing **security management** (e.g. secure workflow).

Optional requirements:

Include **domain-specific** security knowledge (Java, smart cards, CORBA, . . .).

UMLsec: general ideas

Activity diagram: secure control flow, coordination

Class diagram: exchange of data preserves security levels

Sequence diagram: security-critical interaction

Statechart diagram: security preserved within object

Deployment diagram: physical security requirements

Package: holistic view on security

UMLsec profile (excerpt)

Stereotype	Base Class	Tags	Constraints	Description
Internet	link			Internet connection
smart card	node			smart card node
secure links	subsystem		dependency security matched by links	enforces secure communication links
secrecy	dependency			assumes secrecy
high	dependency			high sensitivity
secure	subsystem		call,send respect data security	structural interaction data security
dependency				
critical	class	secrecy		critical class
no down-flow	subsystem	high	prevents down-flow	information flow
no up-flow	subsystem	high	prevents up-flow	information flow
data	subsystem		provides secrecy, integrity	basic datasec requirements
security				
fair exchange	package	start,stop	after start eventually reach stop	enforce fair exchange
provable	state	action, ,cert	action is non-deniable	non-repudiation requirement
guarded	state			
access	subsystem		guarded objects accessed through guards	access control using guard objects
guarded	class	guard		guarded class

« Internet », « encrypted », « LAN », « smartcard », . . .

Denote kinds of communication **links** resp. system **nodes**.

For adversary type A , stereotype s , have set

$\text{Threats}_A(s) \subseteq \{\text{deleteall}, \text{deleteelt}, \text{readall}, \text{insertelt}, \text{access}\}$ of actions that adversaries are capable of. Default attacker:

Stereotype	$\text{Threats}_{\text{default}}()$
Internet	{deleteall, deleteelt, readall, insertelt}
encrypted	{deleteall}
LAN	\emptyset
wire	\emptyset
smart card	\emptyset
POS device	\emptyset
issuer node	\emptyset

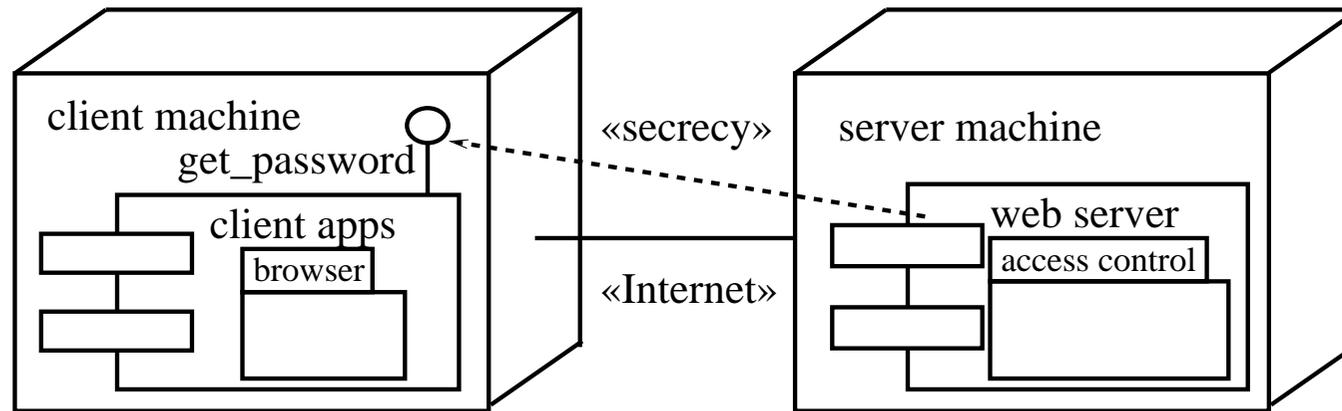
« secure links »

Ensures that security requirements on **communication** met by physical layer.

Constraint: for each dependency d with stereotype $s \in \{\text{« secrecy »}, \text{« integrity »}, \text{« high »}\}$ between components on nodes $n \neq m$, have communication link l between n and m with stereotype t such that

- if $s = \text{« high »}$: have $\text{Threats}_A(t) = \emptyset$,
- if $s = \text{« secrecy »}$: have $\text{readall} \notin \text{Threats}_A(t)$
- if $s = \text{« integrity »}$: have $\text{insertelt} \notin \text{Threats}_A(t)$.

Example « secure links »



Given the *default* adversary type, the constraint for the stereotype «*securelinks*» is **violated**:

According to the *Threats_{default}(Internet)* scenario, the «*Internet*» communication link does not provide communication secrecy against the *default* adversary.

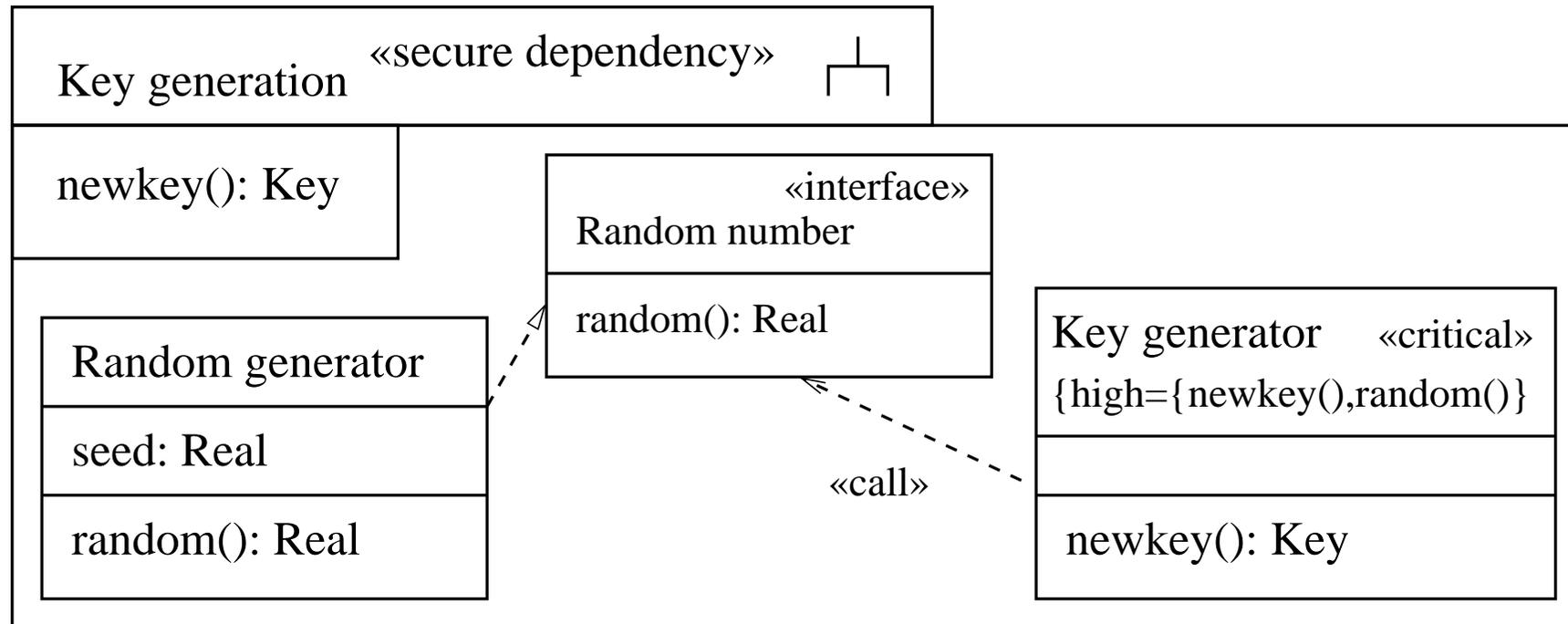
« secure dependency »

Ensures that « call » and « send » dependencies between components **respect** security requirements on communicated data given by tags {secrecy}, {integrity}, {high}.

Constraint: given « call » or « send » dependency from C to D :

- Any message n in D appears in {secrecy} (resp. {integrity} resp. {high}) in C if and only if does so in D .
- If message in D appears in {secrecy} (resp. {integrity} resp. {high}) in C dependency stereotyped « secrecy » (resp. « integrity » resp. « high »).

Example «secure dependency»



Specification **violates** constraint for «secure dependency»: **Randomgenerator** and «call» dependency do not provide security levels for **random()** required by **Keygenerator**.

« no down – flow », « no up – flow »

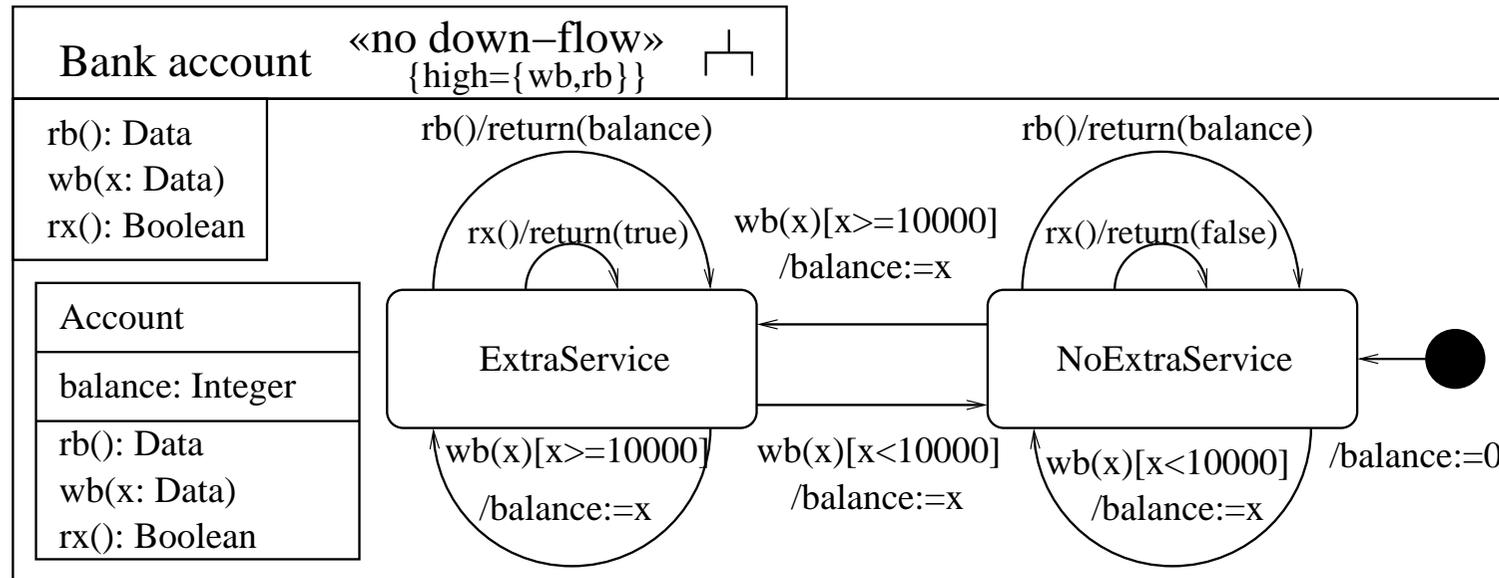
Enforce secure **information flow**.

