# Joint DoD-VA HL7 Service Aware Interoperability Framework (SAIF) Implementation Guide





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SAIF Executive Summary & Implementation Guide is at

http://hssp.wikispaces.com/HL7+SAIF

Immunization Management Case Study is at

http://hssp.wikispaces.com/PracticalGuide

#### **Preface**

In March 2011, VA Secretary **Eric Shinseki** and DOD Secretary **Robert Gates** agreed on a common EHR technical architecture, data and services and exchange standards for the joint EHR system (aka iEHR), where the joint EHR will include both proprietary and open source software. The secretaries of the Veterans Affairs and Defense Departments met on May 2, 2011 to determine their next steps toward developing a single electronic health record, for the two agencies.

"VA is developing an open source track to modernize VistA and will incorporate the approach in the joint EHR", **Shinseki** said. "One of my objectives is to have minimal disruption in the hospitals as we evolve from VistA to the joint EHR system What I think you will see us do is replace modules, do incremental upgrades." ... "In five or 10 years, there may not be one line of code left from VistA. And in my ideal world, the users will have no idea that I have made any changes," VA Secretary **Eric Shinseki** said.

"Our goals are to bring in as many private sector modules as possible and selecting the same thing to run between VA and DOD so that we end up with a single, common electronic health record system," Roger Baker, VA CIO said.

This presentation is the start of the journey to implement the vision expressed above.

#### Introduction

### Distributed development without an architectural vision is virtually impossible.

- An **objective** is that VistA's architectural transition maintain interoperability with the legacy VistA MUMPS and the DoD-VA iEHR architectural vision as well as accommodating commercial vendors.
- The **key to success** is standards-based Virtual Service layers that support plugand-play applications (e.g., the smartphone application market model) and various data repositories.
- Innovation is fostered at the component level and is Darwinian.
- The **premise** is that the presented future-state architecture
  - can support legacy or modern hardware and software platforms, languages, applications and databases.
  - can support scalability from minimal-cost individual-clinician-systems to enterprise-massively-parallel high-performance grids running on commodityhardware-platforms (e.g., amazon.com, google.com).

#### **Purpose of Presentation**

- Peer review of Architectural Vision
- Feedback on Use of HL7 SAIF
- Present an approach to HL7 SAIF Implementation Guide

### VistA Architecture Outline

#### **Conceptual View**

- Current VistA Architecture
- Problems Being Addressed
- Goal & Objective
- Future-State Architecture
- Architectural Changes Mapped to Problems
- Notional List of Applications

Getting Started
System Architecture Documentation

#### Backup

- SOA Approach
- Current VistA Packages

#### **Current VistA Architecture (Conceptual View)**

#### **Applications**

- Scheduling
- Pharmacy (Rx)
- Laboratory
- Radiology
- ADT
- 100+ other packages

#### **Kernel/Tools**

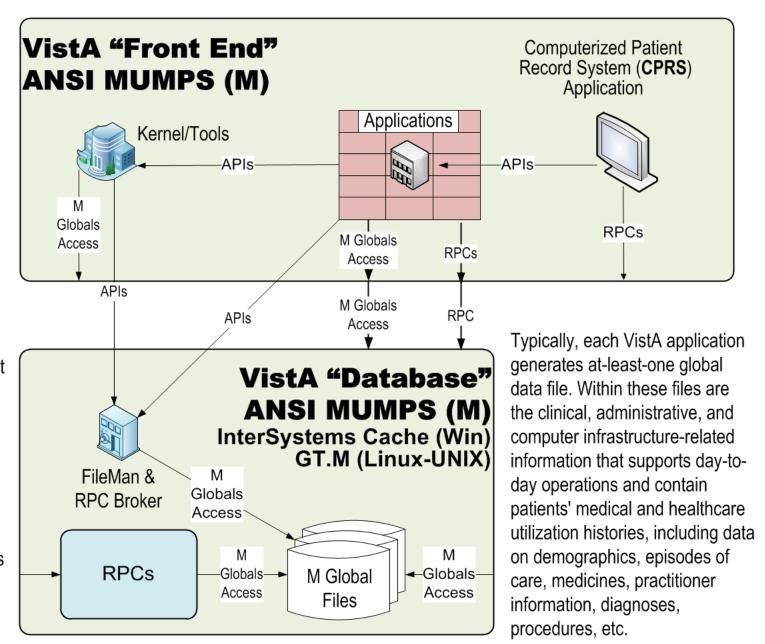
- Security
- Menu Management
- TaskMan, MailMan
- Package Manager, etc.

#### **FileMan**

- set of APIs
- search, inquire, edit, print
- utility functions
- data dictionary utilities
- transfer entries, etc.

#### "Database"

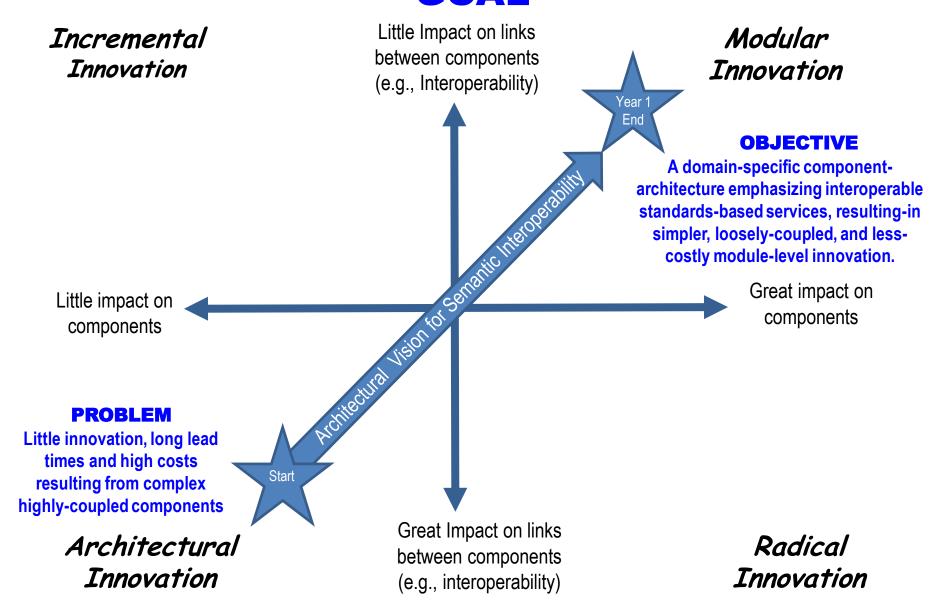
- M global namespaces
- data dictionary
- hierarchical files
- > Apps., Rx, Lab, Images
- > Common Data
- >100+ other files



