OpenCDS: an Open-Source, Standards-Based, Service-Oriented Framework for Scalable CDS

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Presentation Overview

Background

 Clinical decision support (CDS) - definition, examples, evidence of effectiveness

Problem

Need for scalable CDS

Potential Solution

Standards-based, open-source CDS services

OpenCDS

Discussion

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Clinical Decision Support (CDS)

■ The act of providing clinicians, patients and other healthcare stakeholders with pertinent knowledge and/or person-specific information, intelligently filtered or presented at appropriate times, to enhance health and health care (Osheroff, *J Am Med Inform Assoc*, 2007)

Example Disease Management Reminders

https://clinapp6.d	nt Summary for		- Microsoft Internet Explorer										
	Problems Medications			Medications From Notes	Vitals	Cautions	Disease	e Mgmt.	Print		Send Feedback	Close	
All Health Maintenance Diabetes*			Hypertensio	on*									
Re-Evaluate	-Evaluate Input Observations			Last evaluated Mon Jan 12 21:09:31 EST 2009									
▼ Diabetes Remove from									Remove from Dia	betes List			
Focus	Status	Re	Relevant Data			Last Done	Guidelines						
Height	Not Due		Height: 154.9cm (61.0in)			12/15/08(age	61y 3m)	21+yo: once after age 21					
Weight/BMI	DUE NOW		Weight: 77.1kg (170.0lb) BMI: 32.1			01/08/09 (0m		21+yo: q visit. Goal: BMI <25					
B.P.	DUE NOW		BP: 120/69 mm Hg Patient has diabetes or GFR <60			01/08/09 (0m 4d ago)		18+yo: annual; if diabetic or HTN q visit. Goal <140/90, 130/80 if diabetic or GFR <60.					
Alcohol Screen	Not Due Abstain			iins					10+yo: check alcohol use yearly (excessive: males >2/d, females >1/d)				
Visual Foot Exam	DUE NOW					01/08/09 (0m	4d ago)	q visit					
Foot Monofilament	Not Due					01/08/09 (0m	4d ago)	annual					
HgbA1C	Not Due		HgbA1C: 6.2%			01/08/09 (0m	4d ago)	21+yo: q6mo if <7%, q3mo if >= 7%. Ga			al: <7%.		
Urine Micro alb/cr	Not Due alb,		alb/cr ratio: * mg/g			10/08/08 (3m	10+yo: annual						
Total Chol.	Not Due		Total-C: 151 mg/dL			12/15/08 (0m	annual, goal <200						
LDL Chol.	Not Due		LDL-C: 94 mg/dL			12/15/08 (0m 28d ago) annual, goal <10			oal <100	10			
Eye Exam	DUE NOW Intervention Reason: Scho				d but not delivered on 01/08/09.		10+yo: annual						
Flu Vacc.	CONSIDE	IDER				>2y ago	annual, unless egg allergic						
Pneum. Vacc.	Not due				01/01/06 (3y 0m ago)		once; revacc if $>=65$ and last $5+$ yrs ago when				when <6		
ASA (81 mg)	Not Due	Je Not known to be allergic to aspirin Aspirin listed as prescribed					40+yo: no contraindications						
Education	Not Due	Not Due Completed				01/08/09 (0m	4d ago)	once; repeat annually if HgbA1C >=7%					

Source: Duke University Health System. Lobach DF, Kawamoto K, et al. Medinfo. 2007;861-5.

Example Care Quality Reporting



Clinic Summary Patients

Chronic Disease Population Management

Clinic Group: DPC Clinic: DPC-Creedmoor Rd PCP:

Condition: Diabetes

Guidelines

		1	A1c		Aspirin Therapy	BP	Eye Exam	Flu Vaccine	Foot Monofilament Exam		LDL	Urine Micro alb/cr	Weight
Patient Name	<7	>9	Done	Done 2X	Prescribed	<130/80	Done	Done	Done	Done	LDL < 100	Done	BMI < 25
XXXXXXXXX XXXXXX	No	Yes	Yes	Yes	No	Yes	No	No	No	Yes	Yes	No	No
XXXXXXXXX XXXXXX	No	Yes	Yes	Yes	No	No	Yes	Yes	Yes	Yes	Yes	No	No
XXXXXXXXX, XXXXXX	Yes	No	Yes	Yes	No	No	No	Yes	No	Yes	Yes	No	No
xxxxxxxx, xxxxx	Yes	No	Yes	Yes	No	No	No	No	No	No	No	Yes	No
xxxxxxxx, xxxxx	No	No	Yes	No	No	No	No	No	No	Yes	No	No	No
XXXXXXXXX, XXXXXX	No	No	Yes	Yes	No	No	No	No	No	Yes	Yes	No	No
XXXXXXXXX, XXXXXX	No	Yes	Yes	No	No	No	No	No	No	Yes	Yes	No	No
XXXXXXXXX, XXXXXX	No	Yes	Yes	Yes	No	No	No	Yes	No	Yes	Yes	No	No
XXXXXXXXX, XXXXXX	Yes	No	Yes	Yes	No	No	No	No	No	Yes	Yes	No	No
XXXXXXXXX, XXXXXX	Yes	No	Yes	Yes	No	No	No	No	No	Yes	Yes	No	No
XXXXXXXXX, XXXXXX	No	Yes	Yes	Yes	No	No	No	No	No	Yes	Yes	No	No
XXXXXXXXX, XXXXXX	No	Yes	No	No	No	No	No	No	No	No	No	No	No
XXXXXXXXX, XXXXXX	No	Yes	Yes	Yes	No	Yes	Yes	No	No	Yes	No	Yes	No
XXXXXXXXX XXXXXX	Yes	No	Yes	Yes	No	No	No	No	No	Yes	Yes	No	No
XXXXXXXXX XXXXXX	Yes	No	Yes	No	No	No	No	No	No	No	No	No	No
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	Yes	No	Yes	Yes	Yes	No	No	No	Yes	Yes	Yes	No	No

Source: Duke University Health System.