Constraints:

« **nodown – flow** »: component prevents down-flow:
Value of any data specified in {**high**} may influence **only** the values of data also specified in {**high**}.

« **nodown – flow** »: component prevents up-flow:
Value of any data specified in {**high**} may be influenced **only** by the values of data also specified in {**high**}.

Example «no down – flow»



Bank account object allows secret balance to be read with `rb()` and written with `wb(x)`. Is in state **ExtraService** exactly if balance is over 10000. State can be queried with `rx()`. Does **not** provide «no down – flow»: partial information about input of high `wb()` returned by non-high `rx()`.

« data security »

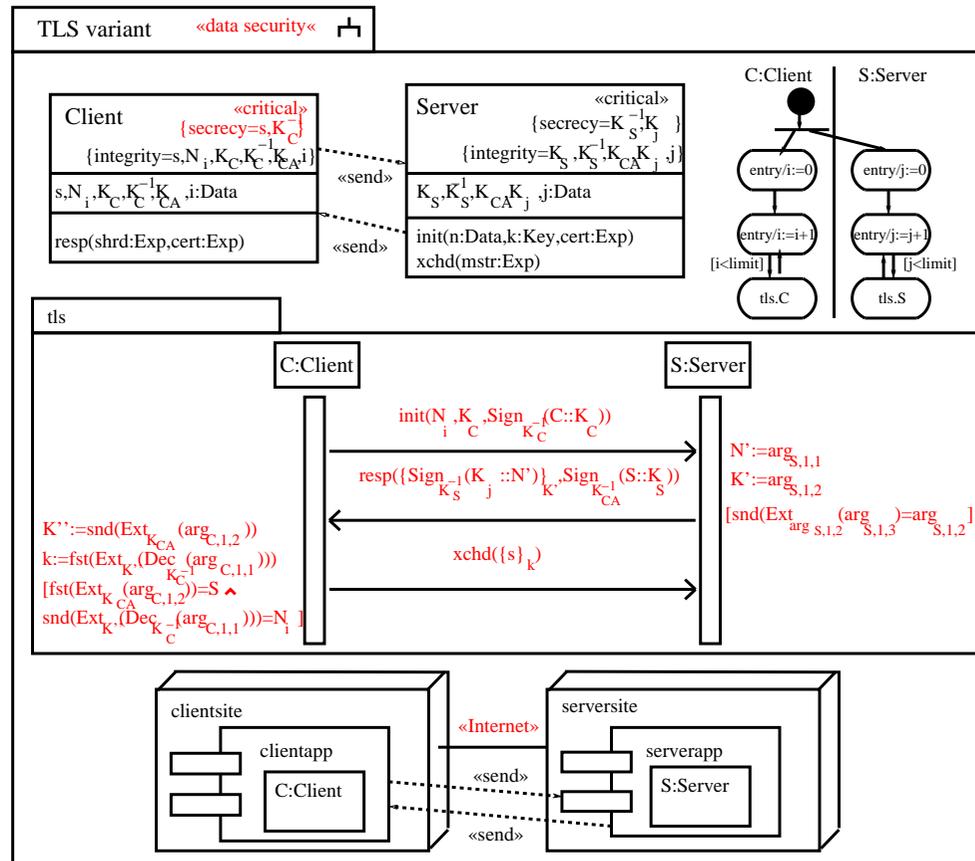
Ensures that data security requirements given by « critical » and associated tags **respected** with regard to threat scenario arising from the deployment diagram.

Constraints:

Secrecy of data designated {secrecy} preserved against adversaries of given type.

Integrity of data designated {integrity} preserved against adversaries of given type.

Example « data security »



Variant of TLS proposed at INFOCOM 1999.

Violates « datasecurity » (specifically, {secrecy} of s) against default adversary.

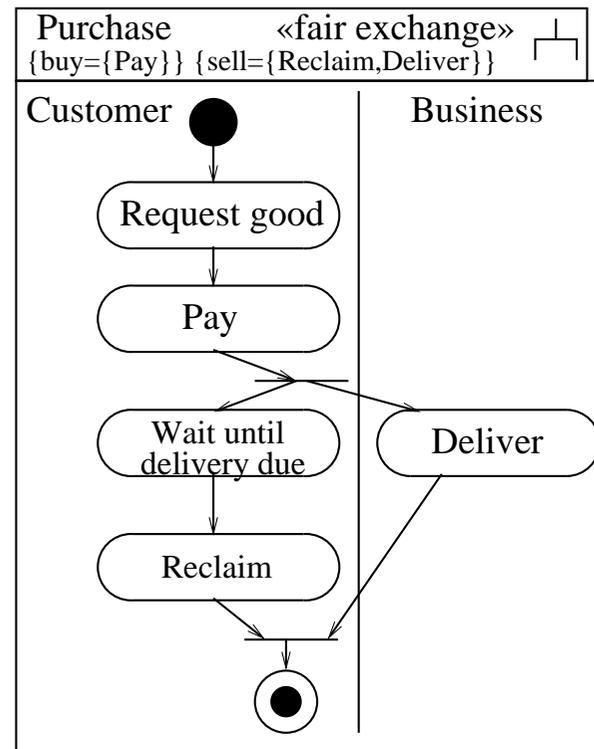
« fair exchange »

Ensures generic **fair exchange** condition.

Constraint: after a **{buy}** state in activity diagram is reached, eventually reach **{sell}** state.

Cannot be ensured for systems that attacker can stop completely.

Example « fair exchange »



Customer buys a good from a business.

Fair exchange means: after payment, customer is eventually either delivered good or able to reclaim payment.

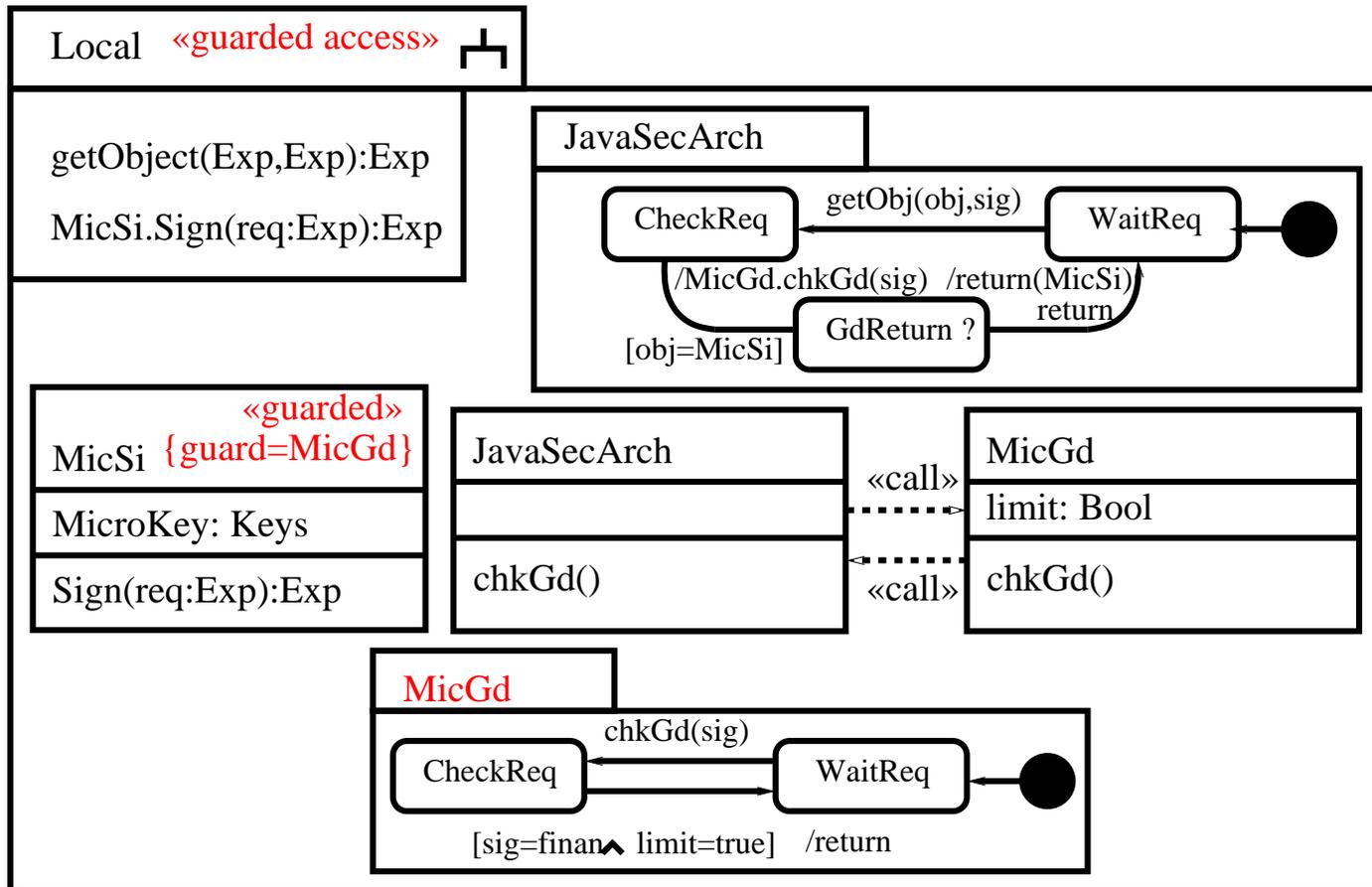
« guarded access »

Ensures that « guarded » classes **only** accessed through {guard} classes.

Constraints:

- names of « guarded » objects not publicly known
- each « guarded » class has {guard} class.

Example « guarded access »



Applets from internet bank and financial advisor need access to local financial data. Provides « guarded access ».

Does UMLsec meet requirements ?

Security requirements: secrecy, integrity built in.

Threat scenarios: $Threats_{adv}(ster)$: actions against stereotyped elements available to adversary.

Security concepts: E.g. « smart card » built in.

Security mechanisms: E.g. « guarded access » built in.

Security primitives: (A)symmetric encryption built in.

Physical security: Deployment diagrams, threat scenarios.

Security management: Use activity diagrams (e.g. « fair exchange »).

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Formal Semantics for UML: Why

Meaning of diagrams stated **imprecisely** in (OMG 2001).

Ambiguities problem for

- tool support
- establishing behavioral properties (e.g. security)

Need **precise** semantics for used part of UML, especially to ensure security requirements.

Formal semantics for UML: How

Diagrams in **context** (using subsystems).

Model **actions** and internal **activities** explicitly.

Message exchange between objects or components (incl. event dispatching).

For UMLsec: include **adversary** arising from threat scenario in deployment diagram.

Use Abstract State Machines (pseudo-code).

Distributed Systems

Objects distributed over **untrusted** networks.

“Adversary” intercepts, modifies, deletes, inserts messages.

Cryptography provides security.

Security Analysis

Model classes of **adversaries**.

May attack different parts of the system in a specified way.

Example: *insider* attacker may intercept communication links in LAN.

To evaluate security of specification, execute jointly with adversary.

Abstract protocol descriptions I

Specify protocol participants as processes (following Dolev, Yao 1982).

In addition to expected participants, model attacker, who:

- may **participate** in protocol runs,
- **knows** some data in advance,
- may **intercept** and **delete** messages on some channels,
- may **inject** produced messages into some channels

Abstract protocol descriptions II

Keys are **symbols**, cryptoalgorithms are **abstract** operations.