# Future State Architecture Problems Being Addressed

- 1. Innovation to revitalize VistA
- 2. <u>Interoperability</u> among DoD, VA and purchased care partners
- 3. <u>Transition</u> from legacy systems and data to future-state-architecture
- 4. <u>Agility</u> to respond to rapid healthcare change and related legislation
  - ICD 9  $\rightarrow$  ICD 10
  - ARRA Meaningful Use Objectives and criteria Stage I, II, III
  - HHS Mandated HITSP-constructs and HHS mandated standards
- 5. High costs
  - Separate DoD and VA systems
  - Semantic Interoperability among trading partners (consults and transfers-of-care)
  - Application acquisition or development
  - Commercial Off the Shelf (COTS) Integration
  - Sustainment
  - Test and certification
- 6. <u>Patient Safety</u> issues resulting from software changes.
- 7. Open Source Community Enablement (Technical)

## Future State Architecture GOAL



#### Future-State Architecture (Conceptual View)

#### Key points:

- VLER wrt iEHR
- NwHIN within VLER
- Applications-database decoupling
- iEHR 3-tier extendible architecture
- · Use of Open Health Tools' MDHT(s)
- CIIF is key to semantic interoperability
- CIIF Run-Time environment within iEHR
- · CIIF Design-Time environment wrt iEHR Run-Time
- BITE to facilitate performance & payload-data-integrity testing
- NIST 7497 Security Architecture Design Process for Health Information Exchanges (HIEs)
- DoD 8500 (series) Information Assurance Controls

VLER is Virtual Lifetime Electronic Record

NwHIN is Nationwide Health Information Network

iEHR Tier 1
Front End Systems
Presentation/ Business Rules
Applications/ Business Services

Business
Services

Applications

Virtualization-Layer of Federated Standards-Based Services

CIIF

**Run Time** 

Security

Controls

Service

Registry

iEHR Tier 2
ESB Broker

Terminoloay

Services

Nwhin Gateway

Secure
Standards-Based
Information Exchanges

St Elsewhere

SSA / CMS

SSA is Social Security Administration
CMS is Center for Medicare and Medicaid Services

BITE **Dynamic** Services Translator for Structures, Common Codes & Services Versions Schema Services Performance Caches Virtualization-Layer of Federated Standards-Based Services **iEHR Tier 3 Back End Systems** iEHR Common Data (CIIF Schemas) Federated/Virtual Data **Legacy Data** 

iEHR is interoperable Electronic Healthcare Record

**IEHR Common Data** implies the native use of a single logical database, based on the CIIF Information Model and Terminology Models.

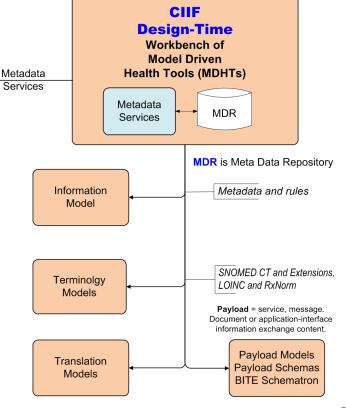
**ESB** is Enterprise Service Bus.

**CIIF** is Common Information Interoperability Framework

Security Controls support the NIST 7497 Security Architecture Design Process for Health Information Exchanges (HIEs) and DoD 8500 (series) Information Assurance controls.

**BITE** is Built-in-Test-Environment for performance and payload-data-integrity testing of ad-hoc trading-partners and plug-and-play applications; BITE uses Schematron.

**Schematron** is a rule-based validation language.



Data

Stores

Data

Services

# Future State Architecture **Notional List of Applications**

Registration	Outpatient Pharmacy	Operating Room Management	Emergency Site/ Mass Casualty Care
Secure Messaging	Disability Evaluation	Blood Management	History, Physical and Readiness
Laboratory	Patient Education	XML Forms Tool	Veterinary
Diagnostic Imaging	Emergency Department Care	Documents Management	Nursing Home
<b>Private Sector Data Access</b>	<b>Dental Care</b>	Registries	Rehabilitative Care
Global Image Access	Inpatient Orders Management	Nutrition Care	Pharmacy Mail Order
<b>Personal Health Record</b>	<b>Alerts and Reminders</b>	Genomics	Long Term Care
Outpatient Orders  Management	Immunization	Emergency Department Care	Occupational Health
Neurocognitive Assessment Tool (NCAT)	Patient Questionnaire	Pediatrics	Social Services Outreach
Anatomic Pathology	Document Management	Obstetrics	A Real-Time Order Checking Decision Support System
<b>Patient Consent</b>	<b>Patient Portal</b>	Orthopedics	<b>Clinical Reminder System</b>
Consult and Referral  Management	Inpatient Pharmacy	Dermatology	Remote Access
Patient Self Reporting	Barcoding	En-route care	Imaging Data Viewing
Portable and/or Re- configurable user interfaces			

### Future State Architecture Architectural Changes Mapped to Problems

#### 1. Innovation to revitalize VistA

- Services within a standards-based component-architecture encourage lower-cost component innovation without requiring enterprise wide expertise.
- 2. <u>Interoperability</u> among DoD, VA and purchased care partners
  - CIIF canonical information and terminology models can map among heterogeneous system information exchanges. By adopting common data, terminology, and communications standards, data from multiple organizations can be shared more readily.
- 3. <u>Transition</u> from legacy systems and data to future-state-architecture
  - Virtualization-Layers of Federated Standards-Based Services applications, databases and infrastructure, where legacy and new COTS, GOTS and open source software can coexist.
- 4. <u>Agility</u> to respond to rapid healthcare change and related legislation
  - Services within a standards-based component-architecture encourage lower-cost changes within components without requiring enterprise wide expertise.
- 5. High costs
  - Virtualization-Layers of Federated Standards-Based Services make possible applications, databases and infrastructure, which can be treated as commodities and can be tested efficiently.
     Interchangeable-components can compete based, on black-box functionality, quality, performance vs. cost, usability and supportability. BITE identifies faults early, improving system robustness.
- 6. Patient Safety issues resulting from software changes.
  - BITE identifies faults early, improving system robustness and patient safety.
- 7. <u>Open Source Community Enablement (Technical)</u>
  - Virtualization-Layers of Federated Standards-Based Services make possible applications, databases and infrastructure, which can be a combination of MUMPS, COTS, GOTS and other open source code, which meets the specific-needs of various stakeholder-and-user communities.