Example Care Manager Alert

COACH Alerts for Ms. Jenny Rawlings

Document ID: 24 08/08/05 (Mon)

If you have any questions or concerns, please contact Ken Kawamoto, M.D.-Ph.D. candidate, Duke University (kawam001@mc.duke.edu: 919-684-2340).

Patients requiring attention (highest priority patients listed first):

1. (COACH lin	k). 23 yr. old Caucasian female, DOB 82.	
Medicaid #:	Duke MRN:	Priority: 23.0
, Durham	, NC 27	Home #: 919-

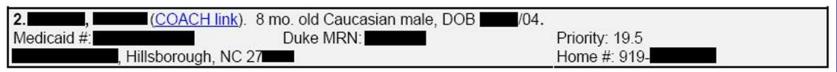
ED visits that may require follow-up:

□ 3+ ED visits in 90 days, most recent in past month: The patient was seen at the Duke Hospital ED on 7/9/05. This visit was at least the 3rd ED visit in 90 days. Including this visit, the patient has had 18 ED visits in the past 6 months.

General preventive care needs:

□ DUE NOW - Chlamydia test: Sexually active women between the ages of 16 and 26 should be tested for Chlamydia once every year. We have no record of the patient having received a Chlamydia test in the past 2 years.

□ DUE NOW - Pap smear: Women between the ages of 21 and 64 should have a Pap smear at least once every 3 years to screen for cervical cancer. We have no record of the patient having received a Pap smear in the past 3 years.



ED visits that may require follow-up:

Low-severity ED visit in past month: The patient appears to have had a low-severity ED visit at the Duke Hospital ED on 7/19/05. The ED visit was deemed to be low-severity because none of the diagnoses made during the visit appeared to be indicative of a true emergency. Including this visit, the patient has had 3 low-severity ED

Source: NC Medicaid. Lobach DF, Kawamoto K, et al. AMIA Annu Symp Proc. 2007;473-7.

Example Patient Reminder Letter

Durham Community Health Network



Lincoln Community Health Center – Duke University Medical Center – Durham County Department of Social Services Durham County Health Department – Durham Pediatrics – Regional Pediatric Associates – Central Family Practice

August 9, 2005

To the parents of Jane Doe,

We are sending you this letter to address your child's health care needs. Based on our records, it appears your child may be due for the following services:

Diabetes services that may be due:

- ☐ Hemoglobin A1c test: This test is recommended every 6 months for patients with diabetes.
- ☐ Cholesterol test: This test is recommended every 12 months for patients with diabetes.
- ☐ Urine protein test: This test is recommended every 12 months for patients with diabetes.

Please call our office at (919) 477-2202 to schedule an appointment, so that the doctor can check to see if your child is in need of these services. Also, please bring this letter with you to the appointment and show it to the doctor. We look forward to seeing you soon!

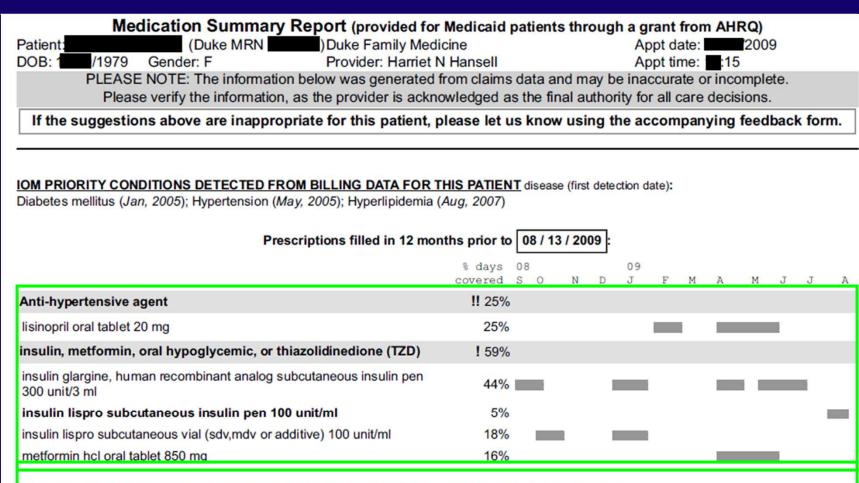
Sincerely,

Your Care Team

Your Care Team Regional Pediatric Associates A Member of the Durham Community Health Network

Source: NC Medicaid. Lobach DF, Kawamoto K, et al. Proc / AMIA Annual Symp. 2007;473-7.