- Can only decrypt with right keys.
- Can only compose with available messages.
- Cannot perform statistical attacks.

Expressions

Exp: term algebra generated by $\mathbf{Var} \cup \mathbf{Keys} \cup \mathbf{Data}$ and

- $_ :: _$ (concatenation) and empty expression ε ,
- $\{-\}_-$ (encryption)
- $Dec_(-)$ (decryption)
- $Sign_(-)$ (signing)
- $Ext_(-)$ (extracting from signature)
- $Hash(-)$ (hashing)

by factoring out the equations $Dec_{K^{-1}}(\{E\}_K) = E$ and $Ext_K(Sign_{K^{-1}}(E)) = E$ (for $K \in \mathbf{Keys}$).

Abstract adversary

Specify set \mathcal{K}_A^0 of **initial knowledge** of an adversary of type A .

To test secrecy of $M \in \mathbf{Exp}$ against attacker type A :

Jointly execute \mathcal{S} and \mathcal{A} where \mathcal{A} is **most powerful attacker** of type A according to threat scenario from deployment diagram, with $M \notin \mathcal{K}_A^0$.

M is **kept secret** by \mathcal{S} if M never thus output in clear.

Example: secrecy

Component sending $\{m\}_K :: K \in \mathbf{Exp}$ over Internet does **not** preserve secrecy of m or K against default attackers the Internet, but component sending (only) $\{m\}_K$ **does**.

Suppose component receives key K encrypted with its public key over communication link and sends back $\{m\}_K$.

Does **not** preserve secrecy of m against attackers eavesdropping on and inserting messages on the link, **but** against attackers unable to insert messages.

Abstract adversary (alternative)

Define: \mathcal{K}_A^{n+1} is the **Exp**-subalgebra generated by \mathcal{K}_A^n and the expressions received after $n + 1$ st iteration of the protocol.

Theorem. \mathcal{S} keeps secrecy of M against attackers of type A iff there is no n with $M \in \mathcal{K}_A^n$.

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Rules of prudent security engineering

Saltzer, Schroeder (1975):

design principles for security-critical systems

Check how to enforce these with **UMLsec**.

Economy of mechanism

Keep the design as simple and small as possible.

Often systems made complicated to make them (look) secure.

Method for reassurance may **reduce** this temptation.

Payoffs from formal evaluation may increase incentive for following the rule.

Open design

The design should not be secret.

Method of reassurance may help to develop systems whose security does **not** rely on the secrecy of its design.

Separation of privilege

A protection mechanism that requires two keys to unlock it is more robust and flexible than one that allows access to the presenter of only a single key.

Specification satisfies **separation of privilege** wrt. privilege p if signature of two or more principals required to be granted p .

Formulate such requirements abstractly using activity diagrams.

Verify behavioural specifications wrt. these requirements.

Least privilege

Every program and every user of the system should operate using the least set of privileges necessary to complete the job.

Least privilege: every proper diminishing of privileges gives system not satisfying functionality requirements.

Can make precise and check this.

Least common mechanism

Minimize the amount of mechanism common to more than one user and depended on by all users.

Object-orientation:

- data encapsulation
- data sharing well-defined (keep at necessary minimum).

Psychological acceptability

Human interface must be designed for ease of use, so that users routinely and automatically apply the protection mechanisms correctly.

Wrt. development process:
ease of use in development of secure systems.

User side: e.g. performance evaluation
(acceptability of performance impact of security).

Discussion

No absolute rules, but **warnings**.

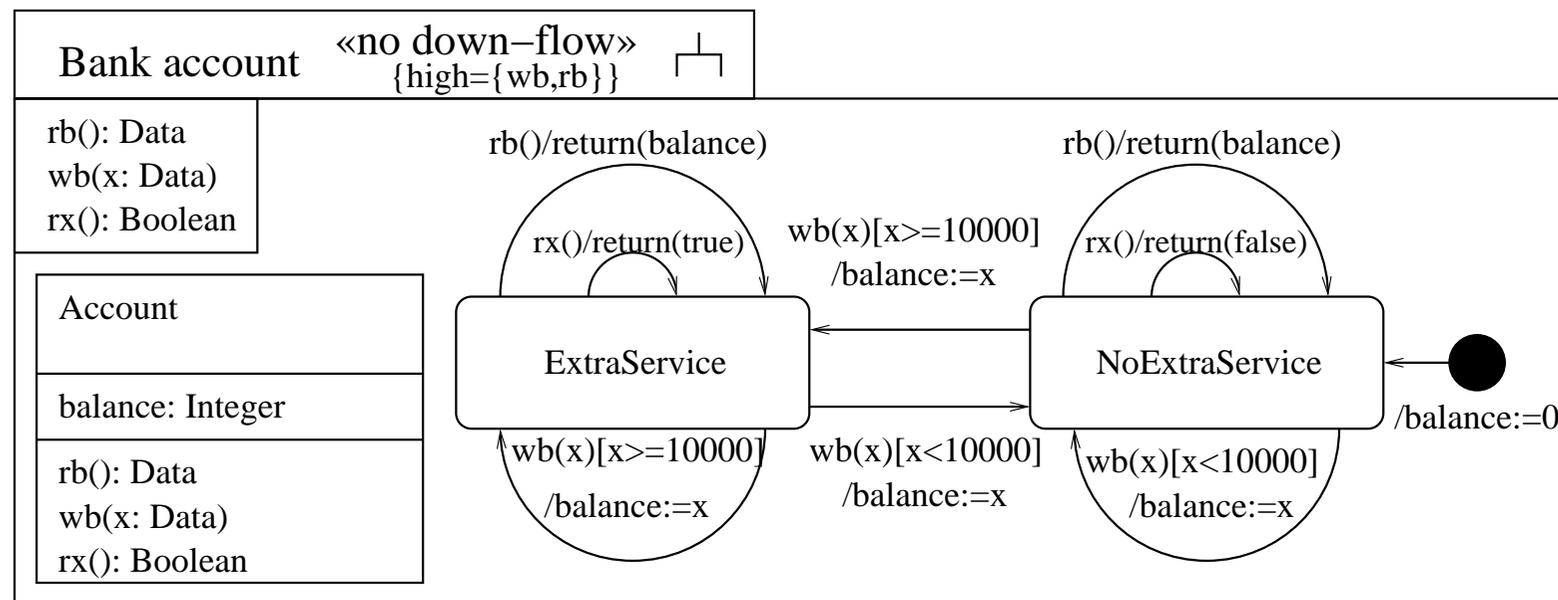
Violation of rules symptom of **potential** trouble; review design to be sure that trouble accounted for or unimportant.

Design principles **reduce** number and seriousness of flaws.

Security Patterns

Security patterns: use UML to **encapsulate** knowledge of prudent security engineering.

Example.

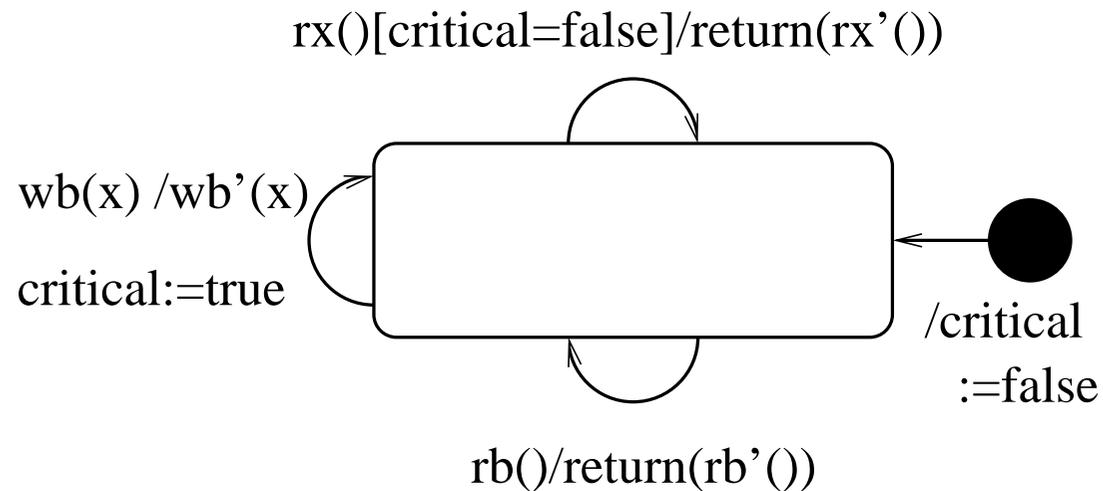


Problem: does not preserve security of account balance.

Solution: Wrapper Pattern

Technically, pattern application is transformation of specification.

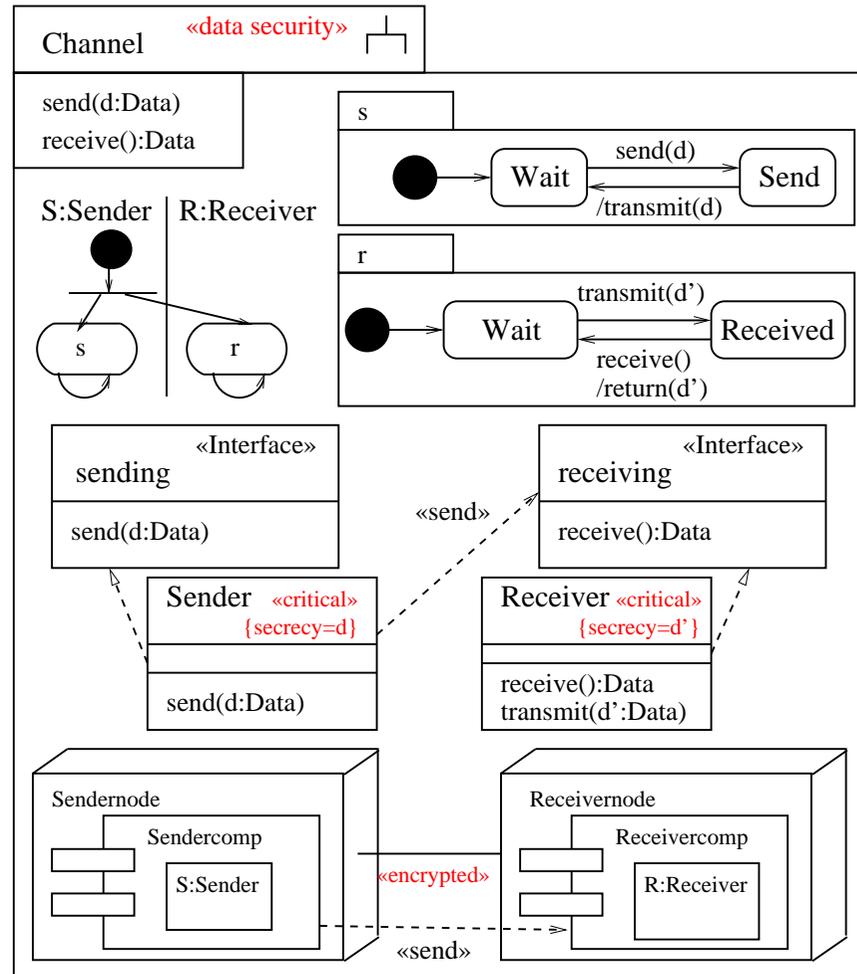
Wrapper
critical: Bool
rb(): Data wb(x: Data) rx(): Boolean



Use **wrapper** pattern to ensure that no low read after high write.

