

Specifying Security & Safety Requirements & Solutions with the Society of Automotive Engineers' (SAE) Architectural Analysis & Design Language (AADL)



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Goal of Presentation

- ❑ Look at how Society of Automotive Engineers' (SAE) Architectural Analysis & Design Language (AADL) supports
 - Specifying Security & Safety Requirements
 - Defining secure &/or safe architecture
 - Analyzing architecture

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Outline

 Safe & Secure Systems

- Background of SAE AADL
- Overview of AADL Major Features & How they support Safety & Security
- Using Error Model Annex & GSN for Safety or Security
- Example using Error Model Annex and GSN
- Further Work Required
- AADL & its Relationship to SysML
- Summary



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Safety & Security in Embedded, Real-time, Systems

- Most embedded, real-time systems developed in last half of 20th century
 - Safety often an issue
 - Late result or bad result → injury or death
 - e.g.
 - London ambulance project
 - Medical radiation machine
 - Factory controllers
 - Avionics
 - Computer control breaking in auto's
 - Nuclear reactor control



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SAE **Safety & Security in Embedded, Real-time, Systems (cont.)**

❑ For last half of 20th century (cont.)

- Security often handled by physical controls
 - Isolated systems
e.g. Vehicle control
 - Non-networked / isolated bus / shared memory
e.g. Avionics systems
 - Private-network systems
e.g. Air-traffic control systems, networks of automated teller machines

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SAE **Safety & Security in Embedded, Real-time, Systems (cont.)**

❑ Current & future system using internet & wireless networks to satisfy users' growing need for

- Quick access to information to understand "real-time" situation
e.g.
 - Just-in-time production or inventory management
 - Emergency response
 - Battle command
- Remote control of remote systems
e.g.
 - Surveillance
 - Hazardous material cleanup
 - Unmanned vehicles

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Safety & Security in Embedded & Real-time, Systems (cont.)

- Ineffective security threatens safety of
 - Embedded system as evolve into System of Systems
 - e.g.
 - Penetration to gather/change secret data or algorithms
 - Man-in-middle” attack to gather or change information
 - Enemy captures networked vehicle & crew in battle
 - “Denial of Service” attack on emergency control center
 - Financial & commercial systems as use internet to support real-time sales
 - e.g. Denial of Service (DoS) attacks on web-sites



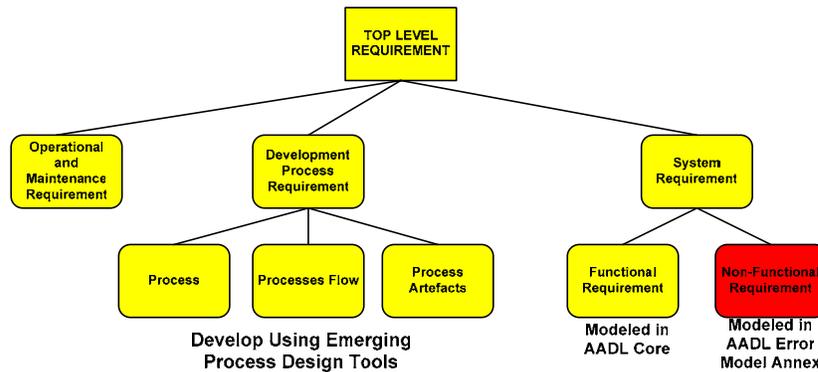
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Effect of High-level Safety & Security Requirements



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Background of Industrial Safety Engineering

- Civil Aviation
 - Safety Engineering dictated by Law for Civil Aviation
 - Title 14, *Code of Federal Regulations (CFR)*
 - *FAA FAR 25.1309* for air transport
 - *Advisory Circular AC 25.1309* provides guidance
 - » Defines list of design principles
 - » Cites *RTCA DO-178B* as acceptable means to assure software compliance
 - *SAE Aerospace Recommended Practice (ARP) 4761*
 - Provides procedures for compliance with AC 25.1309



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Background of Industrial Safety Engineering (cont.)

- Other Industrial Practices
 - European systems governed by *IEC 41508* for functional safety of electrical/electronic equipment
 - Establishes
 - 4 levels of Safety Integrity
 - Means to show compliance with Safety Integrity Levels
 - National laws require development of *Safety Case* to show achievement of safety levels
- Military Standards
 - US DOD Mil Std 882D for ground
 - UK MOD Def Stan 00-52 & 00-55
- *Goal Structured Notation (GSN)*
 - Top-down method to show satisfaction of safety goals



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SAE AC 25.1309 Safety Design Principles

- ❑ Design integrity & quality
 - Ensure intended functions & prevent failures through design
 - Must consider life limits
- ❑ Redundant or backup systems
- ❑ Isolation (independence)
- ❑ Proven reliability
- ❑ Failure warning & indication
- ❑ Checkable
- ❑ Designed failure effect limits
 - Design to sustain damage
- ❑ Designed failure path
 - Limit impact on safety
- ❑ Margins or factors of safety
- ❑ Error tolerance



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SAE Security Engineering Background

- ❑ *Common Criteria (CC)* defines
 - Sets of
 - *Security Function Requirements (SFR)*
 - *Security Assurance Requirements (SAR)*
 - 7 different *Evaluation Assurance Levels (EAL)*
 - 4 major artifacts
 - 3 *Target Of Evaluation (TOE)*
 - System being developed with its administrator & user documentation
 - *Protection Profile (PP)*
 - Defines implementation-independent set of security requirements for TOE
 - *Security Target (ST)*
 - Defines implementation-dependent design of security mechanisms for TOE
 - 4 *package*
 - Set of components that subset of SAR's & SFR's
- ❑ *Common Evaluation Method (CEM)* describes how to evaluate systems using CC
- ❑ GSN can be enabling tool for security also
 - Can use to develop top-down argument to show design satisfies SFR