### Future State Architecture Outline

#### Conceptual View

#### **Getting Started**

- Security Principles
- Security Services & Standards
- Security Process
- Service Aware Interoperability Framework (SAIF)
- SAIF Enterprise Compliance & Conformance Framework (ECCF)
- Software Development Kit (SDK)

#### System Architecture Documentation

#### Backup

- SOA Approach
- Current VistA Packages

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# NIST 7497 Security Architecture\* Design Principles

- 1. Perform Information Assurance Risk assessments of shared information;
- Create "master" trust agreements describing requirements for a trust domain;
- 3. Separate authentication/credential management and authorization/privilege management;
- Develop data protection capabilities as plug-and-play services;
   and
- 5. Maintain a standards-based, technology-neutral, and vendorneutral architecture.

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<sup>\*</sup> NIST IR 7497, <u>Security Architecture Design Process for Health Information Exchanges (HIEs)</u>, Sept. 2010, available at <a href="http://csrc.nist.gov/publications/PubsNISTIRs.html">http://csrc.nist.gov/publications/PubsNISTIRs.html</a>

### NIST 7497 Security Architecture Enabling Services

- 1. **Risk Assessment** is a Security and Privacy Principles, which means to identify security and privacy risks to HIE operations based on threats, assets, vulnerabilities, and likelihood of threat success.
- 2. Entity Identity Assertion (Authentication) is HITSP Construct\* SC110 & C19, which ensures that an entity is the person or application that claims the identity provided.
- **3. Credential Management** is a Security Principles, which means to manage the life cycle of entity credentials used for authentication and authorization.
- **4. Access Control (Authorization)** is HITSP Construct\* SC108 & TP20, which ensures that an entity can access protected resources if they are permitted to do so.
- **5. Privilege Management** is a Security Principles, which means to manage the life cycle of an entity's authorization attributes (e.g., roles, permissions, rules) for making access control decisions.
- **6. Collect and Communicate Audit Trail** is HITSP Construct\* SC109 & T15, which defines and identifies security-relevant events and the data to be collected and communicated as determined by policy, regulation, or risk analysis.

\* HITSP constructs are available at www.HITSP.org

### NIST 7497 Security Architecture Enabling Services

- 7. **Document Integrity** is HITSP Construct\* T31, which validates that the contents of a document have not been changed in an unauthorized or inappropriate manner.
- 8. Secured Communication Channel is HITSP Construct\* SC109 & SC112, which ensures that the mechanism through which information is shared or transmitted appropriately protects the authenticity, integrity, and confidentiality of transactions to preserve mutual trust between communicating parties.
- **9. Document Confidentiality** is a Security Principles, which means to prevent the unauthorized disclosure of a document that is exchanged or shared.
- **10. De-identification** is a Privacy Principles, which means to remove individual identifiers from a health record, or replace them with other information such as pseudonyms, so that it cannot be used to identify an individual.
- **11. Non-Repudiation of Origin** is HITSP Construct\* C26, which provides the proof of the integrity and origin of data in an unforgettable relationship which can be verified by any party.
- **12. Manage Consent Directives** is HITSP Construct\* TP30, which ensures that individually identifiable health information is accessed only with an individual's consent.

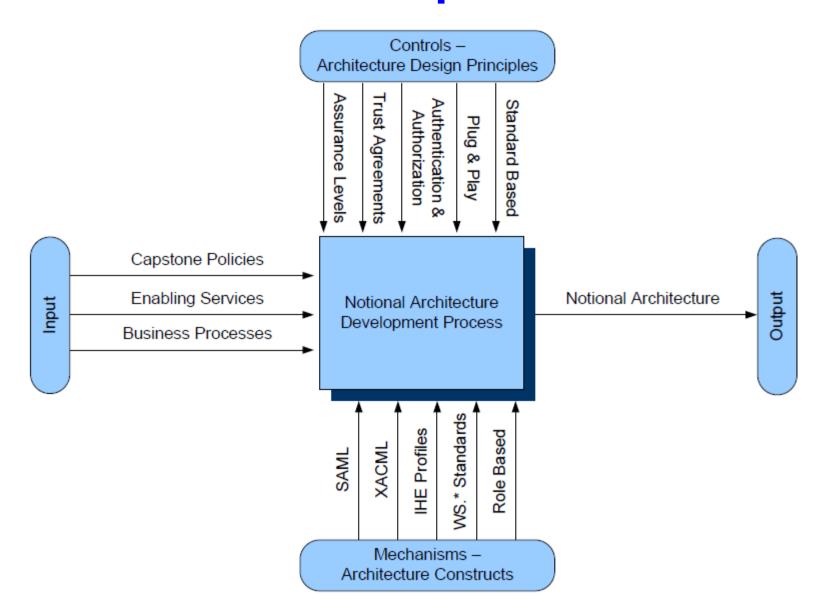
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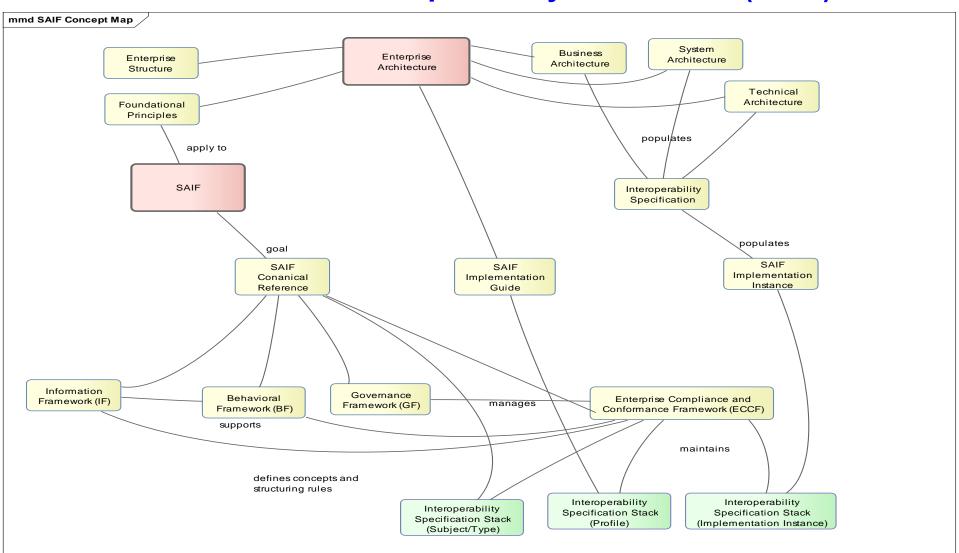
### NIST 7407 Security Architecture Web-Service Security-Standards