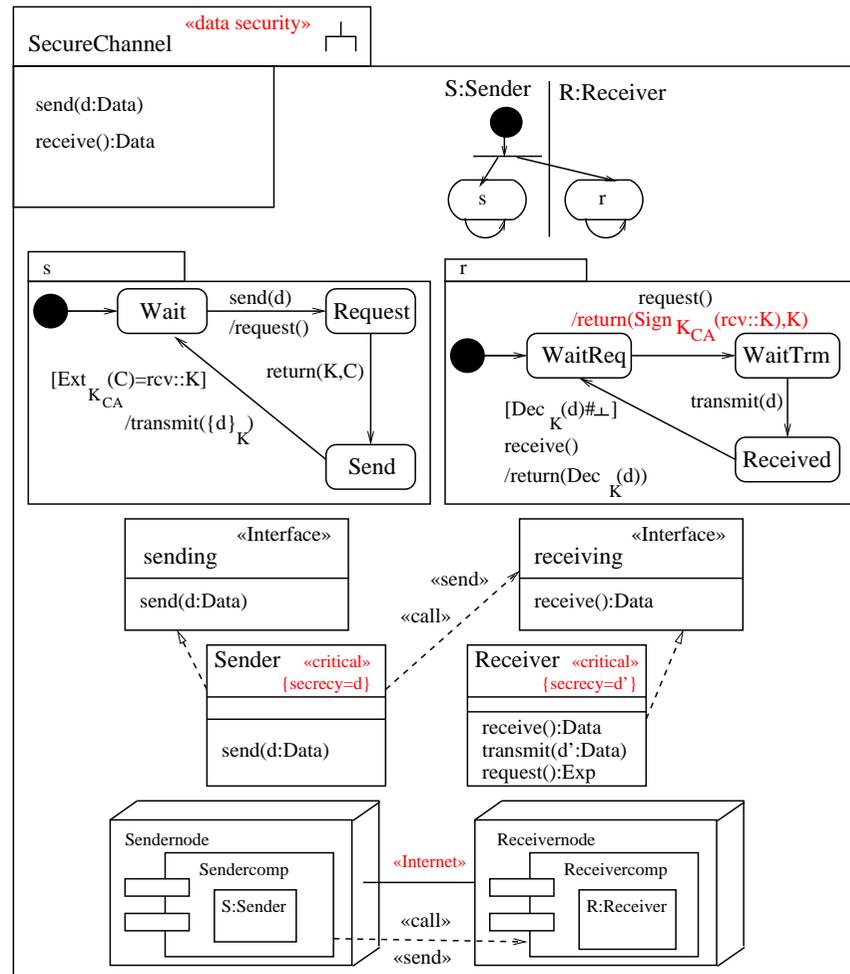
Can check this is secure (once and for all).

Secure channel pattern: problem



To keep d secret, must be sent encrypted.

Secure channel pattern: (simple) solution



Exchange certificate and send encrypted data over **Internet**.

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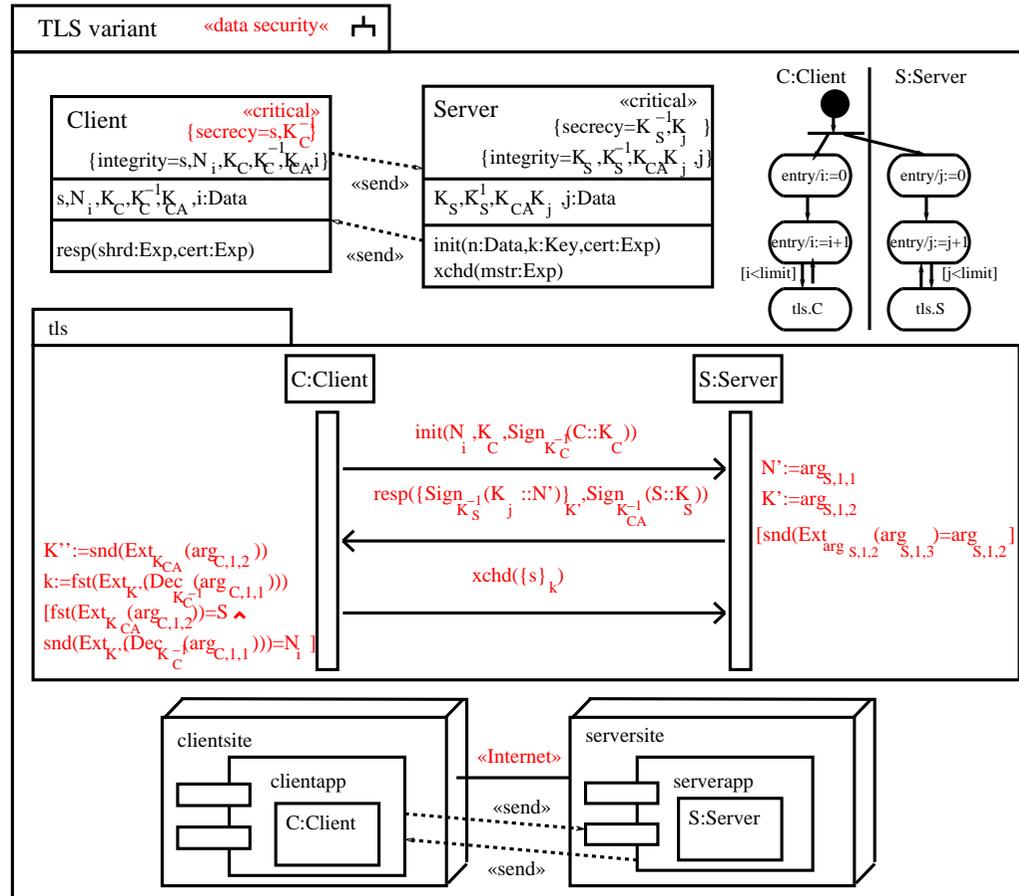
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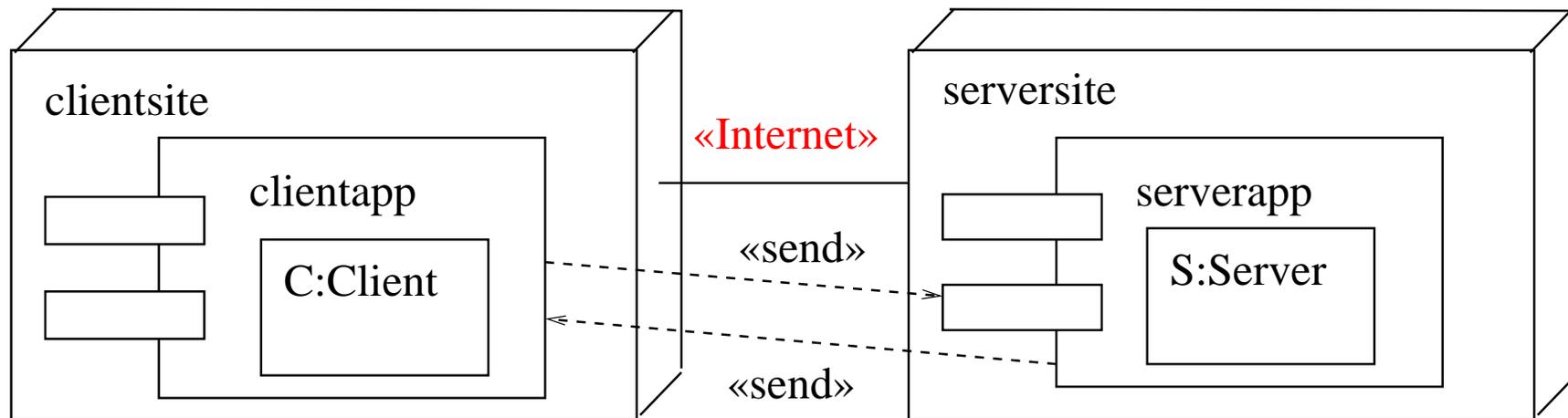
Outlook

Example: Proposed Variant of TLS (SSL)



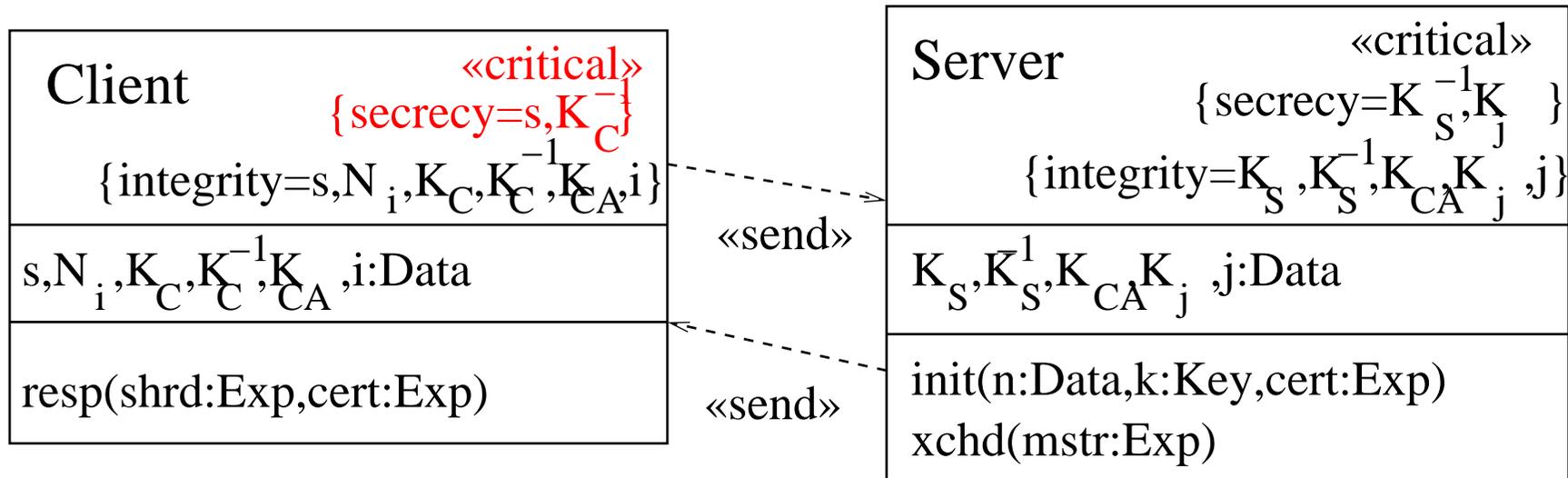
Apostolopoulos, Peris, Saha; IEEE Infocom 1999
 Goal: send secret s protected by session key K_j .

