



# Web Services and Transactions

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# Agenda

- Web Services and Transactions
  - Long-running Transactions
  - Web Services
- Specifications and Standards
- Compare
  - WS-Transaction and WS-Coordination
  - Business Transaction Processing
- Driving Convergence
- Conclusions
- References

# Why do Web Services Need Transactions?



- Loosely-coupled
- Distributed
- Coordination is an issue except for single sequence
  - Make error-prone complex transactions easier to program
- New requirements for independent participants
  - One coordinator can't demand all participants follow the coordinator's business rules
  - Isolation hard to maintain—one transaction affects another
- XML-based transaction protocols for interoperability

# The Case for Long-running Transactions



- Existing businesses do them often
- Travel agent scenario
  - Multiple resources
  - Variable cost to change/cancel
  - Business rules vary
  - Need some consistent set of tickets/reservations
  - Need a deterministic agreed outcome
- Compensation rather than rollback (usually)—cancel reservation

# Transactions Support Workflow and Choreography



- BPEL4WS
- Web Services Choreography Interface (W3C Note)
- ebXML Business Process interactions
- Details BTP support for workflows in Potts, Temel paper (see References)
  - WSFL
  - XLANG
  - BPML

# Web Services and Transactions



- To integrate transactions and Web services need to carry one or more of
  - Correlation ID
  - Conversation ID (for long-running conversations, not necessarily transactional—viz. WLStudio)
  - Transaction context ID (integer, for atomic single-site)
  - Additional context information
- Placed in SOAP Header
- Potential for conflicts as SOAP Header is used more and more

# Specifications and Standards



- When is a specification not a standard?
- Is the protocol or API over-specified?  
Under-specified?
- How interoperable is the protocol?
- Automatic or manual configuration?
- Optimized for common cases?
- Consistent architecture and programming model?



# The Landscape Today

- WS-Transaction + WS-Coordination (WS-T/WS-C)
- OASIS Business Transaction Processing (BTP)
- Others (see references)



# WS-Transaction and WS-Coordination



- Published August 2002 by BEA, IBM, and Microsoft
- Factors out transaction context management and propagation into WS-Coordination
- XML with Web services binding
- Two sub-protocols in WS-T
  - Atomic Transactions (AT)
  - Business Activities (BA)

## WS-T and WS-C (continued)

- WS-T/AT (atomic) transactions are essentially traditional and tightly-coupled (ACID) — Atomic, Consistent, Isolated, Durable
- Business Activities are richer and allow for looser coupling, relaxation of Isolation and Atomicity
- Both provide Web service/SOAP bindings

# OASIS Business Transaction Protocol



- Technical Committee created March 2001 by BEA, Interwoven, and Sun, soon joined by others
- Committee Specification 1.0 May 2002
- XML, with SOAP binding
- Two sub-protocols relax ACID properties
  - Atoms—Isolation is relaxed
  - Cohesions—Isolation and Atomicity are relaxed



# OASIS BTP (continued)

- Atoms do (mostly) what atomic transactions do
- Cohesions do (mostly) what business processes do, and are similar to WS-T Business Activities
- Most messages are the same across the two protocols (it's really two kinds of coordinators)

# Compare

- Superficial Similarities
- Real Similarities
- Messages
- State Diagrams

# Superficial Similarities

- At a high level one might hope that the models align:
  - WS-T/AT =?= BTP-Atoms
  - WS-T/BA =?= BTP-Cohesions
  - WC-Coordination manages contexts as BTP does
  - Both use XML and Web Services
- But they don't.
- The protocols seem similar
- And they are, but optimizations and scalability are needed for WS-C/WS-T

# A Clearer Comparison

WS-T/WS-C	BTP
Business Activity	Cohesions
*	Atoms
Atomic Transaction	**
Web Services	XML with SOAP binding

- \* WS-Transaction doesn't address this except as a special case of Business Activity
- \*\*BTP doesn't address this as loosely coupled implementation of tightly-coupled transactions were explicitly ruled out early on

# A Clearer Comparison



## (continued)

- Isolation
  - WS-T/AT mandates strict isolation, while BTP relaxes isolation—BTP is more loosely coupled
- Binding
  - WS-T/WS-C tightly bound to Web Services. BTP is an XML message protocol with a SOAP binding
- Optimizations
  - BTP “One Shot” optimization should be used in loosely-coupled interactions with high latency
  - BTP allows participants to resign without waiting for coordinator, and allows timeouts for commitments
- WS-T/AT can work well in a tightly-coupled environment