Example Medication Management Report



EVIDENCE-BASED MEDICATION MANAGEMENT SUGGESTIONS FOR IOM PRIORITY CONDITIONS:

 Consider prescribing a Lipid-lowering drug unless contraindicated. For example, pregnancy, LDL < 100 mg/dL, or other contraindications.

Indications that apply specifically for this patient:

- age betwen 18 and 40
- · diabetes mellitus
- hyperlipidemia

Source: Del Fiol G, Kawamoto K, et al. AMIA Proceedings, 2010.

Evidence of CDS Effectiveness

Evidence from systematic reviews

- Actionable, computer-generated CDS provided automatically at the point of care significantly improved care quality in >90% of RCTs (Kawamoto, BMJ, 2005)
- CDS generally more effective than other QI approaches including CME, audit and feedback, EBM guideline creation & dissemination, & financial incentives

Examples of effectiveness

- 86% reduction in serious medication errors at Brigham and Women's Hospital (Bates, 1999)
- 93.8% compliance with NCEP guidelines vs. 35.2% compliance in academic cardiology clinic (Stamos, 2001)

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Potential Solution

- Standards-based, open-source CDS services
- OpenCDS
- Discussion

The Problem

- Despite demonstrated effectiveness, CDS is not widely available
- The lack of CDS availability is due in part to the tight coupling of CDS capabilities with specific institutions and health IT systems

The Need

Application-independent CDS resources that can be efficiently leveraged by diverse healthcare systems and health IT settings to improve patient health

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Rationale for Open, Standards-Based CDS Services

Why CDS services?

- Encapsulates knowledge in highly reusable components
- Supports multiple knowledge representation approaches
- Validated by several groups
 - SEBASTIAN
 - DOD DDSS-KMR
 - Partners ECRS / CDS Consortium

Why standards-based?

To enable interoperability and scalability

Why open source?

To foster adoption and collaboration

CDS Services – Architectural Overview



HL7/OMG Decision Support Service Standard

(http://hssp-dss.wikispaces.com)

Patient of knowledge mod

Standard Data Models:

HL7 Virtual Medical Record (vMR) Standard

[http://wiki.hl7. org/index.php?title= Virtual_Medical_Record_(vMR)]

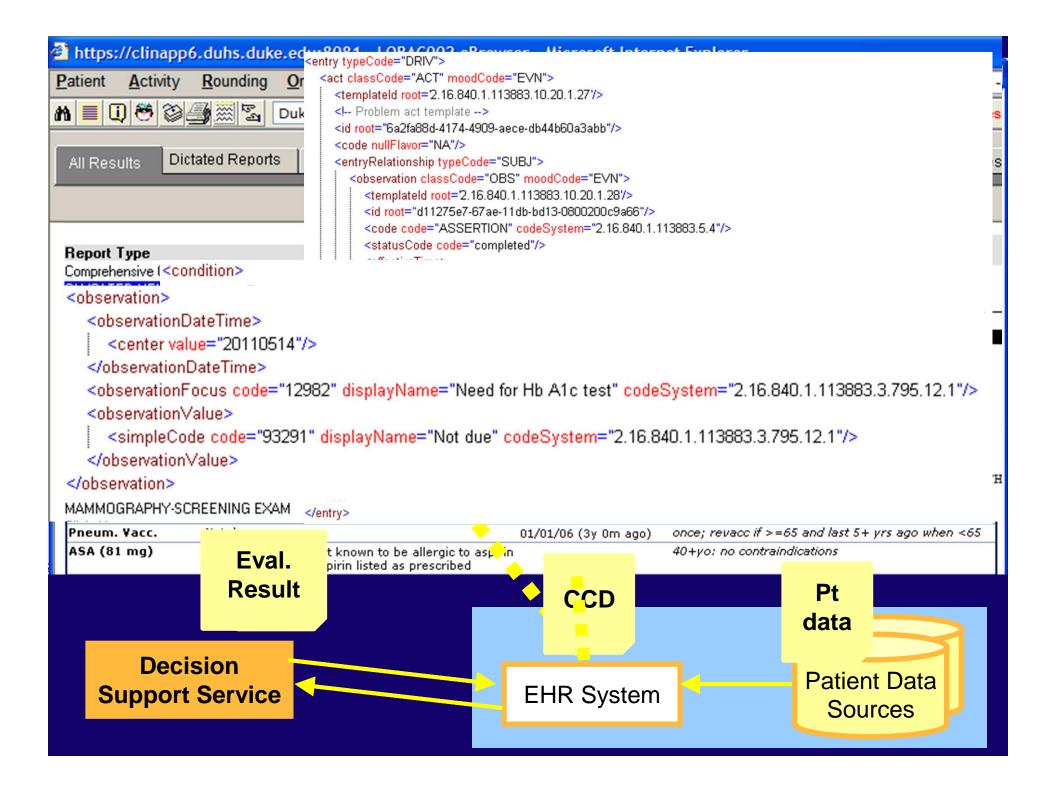
Conclusions

Decision Support Service

Knowledge Modules Client Decision Support Apps Queries for required pt data

Patient Data Sources

Institution B



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Goal

 Facilitate widespread availability of advanced CDS capabilities through open-source, collaborative development of standards-based DSS infrastructure, tooling, and high-value services