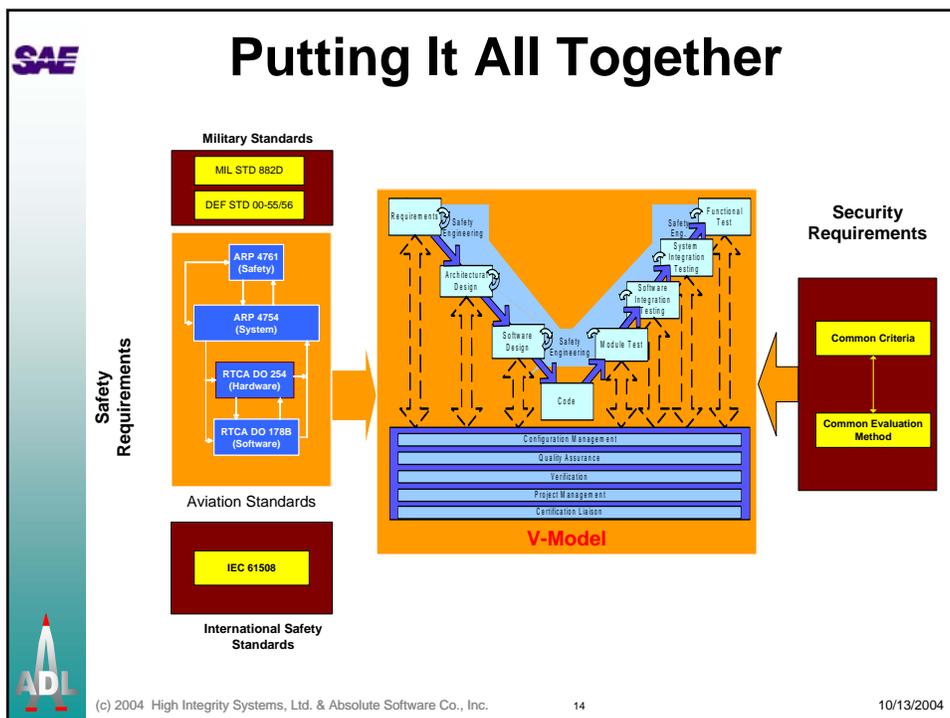
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SAE Importance of Engineering Process for Safe & Secure Systems

- ❑ Very difficult to prove correctness of large & complex software systems
 - Industrial relies on
 - Rigorous processes
 - *Independent verification*
- ❑ Requirements engineering
 - Safety
 - Security
 - Other non-functional
- ❑ “V” design model
 - Core engineering process
 - Integrated with safety & security engineering process



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SAE Architecture Analysis & Design Language (AADL)

- Based on 15 years of DARPA funded research
 - Language definition
 - Evaluation & demonstration projects
- Base standard approved by
 - Working group in July 2004
 - SAE board in October 2004
- Annexes in committee review
 - Graphical Language annex
 - UML profile annex
 - XML annex
 - Error model/dependability annex
- Sponsored by
 - SAE International
 - Avionics Systems Division (ASD)
 - Embedded Systems (AS2)
 - AADL Subcommittee (AS-2C)
- Contact
 - Bruce Lewis AS-2C chair, bruce.a.lewis@us.army.mil
 - <http://www.aadl.info>
 - For Information email to info@aadl.info



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SAE AADL

- Specification of
 - *Predictable systems*
 - Real-time
 - Embedded
 - Fault-tolerant
 - Securely partitioned
 - Dynamically configurable
 - Software task & communication architectures
 - Software bound to distributed, multiple-processor, hardware architectures
- Fields of application
 - Avionics, Automotive, Aerospace, Autonomous systems, Medical, ...



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AADL Evolution from Honeywell's Meta-H

<p>1991 DARPA DSSA Meta-H program begins</p> <p>1992 Partitioned PFP target (Tartan MAR/i960MC)</p> <p>1994 Multi-processor target (VME i960MC)</p> <p>1995 Slack stealing scheduler</p> <p>1998 Portable Ada 95 and POSIX middleware configurations</p> <p>1999 Hybrid automata verification of core middleware modules</p> <p>2000 SAE AADL Standard Working Group Forms</p> <p>2001 (Spring) Requirements document, ARD5296, approved by SAE</p> <p>2004 (July) AADL WG Approves Standard</p> <p>2004 (Oct) SAE Approves Standard</p> <p>2005 (Jan?) Target for AADL WG approval of Annexes & AADL v1.1</p> <p>2007/8 Target for approval of AADL v2.0</p>	<p>1992-present Evaluation and demonstration projects include</p> <ul style="list-style-type: none">– Missile G&C reference architecture, demos, others (AMCOM SED)– Hybrid automata formal verification (AFOSR, Honeywell)– Missile defense (Boeing)– Fighter guidance SW fault tolerance (DARPA, CMU, Lockheed-Martin)– Incremental Upgrade of Legacy Systems (AFRL, Boeing, Honeywell)– Comanche study (AMCOM, Comanche PO, Boeing, Honeywell)– Tactical Mobile Robotics (DARPA, Honeywell, Georgia Tech)– Advanced Intercept Technology CWE (BMDO, MaxTech)– Adaptive Computer Systems (DARPA, Honeywell)– Avionics System Performance Management (AFRL, Honeywell)– Ada Software Integrated Development/Verification (AFRL, Honeywell)– FMS reference architecture (Honeywell)– JSF vehicle control (Honeywell)– IFMU reengineering (Honeywell)
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SAE

Organizations Evaluating or Planning to use AADL

- Airbus New System Engineering Approach incorporates AADL
- ESA Modeling of Satellite Systems, Architecture Verification - ASSERT
- Rockwell Collins Modeling of Avionics Software System
- Lockheed Martin Embedded System Engineering & AADL
- Smith Industries
- Raytheon
- Boeing Apply AADL for systems integration modeling & analysis
- Common Missile NATO/SAE AS1 Weapon System Integration
- System Plug and Play