Identity Management Security Management WS-Federation WS-Trust Liberty Alliance XKMS SAML Message Security Reliable Messaging Policy WS-SecureConversation WS-ReliableMessaging WS-Policy WS-Security WS-Reliability Access Control XACML SAML SOAP Foundation XML Security XML Signature XML Encryption SSL/TLS Transport Layer Security

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### NIST 7497 Security Architecture Notional Development Process





### **Enterprise Compliance and Conformance Framework (ECCF)**



Enterprise Dimension "Why" - Policy

Information
Dimension
"What" - Content

Computational Dimension "How" - Behavior

Engineering
Dimension
"Where" - Implementation

Technical
Dimension
"Where" - Deployments

**Conceptual Perspective** 

- ✓ Inventory of
  - · User Cases. Contracts
  - Capabilities
- ✓ Business Mission, Vision, Scope
- ✓ Inventory of
- Domain Entities
- Activities
- AssociationsInformationRequirements
- Information Models
- ConceptualDomain

- ✓ Inventory of
- Functions-services
- ✓ Requirements
  - Accountability, Roles
  - Functional Requirements, Profiles, Behaviors, Interactions
  - Interfaces, Contracts

- ✓ Inventory of
  - SW Platforms, Layers
  - SW Environments
  - SW Components
  - SW Services
  - Technical Requirements
- Enterprise Service Bus
- ✓ Key Performance Parameters

- ✓ Inventory of
  - HW Platforms
  - HW Environments
  - Network Devices
  - Communication Devices
- ✓ Technical Requirements

Logical Perspective

- ✓ Business Policies
- ✓ Governance
- ✓ Implementation Guides
- ✓ Design Constraints
- ✓ Organization Contracts

- Use (
- ✓ Information Models✓ Vocabularies
- ✓ Value Sets
- ✓ Content Specifications

- ✓ Specifications
- Use Cases, InteractionsComponents, Interfaces
- ✓ Collaboration

  Participations
- ✓ Collaboration Types & Roles
- ✓ Function Types
- ✓ Interface Types
- ✓ Service Contracts

- ✓ Models, Capabilities, Features and Versions for
- SW Environments
- SW Capabilities
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  - Network Devices
  - Communication
     Devices

Implementable Perspective

- ✓ Business Nodes
- ✓ Business Rules
- ✓ Business Procedures
- ✓ Business Workflows
- ✓ Technology Specific Standards
- ✓ Schemas for
- Databases
- Messages
- Documents
- ServicesTransformations
- ✓ Automation Units
- ✓ Technical Interfaces
- ✓ Technical Operations
- ✓ Orchestration Scripts
- ✓ SW Specifications for
- Applications
- GUI
- Components
- ✓ SW Deployment Topologies

- ✓ HW Deployment Specifications
- ✓ HW Execution Context
- ✓ HW Application Bindings
- ✓ HW Deployment Topology

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Five (5) Categories: Capability | Mission | Business Process | Infrastructure/Enterprise Architecture | Interoperability

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Infrastructure/Enterprise Architecture

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DRAFT Talking Points

Infrastructure/Enterprise Architecture

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- ✓ Vocabularies
- ✓ Value Sets
- ✓ Content Specifications

✓ Models, Capabilities, Features and Versions for

- SW Environments
- SW Capabilities
- SW Libraries
- SW Services
- SW Transports

Implementable Perspective

- ✓ Business Nodes
- ✓ Business Rules
- ✓ Business Procedures
- ✓ Business Workflows
- ✓ Technology Specific Standards
- ✓ Schemas for
- Databases
- Messages
- Documents
- Services
- Transformations

Five (5) Categories: Capability | Mission | Business Process | Infrastructure/Enterprise Architecture | Interoperability

#### **Enterprise Compliance and Conformance Framework (ECCF)**



Enterprise Dimension "Why" - Policy

Information
Dimension
"What" - Content

Computational Dimension "How" - Behavior

Engineering
Dimension
"Where" - Implementation

Technical
Dimension
"Where" - Deployments

Conceptual Perspective

- ✓ Inventory of
  - User Cases, Contracts
  - Capabilities
- ✓ Business Mission, Vision, Scope
- ✓ Inventory of
- Domain Entities
- Activities
- Associations
- Information Requirements
- Information Models
   Conceptual
- ConceptuaDomain

Logical Perspective

- ✓ Business Policies
- ✓ Governance
- ✓ Implementation Guides
- ✓ Design Constraints
- ✓ Organization Contracts
- ✓ Information Models
- ✓ Vocabularies
- ✓ Value Sets
- ✓ Content Specifications

- ✓ Models, Capabilities, Features and Versions for
  - HW Platforms
  - HW Environments
  - Network Devices
  - Communication Devices

Implementable Perspective

- ✓ Business Nodes
- ✓ Business Rules
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  - Databases
  - Messages
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Five (5) Categories: Capability

Mission |

Business Process

Infrastructure/Enterprise Architecture

#### **Enterprise Compliance and Conformance Framework (ECCF)**



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**Engineering Dimension** "Where" - Implementation

**Technical Dimension** "Where" - Deployments

Conceptual **Perspective** 

- ✓ Business Nodes
- ✓ Business Rules
- ✓ Business Procedures
- ✓ Business Workflows
- ✓ Technology Specific Standards

- ✓ Inventory of
  - SW Platforms, Layers
  - SW Environments
  - SW Components
  - SW Services
  - Technical Requirements
  - Enterprise Service Bus
- √ Key Performance **Parameters**

- ✓ Inventory of
  - HW Platforms
  - HW Environments
  - Network Devices
  - Communication Devices
- ✓ Technical Requirements

- ✓ Models, Capabilities, Features and Versions for
  - SW Environments
  - **SW Capabilities**
  - SW Libraries
  - SW Services
  - SW Transports

- ✓ Models, Capabilities, Features and Versions for
  - HW Platforms
  - HW Environments
  - Network Devices
  - Communication Devices

**Implementable Perspective** 

Logical

**Perspective** 

- ✓ SW Specifications for
- Applications
- GUIs
- Components
- ✓ SW Deployment Topologies

- ✓ HW Deployment Specifications
- ✓ HW Execution Context
- ✓ HW Application Bindings
- ✓ HW Deployment Topology
- ✓ HW Platform Bindings