TLS Variant: Physical view



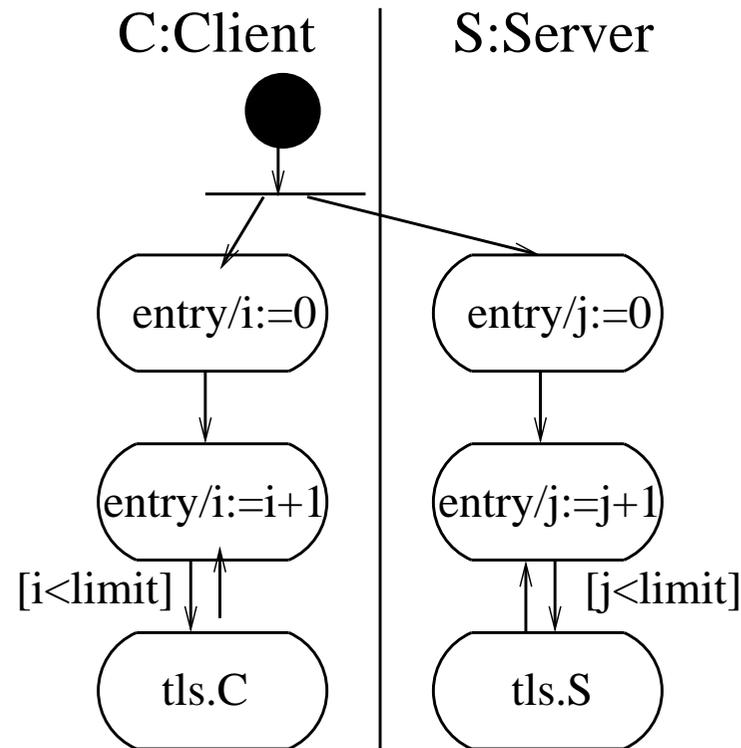
Deployment diagram.

TLS Variant: Structural view



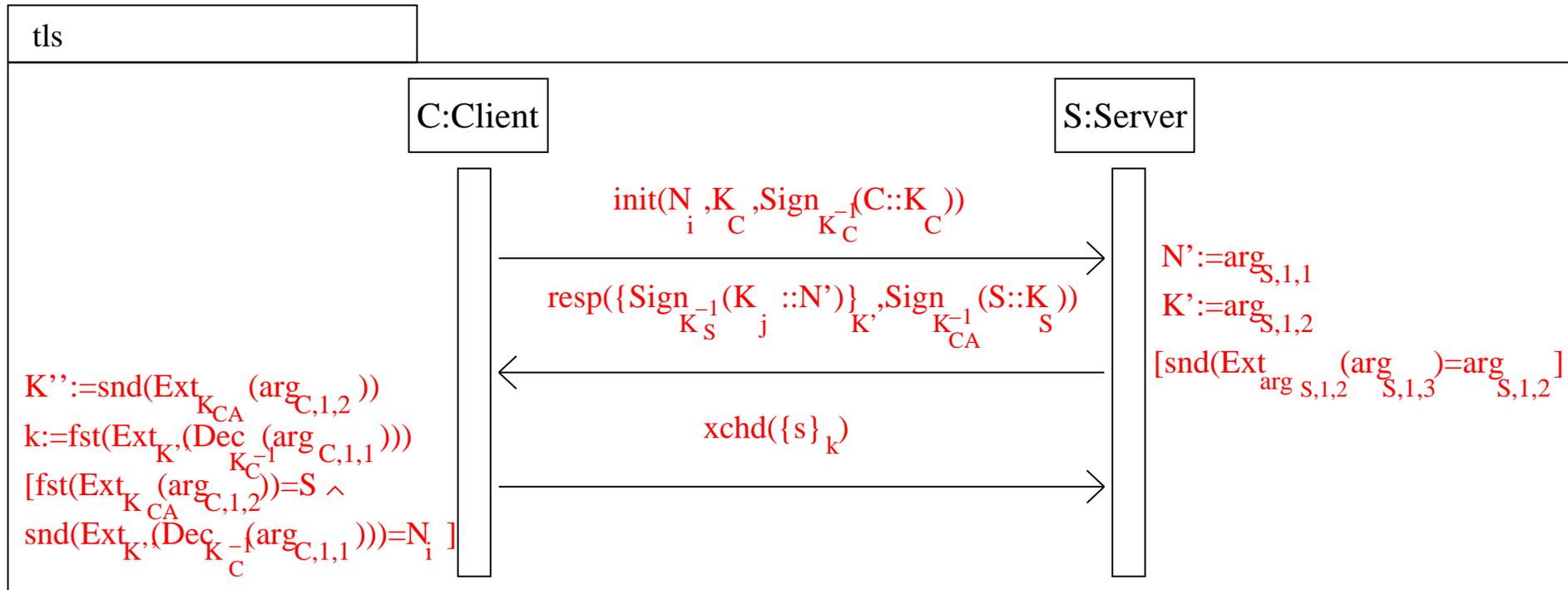
Class diagram.

TLS Variant: Coordination view



Activity diagram.

TLS Variant: Interaction view



Sequence diagram.

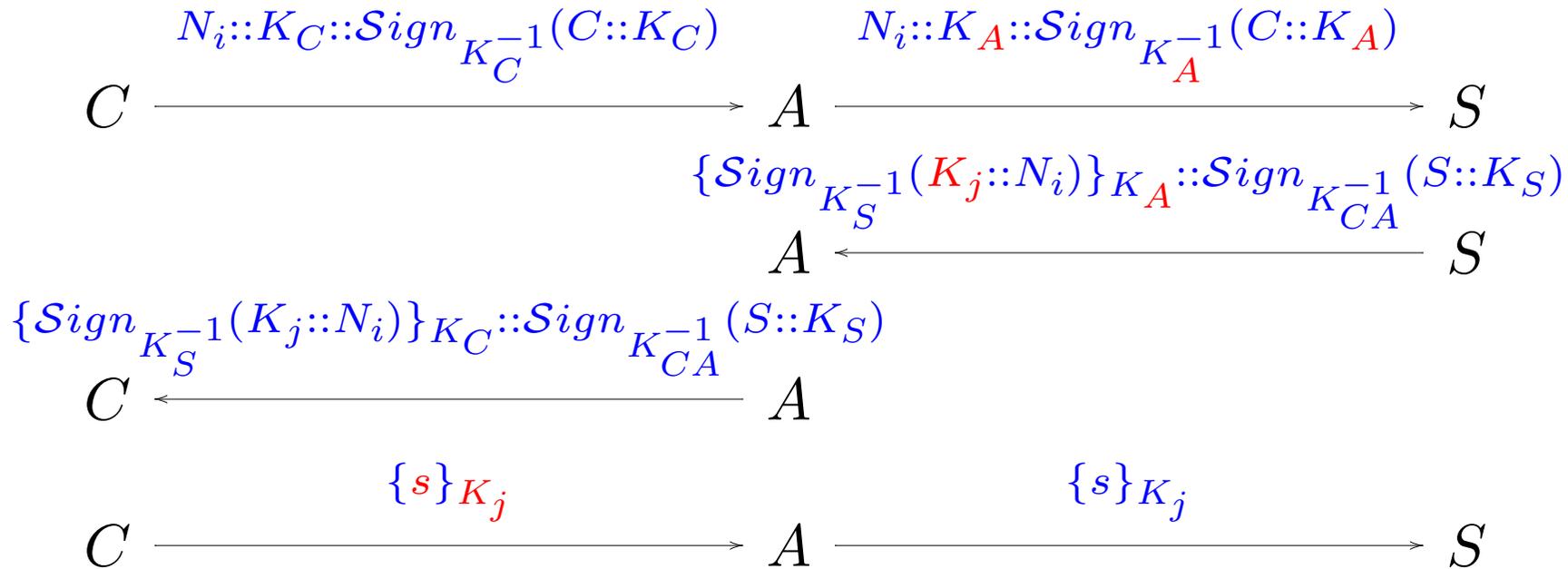
The flaw

Surprise: \mathcal{S} does **not** keep secrecy of s against **default** adversaries with

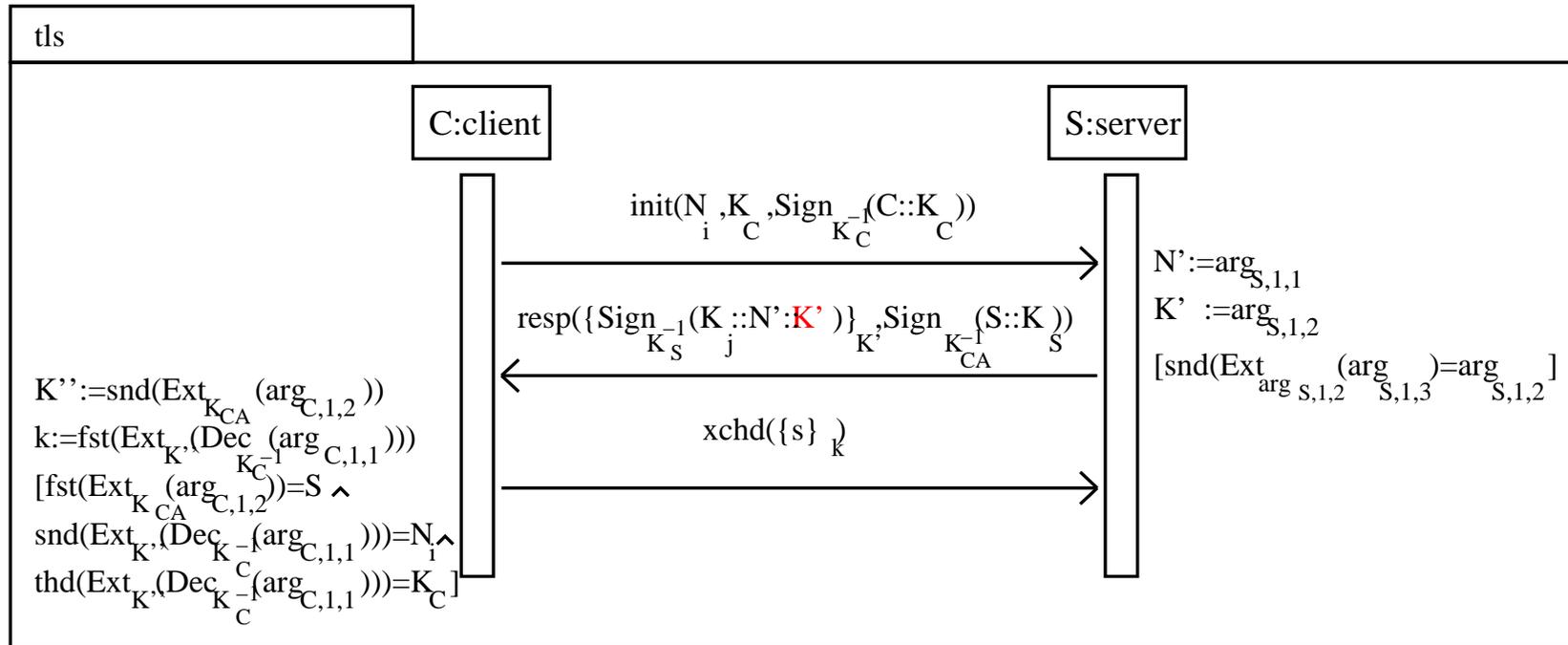
$$\begin{aligned} \mathcal{K}_A^0 = & \{K_{CA}, K_C, K_S, C, S, \text{Sign}_{K_{CA}^{-1}}(S :: K_S)\} \\ & \cup \{\text{Sign}_{K_{CA}^{-1}}(Z :: K_Z) : Z \in \mathbf{Data} \setminus \{S\}\}. \end{aligned}$$

Man-in-the-middle attack.