# Real Similarities

- Both WS-T/WS-C and BTP can be used to support business process execution environments, e.g. BPEL4WS, WSFL, WSCI, BPMI, and others
- WS-T Business Activities are similar to BTP Cohesions



# Real Similarities (continued)

- Compensating actions are a problem if too common (the protocol should prevent errors and aid recovery)
- Both are useful as an *implementing* technology, e.g. for workflows
- Both are relatively low level and complex
- Neither is the only solution in its problem domain

# Real Differences

**(see references)**

- WS-T/AT is not loosely-coupled
- BTP incorporates optimizations
  - One-Shot
  - Participant resignation
  - Timeouts
- WS-C/WS-T is under specified—there's not enough to build interoperable implementations from the specs
- WS-T/AT and WS-T/BA are similar but use different messages
- Factoring out of WS-C complicates the transaction protocol
- BTP is an OASIS Committee Specification

# Messages—Normal Case

- Normal case: WS-T/AT 1PC or 2PC

<i>Role: Coordinator</i>	<i>Role: Participant</i>
Prepare	Prepared
Commit    Rollback	Committed    Aborted

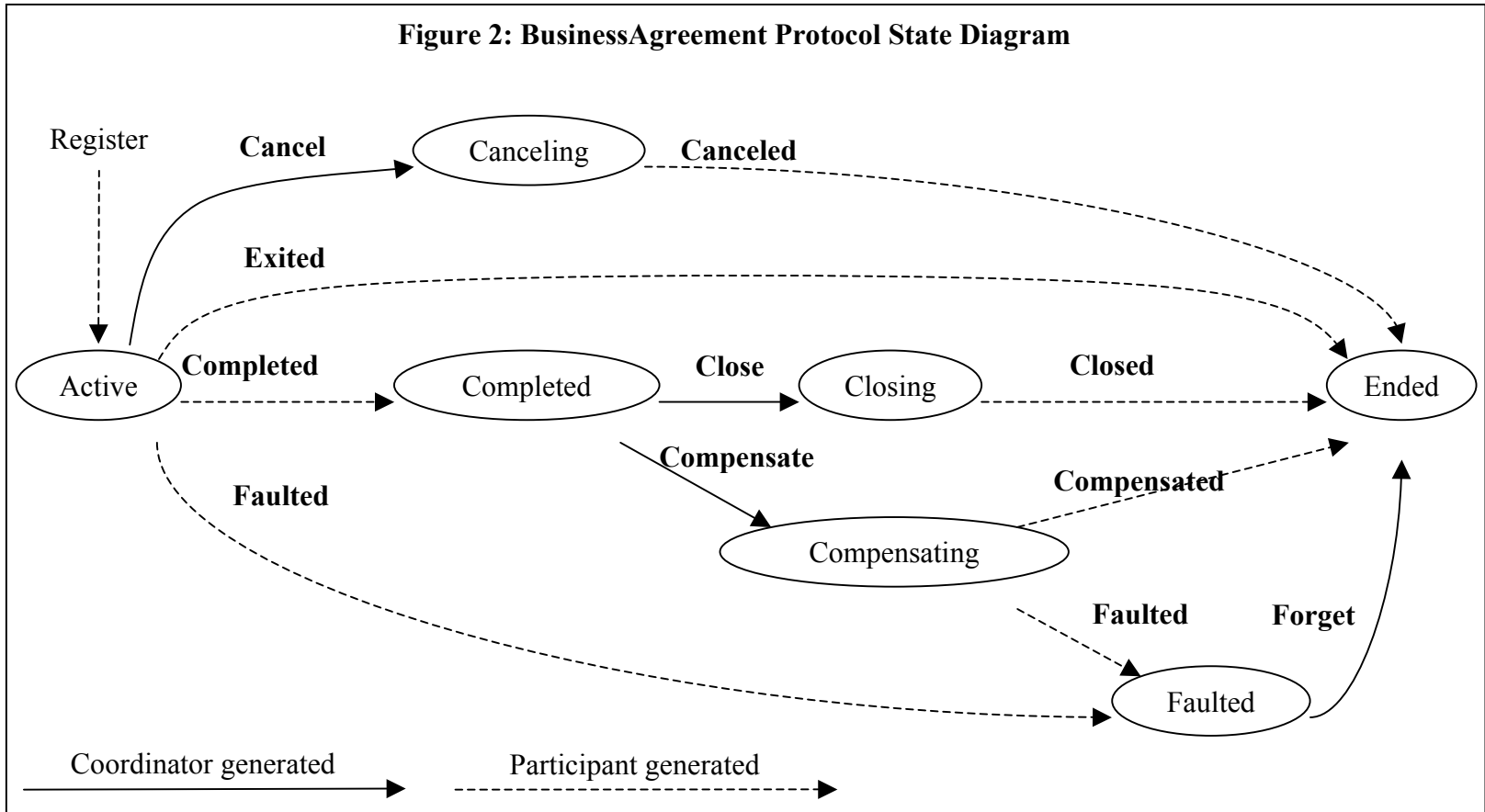
- Normal case: WS-T/BA with Completion

<i>Role: Coordinator</i>	<i>Role: Participant</i>
Prepare	Prepared
Close    Compensate	Closed    Compensated

- Normal case: BTP

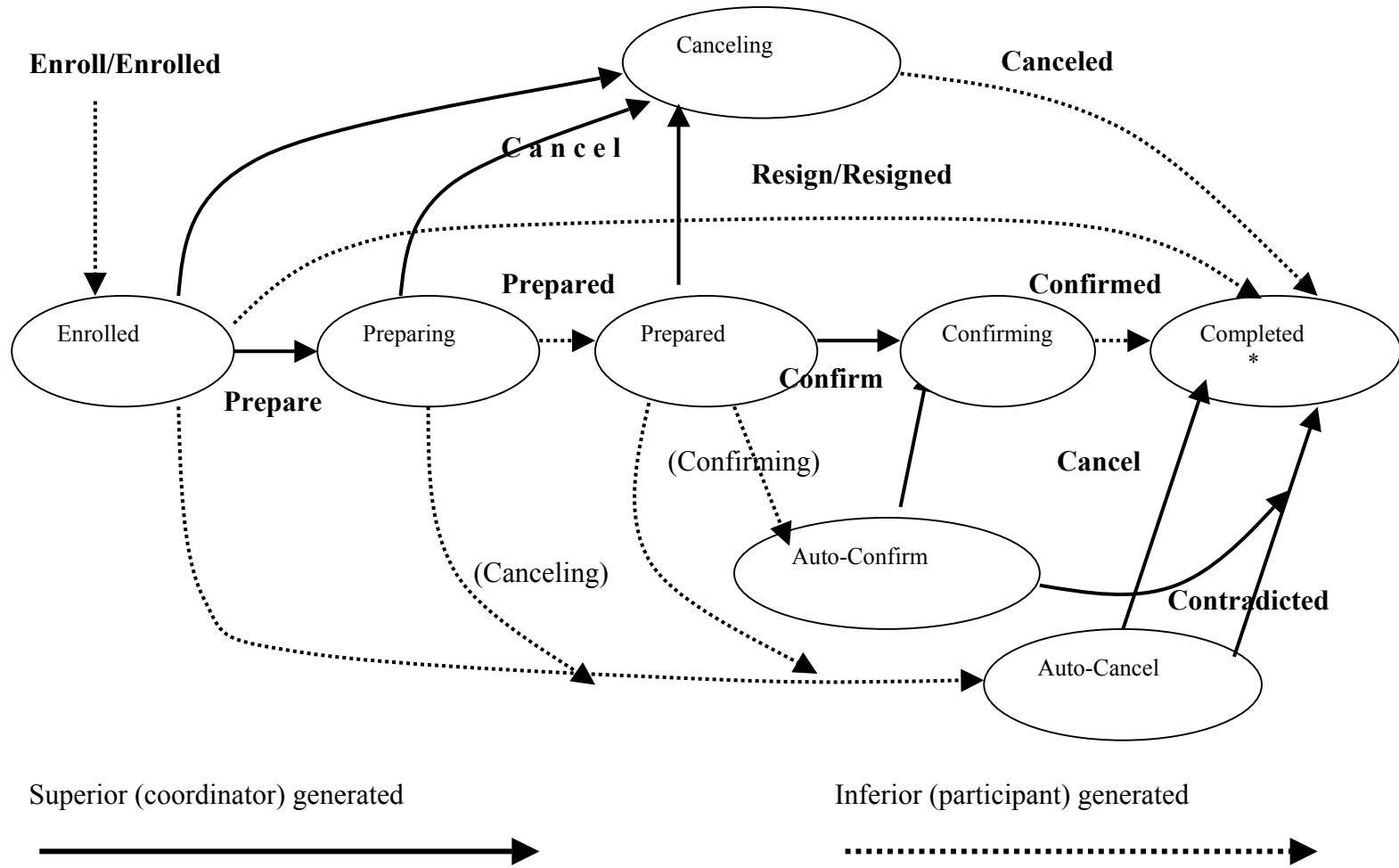
<i>Role: Superior</i>	<i>Role: Inferior</i>
Prepare	Prepared
Confirm    Cancel	Confirmed    Cancelled