Five (5) Categories: Capability

Mission I

Business Process |

Infrastructure/Enterprise Architecture

#### **Enterprise Compliance and Conformance Framework (ECCF)**

**ECCF** 

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Conceptual Perspective

- ✓ Schemas for
  - Databases
- Messages
- Documents
- Services
- Transformations

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Five (5) Categories: Capability

lity | Mission |

Business Process

Infrastructure/Enterprise Architecture

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     Conceptual
    - o Domain

Logical Perspective

- ✓ Business Policies
- ✓ Governance
- ✓ Implementation Guides
- ✓ Design Constraints
- ✓ Organization Contracts
- ✓ Information Models
- ✓ Vocabularies
- ✓ Value Sets
- ✓ Content Specifications

- ✓ Automation Units
- √ Technical Interfaces
- ✓ Technical Operations
- ✓ Orchestration Scripts

Implementable Perspective

- ✓ Business Nodes
- ✓ Business Rules
- ✓ Business Procedures
- ✓ Business Workflows
- ✓ Technology Specific Standards
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- Information ModelsConceptual
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Logical Perspective

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- ✓ Governance
- ✓ Implementation Guides
- ✓ Design Constraints
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- Applications
- •GUIs
- Components
- ✓ SW Deployment Topologies

Interoperability

Implementable Perspective

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- Databases
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- Documents
- Services
- Transformations

Five (5) Categories: Capability

Mission

Business Process

Infrastructure/Enterprise Architecture

# Future-State Architecture Software Development Kit (SDK) Draft Specifications needed in first 120 days

- 1. <u>Built-In-Test-Environment (BITE) Service Specification</u> to support automated fault-detection of distributed ad-hoc partners & plug-and-play application.
  - Model-Driven Health-Tool, which defines schemas and schematron test fixtures.
  - <u>Cross Reference Tool Specification</u> to map module dependencies, which will support automated BITE software quality standards (**SQS**) testing and certification.
  - Pretty Printer Tool Specification BITE to check syntax and reformat each program module to conform to SQS and standards of presentation.
  - Performance Monitoring Component Service Specification BITE to trace execution pathways and measure latency, which will support, system tuning, automated testing and certification.
  - Code Coverage Regression Test and Stress Test Tool Specification, which will support automated BITE testing and certification of fault recovery pathways.
- 2. <u>SAIF ECCF Implementation Guide (IG)</u> for documenting component Interoperability Specifications, which will support new development, repurposing, reimplementation, automated testing and certification.
  - SAIF ECCF Tool Specification to manage module Interoperability Specifications, which will support new development, repurposing, reimplementation, automated testing and certification.
- 3. <u>ESB Services Specification</u> of Tier 1-2 Application Virtualization-Layer of federated standards-based services.
- 4. <u>Database Services Specification</u> of Tier 2-3 Database Virtualization-Layer of federated standards-based services.

### Future State Architecture Outline

Conceptual View Getting Started

#### **System Architecture Documentation**

Manage EHR System Architecture

#### Backup

- SOA Approach
- Current VistA Packages

#### **Manage EHR System Architecture**

**TASK:** Provide a clear and accessible definition of the components of the codebase, how they function, and how they interact.

**Approach:** VistA System Architecture (**SA**) model. The VistA SA model will be based-on and include links-to the online VistA documentation library\*. The VistA SA tool will contain HL7 SAIF ECCF (see Slide 19) Interoperability Specifications (**IS**s) including but-not-limited to:

- components modeled as UML classes, showing
  - component-component dependencies
  - component-data dependencies
  - deployment-configurations
- Component definitions
- Component functional-descriptions
  - based on HL7 EHR System Functional Model (EHR-S FM)
  - Including EHR-S FM conformance criteria to support test and certification
  - ARRA Meaningful use objectives and criteria
  - Applicable HHS mandated HITSP-constructs and other HHS mandated standards.
- Application Program Interfaces (APIs)
- RESULT: SA-tool-generated report of Interoperability Specifications and
- SA-tool-generated HTML-navigable VistA SA model, appropriately linked to the VistA Documentation Library\*

\* The VistA Documentation Library is available at <a href="http://www.va.gov/vdl/">http://www.va.gov/vdl/</a>

## Future State Architecture Outline

Conceptual View
Getting Started
Approach to As-Is System Architecture

#### Backup

- SOA Approach
  - EHR data reuse across encounters
  - Encounter within a Case Management Scenario
  - HI7 EHR System Functional Model (EHR-S FM)
  - SOA Layers
  - SOA Service Model
  - Healthcare SOA Reference Architecture (H-SOA-RA)
  - Anatomy of an Ancillary System
  - INTEGRATED REQUIREMENTS DESIGNS: Putting the H-SOA-RA Pieces Together
  - Addressing Real Business Issues Through H-SOA-RA based Integrated Requirements-Design
- Current VistA Packages

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#### **PROVIDERS' EHR DATA REUSE**

#### **ACROSS EPISODES OF CARE**

#### Previous Episode Of Care EHR





#### **IDENTITY**

- Patient Demographics
- Provider Demographics
- Insurer Demographic

#### **Terminology**

- Chronic Diagnoses
- Procedure History

#### Document

- Patient History
- Summary Lists
  - Medication List
  - Allergy/Adverse Reaction List
  - Immunization

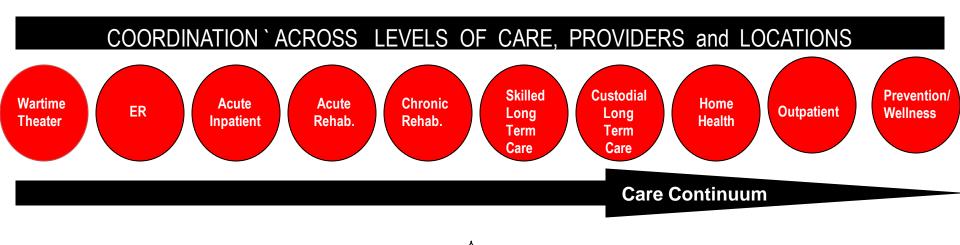
#### **Current Episode Of Care EHR**



Data Must Be Verified And Updated

# Reusable Services

## Case-Managers' EHR Data Reuse Across the Continuum of Care



#### **Coordination ACROSS SOAS**

ASSESSMENT

CARE PLANNING

ORDERS & SCHEDULING BENEFIT MANAGEMENT AUTHORIZATION & UTILIZATION MGT.

