

Automated Source Code Resource Sustainability Measure (ASCRSM), v1.0 - beta 1

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Preface

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0.5 Proof of Concept

CAST among other static analysis vendors has implemented a version of this measure based on the set of weaknesses their technologies detect. Currently there are no industry-wide standards for which weaknesses to include in structural quality measures or how such measures should be calculated. Consequently, each vendor produces a unique version of these structural quality measures.

1 Scope

1.1 Purpose

This specification is derived from the Automated Source Code Performance Efficiency Measure and Automated Source Code Reliability Measure both included in the Automated Source Code Quality Measures (ASCQM) specification (<https://www.omg.org/spec/ASCQM/1.0/> and ISO/IEC 5055:2021) to cover common weaknesses (CWEs) that affect the use of energy and other resources. Specifying this measure is important as a source of evidence for complying with emerging regulations and corporate policies regarding reductions in resource usage. This measure is calculated from detecting and counting 40 violations of good architectural and coding practices (weaknesses) in the source code that could result in excessive or unnecessary processing or failures that cause hardware reboots.

1.2 Overview of Structural Quality Measurement in Software

Measurement of the structural quality characteristics of software has a long history in software engineering (Curtis, 1980). These characteristics are also referred to as the structural, internal, technical, or engineering characteristics of software source code. Software quality characteristics are increasingly incorporated into development and outsourcing contracts as the equivalent of service level agreements. That is, target thresholds based on structural quality measures are being written into contracts as acceptance criteria for delivered software. They also provide evidence of compliance with regulations governing various aspects of software system performance.

Currently there are no standards for most of the software structural quality measures. ISO/IEC 25023 purports to address these measures, but only provides measures of external behavior and does not define measures that can be developed from source code during development. This specification addresses one aspect of this problem by providing a specification for measuring attributes of the software that affect the efficient use of resources, often referred to as ‘Green IT’.

Recent advances in measuring the structural quality of software involve detecting violations of good architectural and coding practice from statically analyzing source code. Violations of good architectural and design practice can also be detected from statically analyzing design specifications written in a design language with a formal syntax and semantics. Good architectural and coding practices can be stated as rules for engineering software products. Violations of these rules will be called weaknesses in this specification to be consistent with terms used in the Common Weakness Enumeration (Martin & Barnum, 2006) which lists many of the weaknesses used in several of these measures.

The Automated Source Code Resource Sustainability Measure is a correlated measure rather than an absolute measure of excessive resource usage. That is, since it does not measure all possible resource usage weaknesses, it does not provide an absolute measure of resource inefficiency. However, since it includes counts of what industry experts have determined to be the most severe weaknesses, it provides a strong indicator of the resource inefficiency of a software system. In most instances it will be highly correlated with the probability of inefficient resource usage.

Recent research in analyzing structural quality weaknesses has identified common patterns of code structures that can be used to detect weaknesses. Many of these ‘Detection Patterns’ are shared across different weaknesses. Detection Patterns will be used in this specification to organize and simplify presentation of automated techniques for detecting each weakness. Each weakness will be described as a quality measure element to remain consistent with ISO/IEC 25020. Each quality measure element will be represented as detectable by one or more Detection Patterns. Many quality measure elements (weaknesses) will share one or more Detection Patterns in common.

The normative portion of this specification represents each quality attribute (weakness) and quality measure element (detection pattern) using the Structured Patterns Metamodel Standard (SPMS). The code-based elements in these patterns are represented using the Knowledge Discovery Metamodel (KDM). The calculation of each of the four Automated Source Code Quality Measures from their quality measure elements is then represented in the Structured Metrics Metamodel (SMM). This calculation is developed by counting

the number of detection patterns triggered for each weakness, then summing these numbers for all weaknesses included in the Automated Source Code Resource Sustainability Measure. Each instantiation of a weakness triggers only one of a weakness's detection patterns if multiple detection patterns are relevant to a weakness. Clauses 9 and 10 will present several methods for normalizing the results of evaluating this measure.

2 Conformance

Implementations of this specification shall demonstrate the following attributes in order to claim conformance—automated, objective, transparent, and verifiable.

- **Automated**—The analysis of the source code and counting of weaknesses shall be fully automated. The initial inputs required to prepare the source code for analysis include the source code of the application, the artifacts and information needed to configure the application for operation, and any available description of the architectural layers in the application.
- **Objective**—After the source code has been prepared for analysis using the information provided as inputs, the analysis, calculation, and presentation of results shall not require further human intervention. The analysis and calculation shall be able to repeatedly produce the same results and outputs on the same body of software.
- **Transparent**—Implementations that conform to this specification shall clearly list all source code (including versions), non-source code artifacts, and other information used to prepare the source code for submission to the analysis.
- **Verifiable**—Implementations of this specification shall state the assumptions and heuristics it uses with sufficient detail so that the calculations may be independently verified by third parties. In addition, all inputs used shall be clearly described and itemized so that they can be audited by a third party.

3 Normative References

The following normative documents contain provisions, which, through reference in this text, constitute provisions of this specification. Dated references, subsequent amendments to, or revisions of any of these publications do not apply.

- Structured Patterns Metamodel Standard, <https://www.omg.org/spec/SPMS/1.2/>
- Knowledge Discovery Metamodel, version 1.4 (KDM), <https://www.omg.org/spec/KDM/1.4/>
- Structured Metrics Metamodel, version 1.2 (SMM), formal/2012-01-05
- MOF/XMI Mapping, version 2.5.1 (XMI), <https://www.omg.org/spec/XMI/2.5.1/>
- ISO/IEC 25010 Systems and software engineering – System and software product Quality Requirements and Evaluation (SQuaRE) – System and software quality models
- ISO/IEC 25020:2007 Software engineering — Software product Quality Requirements and Evaluation (SQuaRE) — Measurement reference model and guide
- ISO/IEC 5055:2021 OMG Automated Source Code Quality Measures
- ITU-T X.1524 – Series X: Data Networks, Open System Communications and Security – Cybersecurity information exchange – Vulnerability/state exchange – Common weakness enumeration

4 Terms and Definitions

For the purposes of this specification, the following terms and definitions apply.

Common Weakness Enumeration—repository maintained by MITRE Corporation of known weaknesses in software that can be exploited to gain unauthorized entry into a software system (cwe.mitre.org)

Contributing Weakness—weakness that is represented as a child of a parent weakness in the Common Weakness Enumeration, that is, a variant instantiation of the parent weakness (cwe.mitre.org)

Detection Pattern—collection of parsed program elements and their relations that constitute a weakness in the software

Parent Weakness—weakness in the Common Weakness Enumeration that has numerous possible instantiations in software that are represented by its relation to child CWEs (cwe.mitre.org)

Performance Efficiency—capability of a product to use an appropriate amount of resources under stated conditions (ISO/IEC 25010)

Quality Measure Element—measure defined in terms of a software quality attribute and the measurement method for quantifying it, including optionally the transformation by a mathematical function (ISO/IEC 25010)

Reliability—capability a product to perform specified functions under specified conditions for a specified period of time (ISO/IEC 25010)

Software Product—set of computer programs, procedures, and possibly associated documentation and data (ISO/IEC 25010)

Software Product Quality Model—model that categorizes software product quality properties into eight characteristics (functional suitability, reliability, performance efficiency, usability, security, compatibility, maintainability and portability) (ISO/IEC 25010)

Software Quality—degree to which a software product satisfies stated and implied needs when used under specified conditions (ISO/IEC 25010)

Software Quality Attribute—inherent property or characteristic of software that can be distinguished quantitatively or qualitatively by human or automated means (derived from ISO/IEC 25010)

Software Quality Characteristic—set of software quality attributes that affect a specific category of software quality outcomes (derived from ISO/IEC 25010)

Software Quality Characteristic Measure—software quality measure derived from measuring the attributes related to a specific software quality characteristic (ISO/IEC 25020)

Software Quality Measure—measure that is defined as a measurement function of two or more values of software quality measure elements (ISO/IEC 25010)

Software Quality Measure Element—measure defined in terms of a software quality attribute and the measurement method for quantifying it, including optionally the transformation by a mathematical function (ISO/IEC 25010)

Software Quality Measurement—set of operations having the object of determining a value of a software quality measure (ISO/IEC 25010)

Software Quality Model—defined set of software characteristics, and of relationships between them, which provides a framework for specifying software quality requirements and evaluating the quality of a software product (derived from ISO/IEC 25010)

Software Quality Rule—architectural or coding practice or convention that represents good software engineering practice and avoids problems in software development, maintenance, or operations

Software Quality Sub-characteristic—sub-category of a software quality characteristic to which software quality attributes and their software quality measure elements are conceptually related (derived from ISO/IEC 25010)

Structural Element—component of software code that can be uniquely identified and counted such as a token, decision, or variable

Structural Quality—degree to which a set of static attributes of a software product satisfy stated and implied needs for the software product to be used under specified conditions (derived from ISO/IEC 25010)

Weakness—pattern or structure in the code (Detection Pattern in ASCRSM) that is inconsistent with good architectural or coding practice, violates a software quality rule, and can lead to operational or cost problems (derived from cwe.mitre.com)

5 Symbols (and Abbreviated Terms)

ASCPEM — Automated Source Code Performance Efficiency Measure

ASCQM — Automated Source Code Quality Measure

ASCRM — Automated Source Code Reliability Measure

ASCRSM — Automated Source Code Resource Sustainability Measure

CWE — Common Weakness Enumeration

CISQ — Consortium for Information and Software Quality

KDM — Knowledge Discovery Metamodel

SPMS — Structured Pattern Metamodel Standard

SMM — Structured Metrics Metamodel

6 Additional Information (Informative)

6.1 Software Product Inputs

The following inputs are needed by static code analyzers in order to interpret violations of the software quality rules that would be included in individual software quality measure elements.

- The entire source code for the application being analyzed.
- All materials and information required to prepare the application for production.

Static code analyzers will also need a list of the weaknesses that constitute each quality element in the Automated Source Code Resource Sustainability Measure.

6.2 Automated Source Code Quality Measure Elements

The weaknesses violating software quality rules that compose the CISQ Automated Source Code Resource Sustainability Measure are grouped by measure in the clauses 6 and 7. The Common Weakness Enumeration repository (CWE, Appendix B) has recently been expanded to include weaknesses from quality characteristics beyond security. All weaknesses included in this measure are identified by their CWE number from the repository. In most cases the description of CWEs is taken from information in the online repository (cwe.mitre.org). Most of the weaknesses included in this measure have been drawn from the four measures in OMG's Automated Source Code Quality Measures (ASCQM). The mapping of the weaknesses from the ASCQM to this measure are presented in Appendix C.

Some weaknesses drawn from the CWE repository (parent weaknesses) have related weaknesses listed as 'contributing weaknesses' ('children' in the CWE). Contributing weaknesses represent variants of how the parent weakness can be instantiated in software. In the following tables the cells containing CWE IDs for parents are presented in a darker blue than the cells containing contributing weaknesses. Based on their severity, not all children were included. Compliance to the CISQ measures is assessed at the level of the parent weakness. A technology must be able to detect at least one of the contributing weaknesses to be assessed compliant on the parent weakness.

6.3 Automated Source Code Resource Sustainability Measure Element Descriptions

The quality measure elements (weaknesses violating software quality rules) that compose the CISQ Automated Source Code Resource Sustainability Measure are presented in Table 1 with their CWE identifier from the Common Weakness Enumeration Repository, their title, and a description of the weakness. This measure contains 33 weaknesses. The final column lists measures from the Automated Source Code Quality Measures standard (also ISO 5055:2021) that included the weakness in its calculation. Normative descriptions of the weaknesses in Clause 7 will include partial information on the status of some weaknesses as being 'Parents' of other weaknesses (high level descriptions of a tightly related class of weakness), or of being 'Contributing' weaknesses which represent different instantiations of their parent weakness.