The attack



The fix



Thm S' keeps secrecy of s against default adversaries with

$$\begin{aligned} \mathcal{K}_A^0 = & \{K_{CA}, K_C, K_S, C, S, \text{Sign}_{K_{CA}^{-1}}(S :: K_S)\} \\ & \cup \{\text{Sign}_{K_{CA}^{-1}}(Z :: K_Z) : Z \in \mathbf{Data} \setminus \{S\}\}. \end{aligned}$$

Common Electronic Purse Specifications (CEPS)

Candidate for globally interoperable electronic purse standard.
Supported by 90 percent of electronic purse market.

Smart card contains account balance. Built-in chip performs cryptographic operations securing the transactions.

Goal: more fraud protection than credit cards
(**transaction-bound** authentication).

Here: consider load protocol.

Load protocol

Consider unlinked, cash-based load transaction (on-line).

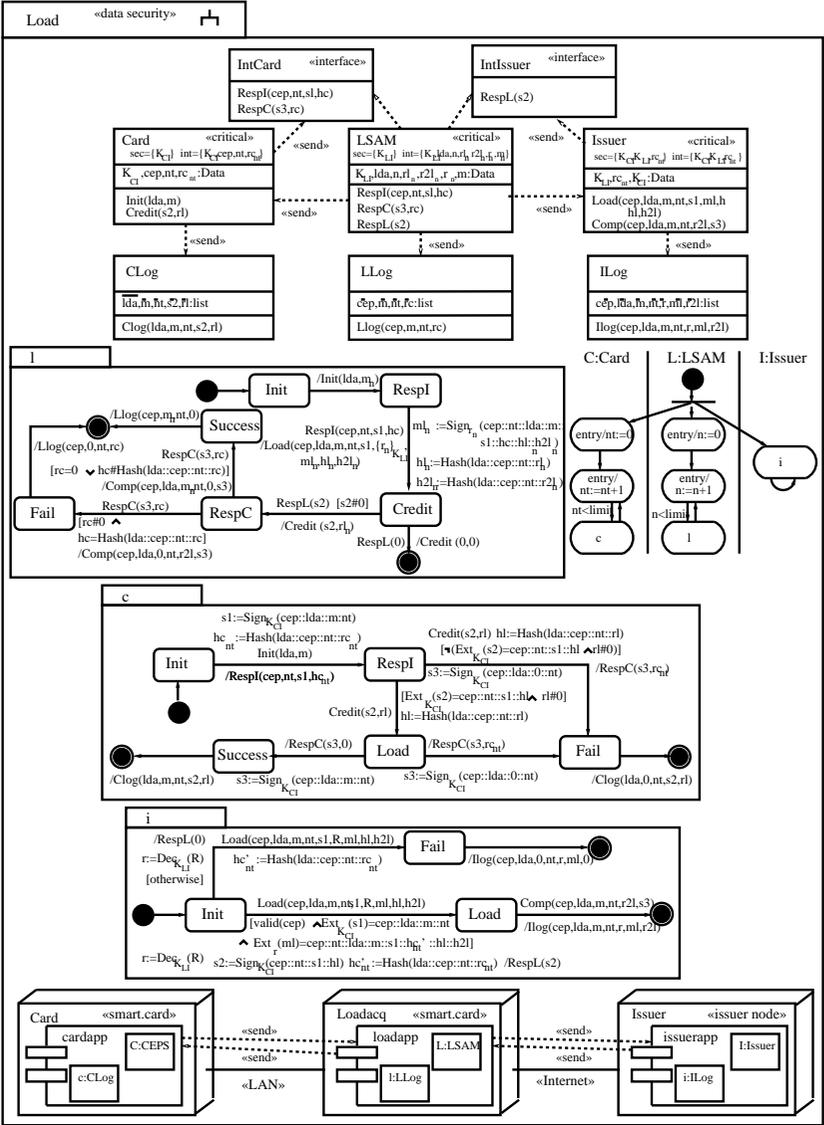
Load value onto card using cash at load device.

Load device contains Load Security Application Module (LSAM): secure data processing and storage.

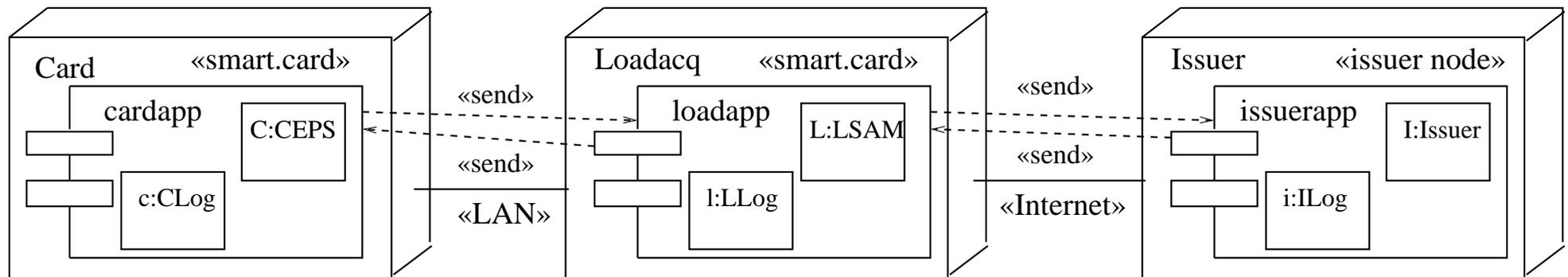
Card account balance adjusted; transaction data **logged** and sent to issuer for financial settlement.

Uses symmetric cryptography.