# WS-C/WS-T State Diagram



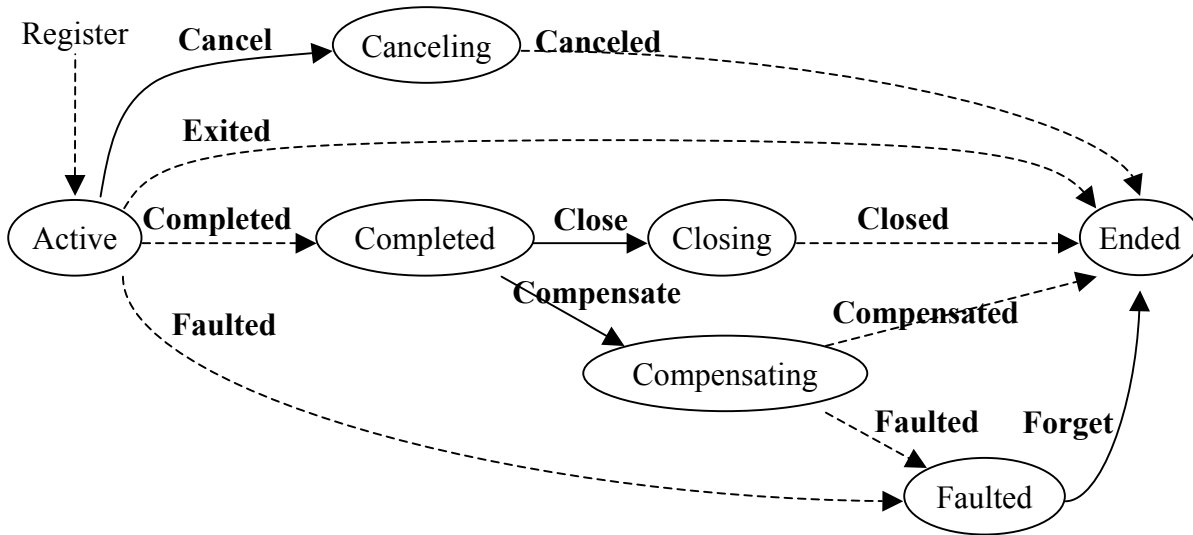
WS-Transaction Specification BA Figure 2