Table 1. Quality Measure Elements for Automated Source Code Resource Sustainability Measure

CWE ID	Weakness title	Weakness description	ASCQM measure
248	Uncaught Exception	An exception is thrown from a function, but it is not caught.	Reliability
252	Unchecked Return Value	The software does not check the return value from a method or function, which can prevent it from detecting unexpected states and conditions.	Reliability Security
390	Detection of Error Condition Without Action	The software detects a specific error but takes no actions to handle the error. For instance, where an exception handling block (such as Catch and Finally blocks) do not contain any instruction, making it impossible to accurately identify and adequately respond to unusual and unexpected conditions.	Reliability
391	Unchecked Error Condition	Ignoring exceptions and other error conditions may allow an attacker to induce unexpected behavior unnoticed.	Reliability
392	Missing Report of Error Condition	The software encounters an error but does not provide a status code or return value to indicate that an error has occurred.	Reliability
394	Unexpected Status Code or Return Value	The software does not properly check when a function or operation returns a value that is legitimate for the function, but is not expected by the software.	Reliability
401	Improper Release of Memory Before Removing Last Reference ('Memory Leak')	The software does not sufficiently track and release allocated memory after it has been used, which slowly consumes remaining memory.	Reliability Security Performance
404	Improper Resource Shutdown or Release	The program does not release or incorrectly releases a resource before it is made available for re-use.	Reliability Security Performance
424	Improper Protection of Alternate Path	The product does not sufficiently protect all possible paths that a user can take to access restricted functionality or resources. When data storage relies on a DBMS, special care shall be given to secure all data accesses and ensure data integrity.	Reliability Security Performance
459	Incomplete Cleanup	The software does not properly "clean up" and remove temporary or supporting resources after they have been used.	Reliability
703	Improper Check or Handling of Exceptional Conditions	The software does not properly anticipate or handle exceptional conditions that rarely occur during normal operation of the software.	Reliability

762	Mismatched Memory Management Routines	The application attempts to return a memory resource to the system, but it calls a release function that is not compatible with the function that was originally used to allocate that resource.	not in ASCQM
772	Missing Release of Resource after Effective Lifetime	The software does not release a resource after its effective lifetime has ended, i.e., after the resource is no longer needed.	Reliability Security Performance
775	Missing Release of File Descriptor or Handle after Effective Lifetime	The software does not release a file descriptor or handle after its effective lifetime has ended, i.e., after the file descriptor/handle is no longer needed. When a file descriptor or handle is not released after use (typically by explicitly closing it), attackers can cause a denial of service by consuming all available file descriptors/handles, or otherwise preventing other system processes from obtaining their own file descriptors/handles.	Reliability Security Performance
833	Deadlock	The software contains multiple threads or executable segments that are waiting for each other to release a necessary lock, resulting in deadlock.	Reliability
835	Loop with Unreachable Exit Condition ('Infinite Loop')	The program contains an iteration or loop with an exit condition that cannot be reached, i.e., an infinite loop.	Reliability Security
1043	Data Element Aggregating an Excessively Large Number of Non-Primitive Elements	The software uses a data element that has an excessively large number of sub-elements with non-primitive data types such as structures or aggregated objects. (default threshold for the maximum number of aggregated non-primitive data types is 5, <i>alternate threshold can be set prior to analysis</i>).	Performance
1046	Creation of Immutable Text Using String Concatenation	This programming pattern can be inefficient in comparison with use of text buffer data elements. This issue can make the software perform more slowly. If the relevant code is reachable by an attacker, then this performance problem might introduce a vulnerability.	Performance
1049	Excessive Data Query Operations in a Large Data Table	The software performs a data query with a large number of joins and sub-queries on a large data table. (default thresholds are 5 joins, 3 sub-queries, and 1,000,000 rows for a large table, <i>alternate thresholds for all three parameters can be set prior to analysis</i>).	Performance

1050	Excessive Platform Resource Consumption within a Loop	The software has a loop body or loop condition that contains a control element that directly or indirectly consumes platform resources, e.g. messaging, sessions, locks, or file descriptors. (default threshold for resource consumption should be set based on the system architecture <i>prior to analysis</i>).	Performance
1051	Initialization with Hard-Coded Network Resource Configuration Data	The software initializes data using hard-coded values that act as network resource identifiers.	Reliability Maintenance
1057	Data Access Operations Outside of Expected Data Manager Component	The software uses a dedicated, central data manager component as required by design, but it contains code that performs data-access operations that do not use this data manager. Notes: <ul style="list-style-type: none"> · The dedicated data access component can be either client-side or server-side, which means that data access components can be developed using non-SQL language. · If there is no dedicated data access component, every data access is a weakness. · For some embedded software that requires access to data from anywhere, the whole software is defined as a data access component. This condition must be identified as input to the analysis. 	Security Performance
1060	Excessive Number of Inefficient Server-Side Data Accesses	The software performs too many data queries without using efficient data processing functionality such as stored procedures. (default threshold for maximum number of data queries is 5 , <i>alternate threshold can be set prior to analysis</i>).	Performance
1067	Excessive Execution of Sequential Searches of Data Resource	The software contains a data query against a SQL table or view that is configured in a way that does not utilize an index and may cause sequential searches to be performed. (default threshold for a weakness to be counted is a query on a table of at least 500 rows, or an alternate threshold recommended by the database vendor. No weakness should be counted under conditions where the vendor recommends an index should not be used. <i>An alternate threshold can be set prior to analysis</i>).	Performance
1069	Empty Exception Block	An invocable code block contains an exception handling block that does not contain any code, i.e. is empty.	not in ASCQM

1072	Non-SQL Invokable Control Element with Excessive Number of Data Resource Accesses	The software contains a client with a function or method that contains a large number of data accesses/queries that are sent through a data manager, i.e., does not use efficient database capabilities. (default threshold for the maximum number of data queries is 2, <i>alternate threshold can be set prior to analysis</i>).	Performance
1073	Non-SQL Invokable Control Element with Excessive Number of Data Resource Accesses	The software contains a client with a function or method that contains a large number of data accesses/queries that are sent through a data manager, i.e., does not use efficient database capabilities.	Performance
1083	Data Access from Outside Designated Data Manager Component	The software is intended to manage data access through a particular data manager component such as a relational or non-SQL database, but it contains code that performs data access operations without using that component. Notes: <ul style="list-style-type: none"> · The dedicated data access component can be either client-side or server-side, which means that data access components can be developed using non-SQL language. · If there is no dedicated data access component, every data access is a violation. · For some embedded software that requires access to data from anywhere, the whole software is defined as a data access component. This condition must be identified as input to the analysis. 	Reliability
1088	Synchronous Access of Remote Resource without Timeout	The code has a synchronous call to a remote resource, but there is no timeout for the call, or the timeout is set to infinite.	Reliability
1089	Large Data Table with Excessive Number of Indices	The software uses a large data table (default is 1,000,000 rows; <i>alternate threshold can be set prior to analysis</i>) that contains an excessively large number of indices. (default threshold for the maximum number of indices is 3, <i>alternate threshold can be set prior to analysis</i>).	Performance
1091	Use of Object without Invoking Destructor Method	The software contains a method that accesses an object but does not later invoke the element's associated finalize/destructor method.	Performance
1094	Excessive Index Range Scan for a Data Resource	The software contains an index range scan for a large data table, (default threshold is 1,000,000 rows, <i>alternate threshold can be set prior to analysis</i>) but the scan can cover a large number of rows. (default threshold for the index range is 10, <i>alternate threshold can be set prior to analysis</i>).	Performance

1235	Incorrect Use of Autoboxing and Unboxing for Performance Critical Operations	The code uses boxed primitives, which may introduce inefficiencies into performance-critical operations.	not in ASCQM
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6.4 Introduction to the Specification of Quality Measure Elements

Clauses 7, 8, and 9 display in human readable format the content of the machine readable XMI format file attached to this specification. The content of the machine readable XMI format file represents the Quality Measure Elements with the following conventions:

- Structural elements included in a weakness pattern are represented in the Knowledge Discovery Metamodel (KDM).
- Relations among the structural elements constituting a weakness pattern are represented in the Software Patterns Metamodel Standard (SPMS) to compute measures at the weakness level.
- Calculation of measure is represented in the Structured Metrics Metamodel (SMM).

6.5 Knowledge Discovery Metamodel (KDM)

This specification uses the Knowledge Discovery Metamodel (KDM) to represent the parsed entities whose relationships create a weakness pattern. The machine readable XMI format file attached to the current specification uses KDM entities in the ‘KDM outline’ section of the pattern definitions to represent the code elements whose presence or absence indicates an occurrence of the weakness. Descriptions of detection patterns try to remain as generic, yet as accurate as possible, so that the detection pattern can be applied to as many situations as possible such as different technologies and different programming languages. This means:

1. The descriptions include information such as (MethodUnit), (Reads), (ManagesResource), ... to identify the KDM entities included in the pattern definition.
2. The descriptions only describe the salient aspects of the pattern since the specifics can be technology or language-dependent.

Detection Patterns presented in Clause 8 use micro-KDM to provide greater granularity to their specification of weakness patterns. Additional semantic constraints are required to coordinate producers and consumers of KDM models to use the KDM Program Element layer for control- and data-flow analysis applications, as well as for providing more precision for the Resource Layer and the Abstraction Layer. Micro-KDM achieves this by constraining the granularity of the leaf action elements and their meaning by providing the set of micro-actions with predefined semantics. Micro-KDM treats the original macro-action as a container that owns certain micro-actions with predefined semantics. Thus, precise semantics of the macro-action is defined. Thus, micro-KDM constrains the patterns of how to map the statements of the existing system as determined by the programming language into KDM.

KDM is helpful for reading this chapter. However, for readers not familiar with KDM, Table 5 presents a primer which translates standard source code element terms into the KDM outline in this specification.

Table 1 Software elements translated into KDM wording

Software element	KDM outline
function, method, procedure, stored procedure, sub-routine etc.	CallableUnit MethodUnit id="ce1" ...

variable, field, member, etc.	StorableUnit MemberUnit id="de1" ...
class, interface definition and use as a type, use as base class	ClassUnit InterfaceUnit id="cu1" ... StorableUnit id="su1" type="cu1" ... ClassUnit id="cu2" ... Extends "cu1" ...
method	ClassUnit id="cu2" ... MethodUnit "mu1" ...
field, member	ClassUnit id="cu2" ... MemberUnit "mu1" ...
SQL stored procedures	DataModel RelationalSchema ... CallableUnit id="cu1" kind="stored" ...
return code value definition and use	CallableUnit MethodUnit id="ce1" type="ce1_signature" ... Signature "ce1_signature" ParameterUnit id="pu1" kind="return" ... Value StorableUnit MemberUnit id="de1" ... ActionElement id="ae1" kind="Call PtrCall MethodCall VirtualCall" ... Calls "ce1" Reads "de1"
exception	CallableUnit MethodUnit id="ce1" type="ce1_signature" ... Signature "ce1_signature" ParameterUnit id="pu1" kind="exception" ...
user input data flow	UIModel UIField id="uf1" UIAction id="ua1" implementation="ae1" kind="input" ReadsUI "uf1" ... CodeModel ... StorableUnit id="su1" StorableUnit id="su2" ActionElement id="ae1" kind="UI" Writes "su1" Flow "ae2" ActionElement id="ae2" Flow "ae3" Reads "su1" Writes "su2" ActionElement id="ae3" Flow "ae4" ...

execution path	<pre> ActionElement id="ae1" kind="UI" Flow Calls "ae2" ActionElement id="ae2" Flow Calls "ae3" ActionElement id="ae3" Flow Calls "ae4" </pre>
RDBMS	<pre> DataModel RelationalSchema ... </pre>
for loop	<pre> ActionElement id="ae5" kind="Compound" StorableUnit id="su3" ActionElement id="ae6" kind="Assign" Reads ... Writes "su3" Flows "ae7" ActionElement id="ae7" kind="LessThan LessThanOrEqual GreaterThan GreaterThanOr Equal" Reads "su3" Reads "su2" TrueFlow "ae8" FalseFlow "ff1" ActionElement id="ae8" kind=... ... ActionElement id="ae9" kind="Incr Decr" Addresses "loopVariable" Flows "ae6" ActionElement id="ff1" kind="Nop" </pre>
while loop	<pre> ActionElement id="ae5" kind="Compound" BooleanType id="booleanType" DataElement id="de1" type="booleanType" EntryFlow "tf1" ActionElement id="tf1" ActionElement id="ae6" kind="GreaterThan GreaterThanOrEqual LessThan LessThanOr Equal" Reads "su2" ... Writes "de1" ActionElement id="ae7" kind="Condition" Reads "de1" TrueFlow "tf1" FalseFlow "ff1" ActionElement id="ff1" </pre>
checked	<pre> Value StorableUnit MemberUnit id="de1" ... ActionElement id="ae1" kind="Equals NotEqualTo GreaterThan GreaterThanOrEqual L essThan LessThanOrEqual" ... Reads "de1" </pre>

6.6 Software Patterns Metamodel Standard (SPMS)

This specification uses the Software Patterns Metamodel Standard (SPMS) to represent weaknesses as software patterns involving code elements and their relationships in source code. In the machine readable XMI format file attached to the current specification each weakness pattern is represented in SPMS Definitions Classes as follows:

- **PatternDefinition (SPMS:PatternDefinition):** the pattern specification describing a specific weakness and a specific detection pattern. In the context of this document, each Quality Measure Element is the count of occurrences of the SPMS detection patterns detected in the source code for a specific weakness related to the Quality Characteristic being measured.
- **Role (SPMS:Role):** “A pattern is informally defined as a set of relationships between a set of entities. Roles describe the set of entities within a pattern, between which relationships will be described. As such the Role is a required association in a PatternDefinition...Semantically, a Role is a 'slot' that is required to be fulfilled for an instance of its parent PatternDefinition to exist. Roles for weaknesses are abstractions, while the roles for detection patterns can be linked back to the code elements.
- **PatternSection (SPMS:PatternSection):** “A PatternSection is a free-form prose textual description of a portion of a PatternDefinition.” In the context of this document, there are 7 different PatternSections in use:
 - “Descriptor” (“descriptor” in the XMI document) to provide pattern signature, a visible interface of the pattern.
 - “Description” (“description” in XMI document) to provide a human readable explanation of the measure.
 - “KDM Outline” (“kdm outline” in XMI document) to provide an illustration of the essential elements related to KDM, in a human readable outline.
 - “What to report” (“reporting” in XMI document) to provide the list of elements to report to claim the finding of an occurrence of a detection pattern.
 - “Reference” (“reference” in XMI document) to provide pointers to the weakness description in the CWE repository.
 - “Usage name” (“usage_name” in XMI document) to provide a more user-friendly name to the weakness, generally the case when the weakness original name was too strongly KDM-flavored for the general audience.