Methods

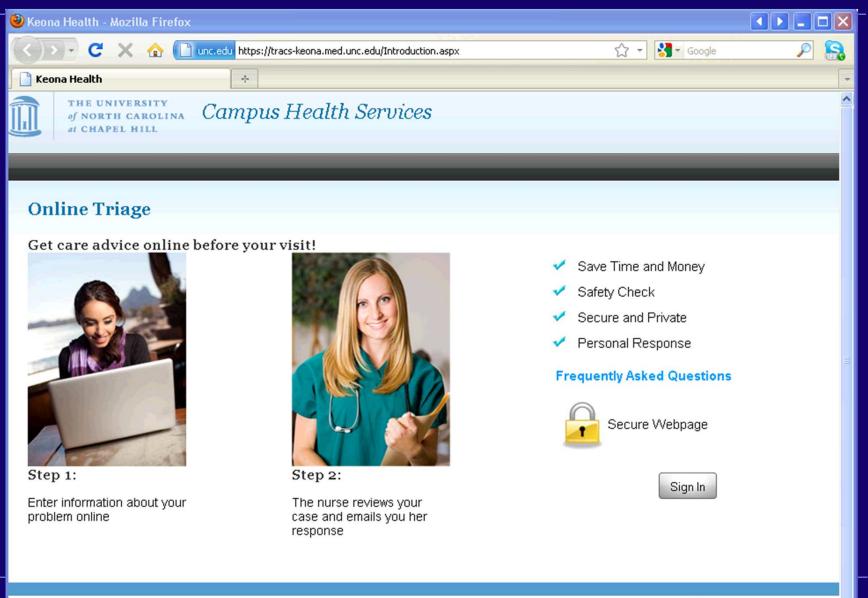
- Contribute through Open Health Tools
- Leverage open-source JBoss Drools rules engine
- Use modular architecture, enable iterative refinement, support multiple knowledge represent. approaches
- Develop all components required to author, test, and operationally support standards-compliant DSSs

Current OpenCDS Collaborators

- University of Utah
- HLN Consulting
- Veterans Health Admin.
- Intermountain Healthcare
- Univ. of NC at Chapel Hill
- Main Line Health
- Apelon, Inc.
- Keona Health
- Mass. General Hospital
- EBSCO
- Religent, Inc.
- IsoDynamic, Inc.

- Hospital UniversitarioVirgen del Rocío, Spain
- MaRS Innovation, Canada
- SmartCare, Africa
- Emetra AS, Norway
- Visumpoint, LLC
- Genesys, LLC
- Df8health
- Under active discussions with several other organizations and individuals

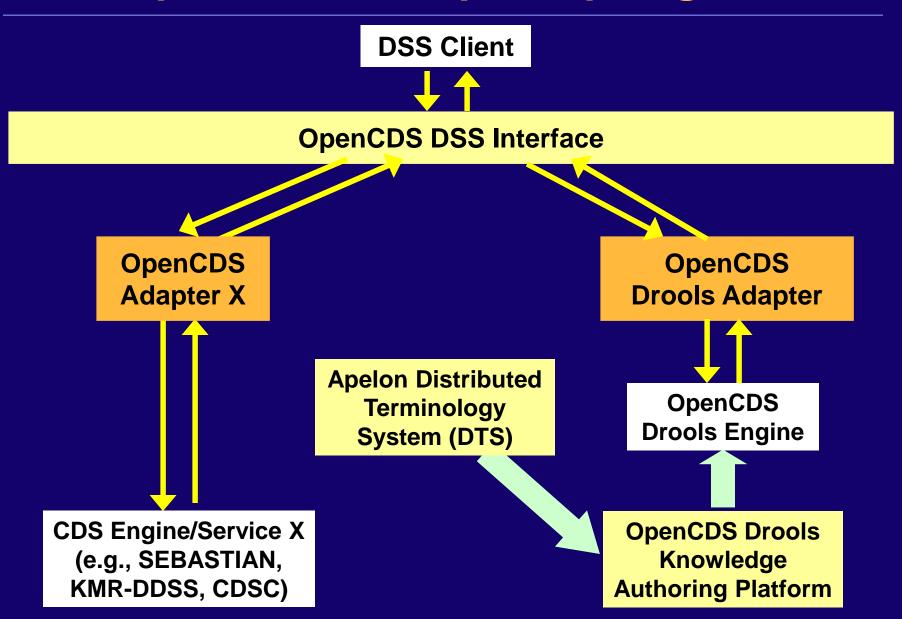
Operational Deployment – Online Triage



Key Components

- Standard interfaces and data models
 - Reference implementation of HL7/OMG DSS interface
 - vMR data model
 - Data mappers (e.g., for CCD → vMR)
- Reference DSS knowledge management framework
 - JBoss Drools and associated authoring/knowledge management tools
 - Full-featured terminology support
 - A "domain specific language" for intuitive knowledge authoring
 - Knowledge repository and knowledge sharing service
- DSS "wrappers" for other CDS engines

OpenCDS – Sample Topologies



OpenCDS – Tour and Demo



OpenCDS DSS Interface

Apelon Distributed
Terminology
System (DTS)