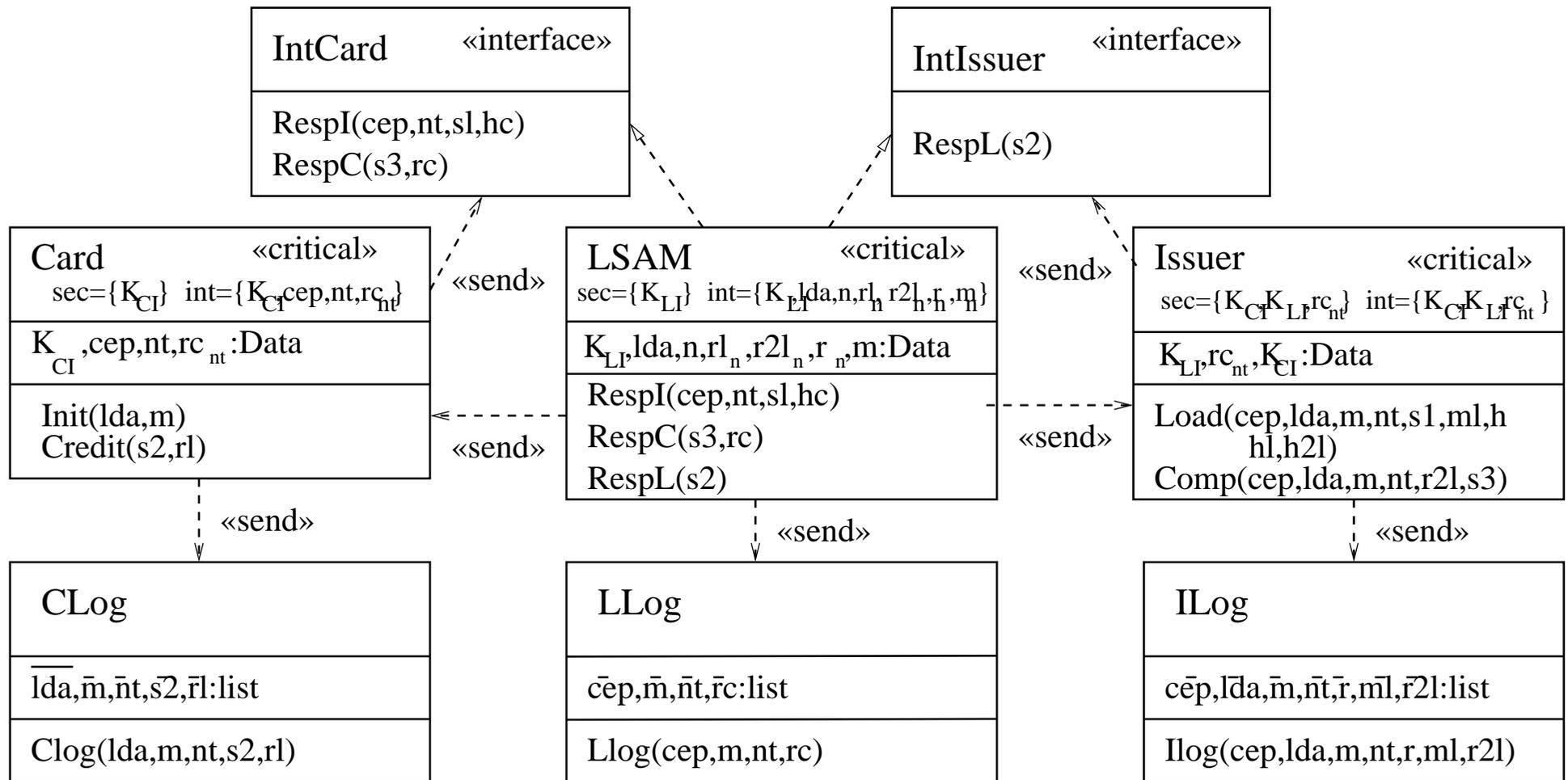
Load protocol



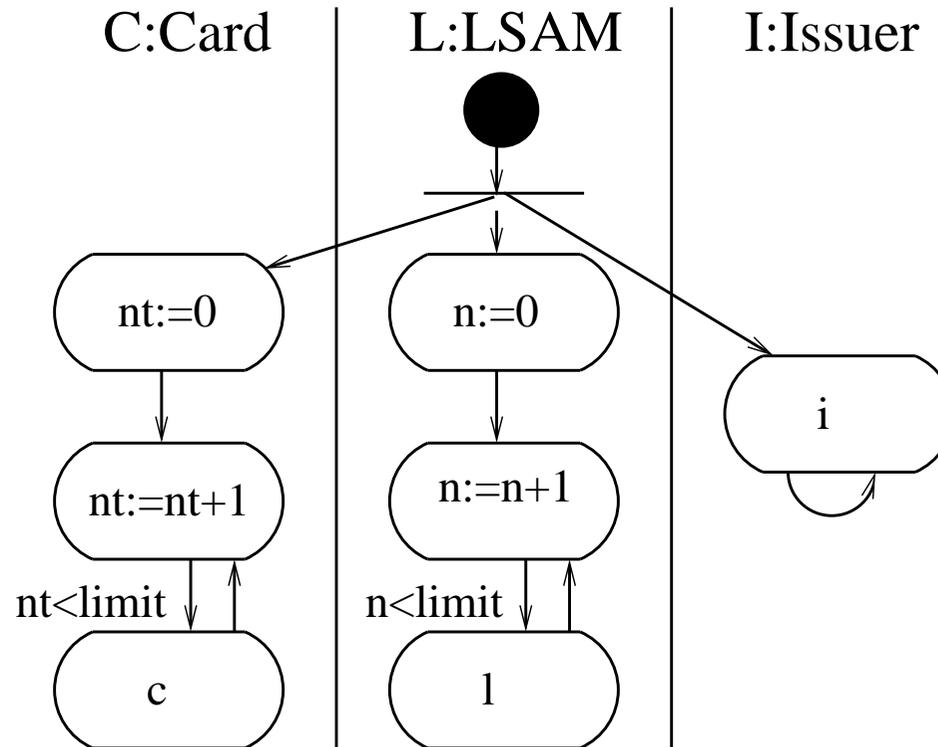
Load protocol: Physical view



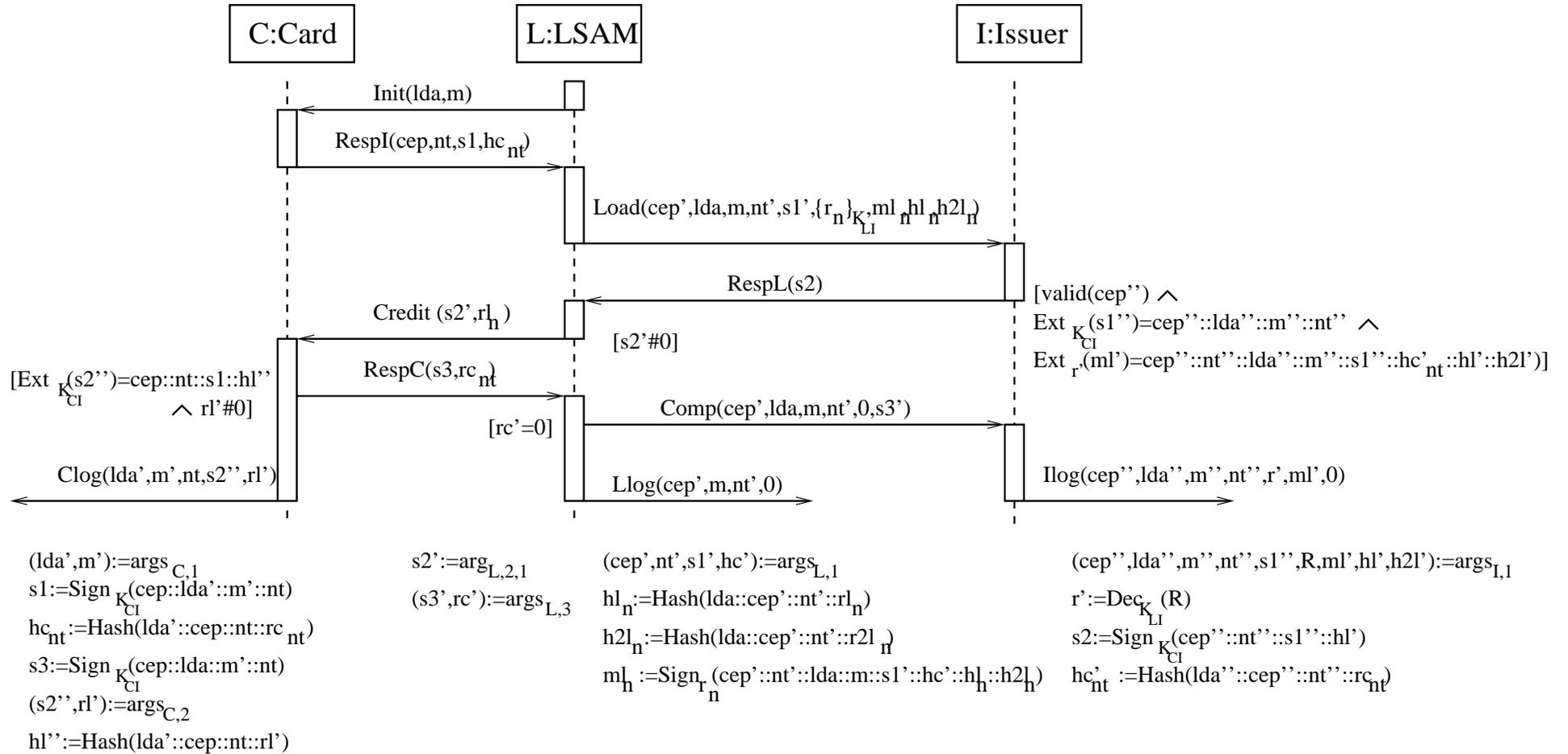
Load protocol: Structural view



Load protocol: Coordination view



Load protocol: Interaction view



Security Threat Model

Card, LSAM, issuer security module assumed **tamper-resistant**.

Could **intercept** communication links, **replace** components.

Possible attack motivations:

Cardholder: charge without pay

Load acquirer: keep cardholder's money

Card issuer: demand money from load acquirer

May **coincide** or collude.

Audit security

No direct communication between card and cardholder.
May manipulate load device **display**.

Use post-transaction **settlement** scheme.

Relies on **secure auditing**.

Verify this here (only executions completed without exception).

Security conditions (informal)

Cardholder security If card appears to have been loaded with m according to its logs, cardholder can prove to card issuer that a load acquirer owes m to card issuer.

Load acquirer security Load acquirer has to pay m to card issuer only if load acquirer has received m from cardholder.

Card issuer security Sum of balances of cardholder and load acquirer remains unchanged by transaction.

Load acquirer security

Suppose card issuer I possesses

$$ml_n = \text{Sign}_{r_n}(cep :: nt :: lda :: m_n :: s1 :: hc_{nt} :: hl_n :: h2l_n)$$

and card C possesses rl_n , where

$h_n = \text{Hash}(lda :: cep :: nt :: rl_n)$. Then after protocol either of following hold:

- $\text{Llog}(cep, lda, m_n, nt)$ has been sent to $I : \text{LLog}$ (so load acquirer L has received and retains m_n in cash) or
- $\text{Llog}(cep, lda, 0, nt)$ has been sent to $I : \text{LLog}$ (so L returns m_n to cardholder) and L has received rc_{nt} with $hc_{nt} = \text{Hash}(lda :: cep :: nt :: rc_{nt})$ (negating ml_n).

“ ml_n provides guarantee that load acquirer owes transaction amount to card issuer” (CEPS)

Flaw

Theorem. \mathcal{L} does not provide **load acquirer security** against adversaries of type **insider** with $\mathcal{K}_A^{fd} = \{cep, lda, m_n\}$.

Modification: use asymmetric key in ml_n , include signature certifying hc_{nt} .

Verify this version wrt. above conditions.

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Java Security

Originally (JDK 1.0): sandbox.

Too **simplistic** and **restrictive**.

JDK 1.2/1.3: more fine-grained security architecture
(access control, signing, sealing, guarding objects, . . .)

BUT: complex, thus use is **error-prone**.

Java Security policies

Permission entries consist of:

- protection domains (i. e. URL's and keys)
- target resource (e.g. files on local machine)
- corresponding permissions (e.g. read, write, execute)

Signed and Sealed Objects

Need to protect **integrity** of objects used as authentication tokens or transported across JVMs.

A **SignedObject** contains an object and its signature.

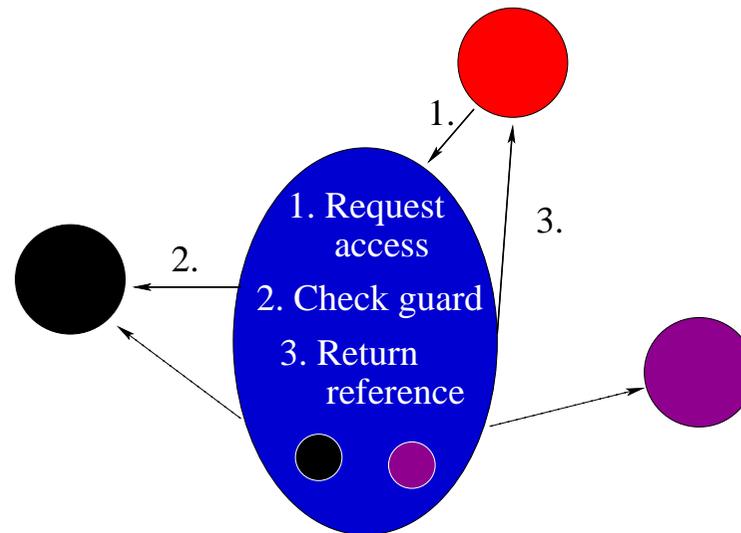
Similarly, need **confidentiality**.

A **SealedObject** is an encrypted object.

Guarded Objects

`java.security.GuardedObject` protects access to other objects.

- access controlled by `getObject` method
- invokes `checkGuard` method on the `java.security.Guard` that is guarding access
- If allowed: return reference. Otherwise: `SecurityException`



Problem: Complexity

- Granting of permission depends on **execution context**.
 - Access control decisions may rely on **multiple threads**.
 - A thread may involve several **protection domains**.
 - Have method `doPrivileged()` **overriding** execution context.
 - Guarded objects defer access control to **run-time**.
 - **Authentication** in presence of adversaries can be subtle.
 - **Indirect** granting of access with capabilities (keys).
- ~> **Difficult** to see which objects are granted permission.
- ⇒ use **UMLsec**

Design Process

- (1) Formulate access control **requirements** for sensitive objects.
- (2) Give **guard objects** with appropriate access control checks.
- (3) Check that guard objects **protect** objects **sufficiently**.
- (4) Check that access control is consistent with **functionality**.
- (5) Check **mobile objects** are sufficiently protected.

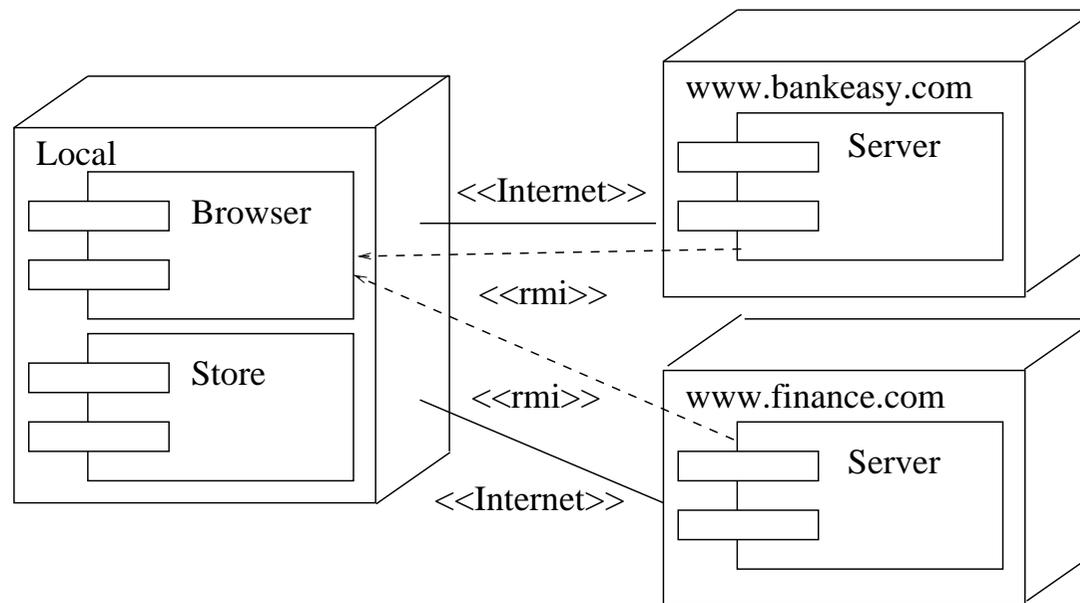
Theorem.