SPMS Relationships Classes:

- **MemberOf (SPMS:MemberOf):** “An InterpatternRelationship specialized to indicate inclusion in a Category”.
- **RelatedPattern (SPMS:RelatedPattern)** with 4 different Natures (SPMS:Nature) (“DetectedBy”, “Detecting”, “AggregatedBy”, and “Aggregating”): InterpatternRelationships used to model the relations between weaknesses and detection patterns, and between parent and child weaknesses.
- **Category (SPMS:Category):** “A Category is a simple grouping element for gathering related PatternDefinitions into clusters.” In the context of this document, the SPMS Categories are used to represent the 4 Quality Characteristics:
 - “Reliability”
 - “Security”
 - “Performance Efficiency”
 - “Maintainability”

6.7 Specification of Detection Patterns

Detection patterns provide guidance for automated detection of the weaknesses enumerated in Clause 7. Each weakness may have several different instantiations in the source code. Thus, a weakness may be associated with several different detection patterns. Each detection pattern may be associated with weaknesses in several different quality measures. There are 78 detection patterns associated with the weaknesses in Automated Source Code Resource Sustainability Measures. This number will grow as more detection patterns are discovered and specified.

Detection Patterns use micro-KDM to provide greater granularity to their specification of weakness patterns. Additional semantic constraints are required to coordinate producers and consumers of KDM models to use the KDM Program Element layer for control- and data-flow analysis applications, as well as for providing more precision for the Resource Layer and the Abstraction Layer. Micro-KDM achieves this by constraining the granularity of the leaf action elements and their meaning by providing the set of micro-actions with predefined semantics. Micro-KDM treats the original macro-action as a container that owns certain micro-actions with predefined semantics. Thus, precise semantics of the macro-action is defined. Micro-KDM constrains the patterns of how to map the statements of the existing system as determined by the programming language into KDM.

6.8 Reading guide

For each numbered sub-clause in clause 7:

- Sub-clause 7.x represents the Software Quality characteristic addressed by the associated weakness patterns.
- Sub-clause 7.x.y represents the SPMS and SMM modeling associated with a weakness pattern for a specific weakness associated with the Software Quality characteristic.
- The last sub-clause 7.x.y represents the SMM modeling associated with the quality characteristic computation.

Weakness pattern sub-clauses are summarizing the various aspects related to a weakness:

- (SPMS) usage name pattern section, if any
- (SPMS) reference pattern section
- (SPMS) roles
- (SPMS) contributing weaknesses and parent weakness, if any,
 - useful for reporting of weakness pattern-level information, aggregated or detailed
- (SPMS and SMM) detection patterns,
 - useful for reporting of detection pattern-level findings at the weakness level
 - useful for counting the violations to the weakness, by summing the count of violations to its detection patterns

Last sub-clauses are summarizing the computation of the quality measure scores:

- (SMM) detection patterns,
 - useful for reporting of detection pattern-level findings at the quality characteristic level
 - useful for computing the score of the quality measure, by summing the count of violations to its detection patterns

For each numbered sub-clause in clause 8:

- Sub-clause 8.x represents the SPMS modeling associated with a detection pattern

Detection pattern sub-clauses are summarizing the various aspects related to a detection pattern:

- (SPMS) descriptor, description, KDM outline, reporting pattern sections,
 - In description and reporting pattern sections, data between angle brackets (e.g.: <ControlElement>) identify SPMS roles

7 ASCRSM Weakness Specifications (Normative)

7.1 CWE-248 Uncaught Exception

Reference

<https://cwe.mitre.org/data/definitions/248>

Roles

- the <ExceptionThrowDeclaration>
- the <ExceptionCatchSequence>

Parent weaknesses

CWE-703 Improper Check or Handling of Exceptional Conditions

Detection Patterns

ASCQM Catch Exceptions

7.2 CWE-252 Unchecked Return Value

Reference

<https://cwe.mitre.org/data/definitions/252>

Roles

- the <OperationCall>

Detection Patterns

ASCQM Check Return Value of Resource Operations Immediately
ASCQM Handle Return Value of Must Check Operations

7.3 CWE-390 Detection of Error Condition Without Action

Reference

<https://cwe.mitre.org/data/definitions/390>

Roles

- the <ErrorCondition>

Detection Patterns

ASCQM Ban Empty Exception Block
ASCQM Handle Return Value of Resource Operations

7.4 CWE-391 Unchecked Error Condition

Reference

<https://cwe.mitre.org/data/definitions/391>

Roles

- the <ErrorConditionProcessing>

Parent weaknesses

Weakness CWE-703 Improper Check or Handling of Exceptional Conditions

Detection Patterns

ASCQM Ban Empty Exception Block

ASCQM Ban Useless Handling of Exceptions

7.5 CWE-392 Missing Report of Error Condition

Reference

<https://cwe.mitre.org/data/definitions/392>

Roles

- the <ErrorConditionProcessing>

Parent weaknesses

CWE-703 Improper Check or Handling of Exceptional Conditions

Detection Patterns

ASCQM Ban Useless Handling of Exceptions

7.6 CWE-394 Unexpected Status Code or Return Value

Reference

<https://cwe.mitre.org/data/definitions/394>

Roles

- the <ReturnValue>

Detection Patterns

ASCQM Ban Incorrect Numeric Conversion of Return Value

ASCQM Handle Return Value of Must Check Operations

ASCQM Handle Return Value of Resource Operations

7.7 CWE-401 Improper Release of Memory Before Removing Last Reference ('Memory Leak')

Reference

<https://cwe.mitre.org/data/definitions/401>

Roles

- the <MemoryAllocation>

Parent weaknesses

CWE-404 Improper Resource Shutdown or Release

Detection Patterns

ASCQM Ban Comma Operator from Delete Statement
ASCQM Implement Required Operations for Manual Resource Management
ASCQM Release Memory After Use
ASCQM Release Memory after Use with Correct Operation
ASCQM Release Memory after Use with Correct Reference
ASCQM Release Platform Resource after Use
ASCQM Release in Destructor Memory Allocated in Constructor

7.8 CWE-404 Improper Resource Shutdown or Release

Reference

<https://cwe.mitre.org/data/definitions/404>

Roles

- the <ResourceAllocation>

Contributing weaknesses

CWE-401 Improper Release of Memory Before Removing Last Reference ('Memory Leak')
CWE-762 Mismatched Memory Management Routines
CWE-772 Missing Release of Resource after Effective Lifetime
CWE-775 Missing Release of File Descriptor or Handle after Effective Lifetime

Detection Patterns

ASCQM Implement Required Operations for Manual Resource Management
ASCQM Implement Virtual Destructor for Classes Derived from Class with Virtual Destructor
ASCQM Implement Virtual Destructor for Classes with Virtual Methods
ASCQM Implement Virtual Destructor for Parent Classes
ASCQM Release File Resource after Use in Class
ASCQM Release File Resource after Use in Operation
ASCQM Release Memory After Use
ASCQM Release Memory after Use with Correct Operation
ASCQM Release Memory after Use with Correct Reference
ASCQM Release Platform Resource after Use
ASCQM Release in Destructor Memory Allocated in Constructor

7.9 CWE-424 Improper Protection of Alternate Path

Reference

<https://cwe.mitre.org/data/definitions/424>

Roles

- the <AlternatePath>

Detection Patterns

ASCQM Ban Unintended Paths

7.10 CWE-459 Incomplete Cleanup

Reference

<https://cwe.mitre.org/data/definitions/459>

Roles

- the <ResourceAllocation>
- the <ResourceRelease>

Detection Patterns

ASCQM Release Memory after Use with Correct Operation
ASCQM Release Memory after Use with Correct Reference

7.11 CWE-703 Improper Check or Handling of Exceptional Conditions

Reference

<https://cwe.mitre.org/data/definitions/703>

Roles

- the <ErrorHandling>

Contributing weaknesses

CWE-248 Uncaught Exception
CWE-391 Unchecked Error Condition
CWE-392 Missing Report of Error Condition

Detection Patterns

ASCQM Ban Empty Exception Block
ASCQM Ban Useless Handling of Exceptions
ASCQM Catch Exceptions

7.12 CWE-762 Mismatched Memory Management Routines

Reference

<https://cwe.mitre.org/data/definitions/762>

Roles

- the <MemoryAllocation>
- the <MemoryRelease>

Parent weaknesses

CWE-404 Improper Resource Shutdown or Release

Detection Patterns

ASCQM Release Memory after Use with Correct Operation

7.13 CWE-772 Missing Release of Resource after Effective Lifetime

Reference

<https://cwe.mitre.org/data/definitions/772>

Roles

- the <ResourceAllocation>

Parent weaknesses

CWE-404 Improper Resource Shutdown or Release

Detection Patterns

ASCQM Release File Resource after Use in Operation

ASCQM Release Platform Resource after Use

ASCQM Release in Destructor Memory Allocated in Constructor

7.14 CWE-775 Missing Release of File Descriptor or Handle after Effective Lifetime

Reference

<https://cwe.mitre.org/data/definitions/775>

Roles

- the <FileDescriptorOrHandleAllocation>

Parent weaknesses

Weakness CWE-775 Missing Release of File Descriptor or Handle after Effective Lifetime

Detection Patterns

ASCQM Release File Resource after Use in Class

ASCQM Release File Resource after Use in Operation

7.15 CWE-833 Deadlock

Reference

<https://cwe.mitre.org/data/definitions/833>

Roles

- the <Thread1>

- the <Thread2>

- the <ConflictingLock>

Detection Patterns

ASCQM Ban Incompatible Lock Acquisition Sequences

ASCQM Ban Use of Thread Control Primitives with Known Deadlock Issues

7.16 CWE-835 Loop with Unreachable Exit Condition ('Infinite Loop')

Reference

<https://cwe.mitre.org/data/definitions/835>

Roles

- the <InfiniteLoop>

Detection Patterns

ASCQM Ban Unmodified Loop Variable Within Loop
ASCQM Ban While TRUE Loop Without Path To Break