OpenCDS Drools Adapter

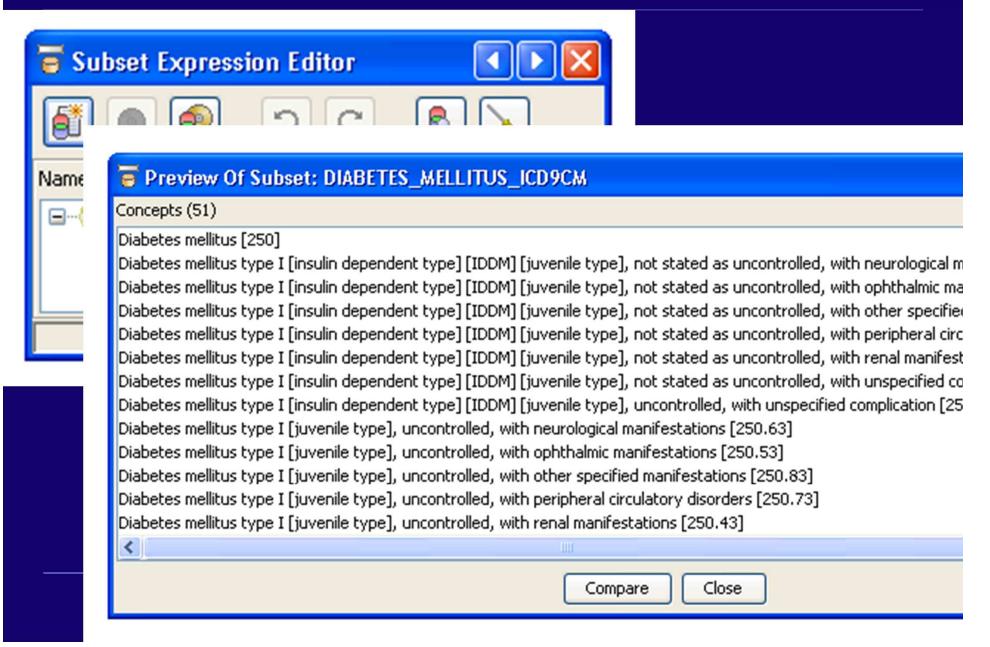
OpenCDS Drools Engine

OpenCDS Drools
Knowledge
Authoring Platform

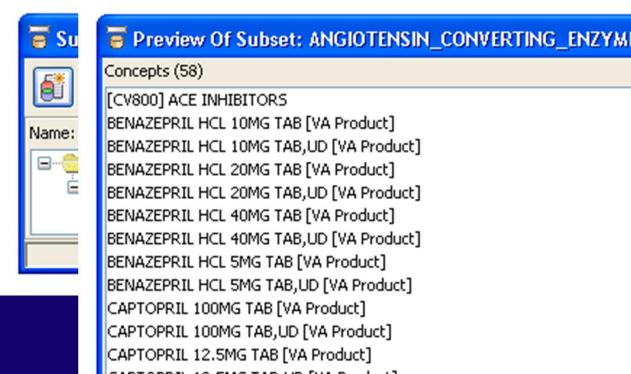
Terminology Management

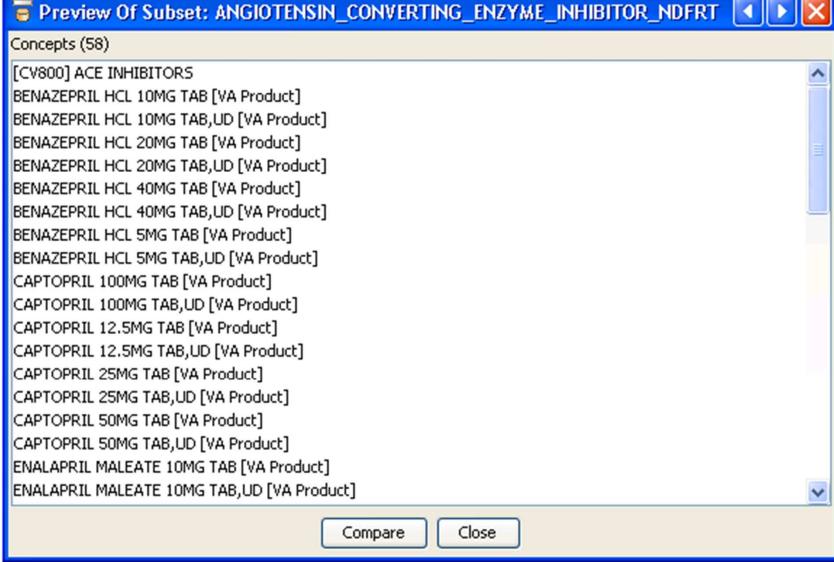
- External codes converted into internal OpenCDS concept(s) using terminology service
 - E.g., ICD9CM 250.42 →
 - Diabetes mellitus with renal manifestations
 - Diabetes mellitus
 - Endocrine disease
- Separates terminology management from logic engineering
- Uses Apelon DTS, but architecture supports use of other terminology services

OpenCDS – Terminology Mgmt. with Apelon



OpenCDS – Terminology Mgmt. with Apelon





Live Demo

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Key Benefits of Approach

- Builds on robust open-source community and resources
- Provides standard architectural framework for integrating various CDS knowledge resources
- Supports full life cycle of knowledge authoring, testing, maintenance, and execution
- Provides an open-source framework for collaboration and innovation in CDS
 - Freely available under Apache 2.0 license