COMMUNICATION (FACILITATION ADVOCACY) DISCHARGE/ TRANSFER PLANNING

REFERRAL

RECORD

EDUCATION.

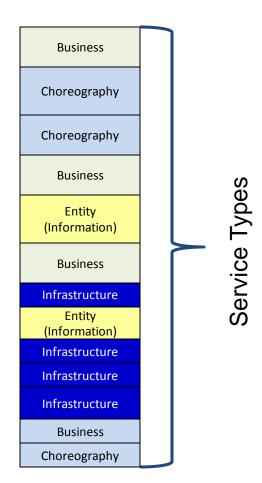
TRANSPORT

#### ROLE OF CASE MANAGER

## HL7 EHR System Functional Model (EHR-S)

(> 230 System Functions in 4 level categorization (see attached spreadsheet for full enumeration)

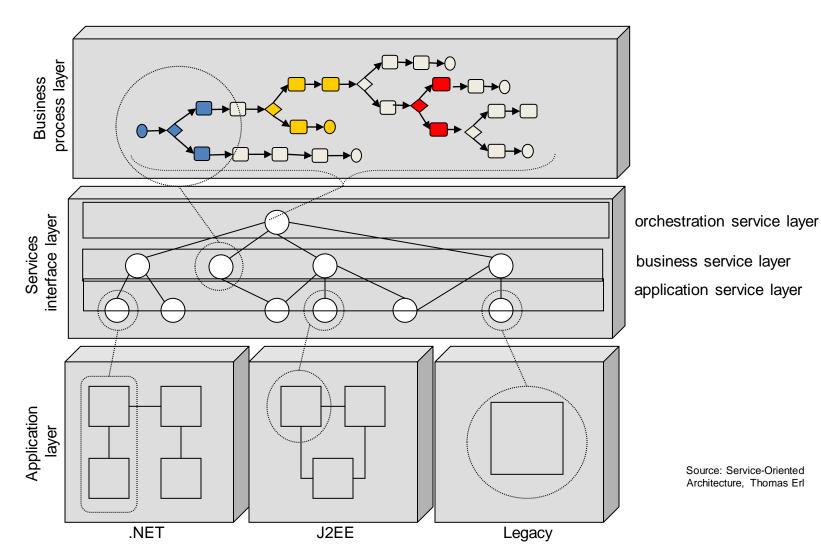
Dire	DC.1	Care Management
Direct Care	DC.2	Clinical Decision Support
are	DC.3	Operations Management and Communication
Sul	S.1	Clinical Support
Supportive	S.2	Measurement, Analysis, Research and Reports
tive	S.3	Administrative and Financial
	IN.1	Security
= =	IN.2	Health Record Information and Management
rag	IN.3	Registry and Directory Services
# # M	IN.4	Standard Terminologies & Terminology Services
Information nfrastructure	IN.5	Standards-based Interoperability
Te n	IN.6	Business Rules Management
	IN.7	Workflow Management
Other O-1 Electronic		Electronic Resource Planning (ERP)
	O-2	Finances
11	O-3	Other



NOTE: "Other" Category - The EHR-S model does NOT include Electronic Resource Planning (ERP) / Logistics and Financial components, which are needed for completeness of a military EHR.

#### **SOA Layers**

## Focus on the Business Processes and Services [Thomas Erl]



**Business** 

System
Components
and Services

Capabilities

and Services

#### **SOA Service Models**

#### Potential Service Layers [Thomas Erl]

Service Model	Description
Application Service	A generic category used to represent services that contain logic derived from a solution or technical platform. Services are generally distinguished as application services when creating abstraction layers.
Business Service	A generic category used to represent services that contain business logic. When establishing specialized service layers, services that fall into the business service layers are collectively referred to as business. However, individually these services are classified as entity-centric (e.g., information) or task-centric business services.
Controller Service	A Service that composes others. Variations of this model exist, depending on the position of the controller in the composition hierarchy. The patent controller service can be classified as the master controller and a service that composes a subset of a larger composition can be labeled as subcontroller.
Coordinator Services	Three service models are derived from the concept of coordination: the coordinator, the atomic transaction coordinator, and the business activity coordinator. All three models are specific to the WS-Coordination specification and related protocols.
Entity-centric Business Service	A business process-agnostic variation of the business service that represents one or more related business entities. This type of service is created when establishing a business service layer.
Hybrid Service	A service that contains both business and application logic. Most services created as part of traditional distributed solutions fall into this category. When organizing services into abstraction layers, hybrid services are considered part of the application service layer.
Integration Service	An application service that also acts as an endpoint to a solution for cross-referencing integration purposes.
Process Service	A service that represents a business process as implemented by an orchestration platform and described by a process definition. Process services reside in the orchestration service layer.
Task-Centric Business Service	A business process-specific variation of the business service that represents an atomic unit of process logic. Task-centric services are different from process services in that the process logic is provided by the underlying service logic, not by a separate process definition.

#### **Healthcare SOA Reference Architecture (H-SOA-RA)**

Based on HL7 EHR System Functional Model & Thomas Erl's SOA Layers

HL7 System Functions →	Direct Care	Supportive	Information Infrastructure	Other
Business Process Value Chains				<b>*</b>
Composite Services	Federated Compo	derated Composition (e.g., Choreograph or Orchestration) Within and Across Business Areas		

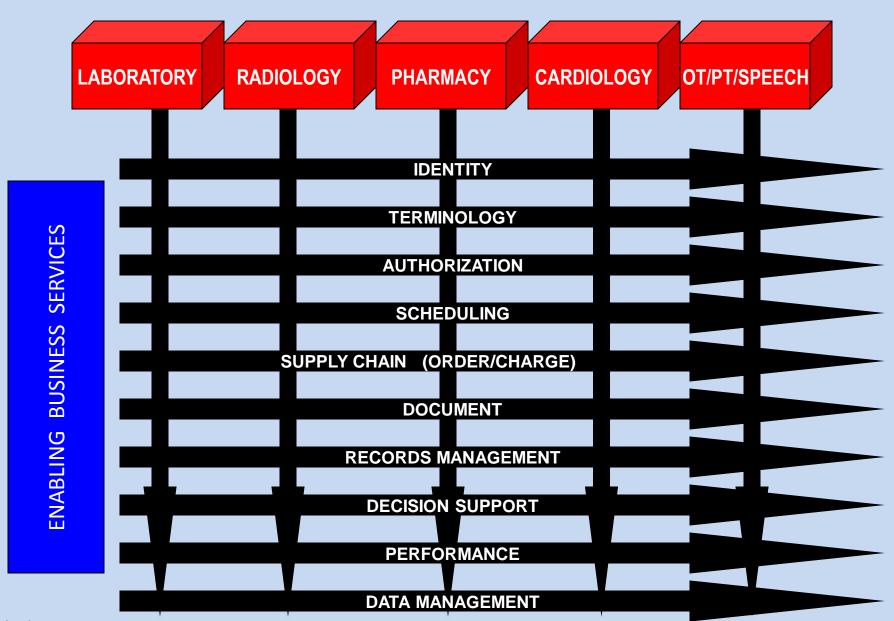
Core Business	Functional	Functional	Functional	Functional
Services	Areas + Focal Classes			
Entity Services	Information Management	Information Management	Information Management	Information Reporting and Management