7.17 CWE-1043 Storable and Member Data Element Excessive Number of Aggregated Storable and Member Data Elements

Usage name

Excessively large data element

Reference

<https://cwe.mitre.org/data/definitions/1043>

Roles

- the <AggregationData>
- the <AggregatedData>

Detection Patterns

ASCQM Limit Number of Aggregated Non-Primitive Data Types

7.18 CWE-1046 Creation of Immutable Text Using String Concatenation

Usage name

Immutable text data

Reference

<https://cwe.mitre.org/data/definitions/1046>

Roles

- the <ImmutableDataCreation>

Detection Patterns

ASCQM Ban Incremental Creation of Immutable Data

7.19 CWE-1049 Excessive Data Query Operations in a Large Data Table

Usage name

Complex read/write access

Reference

<https://cwe.mitre.org/data/definitions/1049>

Roles

- the <DataQuery>

Detection Patterns

ASCQM Ban Excessive Complexity of Data Resource Access

7.20 CWE-1050 Excessive Platform Resource Consumption within a Loop

Usage name

Resource consuming operation in loop

Reference

<https://cwe.mitre.org/data/definitions/1050>

Roles

- the <Loop>
- the <ExpensiveOperation>

Detection Patterns

ASCQM Ban Expensive Operations in Loops

7.21 CWE-1051 Initialization with Hard-Coded Network Resource Configuration Data

Usage name

Hard-coded network resource information

Reference

<https://cwe.mitre.org/data/definitions/1051>

Roles

- the <NetworkResourceAccess>
- the <HardCodedValue>

Detection Patterns

ASCQM Ban Hard-Coded Literals used to Connect to Resource

7.22 CWE-1057 Data Access Operations Outside of Designated Data Manager Component

Usage name

Circumventing data access routines

Reference

<https://cwe.mitre.org/data/definitions/1057>

Roles

- the <DataManager>
- the <DataAccess>

Detection Patterns

ASCQM Ban Unintended Path

7.23 CWE-1060 Excessive Number of Inefficient Server-Side Data Accesses

Usage name

Excessive data queries in non-stored procedure

Reference

<https://cwe.mitre.org/data/definitions/1060>

Roles

- the <NonStoredSQLOperation>
- the <DataAccesses>

Detection Patterns

ASCQM Ban Excessive Number of Data Resource Access from non-stored SQL Procedure

7.24 CWE-1067 Excessive Execution of Sequential Searches of Data Resource

Usage name

Incorrect indices

Reference

<https://cwe.mitre.org/data/definitions/1067>

Roles

- the <DataQuery>
- the <TableOrView>

Detection Patterns

ASCQM Implement Index Required by Query on Large Tables

7.25 CWE-1069 Empty Exception Block

Reference

<https://cwe.mitre.org/data/definitions/1069>

Roles

- the <ErrorConditionProcessing>

Detection Patterns

ASCQM Ban Empty Exception Block

7.26 CWE-1072 Data Resource Access without use of Connection Pooling

Usage name

Data access not using connection pool

Reference

<https://cwe.mitre.org/data/definitions/1072>

Roles

- the <Connection>

Detection Patterns

ASCQM Ban Use of Prohibited Low-Level Resource Management Functionality

7.27 CWE-1073 Non-SQL Invokable Control Element with Excessive Number of Data Resource Access

Usage name

Excessive data queries in client-side code

Reference

<https://cwe.mitre.org/data/definitions/1073>

Roles

- the <NonSQLOperation>
- the <DataAccesses>

Detection Patterns

ASCQM Ban Excessive Number of Data Resource Access from non-SQL Code

7.28 CWE-1083 Data Access from Outside Designated Data Manager Component

Usage name

Circumventing data access routines

Reference

<https://cwe.mitre.org/data/definitions/1083>

Roles

- the <DataManager>
- the <DataAccess>

Detection Patterns

ASCQM Ban Unintended Paths

7.29 CWE-1088 Synchronous Access of Remote Resource without Timeout

Usage name

Synchronous call with missing timeout

Reference

<https://cwe.mitre.org/data/definitions/1088>

Roles

- the <SynchronousCall>
- the <TimeOutOption>

Detection Patterns

ASCQM Manage Time-Out Mechanisms in Blocking Synchronous Calls

7.30 CWE-1089 Large Data Table with Excessive Number of Indices

Usage name

Excessive number of indices on large tables

Reference

<https://cwe.mitre.org/data/definitions/1089>

Roles

- the <Table>
- the <Indexes>

Detection Patterns

ASCQM Ban Excessive Number of Index on Columns of Large Tables

7.31 CWE-1091 Use of Object without Invoking Destructor Method

Reference

<https://cwe.mitre.org/data/definitions/1091>

Roles

- the <Object>

Detection Patterns

ASCQM Release Memory after Use with Correct Operation

7.32 CWE-1094 Excessive Index Range Scan for a Data Resource

Usage name

Excessively large indices on large tables

Reference

<https://cwe.mitre.org/data/definitions/1094>

Roles

- the <Table>
- the <Indexes>

Detection Patterns

ASCQM Ban Excessive Size of Index on Columns of Large Tables

7.33 CWE-1235 Incorrect Use of Autoboxing and Unboxing for Performance Critical Operations

Reference

<https://cwe.mitre.org/data/definitions/1235>

Roles

- the <Autoboxing/Unboxing>

Detection Patterns

ASCQM Ban Autoboxing in Loops

ASCQM Ban Unboxing in Loops

7.34 ASCRSM Detection Patterns

ASCQM Ban Autoboxing in Loops

ASCQM Ban Comma Operator from Delete Statement

ASCQM Ban Empty Exception Block

ASCQM Ban Excessive Complexity of Data Resource Access

ASCQM Ban Excessive Number of Data Resource Access from non-SQL Code

ASCQM Ban Excessive Number of Data Resource Access from non-stored SQL Procedure

ASCQM Ban Excessive Number of Index on Columns of Large Tables

ASCQM Ban Excessive Size of Index on Columns of Large Tables

ASCQM Ban Expensive Operations in Loops

ASCQM Ban Hard-Coded Literals used to Connect to Resource

ASCQM Ban Incompatible Lock Acquisition Sequences

ASCQM Ban Incorrect Numeric Conversion of Return Value

ASCQM Ban Incremental Creation of Immutable Data

ASCQM Ban Unboxing in Loops

ASCQM Ban Unintended Paths

ASCQM Ban Unmodified Loop Variable Within Loop

ASCQM Ban Use of Prohibited Low-Level Resource Management Functionality

ASCQM Ban Use of Thread Control Primitives with Known Deadlock Issues

ASCQM Ban Useless Handling of Exceptions

ASCQM Ban While TRUE Loop Without Path To Break

ASCQM Catch Exceptions

ASCQM Check Return Value of Resource Operations Immediately

ASCQM Handle Return Value of Must Check Operations

ASCQM Handle Return Value of Resource Operations

ASCQM Implement Index Required by Query on Large Tables
ASCQM Implement Required Operations for Manual Resource Management
ASCQM Implement Virtual Destructor for Classes Derived from Class with Virtual Destructor
ASCQM Implement Virtual Destructor for Classes with Virtual Methods
ASCQM Implement Virtual Destructor for Parent Classes
ASCQM Limit Number of Aggregated Non-Primitive Data Types
ASCQM Manage Time-Out Mechanisms in Blocking Synchronous Calls
ASCQM Release File Resource after Use in Class
ASCQM Release File Resource after Use in Operation
ASCQM Release Memory After Use
ASCQM Release Memory after Use with Correct Operation
ASCQM Release Memory after Use with Correct Reference
ASCQM Release Platform Resource after Use
ASCQM Release in Destructor Memory Allocated in Constructor

8 ASCRSM Weakness Detection Patterns (Normative)

8.1 ASCQM Ban Incorrect Numeric Conversion of Return Value

Descriptor

ASCQM Ban Incorrect Numeric Conversion of Return Value(FunctionMethodOrProcedure, VariableDataType, CallStatement, TargetDataType)

Description

Identify occurrences in application model where:

- the <FunctionMethodOrProcedure> function, method, procedure, ...
- declared to return a value with the <VariableDataType> numerical data type
- is called in the <CallStatement> call statement
- with assignment of its return value to a variable of the <TargetDataType> second numerical data type
- which is incompatible with the first one
- without any explicit casting

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```
IntegerType|DecimalType|FloatType id="dt1"  
IntegerType|DecimalType|FloatType id="dt2"  
StorableUnit|ItemUnit|MemberUnit|Value id="de1" type="dt2"  
...  
CallableUnit|MethodUnit id="ce1" type="ce1_signature"  
attribute="CheckReturnValue|..."  
    Signature id="ce1_signature"  
        ParameterUnit id="pu1" kind="return" type="dt1"  
...  
ActionElement id="ae1" kind="Call|PtrCall|MethodCall|VirtualCall"  
    Calls "ce1"  
    Writes "de1"  
...
```

and the numeric datatypes are not compatible.

What to report

Roles to report are:

- the <FunctionMethodOrProcedure> function, method, procedure, ...
- the <VariableDataType> numerical data type
- the <CallStatement> call statement with assignment
- the <TargetDataType> second numerical data type

8.2 ASCQM Handle Return Value of Must Check Operations

Descriptor

ASCQM Handle Return Value of Must Check Operations(CallToTheOperation)

Description

Identify occurrences in application model where:

- the must-check function, method, procedure, ... is called in the <CallToTheOperation> call statement
- with no use in a conditional statement of the return value

The must-check nature of a function, method, procedure, ... is technology dependent. For example, in Java: the `@CheckReturnValue` annotation

KDM outline illustration

KDM elements present in the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
...
CallableUnit|MethodUnit id="ce1" type="ce1_signature"
attribute="CheckReturnValue|..."
    Signature id="ce1_signature"
        ParameterUnit id="pu1" kind="return"
...
ActionElement id="ae1" kind="Call|PtrCall|MethodCall|VirtualCall"
...
```

KDM elements absent from the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
StorableUnit id="su1"
...
ActionElement id="ae1" kind="Call|PtrCall|MethodCall|VirtualCall"
    Writes "su1"
    Flows "ae2"
ActionElement id="ae2" kind="Switch"
    Reads "su1"
    GuardedFlow "gf1"
    GuardedFlow|FalseFlow "gf2"
...
```

or

```
StorableUnit id="su1"
StorableUnit id="su2"
...
ActionElement id="ae1" kind="Call|PtrCall|MethodCall|VirtualCall"
    Writes "su1"
    Flows "ae2"
ActionElement id="ae2"
kind="Equal|NotEqual|LessThan|LessThanOrEqual|GreaterThan|GreatedThanOrEqual"
    Reads "su1"
    Writes "su2"
    Flows "ae3"
ActionElement id="ae3" kind="Condition"
    TrueFlow "tf1"
    FalseFlow "ff1"
...
```

What to report

Roles to report are:

- the `<CallToTheOperation>` call statement

8.3 ASCQM Handle Return Value of Resource Operations

Descriptor

ASCQM Handle Return Value of Resource Operations(CallToTheOperation)

Description

Identify occurrences in application model where:

- the platform resource management function, method, procedure, ... is called in the <CallToTheOperation> call statement
- with no use in a conditional statement of the return value

KDM outline illustration

KDM elements present in the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
PlatformModel
...
DataManager|ExecutionResource|... id="pr1"
...
PlatformResource id="pal" implementation="ae1"
    ManagesResource|ReadsResource|WritesResource "pr1"
...
CodeModel
...
CallableUnit|MethodUnit id="ce1" type="ce1_signature"
    Signature id="ce1_signature"
    ParameterUnit id="pu1" kind="return"
...
ActionElement id="ae1" kind="Call|PtrCall|MethodCall|VirtualCall"
...
```

KDM elements absent from the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
StorableUnit id="su1"
...
ActionElement id="ae1" kind="Call|PtrCall|MethodCall|VirtualCall"
    Writes "su1"
    Flows "ae2"
ActionElement id="ae2" kind="Switch"
    Reads "su1"
    GuardedFlow "gf1"
    GuardedFlow|FalseFlow "gf2"
...
or
StorableUnit id="su1"
StorableUnit id="su2"
...
ActionElement id="ae1" kind="Call|PtrCall|MethodCall|VirtualCall"
    Writes "su1"
    Flows "ae2"
ActionElement id="ae2"
kind="Equal|NotEqual|LessThan|LessThanOrEqual|GreaterThan|GreatedThanOrEqual"
    Reads "su1"
    Writes "su2"
    Flows "ae3"
ActionElement id="ae3" kind="Condition"
    TrueFlow "tf1"
    FalseFlow "ff1"
...
```

What to report

Roles to report are:

- the <CallToTheOperation> call statement

8.4 ASCQM Check Return Value of Resource Operations Immediately

Descriptor

ASCQM Check Return Value of Resource Operations Immediately(CallToTheOperation)

Description

Identify occurrences in application model where:

- a platform resource management function, procedure, method, ... is called in the <CallToTheOperation> call statement
- with no operation performed immediately after on the return value

KDM outline illustration

KDM elements present in the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
PlatformModel
...
DataManager|ExecutionResource|... id="pr1"
...
PlatformResource id="pal" implementation="ae1"
  ManagesResource|ReadsResource|WritesResource "pr1"
...
CodeModel
CallableUnit|MethodUnit id="cel" type="cel_signature"
  Signature id="cel_signature"
  ParameterUnit id="pul" kind="return"
...
ActionElement id="ae1" kind="Call|PtrCall|MethodCall|VirtualCall"
...
```

KDM elements absent from the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
StorableUnit id="sul"
...
ActionElement id="ae1" kind="Call|PtrCall|MethodCall|VirtualCall"
  Writes "sul"
  Flows "ae2"
ActionElement id="ae2"
  Reads "sul"
```

What to report

Roles to report are:

- the <CallToTheOperation> call statement8.22

8.5 ASCQM Ban Useless Handling of Exceptions

Descriptor

ASCQM Ban Useless Handling of Exceptions(CatchBlock)

Description

Identify occurrences in application model where:

- the <CatchBlock> catch block
- does not report on the error condition as a new throw or as a return value

KDM outline illustration

KDM elements present in the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
...
CatchUnit id="cu1"
    ...
    ...
```

KDM elements absent from the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
...
CatchUnit id="cu1"
    ...
    ActionElement id="ae1" kind="Throw"
        Throws ...
    ...
```

or

```
...
CatchUnit id="cu1"
    ...
    ActionElement id="ae1" kind="Return"
        Reads ...
    ...
```

What to report

Roles to report are:

- the <CatchBlock> catch block

8.6 ASCQM Ban Comma Operator from Delete Statement

Descriptor

ASCQM Ban Comma Operator from Delete Statement(DeleteStatement, CommaStatement)

Description

Identify occurrences in application model where:

- the <DeleteStatement> delete statement
- compounded with the <CommaStatement> comma statement

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```
...
CallableUnit id="cu1" name="delete" callableKind="operator"
CallableUnit id="cu2" name="comma" callableKind="operator"
...
ActionElement id="ae1" kind="Compound" ext="delete x, y"
    ActionElement id="ae2" kind="Call"
        Calls "cu1"
    ...
    ActionElement id="ae3" kind="Call"
        Calls "cu2"
    ...
    ...
```