Key Challenges and Potential Solutions

Challenge	Potential solutions
Increased effort required to develop and support knowledge resources for use in multiple contexts	 Balance generalizability with resource realities Spread knowledge development cost over multiple deployment settings
Limited content availability	 Provide federal funding for content development Create an interoperable, standards-based market for such knowledge

Bottom line assessment: benefits >> challenges

Acknowledgements

- Research support
 - NHGRI K01 HG004645 (PI: K. Kawamoto)
 - University of Utah Dept. of Biomedical Informatics
- Numerous OpenCDS collaborators

www.opencds.org



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Collaborators

Architecture

Key Components

Screenshots

References

Acknowledgments

Alpha Release

Join OpenCDS

Home



What is OpenCDS?

OpenCDS is a multi-institutional, collaborative effort to develop open-source, standards-based clinical decision support (CDS) tools and resources that can be widely adopted to enable CDS at scale.

Who is Involved?

OpenCDS was founded by Dr. Kensaku Kawamoto, MD, PhD, who is a faculty member at the Duke Center for Health Informatics and a co-chair of the HL7 CDS Work Group. OpenCDS collaborators include the University of Utah, Intermountain Healthcare, the Veterans Health Administration, the University of North Carolina at Chapel Hill, and Apelon, Inc.

Breaking News

OpenCDS Alpha Release Available An alpha release of OpenCDS is now available to collaborators. Please see the Alpha Release tab for more information.

Posted Apr 26, 2011 9:51 AM by Kensaku Kawamoto

EBSCO Joins as OpenCDS Collaborator The OpenCDS team is very excited to announce that EBSCO, one of the leading knowledge content providers in healthcare, has joined OpenCDS as a collaborator. The OpenCDS team will be

Posted Apr 26, 2011 9:51 AM by Kensaku Kawamoto

OpenCDS at AMIA 2010 OpenCDS collaborators will be discussing OpenCDS and/or its component technologies at the following sessions of the 2010 American Medical Informatics Association (AMIA) Fall Symposium, which will be held in ...

Posted Apr 26, 2011 9:50 AM by Kensaku Kawamoto

Thank You!

Kensaku Kawamoto, MD, PhD kensaku.kawamoto@opencds.org

Backup Slides

NQF Measure 31 for Meaningful Use

Initial Patient Population =

- AND: "Patient characteristic: birth date" >= 41 year(s) and <= 68 year(s) starts before start of "Measurement period"
- o AND: "Patient characteristic: Gender Female"

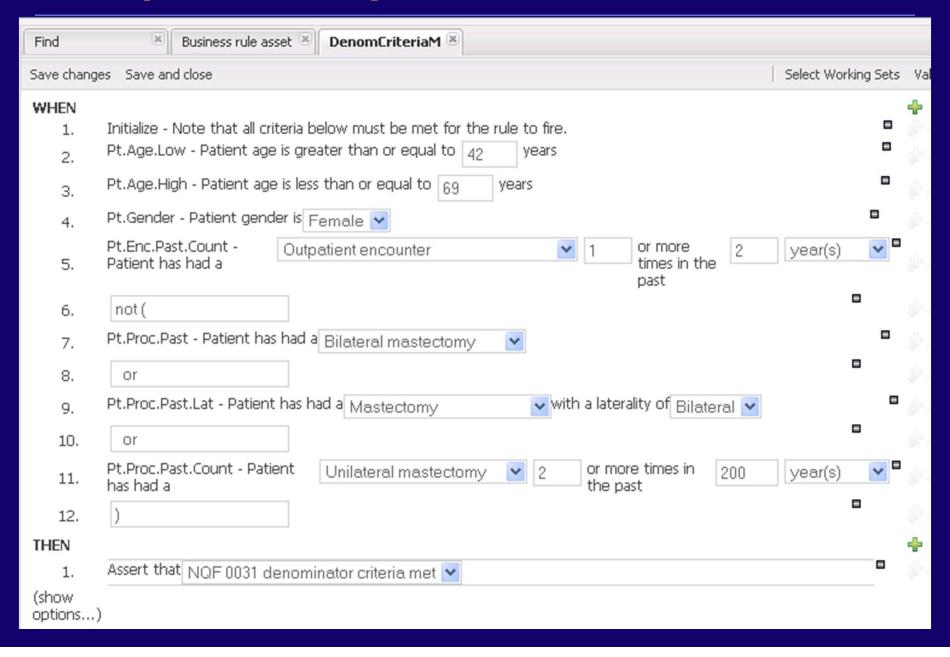
Denominator=

- o AND: "Initial Patient Population"
- AND: "Encounter: encounter outpatient" <= 2 year(s) starts before or during "Measurement end date"
- · AND NOT:
 - AND:
 - OR: "Procedure performed: bilateral mastectomy"
 - OR:
- AND: "Procedure performed: unilateral mastectomy CPT"
- AND: "Procedure performed: bilateral mastectomy modifier"
- OR:
- AND: > 1 count(s) of
 - AND: "Procedure performed: unilateral mastectomy"
- AND:
 - AND NOT: FIRST: "Procedure performed: unilateral mastectomy" concurrent with SECOND: "Procedure performed: unilateral mastectomy"
- starts before or during "Measurement end date"