ADL

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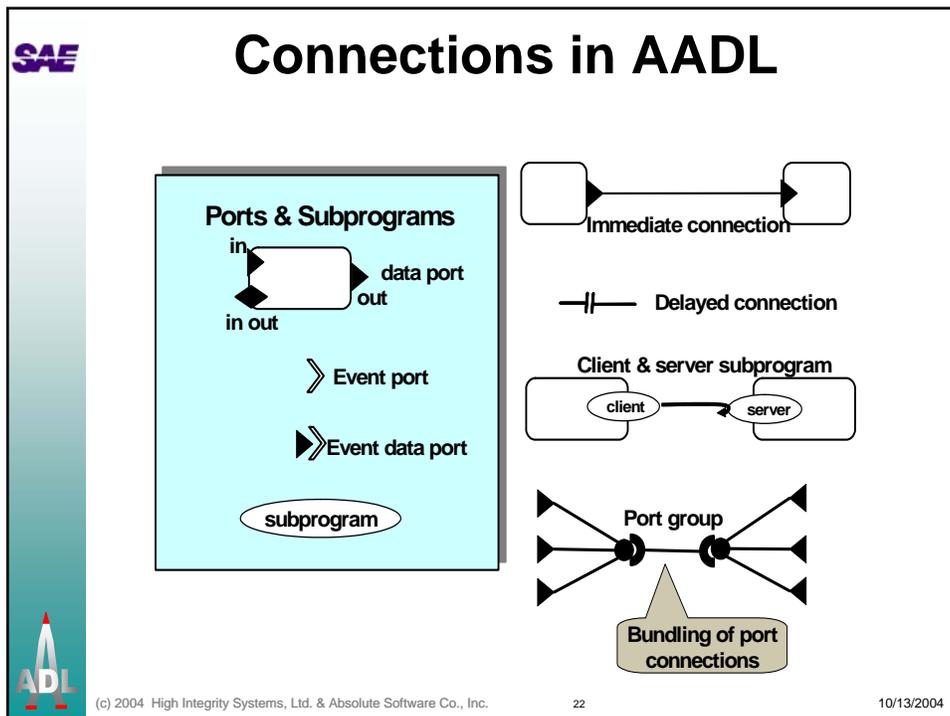
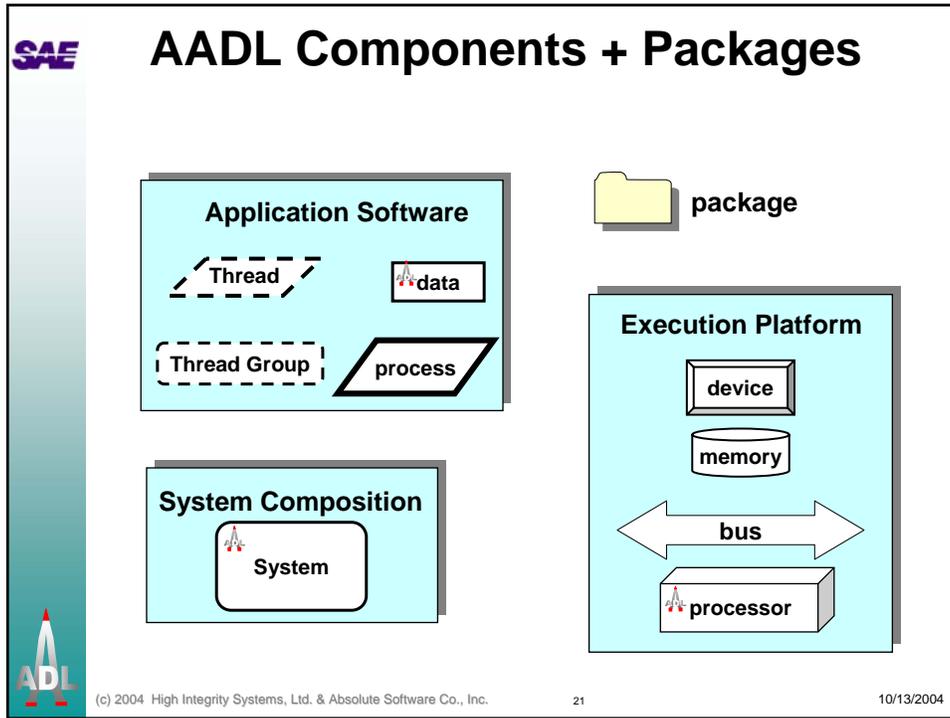
SAE

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ADL

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SAE Graphical & Textual Notation

```

system Data_Acquisition
provides
  speed_data: in data metric_speed;
  GPS_data: in data position_cartesian;
  user_input_data: in data user_input;
  s_control_data: out data state_control;
end Data_Acquisition;
  
```

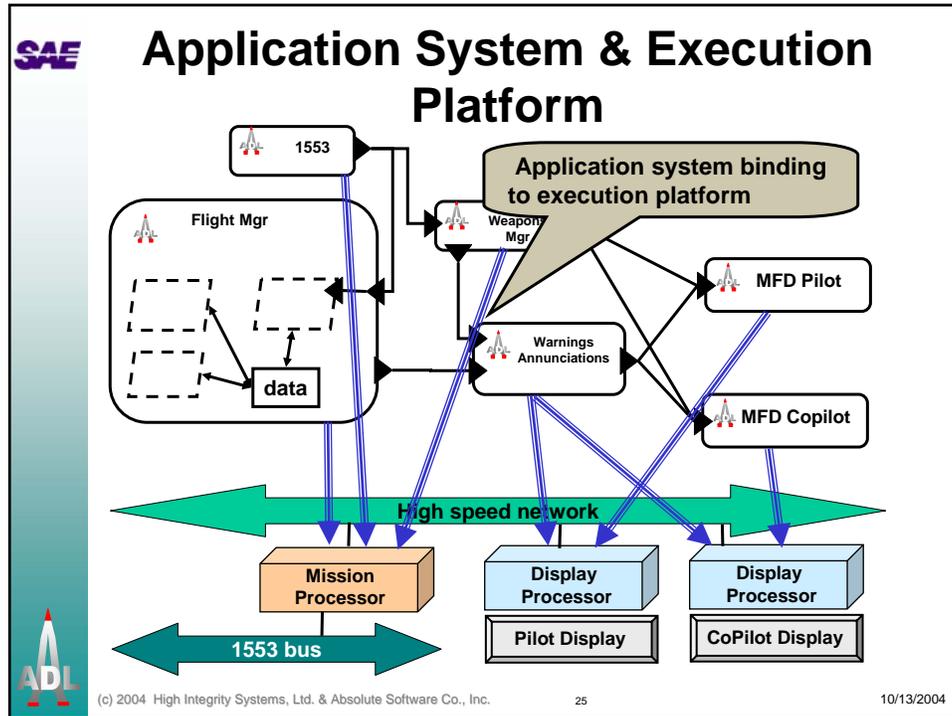
The diagram shows a component named 'Data_Acquisition' with three input ports on the left labeled 'speed_data', 'GPS_data', and 'user input data'. It has one output port on the right labeled 's_control_data'. Callouts point to the 'Data_Acquisition' label as a 'data port' and to the 'position_cartesian' data type as a 'data type of port'.