Services	Management	Management	Management	Reporting and Management
Agnostic Services			"Common S e r v I c e s" y, Auditing, Logging)	

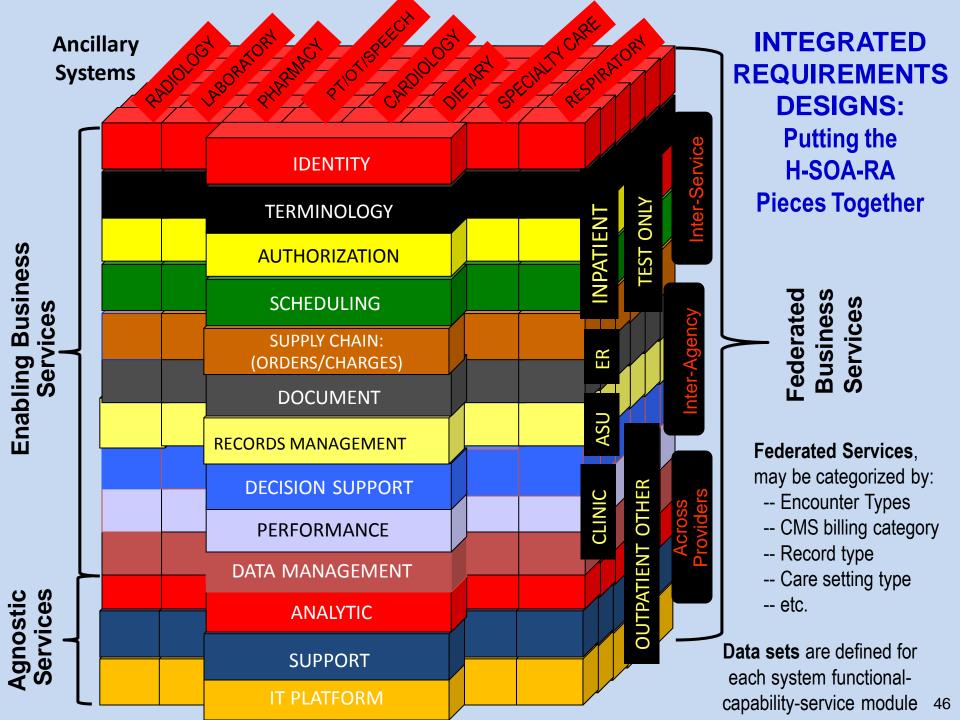
Agnostic	Cross Technlcal "Common Servlces"			
Services	(e.g., Security, Privacy, Auditing, Logging)			
Application	Ambulatory Care	Logistics Systems	Data Marts	Business Object

Application Services Ambulatory Care Systems, In Patient Care Systems		Logistics Systems Financial Systems Decision Support Systems	Data Marts Repositories	Business Objects
Implementation Profiles	Integrated Healthcare Enterprise (IHE) Profiles	Analysis Profiles  DRAFT Talking Points	Communications Profiles/Stacks	Implementation Profiles 44

#### **ANATOMY OF AN ANCILLARY SYSTEM**



7/12/2011



## Addressing Real Business Issues Through H-SOA-RA based Integrated Requirements-Design

- Incomplete/Inaccurate Demographic Data
- Incomplete/Inaccurate Insurance Information
- Unauthorized Service
- Diagnosis/Procedure Coding Errors
- Service Delays
- Incomplete and Inefficient Charge Capture
- Non-indicated or Contra-indicated Services
- Delays in EHR Document Production and Provision
- Billing Delays and Errors
- Not fully coordinated Scheduling
- Lack of fully integrated Patient Assessment and Treatment Plan
- Delayed or Lack of Medical Record Access

(Identity Service)

(Authorization Service)

(Authorization Service)

(Terminology Service)

(Scheduling Service)

(Supply Chain Service)

(Decision Support/

**Authorization Services**)

(Document Service)

(Supply Chain/ Billing/

**Collection Services**)

(Scheduling Service)

(Document Service/

**Decision Support Service**)

(Record Service)

## Future State Architecture Outline

Conceptual View
Getting Started
Approach to As-Is System Architecture

#### Backup

- SOA Approach
- Current VistA Packages
  - Clinical Application Packages
  - Infrastructure Application Packages
  - Administrative-Financial Application Packages
  - HealyheVet Application Packages

#### Current VistA Clinical Application Packages

	nttp://ww	/w.va.do	)v/vai/section.asp?secia=1
1.	Admission Discharge Transfer (ADT)		
2.	Ambulatory Care Reporting	26.	Home Telehealth
3.	Anticoagulation Management Tool (AMT)	27.	Immunology Case Registry (ICR)
4.	Automated Service Connected Designation (ASCD)	28.	Incomplete Records Tracking (IRT)
5.	Beneficiary Travel	29.	Intake and Output
6.	Blind Rehabilitation	30.	Laboratory
7.	Care Management	31.	Laboratory: Anatomic Pathology
8.	Clinical Case Registries	32.	Laboratory: Blood Bank
^	Olivinal December	33	Lahoratory: Blood Bank Workarounds

- Laboratory: Blood Bank vvorkarounds 34. Laboratory: Electronic Data Interchange (LEDI)
- 35. Laboratory: Emerging Pathogens Initiative (EPI)
- Laboratory: Howdy Computerized Phlebotomy Login Process 36.
- Laboratory: National Laboratory Tests (NLT) Documents and LOINC Request Form 37.