Numerator =

- AND: "Diagnostic study performed: breast cancer screening" <= 2 year(s) starts before or during "Measurement end date"
- Exclusions =
 - o None

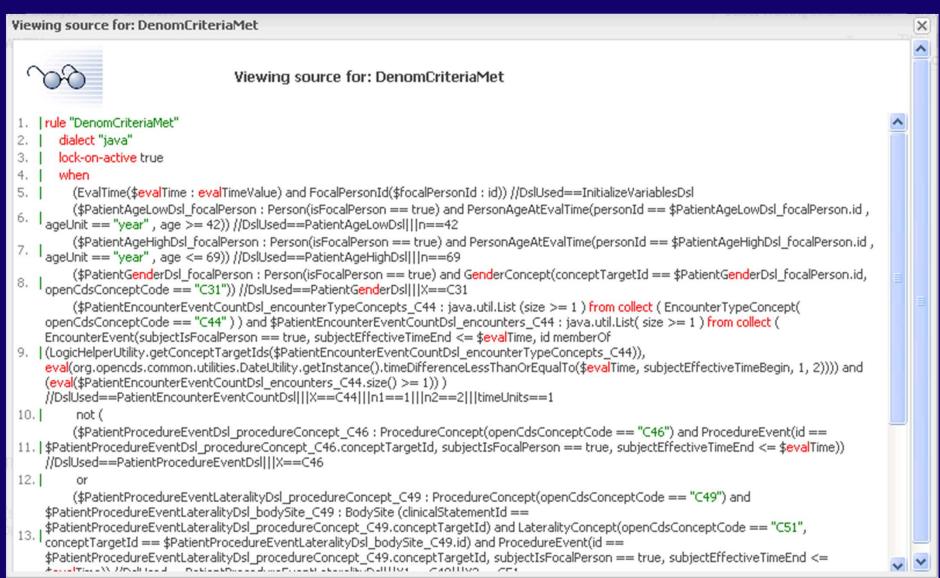
OpenCDS Implementation – Denom.



OpenCDS Implementation – Numerator



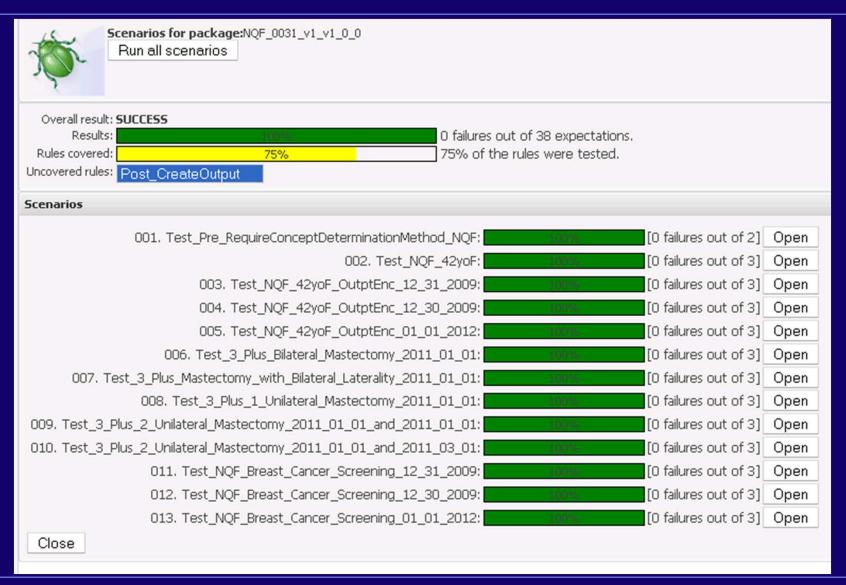
OpenCDS Implement. – Underlying Details



Testing Environment



Batch Regression Testing



DSS Invocation - Wrapper

```
<?xml version="1.0" encoding="UTF-8"?>
<SOAP-ENV:Envelope xmlns:SOAP-ENV="http://www.w3.org/2003/05/soap-envelope"
xmlns:dss="http://www.omg.org/spec/CDSS/201012/dss">
<SOAP-ENV:Header/>
 <SOAP-ENV:Body>
  <dss:evaluateAtSpecifiedTime>
   <interactionId scopingEntityId="edu.utah" interactionId="123456" submissionTime="2011-12-31T00:00:00.000"/>
   <specifiedTime>2011-12-31</specifiedTime>
   <evaluationRequest>
     <kmEvaluationRequest>
      <kmld scopingEntityId="org.opencds" businessId="NQF_0031_v1" version="1.0.0"/>
     </kmEvaluationRequest>
     <dataRequirementItemData>
      <drild itemId="payload001">
       <containingEntityId scopingEntityId="edu.utah" businessId="123.456.7.8.2.1" version="1.0.0"/>
      </drild>
      <data>
       <informationModelSSId scopingEntityId="org.opencds.vmr" businessId="VMR" version="1.0.0"/>
       <base64EncodedPayload>[Payload]</base64EncodedPayload>
      </data>
     </dataRequirementItemData>
    </evaluationRequest>
   </dss:evaluateAtSpecifiedTime>
 </SOAP-ENV:Body>
</SOAP-ENV:Envelope>
```