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SAE AADL Component Interaction

The diagram illustrates the interaction between several components: '1553', 'Flight Mgr', 'Weapons Mgr', 'Warnings Annunciations', 'MFD Pilot', and 'MFD Copilot'. '1553' sends data to 'Flight Mgr'. 'Flight Mgr' contains a sub-component 'data' and interacts with 'Weapons Mgr' and 'Warnings Annunciations'. 'Weapons Mgr' and 'Warnings Annunciations' both send data to 'MFD Pilot' and 'MFD Copilot'. A callout box lists the interaction characteristics: 'Unidirectional data & event flow', 'Synchronous call/return', and 'Managed shared data access'.

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SAE AADL Features & How Supports Safety & Security Analyses

- Provides well-defined semantic foundation for architecture description
 - Facilitates
 - Specification of
 - Target of Evaluation (TOE) Description
 - TOE Security Environment
 - Security Objectives
 - » e.g. Identification of Assets (i.e. Shared data & data ports)
 - IT Security Requirements
 - Rationale required for Protection Profile (PP)
 - Creation of Security Target (ST) artifacts
 - Analyzing whether requirements are met
 - Developing tools for analysis



AADL Error Model Annex

- Optional set of declarations & semantics
 - e.g. new properties of components that support addition analysis techniques
- Specify error models
- Can use to define qualitative & quantitative analysis of non-functional requirements (NFR)
 - e.g.
 - Security
 - Safety,
 - Reliability,
 - Availability, &
 - Maintainability



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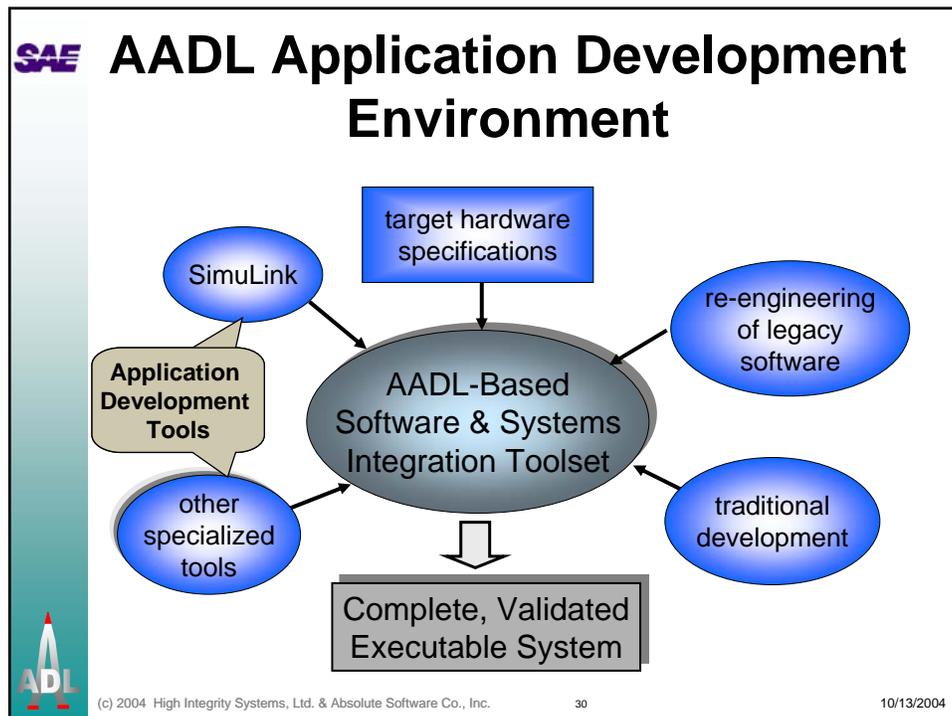
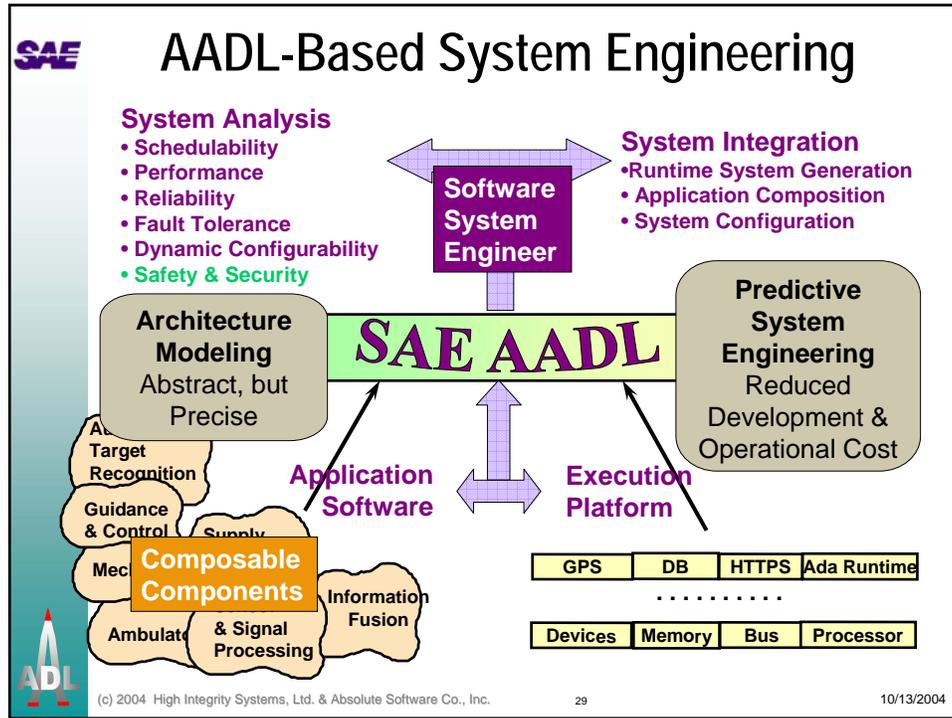