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- 38. Laboratory: Point of Care (POC)
- 39. Laboratory: Universal Interface
- Laboratory: VistA Blood Establishment Computer Software (VBECS) 40.
- 41. Lexicon Utility
- 42. Medicine
- 43. Mental Health
- 44. Methicillin Resistant Staph Aurerus (MRSA)
- 45. Mobile Electronic Documentation (MED)
- Nationwide Health Information Network Adapter (NHIN) 46.
- 47. Nursing
- 48. Nutrition and Food Service (NFS)
- 49. Oncology
- 50. Patient Appointment Info. Transmission (PAIT)

- 9. Clinical Procedures 10. Clinical/Health Data Repository (CHDR)
- 11. Computerized Patient Record System (CPRS)
- CPRS: Adverse Reaction Tracking (ART) 12.
- 13. CPRS: Authorization Subscription Utility (ASU)
- **CPRS: Clinical Reminders** 14.
- CPRS: Consult/Request Tracking
- 16. **CPRS: Health Summary**
- CPRS: Problem List 17.
- CPRS: Text Integration Utility (TIU) 18.
- 19. Dentistry
- **Electronic Wait List**
- **Emergency Department Integration Software (EDIS)** 21.
- Functional Independence Measurement (FIM) 22.
- 23. **Group Notes**
- HDR Historical (HDR-Hx) 24.
- 25. Home Based Primary Care (HBPC)

#### Current VistA Clinical Application Packages

#### http://www.va.gov/vdl/section.asp?secid=1

- 51. Patient Assessment Documentation Package (PADP)
- 52. Patient Care Encounter (PCE)
- 53. Patient Record Flags
- 54. Pharm: Automatic Replenish / Ward Stock (AR/WS)
- 55. Pharm: Bar Code Medication Administration (BCMA)
- 56. Pharm: Benefits Management (PBM)
- 57. Pharm: Consolidated Mail Outpatient Pharmacy
- 58. Pharm: Controlled Substances
- 59. Pharm: Data Management (PDM)
- 60. Pharm: Drug Accountability
- 61. Pharm: Inpatient Medications
- 62. Pharm: National Drug File (NDF)
- 63. Pharm: Outpatient Pharmacy
- 64. Pharm: Prescription Practices (PPP)
- 65. Primary Care Management Module (PCMM)
- 66. Prosthetics
- 67. Quality Audiology and Speech Analysis and Reporting (QUASAR)
- 68. Radiology / Nuclear Medicine
- 69. RAI/MDS
- 70. Remote Order Entry System (ROES)
- 71. Scheduling
- 72. Shift Handoff Tool
- 73. Social Work
- 74. Spinal Cord Dysfunction
- 75. Standards & Terminology Services (STS)

- 76. Surgery
- 77. VistA Imaging System
- 78. VistAWeb
- 79. Visual Impairment Service Team (VIST)
- 80. Vitals / Measurements
- 81. Womens' Health

#### Current VistA Infrastructure Application Packages

#### http://www.va.gov/vdl/section.asp?secid=2

- 1. Capacity Management Tools
- Duplicate Record Merge: Patient Merge
- 3. Electronic Error and Enhancement Reporting (E3R)
- 4. Enterprise Exception Log Service (EELS)
- 5. FatKAAT
- 6. FileMan
- 7. FileMan Delphi Components (FMDC)
- 8. Health Data Informatics
- 9. HL7 (VistA Messaging)
- 10. Institution File Redesign (IFR)
- 11. KAAJEE
- 12. Kernel
- 13. Kernel Delphi Components (KDC)
- 14. Kernel Toolkit
- 15. Kernel Unwinder
- 16. List Manager
- 17. MailMan
- 18. Master Patient Index (MPI)
- 19. Medical Domain Web Services (MDWS)
- 20. M-to-M Broker
- 21. Name Standardization
- 22. National Online Information Sharing (NOIS)
- 23. National Patch Module
- 24. Network Health Exchange (NHE)
- 25. Patient Data Exchange (PDX)

- 26. Remote Procedure Call (RPC) Broker
- 27. Resource Usage Monitor
- 28. Single Signon/User Context (SSO/UC)
- 29. SlotMaster (Kernel ZSLOT)
- 30. SQL Interface (SQLI)
- 31. Standard Files and Tables
- 32. Statistical Analysis of Global Growth (SAGG)
- 33. Survey Generator
- 34. System Toolkit (STK)
- 35. VistA Data Extraction Framework (VDEF)
- 36. VistALink
- 37. XML Parser (VistA)

#### Current VistA Financial-Administrative Application Packages

#### http://www.va.gov/vdl/section.asp?secid=3

1.	Accounts Receivable (AR)
2.	Auto Safety Incident Surv Track System (ASISTS)
3.	Automated Information Collection System (AICS)
4.	Automated Medical Information Exchange (AMIE)
5.	Clinical Monitoring System
6.	Compensation Pension Records Interchange (CAPRI)
7.	Current Procedural Terminology (CPT)
8.	Decision Support System (DSS) Extracts
9.	Diagnostic Related Group (DRG) Grouper
10.	Electronic Claims Management Engine (ECME)
11.	Engineering (AEMS / MERS)
12.	Enrollment Application System
13.	Equipment / Turn-In Request
14.	Event Capture
15.	Fee Basis
16.	Fugitive Felon Program (FFP)
17.	Generic Code Sheet (GCS)
18.	Health Eligibility Center (HEC)
19.	Hospital Inquiry (HINQ)
20.	ICD-9-CM
21.	IFCAP
22.	Incident Reporting
23.	Income Verification Match (IVM)
24	Integrated Billing (IB)

25.

**Integrated Patient Funds** 

26.	Library
27.	Occurrence Screen
28.	Patient Representative
29.	Personnel and Accounting Integrated Data (PAID)
30.	Police and Security
31.	Quality Management Integration Module
32.	Record Tracking
33.	Release of Information (ROI) Manager
34.	Veterans Identification Card (VIC/PICS)
35.	Voluntary Service System (VSS)
36.	Wounded Injured and III Warriors

#### Current VistA HealtheVet Application Packages

http://www.va.gov/vdl/section.asp?secid=4

- 1. Clinical Information Support System (CISS)
- 2. Electronic Signature (ESig)
- 3. HealtheVet Web Services Client (HWSC)
- 4. My HealtheVet
- 5. National Utilization Management Integration (NUMI)
- 6. Occupational Health Record-keeping System (OHRS)
- 7. Patient Advocate Tracking System (PATS)
- 8. Person Services
- 9. Registries
- 10. Spinal Cord Injury and Disorders Outcomes (SCIDO)
- 11. VA Enrollment System (VES)
- 12. Veterans Personal Finance System (VPFS)