What to report

Roles to report are:

- the <DeleteStatement> delete this statement
- the <CommaStatement> comma statement

8.7 ASCQM Release in Destructor Memory Allocated in Constructor

Descriptor

ASCQM Release in Destructor Memory Allocated in Constructor(MemoryAllocationStatement)

Description

Identify occurrences in application model where:

- the <MemoryAllocationStatement> memory allocation statement in the class constructor
- lacking a corresponding memory release statement in the class destructor

KDM outline illustration

KDM elements present in the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
ClassUnit | IntegerType | DecimalType | FloatType | StringType | VoidType | ...
id="dt1"
PointerType id="pt1"
    ItemUnit id="iu1" type="dt1"
...
ClassUnit id="cu1"
    ...
    StorableUnit id="su1" type="pt1"
    ...
    MethodUnit id="mu1" MethodKind="constructor"
        ...
        ActionElement id="ae1" kind="New|NewArray"
            Creates "dt1"
            Writes "su1"
...

```

or

```
ControlElement id="ce1" name="malloc|calloc|..."
...
ClassUnit | IntegerType | DecimalType | FloatType | StringType | VoidType | ...
id="dt1"
PointerType id="pt1"
    ItemUnit id="iu1" type="dt1"
...
ClassUnit id="cu1"
    ...
    StorableUnit id="su1" type="pt1"
    ...
    MethodUnit id="mu1" MethodKind="constructor"
        ...
        ActionElement id="ae1" kind="Call"

```

```

        Calls "ce1"
        Writes "su1"
    ...

```

KDM elements absent from the application model

KDM outline illustrating only the essential elements related to micro KDM:

```

ControlElement id="ce2" name="delete|delete[]|free|..."
...
ClassUnit id="cu1"
...
    MethodUnit id="mu2" MethodKind="destructor"
    ...
        ActionElement id="ae2" kind="Call"
            Addresses "su1"
            Calls "ce2"

```

What to report

Roles to report:

- the <MemoryAllocationStatement> memory allocation statement

8.8 ASCQM Release Memory after Use with Correct Operation

Descriptor

ASCQM Release Memory after Use with Correct Operation(MemoryAllocationStatement, MemoryReleaseStatement)

Description

Identify occurrences in the application model where:

- the memory is allocated via the <MemoryAllocationStatement> allocation statement
- then released via the mismatched <MemoryReleaseStatement> release statement

The pairs of matching allocation/deallocation primitives and operations are technology, framework, language dependant. For example: malloc/free, calloc/free, realloc/free in C/C+, new/delete, new[]/delete[] in C+, new/Release() with COM IUnknown interface.

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```

ClassUnit|IntegerType|DecimalType|FloatType|StringType|VoidType|... id="dt1"
PointerType id="pt1"
    ItemUnit id="iu1" type="dt1"
    ...
StorableUnit id="su1" type="pt1"
...
ActionElement id="ae1" kind="New"
    Creates "dt1"
    Writes "su1"
...
ControlElement id="ce2" name="delete[]|free|..."
...
ActionElement id="ae2" kind="Call"
    Addresses "su1"
    Calls "ce2"

```

or

```

ClassUnit|IntegerType|DecimalType|FloatType|StringType|VoidType|... id="dt1"

```

```

PointerType id="pt1"
  ItemUnit id="iu1" type="dt1"
...
StorableUnit id="su1" type="pt1"
...
ActionElement id="ae1" kind="NewArray"
  Creates "dt1"
  Writes "su1"
...
ControlElement id="ce2" name="delete|free|..."
...
ActionElement id="ae2" kind="Call"
  Addresses "su1"
  Calls "ce2"

```

or

```

ControlElement id="ce1" name="malloc|calloc|..."
...
ClassUnit|IntegerType|DecimalType|FloatType|StringType|VoidType|... id="dt1"
PointerType id="pt1"
  ItemUnit id="iu1" type="dt1"
...
StorableUnit id="su1" type="pt1"
...
ActionElement id="ae1" kind="Call"
  Calls "ce1"
  Writes "su1"
...
ControlElement id="ce2" name="delete|delete[]|..."
...
ActionElement id="ae2" kind="Call"
  Addresses "su1"
  Calls "ce2"

```

What to report

Roles to report are:

- the <MemoryAllocationStatement> allocation statement
- the <MemoryReleaseStatement> release statement

8.9 ASCQM Implement Required Operations for Manual Resource Management

Descriptor

ASCQM Implement Required Operations for Manual Resource Management(ObjectDeclaration)

Description

Identify occurrences in application model where:

- the <ObjectDeclaration> object declaration
- declares an object with manual resource management capabilities
- which lacks the required operation.

The manual resource management capability is technology, framework, and language dependent. For example: class inheritance from IDisposable in C#, and AutoClosable in Java, class with `__enter__` in python.

KDM outline illustration

KDM elements present in the application model

KDM outline illustrating only the essential elements related to micro KDM:

```

InterfaceUnit id="iu1" name="IDisposable|AutoClosable|..."
...
ClassUnit id="cu1"
  Extends "iu1"
  ...

```

of

```

...
ClassUnit id="cu1"
  MethodUnit "mu1" name="__enter__"
  ...

```

KDM elements absent from the application model

KDM outline illustrating only the essential elements related to micro KDM:

```

ClassUnit id="cu1"
  ...
  MethodUnit "mu1" name="dispose|close|__exit__|..."

```

What to report

Roles to report:

- the <ObjectDeclaration> object declaration

8.10 ASCQM Release Platform Resource after Use

Descriptor

ASCQM Release Platform Resource after Use(FunctionProcedureOrMethod, ResourceAllocationStatement, PathToExitWithoutResourceRelease)

Description

Identify occurrences in application model where:

- the <FunctionProcedureOrMethod> function, procedure, method, ...
- uses the <ResourceAllocationStatement> resource allocation statement
- excluding memory and file resources
- while there exist the <PathToExitWithoutResourceRelease> path to exit the <FunctionProcedureOrMethod> function, procedure, method, ... without releasing the resource

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```

PlatformModel
  ...
  DataManager|ExecutionResource id="pr1"
  ...
  PlatformAction id="pa1" kind="open" implementation="ae1"
    ManagesResource "pr1"
  PlatformAction id="pa2" kind="close" implementation="ae2"
    ManagesResource "pr1"
  ...
CodeModel
  ...
  CallableUnit|MethodUnit id="ce1" name="..."
  ...
  ActionElement id="ae1" kind="PlatformAction"
    Flows "ae3"
  ActionElement id="ae3"

```

```

        Flows "ae4"
        ActionElement id="ae4" kind="Return"
        ...
        ActionElement id="ae2" kind="PlatformAction"
        ...
    ...

```

What to report

Roles to report

- the <FunctionProcedureOrMethod> function, procedure, method, ...
- the <ResourceAllocationStatement> file resource open statement
- the <PathToExitWithoutResourceRelease> path to exit

8.11 ASCQM Release Memory After Use

Descriptor

ASCQM Release Memory After Use(MemoryAllocationStatement)

Description

Identify occurrences in application model where :

- the <MemoryAllocationStatement> memory allocation statement
- lacking a corresponding memory release statement

KDM outline illustration

KDM elements present in the application model

KDM outline illustrating only the essential elements related to micro KDM:

```

ClassUnit|IntegerType|DecimalType|FloatType|StringType|VoidType|... id="dt1"
PointerType id="pt1"
    ItemUnit id="iu1" type="dt1"
    ...
StorableUnit id="su1" type="pt1"
    ...
ActionElement id="ae1" kind="New|NewArray"
    Creates "dt1"
    Writes "su1"
    ...

```

or

```

ControlElement id="ce1" name="malloc|calloc|..."
    ...
ClassUnit|IntegerType|DecimalType|FloatType|StringType|VoidType|... id="dt1"
PointerType id="pt1"
    ItemUnit id="iu1" type="dt1"
    ...
StorableUnit id="su1" type="pt1"
    ...
ActionElement id="ae1" kind="Call"
    Calls "ce1"
    Writes "su1"
    ...

```

KDM elements absent from the application model

KDM outline illustrating only the essential elements related to micro KDM:

```

ControlElement id="ce2" name="delete|delete[]|free|..."

```



```
...
ActionElement id="ae2" kind="Call"
  Addresses "su1"
  Calls "ce2"
```

What to report

Roles to report :

- the <MemoryAllocationStatement> memory allocation statement

8.12 ASCQM Implement Virtual Destructor for Classes Derived from Class with Virtual Destructor

Descriptor

ASCQM Implement Virtual Destructor for Classes Derived from Class with Virtual Destructor(Class, ParentClass, ParentVirtualDestructor)

Description

Identify occurrences in application model where :

- the <Class> class
- inherits from the <ParentClass> parent class
- with the <ParentVirtualDestructor> virtual destructor
- but lacks a virtual destructor

KDM outline illustration

KDM elements present in the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
ClassUnit id="c1"
  ....
  MethodUnit is="m1" methodKind="method" isVirtual="true"
  ...
ClassUnit id="c2" InheritsFrom="c1"
  ...
```

KDM elements absent from the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
ClassUnit id="c2"
  ....
  MethodUnit is="m2" methodKind="destructor" isVirtual="true"
  ...
```

What to report

Roles to report are :

- the <Class> class
- the <ParentClass> parent class
- the <ParentVirtualDestructor> virtual destructor

8.13 ASCQM Implement Virtual Destructor for Parent Classes

Descriptor

ASCQM Implement Virtual Destructor for Parent Classes(Class, ParentClass)

Description

Identify occurrences in application model where:

- the <Class> class
- inherits from the <ParentClass> parent class
- which lacks a virtual destructor

KDM outline illustration

KDM elements present in the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
ClassUnit id="c1"
    ....
ClassUnit id="c2" InheritsFrom="c1"
    ...
```

KDM elements absent from the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
ClassUnit id="c1"
    ....
    MethodUnit is="m1" methodKind="method" isVirtual="true"
    ...
```

What to report

Roles to report are:

- the <Class> class
- the <ParentClass> parent class

8.14 ASCQM Release File Resource after Use in Operation

Descriptor

ASCQM Release File Resource after Use in Operation(FunctionProcedureOrMethod, FileResourceOpenStatement, PathToExitWithoutFileResourceClose)

Description

Identify occurrences in application model where:

- the <FunctionProcedureOrMethod> function, procedure, method, ...
- uses the <FileResourceOpenStatement> file resource open statement
- while there exist the <PathToExitWithoutFileResourceClose> path to exit the <FunctionProcedureOrMethod> function, procedure, method, ... without releasing the file resource

The path to exit the function, procedure, method, includes calls to other functions, procedures, methods, ...

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```
PlatformModel
    ...
    FileResource id="pr1"
    ...
    PlatformAction id="pa1" kind="open" implementation="ae1"
        ManagesResource "pr1"
    PlatformAction id="pa2" kind="close" implementation="ae2"
        ManagesResource "pr1"
    ...
CodeModel
    ...
```

```

CallableUnit|MethodUnit id="ce1" name="..."
...
ActionElement id="ae1" kind="PlatformAction"
    Flows "ae3"
ActionElement id="ae3"
    Flows "ae4"
ActionElement id="ae4" kind="Return"
...
ActionElement id="ae2" kind="PlatformAction"
...
...

```

What to report

Roles to report:

- the <FunctionProcedureOrMethod> function, procedure, method, ...
- the <FileResourceOpenStatement> file resource open statement
- the <PathToExitWithoutFileResourceClose> path to exit

8.15 ASCQM Implement Virtual Destructor for Classes with Virtual Methods

Descriptor

ASCQM Implement Virtual Destructor for Classes with Virtual Methods(Class, VirtualMethod)

Description

Identify occurrences in application model where:

- the <Class> class
- owns the <VirtualMethod> virtual method
- but lacks a virtual destructor

KDM outline illustration

KDM elements present in the application model

KDM outline illustrating only the essential elements related to micro KDM:

```

ClassUnit id="c1"
....
    MethodUnit is="m1" methodKind="method" isVirtual="true"
...

```

KDM elements absent from the application model

KDM outline illustrating only the essential elements related to micro KDM:

```

ClassUnit id="c1"
....
    MethodUnit is="m2" methodKind="destructor" isVirtual="true"
...