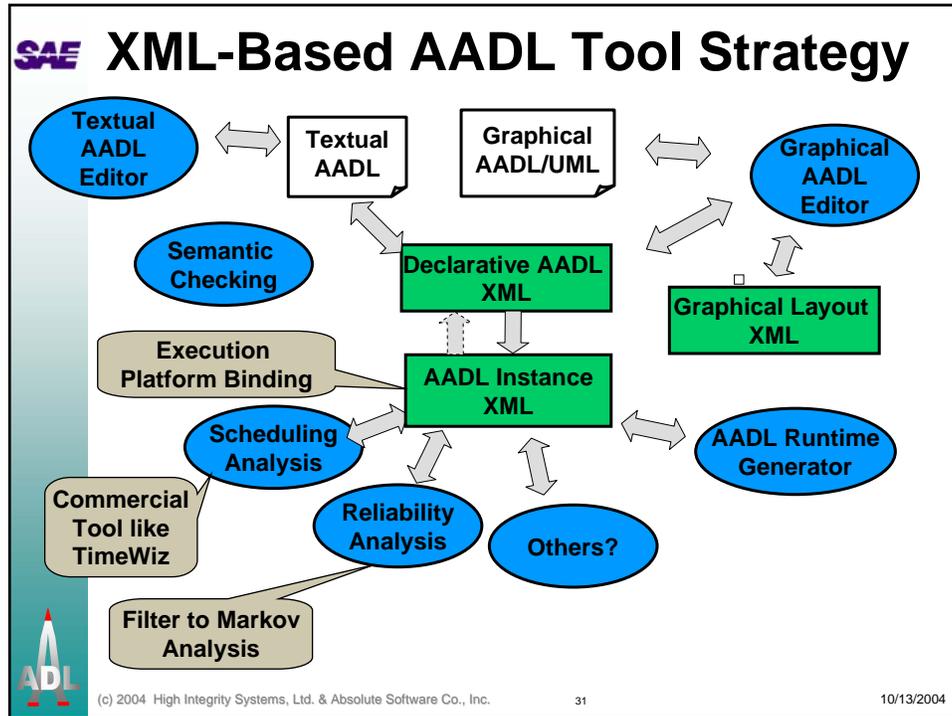
AADL Features & How Supports Safety & Security Analyses (cont.)

- Can extend language
 - Property sets
 - “Annexes”
 - Error model
 - Other models or languages
 - e.g. for formal constraint or specification languages
- Can define safety engineering concepts
 - e.g.
 - MTBF of physical objects
 - Propagation through connections
- Can define security concepts
 - e.g.
 - State propagation to “declassify-immediate”
 - Fail secure attributes model
 - Probability of surreptitious penetration

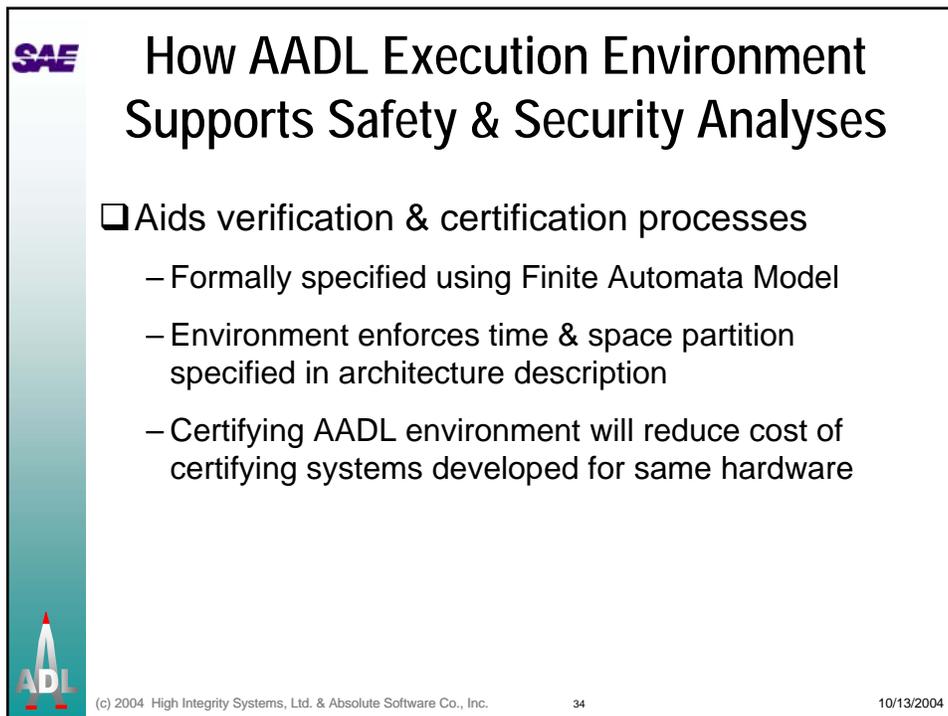
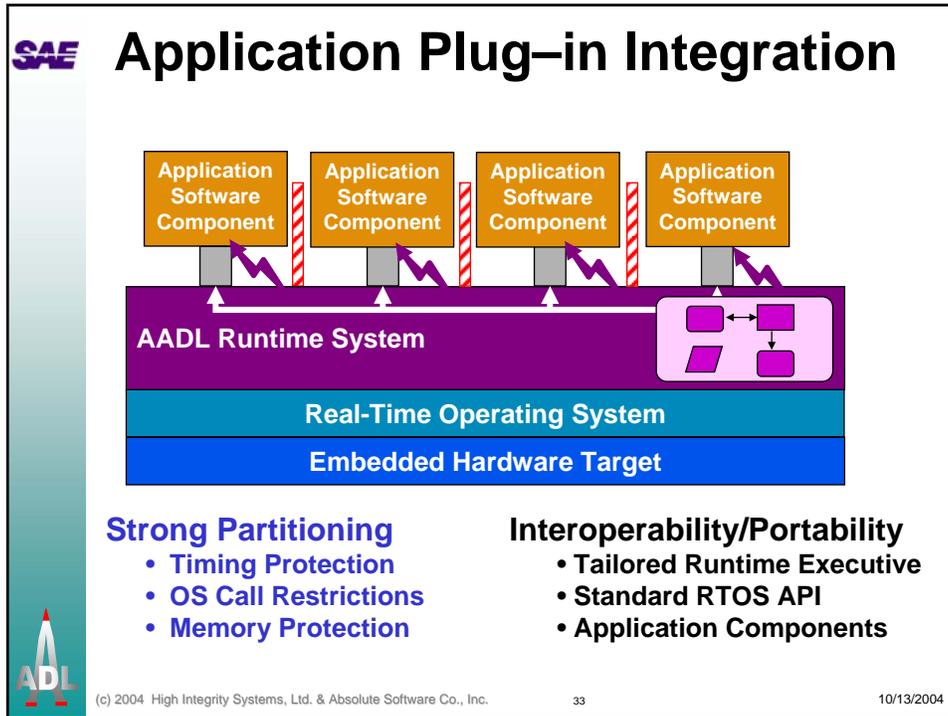