DSS Invocation - Payload

```
<vMR_xsi:schemaLocation="urn:org.opencds.vmr.schema.vmr.xsd" xmlns="urn:org.opencds.vmr.schema" xmlns:xsi="http://www.w3.org</p>
     <id root="1.2.3" extension="vmr001"/>
     <vMRType code="alpha" codeSystem="2.16.840.1.113883.3.795.12.1"/>
     <templateld root="2.16.840.1.113883.3.795.11.1.1"/>
     <focalPersonId root="1.2.3" extension="Pat001"/>
     <person>
          <id root="1.2.3" extension="Pat001"/>
          <templateId root="2.16.840.1.113883.3.795.11.2.1"/>
          <entityType code="PRSN" codeSystem="HL7oid"><displayName value="person"/></entityType>
          <demographicData>
               <gender code="10174" codeSystem="2.16.840.1.113883.5"><displayName value="Female"/></gender>
               <br/>

          </demographicData>
          <clinicalStatements>
               <encounterEvents>
                    <encounterEvent>
                         <cli><cli>inicalStatementType code="EncounterEvent" codeSystem="OpenCDSoidForClinicalStatementType"/>
                         <id root="1.2.3" extension="Enc001"/>
                         <templateld root="2.16.840.1.113883.3.795"/>
                         <code code="99201" codeSystem="2.16.840.1.113883.6.12"><<displayName value="Outpatient encounter"/></code>
                         <subjectEffectiveTime><low value="20110101"/><high value="20110101"/></subjectEffectiveTime>
                         <dataSourceType code="Clinical" codeSystem="2.16.840.1.113883.3.795"/>
                         <status code="completed" codeSystem="HL7oid"/>
                    </encounterEvent>
               </encounterEvents>
               cprocedureEvents>
                    cprocedureEvent>
                         <id root="1.2.3" extension="Proc001"/>
                         <templateld root="2.16.840.1.113883.3.795"/>
                         <code code="77056" codeSystem="2.16.840.1.113883.6.12"><displayName value="Mammography; bilateral"/></code>
                         <subjectEffectiveTime><low value="20100601"/><high value="20100601"/></subjectEffectiveTime>
                         <dataSourceType code="Clinical" codeSystem="2.16.840.1.113883.3.795"/>
                         <status code="completed" codeSystem="HL7oid"/>

/procedureEvent>

          </clinicalStatements>
          <clinicalStatementRelationships/>
          <cli>icalStatementEntityRelationships/>
     </person>
 </MR>
```

DSS Response - Wrapper

```
<soap:Envelope xmlns:soap="http://www.w3.org/2003/05/soap-envelope">
  <soap:Body>
    <ns2:evaluateAtSpecifiedTimeResponse xmlns:ns2="http://www.omg.org/spec/CDSS/201012/dss">
       <evaluationResponse>
         <finalKMEvaluationResponse>
            <kmld scopingEntityId="org.opencds" businessId="NQF_0031_v1" version="1.0.0"/>
            <kmEvaluationResultData>
              <evaluationResultId itemId="PrimaryEvaluationResult"/>
              <data>
                 <informationModelSSId scopingEntityId="org.opencds.vmr"
                   businessId="KMEvaluationResult" version="1.0.0"/>
                 <base64EncodedPayload>[Payload]
              </data>
            </kmEvaluationResultData>
         </finalKMEvaluationResponse>
       </evaluationResponse>
    </ns2:evaluateAtSpecifiedTimeResponse>
  </soap:Body>
</soap:Envelope>
```

DSS Response - Payload

```
<observationEvent>
  <id root="a3dd2db8-32e0-4601-8d04-77070e335725" extension=""/>
  <code code="C53" codeSystem="2.16.840.1.113883.3.795.12.1" codeSystemName="OpenCDS">
    <displayName value="Quality measure"/>
  </code>
  <relatedClinicalStatement>
    <sourceRelationshipToTarget code="C57" codeSystem="2.16.840.1.113883.3.795.12.1" codeSystemName="OpenCDS">
      <displayName value="Contains"/>
    </sourceRelationshipToTarget>
    <id root="fb047b96-51a5-4d1b-961a-710e3fe7c5ca" extension=""/>
      <code code="C54" codeSystem="2.16.840.1.113883.3.795.12.1" codeSystemName="OpenCDS">
me value="Denominator criteria met"/>
      </code>
      <value xsi:type="BL" value="true"/>
    </clinicalStatement>
  </relatedClinicalStatement>
  <relatedClinicalStatement>
    <sourceRelationshipToTarget code="C57" codeSystem="2.16.840.1.113883,3,795.12.1" codeSystemName="OpenCDS">
      <displayName value="Contains"/>
    </sourceRelationshipToTarget>
    <id root="08c3c0a5-06d8-4adb-aab6-6ef9ad8b53b5" extension=""/>
      <code code="C55" codeSystem="2.16.840.1.113883.3.795.12.1" codeSystemName="OpenCDS">
ame value="Numerator criteria met"/>
       </code>
      <value xsi:type="BL" value="true"/>
    </clinicalStatement>
  </relatedClinicalStatement>
```