```

What to report

Roles to report are:

- the <Class> class
- the <VirtualMethod> virtual method

8.16 ASCQM Manage Time-Out Mechanisms in Blocking Synchronous Calls

Descriptor

ASCQM Manage Time-Out Mechanisms in Blocking Synchronous Calls(BlockingSynchronousCall, TimeOutOption)

Description

Identify occurrences in application model where:

- the <BlockingSynchronousCall> synchronous call
- doesn't use its <TimeOutOption> time-out option

The list of blocking synchronous primitives is technology, framework, language dependent. For example, in Java: connect(), receive().

KDM outline illustration

KDM elements present in the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
ControlElement id="ce1" name="connect|receive|..." type="ce1_signature"
  Signature id="ce1_signature"
  ...
  ParameterUnit id="pul" name="timeout|..."
  ...
Value id="v1" attribute="infinite_wait"
...
ActionElement id="ae1" kind="Call|PtrCall|MethodCall|VirtualCall"
  ...
  Calls "ce1"
  Reads "v1"
```

KDM elements absent from the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
...
Value id="v2" attribute="finite_wait"
...
ActionElement id="ae1" kind="Call|PtrCall|MethodCall|VirtualCall"
  ...
  Calls "ce1"
  Reads "v2"
```

What to report

Roles to report:

- the <BlockingSynchronousCall> synchronous call
- the <TimeOutOption> time-out option

8.17 ASCQM Ban Hard-Coded Literals used to Connect to Resource

Descriptor

ASCQM Ban Hard-Coded Literals used to Connect to Resource(InitializationStatement, ResourceAccessStatement)

Description

Identify occurrences in application model where:

- the <InitializationStatement> initialization statement
- initialize a variable used in the <ResourceAccessStatement> resource access statement as parameter to call a resource access primitive

It covers credentials, passwords, encryption keys, tokens, remember-me keys...

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```
Value id="hcv" name="hcv"
...
StorableUnit|ItemUnit|MemberUnit id="su1"
...
ActionElement id="ae1" kind="Assign
  Reads "hcv"
  Writes "su1"
...
MarshaledResource|MessagingResource|DataManager|ExecutionResource id="nwr"
...
ControlElement id="ce1"
  ...
  ActionElement id="ae2" kind="Platform"
    ManagesResource|ReadsResource|WritesResource "nwr"
  ...
ActionElement id="ae3" kind="Call|PtrCall|MethodCall|VirtualCall"
  Reads "su1"
  ...
  Calls "ce1"
```

What to report

Roles to report are:

- the <InitializationStatement> initialization statement
- the <ResourceAccessStatement> resource access statement

8.18 ASCQM Ban Unintended Paths

Descriptor

ASCQM Ban Unintended Paths(ArchitectureModel, Relation, Caller, Callee, OriginModule, TargetModule)

Description

Identify occurrences in the application model where:

- the <Relation> call-type, data, use relations
- between the <Caller> caller
- grouped in the <OriginModule> origin layer, component, or subsystem
- and the <Callee> callee
- grouped into the <TargetModule> target layer, component, or subsystem
- as defined in the <ArchitectureModel> architectural blueprint defining layers, components, or subsystems
- where relations from the <OriginModule> layer, component, or subsystem to the <TargetModule> layer, component, or subsystem are not intended

The architectural blueprint defining layers, components, or subsystems is application dependent.

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```
...
Layer|Component|Subsystem id="m1"
  ...
  CallableUnit callableKind="regular|external|stored" | MethodUnit id="ce1"
  name="..."
  ...
```

```

        ActionElement id="ae1"
            UsesType|Reads|Writes|Creates|Addresses|Calls|Dispatches "ce2"
    ...
Layer|Component|Subsystem id="m2"
    ...
        CallableUnit callableKind="regular|external|stored" | MethodUnit id="ce2"
name="..."
    ...

```

With "m1" not intended to reference "m2"

What to report

Roles to report are:

- the <ArchitectureModel> architectural blueprint
- the <Relation> relation
- the <Caller> caller
- the <Callee> callee
- the <OriginModule> origin layer, component, or subsystem
- the <TargetModule> target layer, component, or subsystem

8.19 ASCQM Ban While TRUE Loop Without Path To Break

Descriptor

ASCQM Ban While TRUE Loop Without Path To Break(WhileTrueLoop)

Description

Identify occurrences in the application model where:

- the <WhileTrueLoop> "while true" loop
- lacks a control flow to a break statement out of the loop

KDM outline illustration

KDM elements present in the application model

KDM outline illustrating only the essential elements related to micro KDM:

```

BooleanType id="booleanType"
Value id="true" name="true" type="booleanType"
ActionElement id="ae1" kind="Compound"
    ActionElement id="ae2" kind="Condition"
        Reads "true"
        TrueFlow "tf1"
        FalseFlow "ff1"
    ActionElement id="tf1" ...
    ...
    Flows "ae2"
ActionElement id="ff1" ...

```

KDM elements absent from the application model

KDM outline illustrating only the essential elements related to micro KDM:

```

ActionElement id="ae1" kind="Compound"
    ActionElement id="ae2" kind="Condition"
        ...
        TrueFlow "tf1"
        ...
    ActionElement id="tf1" ...
        Flows "ae3"
    ActionElement id="ae3"
        Flows "e1"
    ActionElement id="e1" kind="Goto"

```

```
        Flows "ff1"
    ...
ActionElement id="ff1" ...
```

What to report

Roles to report:

- the <WhileTrueLoop> "while true" loop

8.20 ASCQM Ban Unmodified Loop Variable Within Loop

Descriptor

ASCQM Ban Unmodified Loop Variable Within Loop(WhileLoop)

Description

Identify occurrences in the application model where:

- the <WhileLoop> while loop
- lacks an update of the condition value within the loop

KDM outline illustration

KDM elements present in the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
BooleanType id="booleanType"
StorableUnit id="sul" type="booleanType"
ActionElement id="ae1" kind="Compound"
    ...
    ActionElement id="ae2" kind="Condition"
        Reads "sul"
    ...
    ...
...
```

KDM elements absent from the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
ActionElement id="ae1" kind="Compound"
    ...
    ActionElement id="ae3" kind="Assign|Incr|Decr"
        Writes "sul"
    ...
    ...
```

What to report

Roles to report:

- the <WhileLoop> while loop

8.21 ASCQM Release File Resource after Use in Class

Descriptor

ASCQM Release File Resource after Use in Class(Class, FileResourceOpenStatement)

Description

Identify occurrences in application model where:

- the <Class> class, ...
- uses the <FileResourceOpenStatement> file resource open statement
- without releasing the file resource in any of its methods

The path to exit the function, procedure, method, includes calls to other functions, procedures, methods, ...

KDM outline illustration

KDM elements present in the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
PlatformModel
...
FileResource id="pr1"
...
PlatformAction id="pa1" kind="open" implementation="ae1"
  ManagesResource "pr1"
PlatformAction id="pa2" kind="close" implementation="ae2"
  ManagesResource "pr1"
...
CodeModel
...
ClassUnit id="cu1"
...
  ActionElement id="ae1" kind="PlatformAction"
...
...
```

KDM elements absent from the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
ClassUnit id="cu1"
...
  ActionElement id="ae2" kind="PlatformAction"
...
```

What to report

Roles to report:

- the <Class> class
- the <FileResourceOpenStatement> file resource open statement

8.22 ASCQM Catch Exceptions

Descriptor

ASCQM Catch Exceptions(Method, Exception, MethodCall)

Description

Identify occurrences in application model where:

- the <Method> method
- declared as throwing the <Exception> exception
- is called in the <MethodCall> method call
- which doesn't catch exceptions of type <Exception>

KDM outline illustration

KDM elements present in the application model

KDM outline illustrating only the essential elements related to micro KDM:


```

...
ClassUnit id="cu1"
...
MethodUnit id="mul" type="mul_signature"
    Signature id="mul_signature"
        ParameterUnit id="pu1" type="cu1" kind="throws"
        ...
...
ActionElement id="ae1" kind="MethodCall"
    Calls "mul"
...

```

KDM elements absent from the application model

KDM outline illustrating only the essential elements related to micro KDM:

```

...
TryUnit id="t1"
    ...
    ActionElement id="ae1" kind="MethodCall"
        Calls "mul"
    ...
    ExceptionFlow "c1"
    ...
CatchUnit id="c1"
    ParameterUnit id="pu2" type="cu1"
    ...
...

```

What to report

Roles to report are:

- the <Method> method
- the <Exception> exception
- the <MethodCall> method call

8.23 ASCQM Ban Empty Exception Block

Descriptor

ASCQM Ban Empty Exception Block(CatchBlock)

Description

Identify occurrences in application model where:

- the <CatchBlock> catch block
- is empty

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```

...
CatchUnit id="cu1"
    ActionElement id="ae1" kind="Nop"
...

```

What to report

Roles to report are:

- the <CatchBlock> catch block

8.24 ASCQM Ban Incompatible Lock Acquisition Sequences

Descriptor

ASCQM Ban Incompatible Lock Acquisition Sequences(LockAcquisitionSequence, ReverseLockAcquisitionSequence)

Description

Identify occurrences in application model where:

- the <LockAcquisitionSequence> sequence of lock acquisition
- is the reverse of the <ReverseLockAcquisitionSequence> sequence of lock acquisition

The locking mechanism is technology, framework, and language dependent.

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```
PlatformModel
  DeployedResource id="dr1"
  ...
  LockResource id="lr1"
  LockResource id="lr2"
  ...
  PlatformAction id="pa1" kind="lock" implementation="ae1 ae12"
    ManagesResource|ReadsResource|WritesResource "lr1"
  PlatformAction id="pa2" kind="lock" implementation="ae3 ae10"
    ManagesResource|ReadsResource|WritesResource "lr2"
  ...
CodeModel
  ...
  ActionElement id="ae1" kind="PlatformAction"
    Flows "ae2"
  ActionElement id="ae2" ...
    Flows "ae3"
  ActionElement id="ae3" kind="PlatformAction"
    Flows "ae4"
  ActionElement id="ae4" ...
  ...
  ActionElement id="ae10" kind="PlatformAction"
    Flows "ae11"
  ActionElement id="ae11" ...
    Flows "ae12"
  ActionElement id="ae12" kind="PlatformAction"
    Flows "ae13"
  ActionElement id="ae13" ...
```

What to report

Roles to report are:

- the <LockAcquisitionSequence> sequence of lock acquisition
- the <ReverseLockAcquisitionSequence> sequence of lock acquisition

8.25 ASCQM Ban Use of Thread Control Primitives with Known Deadlock Issues

Descriptor

ASCQM Ban Use of Thread Control Primitives with Known Deadlock Issues(ThreadControlPrimitiveCall)

Description

Identify occurrences in application model where:

- the <ThreadControlPrimitiveCall> call to a thread control function, procedure, method, ... with known deadlock issues.

The list of primitives is technology, framework, language dependant. For example, in Java: java.lang.Thread.suspend(), java.lang.Thread.resume(), java.lang.ThreadGroup.suspend(), java.lang.ThreadGroup.resume() and dependent methods java.lang.ThreadGroup.allowThreadSuspension().

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```
ControlElement id="ce1"
name="java.lang.Thread.suspend|java.lang.Thread.resume|..."
...
...
ActionElement id="ae3" kind="Call|PtrCall|MethodCall|VirtualCall"
...
Calls "ce1"
```

What to report

Roles to report:

- the <ThreadControlPrimitiveCall> call to a thread control function, procedure, method, ... with known deadlock issues.

8.26 ASCQM Ban Use of Prohibited Low-Level Resource Management Functionality

Descriptor

ASCQM Ban Use of Prohibited Low-Level Resource Management Functionality(ResourceManagementPrimitiveCall, TechnologyStack)

Description

Identify occurrences in application model where:

- the <ResourceManagementPrimitiveCall> low-level resource management primitive call
- which is bypassing the resource management primitives provided by the <TechnologyStack> technology stack

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```
CodeModel
  Package id="p1"
name="javax.ejb|javax.servlet|javax.jms|javax.faces|javax.xml.bind|javax.activation|javax.mail|..."
...
  Package id="p2" name="java.sql"
    ClassUnit id="cu2" name="DriverManager"
      MethodUnit id="mu2"
...
  CompilationUnit id="cu2"
    Imports "p1"
    Imports "p2"
    ...
    ActionElement id="ae1" kind="MethodCall"
      Calls "mu2"
```

or

```
CodeModel
```

```

Package id="p1" name="javax.servlet"
...
Package id="p2" name="java.net"
  ClassUnit id="cu2" name="Socket|ServerSocket"
    MethodUnit id="mu2"
...
CompilationUnit id="cu2"
  Imports "p1"
  Imports "p2"
  ...
  ActionElement id="ae1" kind="MethodCall"
    Calls "mu2"

```

or

```

CodeModel
  Package id="p1" name="javax.ejb"
  ...
  Package id="p2" name="java.net"
    ClassUnit id="cu2" name="Socket|ServerSocket"
      MethodUnit id="mu2"
  ...
  Package id="p3" name="java.lang"
    ClassUnit id="cu3" name="ClassLoader"
      MethodUnit id="mu3"
  ...
  Package id="p4" name="java.io"
    ClassUnit id="cu4" name="File"
      MethodUnit id="mu4"
  ...
  Package id="p5" name="java.awt"
    ClassUnit id="cu5"
      MethodUnit id="mu5"
  ...
  CompilationUnit id="cu2"
    Imports "p1"
    Imports "p2"
    ...
    ActionElement id="ae1" kind="MethodCall"
      Calls "mu2|mu3|mu4|mu5"

```

or

```

CodeModel
  Package id="p1" name="javax.ejb"
  ...
  ...
  CompilationUnit id="cu2"
    Imports "p1"
    Imports "p2"
    ...
    ActionElement id="ae1" kind="MethodCall" attribute="synchronized"
    ...