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- ### SAE How AADL Development Environment Supports Safety & Security Analyses
- Aids verification & certification processes
 - Tools can verify that application code in execution image was specified in architecture
 - Reliability analysis tool(s) can verify that code is reliable enough to meet security & safety requirements
 - System generator produces
 - Execution image
 - Execution-platform specific to
 - Run-time environment
 - Application-required facilities
 - » i.e. can eliminate or block access to unused environment services
 - “Glue” code to support communication between components
 - Can “easily” add tool(s) can analyze specific security & safety requirements
- SAE ADL (c) 2004 High Integrity Systems, Ltd. & Absolute Software Co., Inc. 32 10/13/2004



SAE AADL Engineering Paradigm

- ❑ Specify SW & HW architecture using formal semantics
 - Interfaces
 - Properties
- ❑ Analyze system concerns early
 - e.g. Safety &/or security
- ❑ Eliminate errors thru automatic generation & integration
- ❑ Verify compliance of source code, middleware behavior, environment, platform
- ❑ Model & analyze throughout product life cycle
 - e.g. when refine models & components

```
graph TD; A[discipline-specific design notations, editing & visualization tools] --> B[Rigorous/formal modeling & analysis methods & tools]; A --> C[implementation methods and tools]; B -- verification --> C; C -- code generation --> D[ ]; B -- design feed-back --> A;
```

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SAE How AADL Engineering Paradigm Supports Safety & Security Analyses

- ❑ Easier to
 - Keep architecture & implementation consistent
 - Auto generation & integration
 - Verification of consistent
 - Re-analyze changes to architecture
 - Add new analysis techniques
- ❑ Because easier to maintain architecture & consistency with implementation
 - More likely to be done

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Tram Example

- Model Problem
 - Automated Tram System running on tram way
- Next Slides show
 - Partial model of tram system using basic AADL
 - AADL Model of Tram Navigation System
 - has 3 redundant sensors, a bus for communication & health monitor.
 - Use of Error Model annex to augment base model with non-functional safety properties
 - The use of the AADL Error Model Annex
 - Errors propagating
 - Sensor Failures
 - Detecting fault which causes stop of tram



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AADL Model (Sensors)

```
device type GPSSensor
features
  controller_sensor: requires bus access CommunicationBus;
  VoteOut : out event port;
  VoteIn : in event port;
end GPSSensor;

device implementation GPSSensor.type1
end GPSSensor.type1;

device implementation GPSSensor.type2
end GPSSensor.type2;

device implementation GPSSensor.type3
end GPSSensor.type3;
```



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AADL Model (bus + monitor)

```
bus CommunicationBus
end CommunicationBus;

bus implementation CommunicationBus.Type1
end CommunicationBus.Type1;

processor type health_monitor
features
  controller_sensor: requires bus access CommunicationBus;
  VoteOut : out event port;
  VoteIn : in event port;
  StopNow: out event port;
end health_monitor;

processor implementation health_monitor.type1
end health_monitor.type1;
```



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 **AADL Model (Safety)**

```
system type navigation.type1
subcomponents
  sens1: device GPSSensor.type1;
  sens2: device GPSSensor.type2;
  sens3: device GPSSensor.type3;
  bus1: bus CommunicationBus.type1;
  monitor: processor health_monitor.type1;
connections
  conn1: bus access bus1 -> sens1.controller_sensor in modes (ALL_Working,
sens2_Failed, sens3_Failed);
  conn2: bus access bus1 -> sens2.controller_sensor in modes (ALL_Working,
sens1_Failed, sens3_Failed);
  conn3: bus access bus1 -> sens3.controller_sensor in modes (ALL_Working,
sens1_Failed, sens2_Failed);
  conn4: bus access bus1 -> monitor.controller_sensor in modes (ALL_Working,
sens1_Failed, sens2_Failed, sens3_Failed);
```

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 **AADL Model (Safety)**

```
modes
  All_Working: initial mode;
  sens1_Failed: mode;
  sens2_Failed: mode;
  sens3_Failed: mode;
  bus1_Failed: mode;
  Fail_Stop: mode;
  All_Working -[sens1.VoteOut]-> sens1_Failed;
  All_Working -[sens2.VoteOut]-> sens2_Failed;
  All_Working -[sens3.VoteOut]-> sens3_Failed;
  sens1_Failed -[monitor.StopNow]-> Fail_Stop;
  sens2_Failed -[monitor.StopNow]-> Fail_Stop;
  sens3_Failed -[monitor.StopNow]-> Fail_Stop;
end navigation.type1;
```

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 **AADL Model (Safety) – Error Annex**

```
{**  
error model BasicErrors  
features  
  All_Working, initial error state;  
  sens1_Failed in out error state;  
  sens2_Failed in out error state;  
  sens3_Failed in out error state;  
  bus1_Failed in out error state;  
  Fail_Stop in out error state;  
end BasicErrors;
```

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 **AADL Model (Safety) – Error Annex**

```
error model implementation BasicErrors.Implementation1  
features  
  WrongDataReading: fault event;  
  Byzantine: fault event;  
  MonitorStopNow: fault event;  
modes  
  All_Working -[WrongDataReading, in sens1_Failed]-> sens1_Failed;  
  All_Working -[WrongDataReading, in sens2_Failed]-> sens2_Failed;  
  All_Working -[WrongDataReading, in sens3_Failed]-> sens3_Failed;  
  All_Working -[WrongDataReading, in bus1_Failed]-> bus1_Failed;
```

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AADL Model (Safety) – Error Annex

```
All_Working -[Byzantine, in sens1_Failed]-> sens1_Failed;  
All_Working -[Byzantine, in sens2_Failed]-> sens2_Failed;  
All_Working -[Byzantine, in sens3_Failed]-> sens3_Failed;  
All_Working -[Byzantine, in bus1_Failed]-> bus1_Failed;
```

```
sens1_Failed -[MonitorStopNow]-> Fail_Stop;  
sens2_Failed -[MonitorStopNow]-> Fail_Stop;  
sens3_Failed -[MonitorStopNow]-> Fail_Stop;  
bus1_Failed -[MonitorStopNow]-> Fail_Stop;
```

properties

```
WrongDataReading.Probability => poisson 10E-5;  
Byzantine.Probability => poisson 10E-10;  
end BasicErrors.Implementation1;
```