# BTP State Diagram Without Optimizations

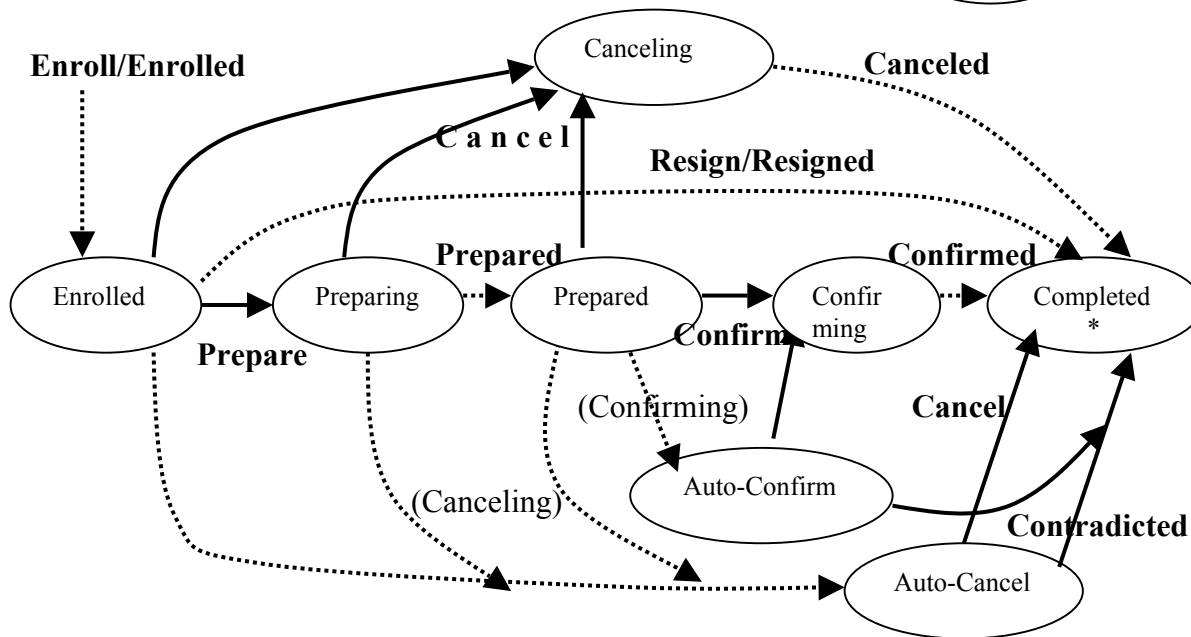



Courtesy Sazi Temel


WS-T



BTP



Superior (coordinator) generated  


Inferior (participant) generated  


# Specifications and Standards (again)



- When is a specification not a standard?
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# Driving Convergence

- BTP and WS-Transaction/WS-Coordination overlap in significant areas
- Similar goals, different details
- Industry would be well-served by convergence in this space
- Standards submission of WS-Transaction and WS-Coordination would be the next step in driving convergence

# Conclusions

- Both technologies approach broad and complex issues
- Both technologies can support aggregations including composition, workflows, and choreography
- Convergence is going to be important—the industry needs one standard, not two similar-but-different ones
- Either can simplify distributed agreement without making you “roll your own”



# References

- On BEA, IBM, and Microsoft web sites (only BEA URL listed)
  - WS-Transaction
    - <http://dev2dev.bea.com/techtrack/ws-transaction.jsp>
  - WS-Coordination
    - <http://dev2dev.bea.com/techtrack/ws-coordination.jsp>
  - BPEL4WS
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- OASIS Business Transaction Processing Web site
  - <http://www.oasis-open.org/business-transactions/>

# References (continued)

- Choreology Ltd detailed analysis of BTP and WS-Transaction
  - Technical discussion
    - Link from <http://www.choreology.com> near bottom left
  - Peter Furniss and Alastair Green, *BTP: WS-T Message sequence comparisons*
    - [http://www.choreology.com/resources/2002-11-20.message\\_sequence\\_comparison.A.ppt](http://www.choreology.com/resources/2002-11-20.message_sequence_comparison.A.ppt)
- Papers
  - Mark Potts & Sazi Temel, *Business Transactions in Workflow and Business Process Management* on BTP Web site
  - Sanjay Dalal, Sazi Temel, Mark Little, Mark Potts, Jim Webber, *Coordinating Business Transactions on the Web*, IEEE Internet Computing, Jan/Feb 2003.
    - Available on web to IEEE members at <http://www.computer.org/internet/ic2003/w1030abs.htm>
  - Roger Sessions, *Shoot-out at the Transaction Corral: BTP versus WS-T* at [http://www.objectwatch.com/issue\\_41.htm](http://www.objectwatch.com/issue_41.htm)

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  - <http://www.w3.org/TR/wsci/>
- BPML
  - <http://www.bpml.org/>
- XLANG (superseded by BPEL4WS)
  - [http://www.gotdotnet.com/team/xml\\_wsspecs/xlang-c/](http://www.gotdotnet.com/team/xml_wsspecs/xlang-c/)
- Web Services Flow Language (superseded by BPEL4WS)
  - <http://www.ibm.com/software/solutions/webservices/pdf/WSFL.pdf>