```

What to report

Roles to report:

- the <ResourceManagementPrimitiveCall> low-level resource management primitive call
- the <TechnologyStack> technology stack

8.27 ASCQM Ban Excessive Size of Index on Columns of Large Tables

Descriptor

ASCQM Ban Excessive Size of Index on Columns of Large Tables(Table, TotalSizeOfIndexes, MaxTotalSizeOfIndexes, MinNumberOfRows)

Description

Identify occurrences in application model where:

- the <Table> table
- with <TotalSizeOfIndexes> number of indexes
- which is greater than <MaxTotalSizeOfIndexes>
- and with more than <MinNumberOfRows>

The <MaxTotalSizeOfIndexes> value is a measurement parameter. Its default value is: 30

The <MinNumberOfRows> value is a measurement parameter. Its default value is: 1000000

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```
DataModel
  RelationalSchema
    RelationalTable id="rt1"
      Index id="i1" implementation="iu1"
      Index id="i2" implementation="iu1 iu2"
      ...
      itemUnit id="iu1" type="dt1"
      itemUnit id="iu2" type="dt2"
  ...
CodeModel
  DataType id="dt1"
  DataType id="dt2"
  ...
```

The size of an Index is the size in bytes of the data types of the columns it relies on.

What to report

Roles to report:

- the <Table> table
- the <TotalSizeOfIndexes> value
- the <MaxTotalSizeOfIndexes> value
- the <MinNumberOfRows> value

8.28 ASCQM Ban Excessive Number of Index on Columns of Large Tables

Descriptor

ASCQM Ban Excessive Number of Index on Columns of Large Tables(Table, NumberOfIndexes, MaxNumberOfIndexes, MinNumberOfRows)

Description

Identify occurrences in application model where:

- the <Table> table
- with <NumberOfIndexes> number of indexes
- which is greater than <MaxNumberOfIndexes>
- and with more than <MinNumberOfRows>

The <MaxNumberOfIndexes> value is a measurement parameter. Its default value is: 3
The <MinNumberOfRows> value is a measurement parameter. Its default value is: 1000000

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```
DataModel
  RelationalSchema
    RelationalTable id="rt1"
      Index id="i1"
      Index id="i2"
      Index id="i3"
      Index id="i4"
      Index id="i5"
      Index id="i6"
    ...
```

What to report

Roles to report:

- the <Table> table
- the <NumberOfIndexes> value
- the <MaxNumberOfIndexes> value
- the <MinNumberOfRows> value

8.29 ASCQM Ban Excessive Complexity of Data Resource Access

Descriptor

ASCQM Ban Excessive Complexity of Data Resource Access(Query, NumberOfTables, MaxNumberOfTables, NumberOfSubqueries, MaxNumberOfSubqueries, MinNumberOfRows)

Description

Identify occurrences in application model where:

- the <Query> query
- with <NumberOfTables> number of tables or views
- which is greater than <MaxNumberOfTables>
- and with <NumberOfSubqueries> number of subqueries
- which is greater than <MaxNumberOfSubqueries>
- with at least one table or view with more than <MinNumberOfRows>

The <MaxNumberOfTables> value is a measurement parameter. Its default value is: 5

The <MaxNumberOfSubqueries> value is a measurement parameter. Its default value is: 3

The <MinNumberOfRows> value is a measurement parameter. Its default value is: 1000000

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```
DataModel
  RelationalSchema
    RelationalTable|RelationalView id="cs1"
    RelationalTable|RelationalView id="cs2"
    RelationalTable|RelationalView id="cs3"
    RelationalTable|RelationalView id="cs4"
    RelationalTable|RelationalView id="cs5"
    RelationalTable|RelationalView id="cs6"
    ...
    DataAction id="da1" kind="Select|Insert|Update|Delete"
    ...
    ReadsColumnSet|WritesColumnSet "cs1"
```

```

        ReadsColumnSet|WritesColumnSet "cs2"
        ReadsColumnSet|WritesColumnSet "cs3"
        ReadsColumnSet|WritesColumnSet "cs4"
        ReadsColumnSet|WritesColumnSet "cs5"
        ReadsColumnSet|WritesColumnSet "cs6"
        ...
        DataAction id="da2" kind="Select"
        ...
        DataAction id="da3" kind="Select"
        ...
        DataAction id="da4" kind="Select"
        ...
        DataAction id="da5" kind="Select"
        ...
        ...
    ...
...

```

What to report

Roles to report:

- the <Query> query
- the <NumberOfTables> value
- the <MaxNumberOfTables> value
- the <NumberOfSubqueries> value
- the <MaxNumberOfSubqueries> value
- the <MinNumberOfRows> value

8.30 ASCQM Ban Expensive Operations in Loops

Descriptor

ASCQM Ban Expensive Operations in Loops(ResourceConsumingStatement, Loop)

Description

Identify occurrences in application model where:

- the <ResourceConsumingStatement> resource consuming statement
- is used within the <Loop> loop.

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```

ActionElement id="ae1" kind="New|NewArray"

or

ActionElement id="ae1" kind="SizeOf|InstanceOf|DynCast|TypeCast"

or

ActionElement id="ae1" kind="New|NewArray"

or

PlatformModel
    ...
    MarshalledResource|NamingResource|DataManager id="pr1"
    ...

```

```

PlatformAction id="pa1" implementation="ae1"
  ManagesResource|WritesResource|ReadsResource "pr1"

...
CodeModel
  ...
  ActionElement id="ae1" kind="PlatformAction"
...

or

PlatformModel
  ...
  FileResource|StreamResource|MessagingResource id="pr1"
  ...
  PlatformAction id="pa1" implementation="ce1"
    ManagesResource "pr1"

...
CodeModel
  ...
  ActionElement id="ae1" kind="PlatformAction"
....

with (while loops)

BooleanType id="booleanType"
Value id="true" name="true" type="booleanType"
ActionElement id="ae2" kind="Compound"
  ActionElement id="ae3" kind="Condition"
    Reads "true"
    TrueFlow "tf1"
    FalseFlow "ff1"
  ActionElement id="tf1" ...
  ...
  Flows "ae1"
  ...
  Flows "ae3"
ActionElement id="ff1" ...

or (for loops)

ActionElement id="ae2" kind="compound"
  ActionElement id="ae3" kind="Assign"
    Reads ...
    Writes "LoopVariable"
    Flows "ae4"
  ActionElement id="ae4"
kind="LessThan|LessThanOrEqual|GreaterThan|GreaterThanOrEqual"
  Reads "LoopVariable"
  Reads ...
  TrueFlow "ae5"
  FalseFlow "ae7"
  ActionElement id="ae5" kind=...
  ...
  Flows "ae1"
  ...
  ActionElement id="ae6" kind="Incr|Decr"
    Addresses "LoopVariable"
    Flows "ae4"
  ActionElement id="ae7" kind="Nop"
...

```

What to report

Roles to report are:

- the <ResourceConsumingStatement> resource consuming statement
- the <Loop> loop.

8.31 ASCQM Limit Number of Aggregated Non-Primitive Data Types

Descriptor

ASCQM Limit Number of Aggregated Non-Primitive Data Types(Class, NumberOfNonPrimitiveMembers, MaxNumberOfNonPrimitiveMembers)

Description

Identify occurrences in application model where :

- the <Class> class
- with <NumberOfNonPrimitiveMembers> number of non-primitive members
- which is greater than <MaxNumberOfNonPrimitiveMembers>

The <MaxNumberOfNonPrimitiveMembers> value is a measurement parameter. Its default value is: 5

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```

ClassUnit id="cu1"
ClassUnit id="cu2"
ClassUnit id="cu3"
ClassUnit id="cu4"
ClassUnit id="cu5"
ClassUnit id="cu6"
...
ClassUnit id="cu0"
    MemberUnit id="mu1" type="cu1"
    MemberUnit id="mu2" type="cu2"
    MemberUnit id="mu3" type="cu3"
    MemberUnit id="mu4" type="cu4"
    MemberUnit id="mu5" type="cu5"
    MemberUnit id="mu6" type="cu6"
    ...

```

What to report

Roles to report :

- the <Class> class
- the <NumberOfNonPrimitiveMembers> value
- the <MaxNumberOfNonPrimitiveMembers> value

8.32 ASCQM Ban Excessive Number of Data Resource Access from non-stored SQL Procedure

Descriptor

ASCQM Ban Excessive Number of Data Resource Access from non-stored SQL Procedure(Function, NumberOfDataAccess, MaxNumberOfDataAccess)

Description

Identify occurrences in application model where:

- the <Function> SQL function is not a stored procedure
- with <NumberOfDataAccess> accesses to data resources
- which is greater than <MaxNumberOfDataAccess>

The <MaxNumberOfDataAccess> value is a measurement parameter. Its default value is: 5

KDM outline illustration

KDM elements present in the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
DataModel
  RelationSchema id="rs1"
  ...
  CallableUnit id="cu1"
  ...
  ActionElement id="da1" kind="Select|Insert|Update|Delete"
  ...
  ActionElement id="da2" kind="Select|Insert|Update|Delete"
  ...
  ActionElement id="da3" kind="Select|Insert|Update|Delete"
  ...
  ActionElement id="da4" kind="Select|Insert|Update|Delete"
  ...
  ActionElement id="da5" kind="Select|Insert|Update|Delete"
  ...
  ActionElement id="da6" kind="Select|Insert|Update|Delete"
  ...
```

KDM elements absent from the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
DataModel
  RelationSchema id="rs1"
  ...

  CallableUnit id="cu1" kind="stored"
  ...
```

What to report

Roles to report:

- the <Function> function
- the <NumberOfDataAccess> value
- the <MaxNumberOfDataAccess> value

8.33 ASCQM Ban Excessive Number of Data Resource Access from non-SQL Code

Descriptor

ASCQM Ban Excessive Number of Data Resource Access from non-SQL Code(FunctionProcedureOrMethod, NumberOfDataAccess, MaxNumberOfDataAccess)

Description

Identify occurrences in application model where:

- the <FunctionProcedureOrMethod> function, procedure, method, ...
- with <NumberOfDataAccess> accesses to data resources
- which is greater than <MaxNumberOfDataAccess>

The <MaxNumberOfDataAccess> value is a measurement parameter. Its default value is: 2

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```

DataModel
  RelationSchema id="rs1"
  ...
  ActionElement id="da1" kind="Select|Insert|Update|Delete"
implementation="i1"
  ActionElement id="da2" kind="Select|Insert|Update|Delete"
implementation="i2"
  ActionElement id="da3" kind="Select|Insert|Update|Delete"
implementation="i3"
  ...
CodeModel
  ...
  CallableUnit id="cu1" | MethodUnit id="mu1"
  ...
  ActionElement id="i1"
  ...
  ActionElement id="i2"
  ...
  ActionElement id="i3"
  ...
...