Suppose access to resource according to **Guard** object specifications granted only to objects signed with K .

Suppose all components keep secrecy of K .

Then only objects signed with K are granted access.

Example: Financial Application



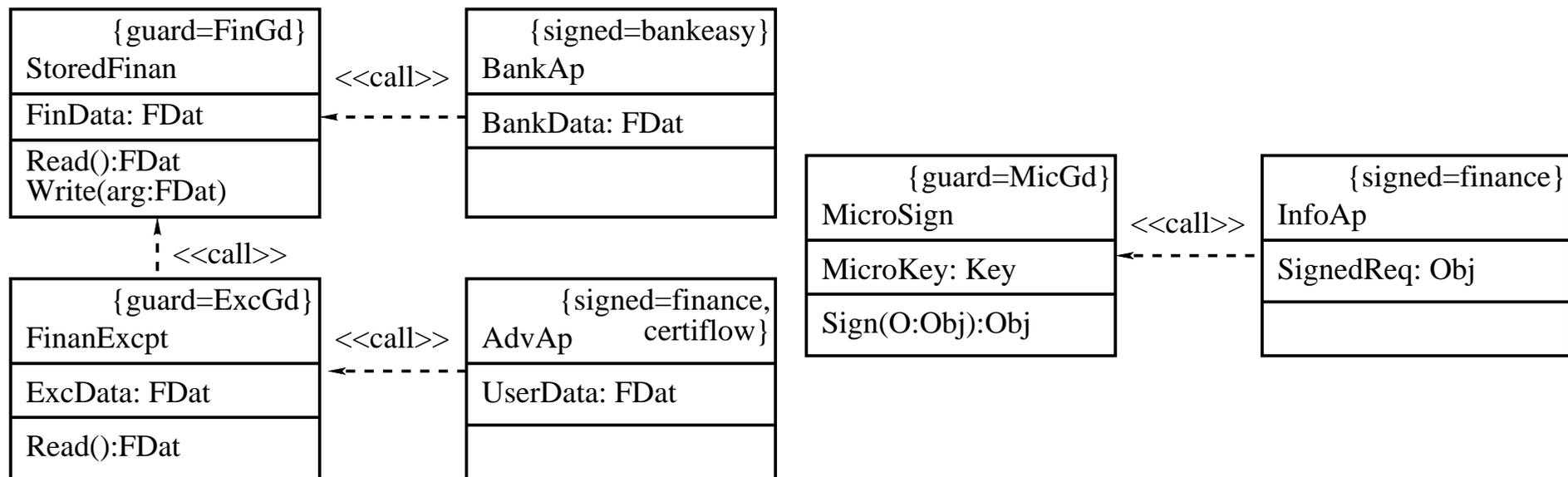
Internet bank, Bankeasy, and financial advisor, Finance, offer services to local user. Applets need certain privileges (step 1).

- Applets from and signed by bank **read** and **write** financial data between 1 pm and 2 pm.
- Applets from and signed by Finance **use** micropayment key five times a week.

Financial Application: Class diagram

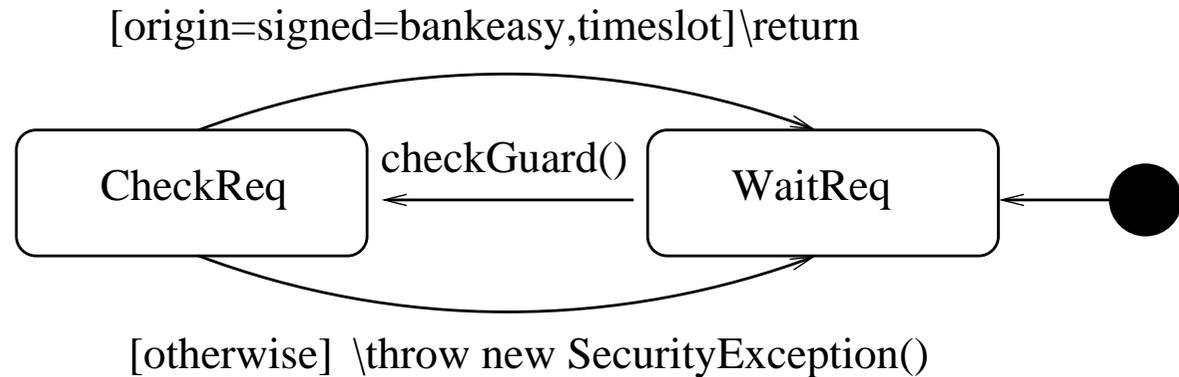
Sign and seal objects sent over Internet for integrity and confidentiality.

GuardedObjects control access.

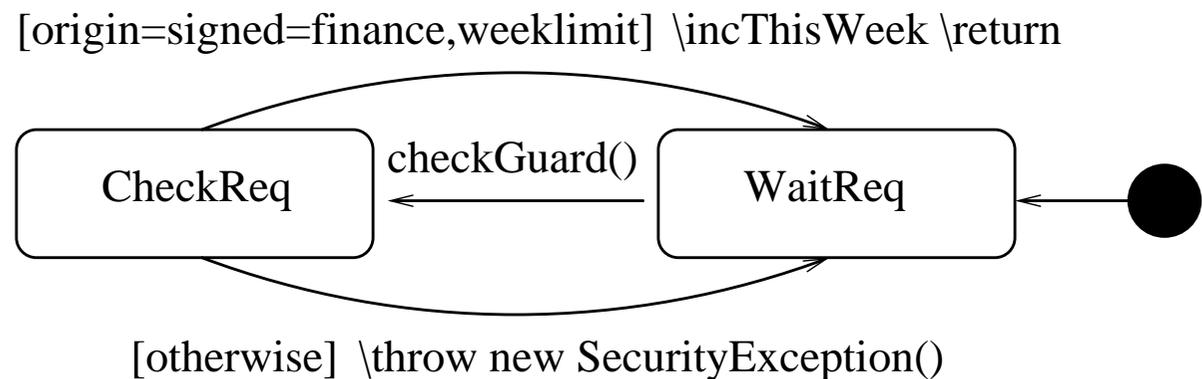


Financial Application: Guard objects (step 2)

`timeslot` true between 1pm and 2pm.



`weeklimit` true until access granted five times; `incThisWeek` increments counter.



Financial Application: Validation

Guard objects give **sufficient protection** (step 3).

Proposition. UML specification for guard objects only grants permissions implied by access permission requirements.

Access control consistent with **functionality** (step 4). Includes:

Proposition. Suppose applet in current execution context originates from and signed by Finance. Use of micropayment key requested (and less than five times before). Then permission granted.

Mobile objects sufficiently protected (step 5), since objects sent over Internet are signed and sealed.

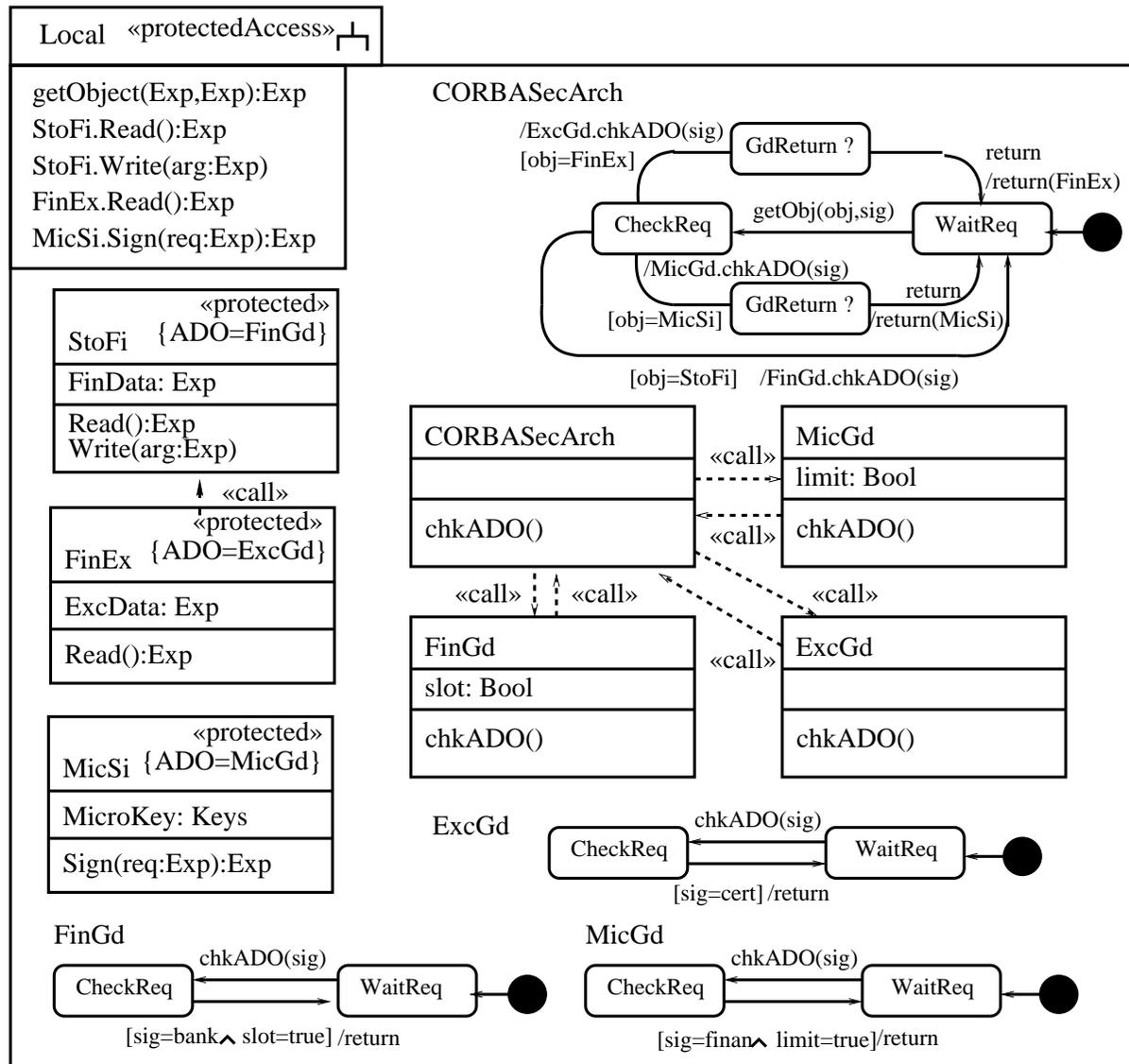
CORBA access control

Object invocation access policy controls access of a client to a certain object via a certain method. Realized by ORB and Security Service.

Use access decision functions to decide whether access permitted. Depends on

- called operation,
- privileges of the principals in whose account the client acts,
- control attributes of the target object.

Example: CORBA access control with UMLsec



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Tool support

Drawing tool (Rational Rose, . . .)

Link via **XMI** (XML Metadata Interchange) to:

Analysis tool (AUTOFOCUS)

- test-sequence generation
- verification
- code generation

Resources

Slides, papers etc.:

<http://www4.in.tum.de/~umlsec>

My homepage:

<http://www.jurjens.de/jan>

Stop.

Thanks for your attention !