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AADL Model (Safety) Use of Error Annex

```
system type navigation.type1
```

subcomponents

```
sens1: device GPSSensor.type1;  
sens2: device GPSSensor.type2;  
sens3: device GPSSensor.type3;  
bus1: bus CommunicationBus.type1;  
monitor: processor health_monitor.type1;
```



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AADL Model (Safety)

Use of Error Annex (cont.)

connections

```

conn1: bus access bus1 -> sens1.controller_sensor in modes
(All_Working, sens2_Failed, sens3_Failed);

conn2: bus access bus1 -> sens2.controller_sensor in modes
(All_Working, sens1_Failed, sens3_Failed);

conn3: bus access bus1 -> sens3.controller_sensor in modes
(All_Working, sens1_Failed, sens2_Failed);

conn4: bus access bus1 -> monitor.controller_sensor in modes
(All_Working, sens1_Failed, sens2_Failed, sens3_Failed);

```

annex error {**

```

Model => BasicError.Implementation1;
**}
end navigation.type1;

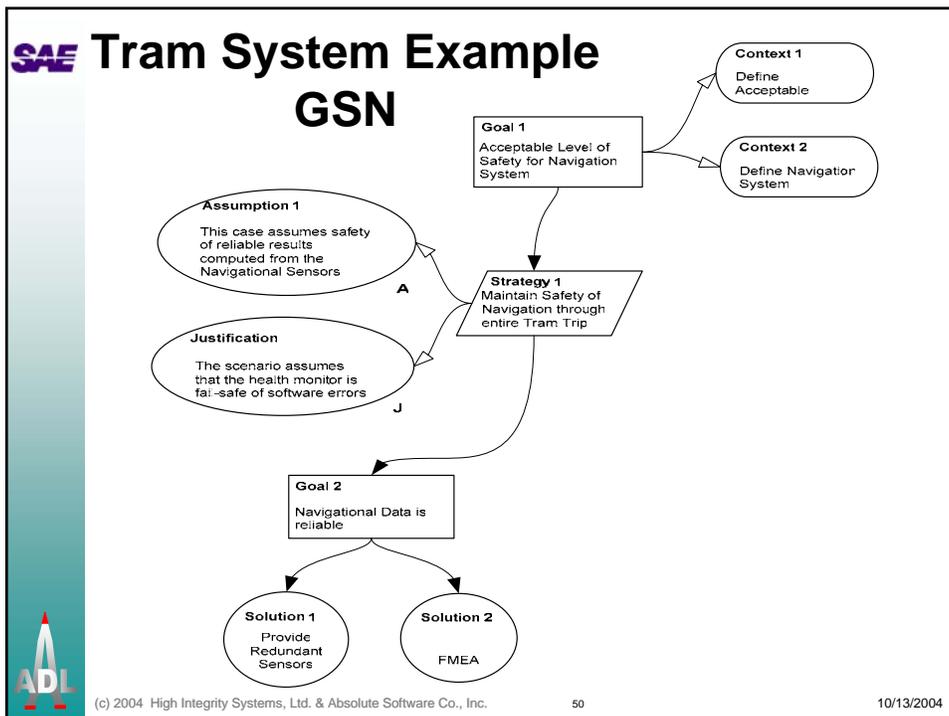
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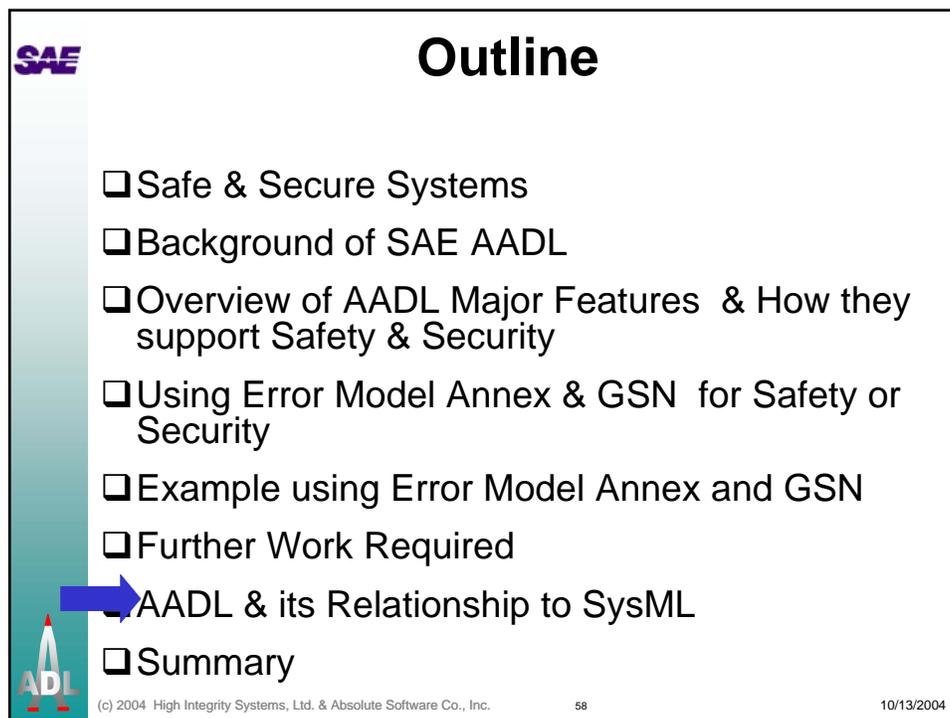
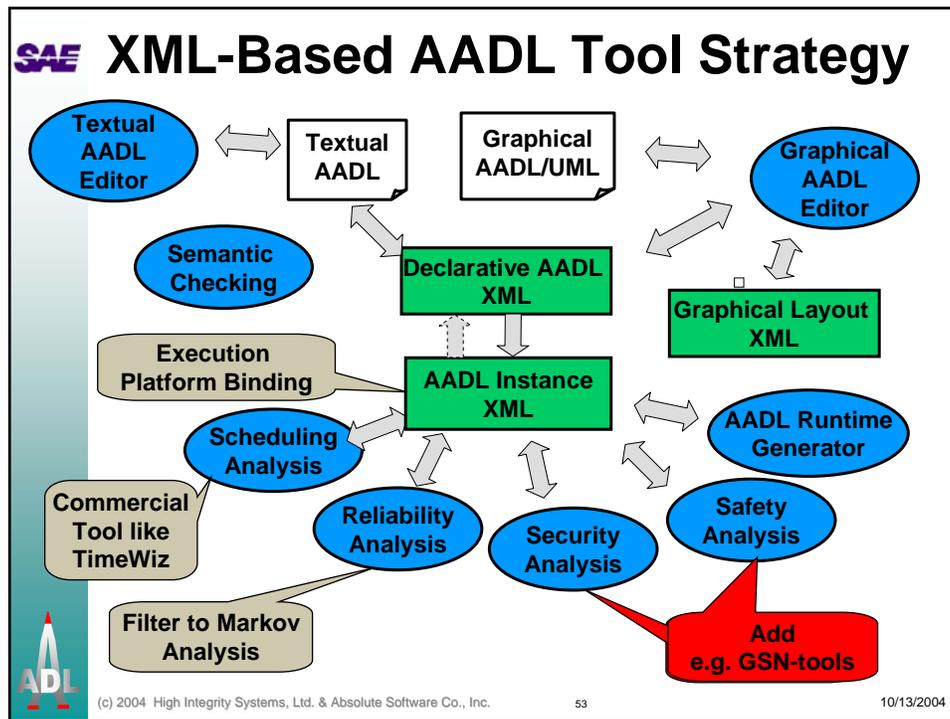


Work Needed for AADL to Fully Support Safety & Security

- Use proposed AADL Error Annex to fully define properties, features, & models for
 - Safety
 - Security
- Integrate safety & security tools in to AADL tool environment
 - Read/Write AADL-XML schema
- Augment existing AADL tools to display & edit security & safety characteristics?



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AADL & SysML

❑ SAE Architecture, Analysis & Design Language (AADL)

- UML profile under review
- Developed from top down to support system-level designs
- Specification, architecture design, analysis
 - task & communication
- Focused on
 - Predictable systems
 - Dynamically reconfigurable multi-processor system
- Standard approved by SAE

❑ OMG Systems Modeling Language (SML) is

- Extension of UML 2.0
- Supports Systems Engineering
- Extensions include:
 - Assembly Diagram
 - Activity Diagram
 - Allocations
 - Requirements Diagram
 - Parametric Diagram
 - Other Extensions
- Draft Standard in OMG review



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SysML Diagrams

```

graph TD
    SysML[SysML Diagram] --> Structure[Structure Diagram]
    SysML --> Parametric[Parametric Diagram]
    SysML --> Requirements[Requirements Diagram]
    SysML --> Behavior[Behavior Diagram]
    
    Structure --> Class[Class Diagram]
    Structure --> Assembly[Assembly Diagram]
    
    Parametric --> Activity[Activity Diagram]
    
    Activity --> IO[Interaction Overview Diagram]
    
    Behavior --> Sequence[Sequence Diagram]
    Behavior --> Timing[Timing Diagram]
    Behavior --> UseCase[Use Case Diagram]
    Behavior --> StateMachine[State Machine Diagram]
    
    style Parametric fill:#ffff00
    style Requirements fill:#ffff00
    style Activity fill:#cccccc
    style IO fill:#cccccc
  
```

■ Modified from UML 2

■ New Diagram



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Outline

- Safe & Secure Systems
- Background of SAE AADL
- Overview of AADL Major Features & How they support Safety & Security
- Using Error Model Annex & GSN for Safety or Security
- Example using Error Model Annex and GSN
- Further Work Required
- AADL & its Relationship to SysML

 Summary



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SAE AADL Summary

- AADL is Architecture Description Language & tools for predictable systems
 - e.g. time, reliability, fault-tolerance
 - Based on 15 years of DARPA research
- AADL provides means to:
 - Specify software & hardware architecture
 - Incrementally develop from prototype to specification
 - Analyze architecture rigorously
 - Implement final system
 - Integrating components with hardware & automatically generated system executive & glue code
 - Evolve system rapidly
 - Within development
 - Across lifecycle



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Value of AADL-Based Development

- ❑ Early prediction & verification (tool-supported)
 - Currently
 - Performance
 - Reliability
 - Fault-tolerance
- ❑ Component compliance verification (tool-supported)
 - Currently
 - Functional interface
 - Resource requirements
- ❑ System integration & verification (tool-supported)
 - Workstation testing
 - System performance



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How AADL Supports Safety & Security Analyses

- ❑ Provides well-defined semantic foundation for architecture description
 - Facilitates
 - Specification of
 - Target of Evaluation (TOE) Description
 - TOE Security Environment
 - Security Objectives
 - » e.g. Identification of Assets (i.e. Shared data & data ports)
 - IT Security Requirements
 - Rationale required for Protection Profile (PP)
 - Creation of Security Target (ST) artifacts
 - Analyzing whether requirements are met
 - Developing tools for analysis



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How AADL Supports Safety & Security Analyses (cont.)

- Proposed execution environment & toolset will aid verification & certification processes
 - Execution semantics formally specialized using Finite Automata Model
 - Environment enforces time & space partition specified in architecture description
 - System generator produces
 - Execution image
 - Execution-platform specific to
 - Run-time environment
 - Application-required facilities
 - » i.e. can eliminate or block access to unused environment services
 - “Glue” code to support communication between components



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How AADL Supports Safety & Security Analyses (cont.)

- Proposed execution environment & toolset will aid verification & certification processes (cont.)
 - Certifying AADL environment will reduce cost of certifying systems developed for same hardware
 - Tools can verify that application code in execution image was specified in architecture
 - Reliability analysis tool(s) can verify that code is reliable enough to meet security & safety requirements



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How AADL Supports Safety & Security Analyses (cont.)

- If features are need to support security or safety analysis
 - Can extend language
 - Properties
 - “Annexes”
 - e.g. for formal constraint or specification languages
 - Can define new tools



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Conclusion

- Model-based system engineering benefits
 - Predictable runtime characteristics addressed early & throughout life cycle
 - Greatly reduces integration & maintenance effort
- Benefits of AADL as SAE standard
 - AADL as standard provides confidence in
 - Language stability,
 - Broad adoption,
 - Common Definitions,
 - Strong tool support
 - Extensible for safety & security



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