```

What to report

Roles to report:

- the <FunctionProcedureOrMethod> function, procedure, method, ...
- the <NumberOfDataAccess> value
- the <MaxNumberOfDataAccess> value

8.34 ASCQM Ban Incremental Creation of Immutable Data

Descriptor

ASCQM Ban Incremental Creation of Immutable Data(StringConcatenationStatement)

Description

Identify occurrences in the application model where:

- a text variable is incrementally updated in the <StringConcatenationStatement> string concatenation statement

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```

...
StringType id="st1"
StorableUnit id="sul" type="st1"
...
ActionElement id="ael" kind="Append"
  Reads "sul"
  Writes "sul"
  ...
...

```

What to report

Roles to report are:

- the <StringConcatenationStatement> string concatenation statement

8.35 ASCQM Ban Unboxing in Loops

Descriptor

Ban Unboxing in Loops(Unboxing, Loop)

Description

Identify occurrences in application model where

- the <Unboxing> unboxing statement
- is used within the <Loop> loop.

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```
IntegerType | DecimalType | BooleanType | BitType | CharType |
```

```
...
id="dt1"
...
StorableUnit | ItemUnit | MemberUnit | Value
    id="de1"
    type="dt1"
    ...
ClassUnit | ...
    id="dt2"
MemberUnit
    id="fu1"
        type="dt1" ...
BooleanType id="booleanType"
    Value id="true"
        name="true"
        type="booleanType"
ActionElement
    id="ae2"
    kind="Compound"
ActionElement
    id="ae3"
    kind="Condition"
Reads "true"
    TrueFlow "tf1"
    FalseFlow "ff1"
ActionElement
    id="tf1" ...
...
StorableUnit | ItemUnit | MemberUnit
    id="de2"
    type="dt2"
    ...
ActionElement
    id="ae1"
    kind="Assign"
Writes "de1"
Reads "de2"
...
Flows "ae3"
ActionElement
    id="ff1" ...
```

or

```

IntegerType|DecimalType|BooleanType|BitType|CharType| ...
    id="dt1"
...
StorableUnit|ItemUnit|MemberUnit|Value
    id="de1"
    type="dt1"
...
ClassUnit|...
    id="dt2"
MemberUnit
    id="fu1"
    type="dt1"
...
ActionElement
    id="ae2"
    kind="compound"
ActionElement
    id="ae3"
    kind="Assign"
Reads ...
Writes "LoopVariable"
Flows "ae4"
ActionElement
    id="ae4"
    kind="LessThan|LessThanOrEqual|GreaterThan|GreaterThanOrEqual"
Reads "LoopVariable"
Reads ...
    TrueFlow "ae5"
    FalseFlow "ae7"
ActionElement
    id="ae5"
    kind=...
...
StorableUnit|ItemUnit|MemberUnit
    id="de2"
    type="dt2"
...
ActionElement
    id="ae1"
    kind="Assign"
Writes "de1"
Reads "de2"
...
ActionElement
    id="ae7"
    kind="Nop"
...

```

What to report

Roles to report are

- the <Unboxing> unboxing statement
- the <Loop> loop.

8.36 ASCQM Ban Autoboxing in Loops

Descriptor

ASCQM Ban Autoboxing in Loops(Autoboxing, Loop)

Description

Identify occurrences in application model where - the <Autoboxing> autoboxing statement - is used within the <Loop> loop.

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:

```
IntegerType|DecimalType|BooleanType|BitType|CharType| ...
    id="dt1"
...
ClassUnit|...
    id="dt2"
MemberUnit
    id="fu1"
    type="dt1"
...
StorableUnit|ItemUnit|MemberUnit
    id="de2"
    type="dt2"
...
BooleanType
    id="booleanType"
Value
    id="true"
    name="true"
    type="booleanType"
ActionElement
    id="ae2"
    kind="Compound"
ActionElement
    id="ae3"
    kind="Condition"
Reads "true"
    TrueFlow "tf1"
    FalseFlow "ff1"
ActionElement
    id="tf1" ...
...
StorableUnit|ItemUnit|MemberUnit|Value
    id="de1"
    type="dt1"
...
ActionElement
    id="ae1"
    kind="Assign"
Writes "de2"
Reads "de1" ...
Flows "ae3"
ActionElement
    id="ff1"
...
or

IntegerType|DecimalType|BooleanType|BitType|CharType| ...
    id="dt1"
...
ClassUnit|...
    id="dt2"
```

```

MemberUnit
    id="fu1"
    type="dt1"
    ...
StorableUnit|ItemUnit|MemberUnit
    id="de2"
    type="dt2"
    ...
ActionElement
    id="ae2"
    kind="compound"
ActionElement
    id="ae3"
    kind="Assign"
Reads ...
Writes "LoopVariable"
Flows "ae4"
ActionElement
    id="ae4"
    kind="LessThan|LessThanOrEqual|GreaterThan|GreaterThanOrEqual"
Reads "LoopVariable"
Reads ...
TrueFlow "ae5"
FalseFlow "ae7"
ActionElement
    id="ae5"
    kind=...
...
StorableUnit|ItemUnit|MemberUnit|Value
    id="de1"
    type="dt1"
    ...
ActionElement
    id="ae1"
    kind="Assign"
Writes "de2"
Reads "de1"
...
ActionElement
    id="ae7"
    kind="Nop"
    ...

```

What to report

Roles to report are

- the <Autoboxing> autoboxing statement
- the <Loop> loop.

8.37 ASCQM Implement Index Required by Query on Large Tables

Descriptor

ASCQM Implement Index Required by Query on Large Tables(Query, Table, Column, MinNumberOfRows)

Description

Identify occurrences in application model where:

- the <Query> query

- queries the <Table> table
- using the <Column> column(s)
- where the <Table> table has more than <MinNumberOfRows>
- but lacks a proper index

The <MinNumberOfRows> value is a measurement parameter. Its default value is: 1000000

KDM outline illustration

KDM elements present in the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
DataModel
  RelationalSchema
    RelationalTable id="rt1"
      itemUnit id="iu1"
      ...
    DataAction id="dal" kind="Select|Insert|Update|Delete"
      ...
      Reads "iu1"
      ...
    ...
  ...
```

KDM elements absent from the application model

KDM outline illustrating only the essential elements related to micro KDM:

```
DataModel
  RelationalSchema
    RelationalTable id="rt1"
      Index id="i1" implementation="iu1"
      itemUnit id="iu1"
    ...
```

What to report

Roles to report:

- the <Query> query
- the <Table> table
- the <Column> column (list)
- the <MinNumberOfRows> value

8.38 ASCQM Release Memory after Use with Correct Reference

Descriptor

ASCQM Release Memory after Use with Correct Reference(MemoryAllocationStatement, AllocationReference, MemoryReleaseStatement, ReleaseReference)

Description

Identify occurrences in the application model where

- the memory is allocated via the <MemoryAllocationStatement> allocation statement
- using the <AllocationReference> reference
- then released via <MemoryReleaseStatement> release statement
- using the mismatched <ReleaseReference> reference

KDM outline illustration

KDM outline illustrating only the essential elements related to micro KDM:


```

ClassUnit|IntegerType|DecimalType|FloatType|StringType|VoidType ...
    id="dt1"
PointerType
    id="pt1"
ItemUnit
    id="iu1"
    type="dt1"
    ...
StorableUnit
    id="su1"
    type="pt1"
    ...
ActionElement
    id="ae1"
    kind="New"
Creates "dt1"
Writes "su1"
    ...
ControlElement
    id="ce2"
    name="delete[]|free|..."
    ...
ActionElement
    id="ae2"
    kind="Call"
Addresses "su1"
Calls "ce2"

```

or

```

ControlElement
    id="ce1"
    name="malloc|calloc|
    ...
|New|NewArray|..."
    ...
ClassUnit|IntegerType|DecimalType|FloatType|StringType|VoidType|...
    id="dt1"
PointerType
    id="pt1"
ItemUnit
    Id="iu1"
    type="dt1"
    ...
StorableUnit
    id="su1"
    type="pt1"
    ...
ActionElement
    id="ae1"
    kind="Call"
Calls "ce1"
Writes "su1" ...
StorableUnit
    id="su2"
    type="pt1"
    ...
ActionElement
    id="ae2"
    type="add"
Reads "su1"

```

```
...
Writes "su2" ...
ControlElement
  id="ce2"
name="free|...
|delete|delete[]|..."
...
ActionElement
  id="ae3"
  kind="Call"
Addresses "su2"
Calls "ce2"
```

What to report

Roles to report are

- the <MemoryAllocationStatement> allocation statement
- the <AllocationReference> reference - the <MemoryReleaseStatement> release statement
- the <ReleaseReference> reference

9 Calculation of ASCRSM and Functional Density Measures

9.1 Calculation of the Base Measures (Normative)

After reviewing several alternatives, a count of total violations of quality rules was selected as the best option for a base measure for Automated Source Code Quality Measure (ASCRSM). Software quality characteristic measures have frequently been scored at the software component level and then aggregated to develop an overall score for an application. However, scoring at the software component level was rejected because many violations of quality rules cannot be isolated to a single component, but rather involve interactions among several components. Therefore, the ASCRSM score is computed as the sum of its quality measure elements counted across an entire application.

The calculation of an ASCRSM score progresses as follows:

- One or more Detection Pattern Scores are calculated for each weakness as the total occurrences of each Detection Pattern associated with the weakness.
- Weakness Scores are calculated for each weakness as the total sum of Detection Pattern Scores associated with the Weakness.
- ASCRSM is calculated as the sum of its Weakness Scores.

That is,

$$\text{Detection Pattern Score}_{x,y} = \sum_{y=1}^n \text{Occurrences}_y$$

where x = a specific CWE weakness (e.g., CWE-248, CWE-252, etc.)

y = the n^{th} detection pattern associated with weakness x

$$\text{Weakness Score}_x = \sum_{y=1}^n \text{Detection Pattern Score}_y$$

where x = a specific CWE weakness (CWE-248, CWE-252, etc.)

y = a Detection Pattern associated with Weakness x

$$\text{ASCRSM} = \sum_{x=1}^n \text{Weakness Score}_x$$

where x = a specific CWE weakness (e.g., CWE-248, CWE-252, etc.)

Furthermore, total counts of occurrences for each Detection Pattern can be calculated as:

$$\text{Total Detection Pattern Score}_y = \sum_{x=1}^n \text{Detection Pattern Score}_{x,y}$$

where x = a specific CWE weakness (e.g., CWE-248, CWE-252, etc.)

y = a specific Detection Pattern

9.2 Functional Density of Weaknesses (Informative)

In order to compare quality results among different applications, the Automated Source Code Resource Sustainability Measures can be normalized by size to create a density measure. There are several size measures with which the density of quality violations can be normalized, such as lines of code and Function Points. These size measures, if properly standardized, can be used for creating a density measure for use in benchmarking the resource sustainability of applications. OMG's Automated Function Points (AFP) measure (ISO, 2019) offers an automatable size measure that, as an OMG Supported Specification, is standardized. AFP was adapted from the International Function Point User Group's (IFPUG) counting guidelines, and is commercially supported. Although other size measures can be used to evaluate the density of security violations, the following density measure for quality violations is derived from OMG supported specifications

for Automated Function Points and the Automated Source Code Resource Sustainability Measure. Thus, the functional density of Resource Sustainability weaknesses is a simple division expressed as follows.

$$\text{ASCRSM-density} = \text{ASCRSM} / \text{AFP}$$

10 Alternative Weighted Measures and Uses (Informative)

There are many additional weighting schemes that can be applied to the Automated Source Code Resource Sustainability Measure or to the quality measure elements that composing it. Table 6 presents several weighted measure candidates and their potential uses. However, these weighting schemes are not derived from any existing standards and are therefore not normative.

Table 2 Informative Weighting Schemes for Security Measurement

Weighting scheme	Potential uses
Weight each Total Detection Pattern score by the risk it presents	Identifying training needs for avoiding patterns underlying risky weaknesses
Weight each weakness by its effort to fix	Measuring cost of ownership, estimating future corrective maintenance effort and costs
Weight each module or application component by its density of resource sustainability weaknesses	Prioritizing modules or application components for corrective maintenance or replacement

11 References (Informative)

- Common Weakness Enumeration. <http://cwe.mitre.org> . Bedford, MA: MITRE Corporation.
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Annex A:

Consortium for IT Software Quality (CISQ)

(Informative)

The purpose of the Consortium for IT Software Quality (CISQ) is to develop specifications for automated measures of software quality characteristics taken on source code. These measures were designed to provide international standards for measuring software structural quality that can be used by IT organizations, IT service providers, and software vendors in contracting, developing, testing, accepting, and deploying IT software applications. Executives from the member companies that joined CISQ prioritized the quality characteristics of Reliability, Security, Performance Efficiency, and Maintainability to be developed as measurement specifications.

CISQ strives to maintain consistency with ISO/IEC standards to the extent possible, and in particular with the ISO/IEC 25000 series that replaces ISO/IEC 9126 and defines quality measures for software systems. In order to maintain consistency with the quality model presented in ISO/IEC 25010, software quality characteristics are defined for the purpose of this specification as attributes that can be measured from the static properties of software, and can be related to the dynamic properties of a computer system as affected by its software. However, the 25000 series, and in particular ISO/IEC 25023 which elaborates quality characteristic measures, does not define these measures at the source code level. Thus, this and other CISQ quality characteristic specifications supplement ISO/IEC 25023 by providing a deeper level of software measurement, one that is rooted in measuring software attributes in the source code.

Companies interested in joining CISQ held executive forums in Frankfurt, Germany; Arlington, VA; and Bangalore, India to set strategy and direction for the consortium. In these forums four quality characteristics were selected as the most important targets for automation—reliability, security, performance efficiency, and maintainability. These attributes cover four of the eight quality characteristics described in ISO/IEC 25010.

The Consortium for IT Software Quality (CISQ), a consortium managed by OMG, was formed in 2010 to create international standards for automating measures of size and structural quality characteristics from source code. These measures are intended for use by IT organizations, IT service providers, and software vendors in contracting, developing, testing, accepting, and deploying software systems. Executives from the member companies that joined CISQ prioritized Reliability, Security, Performance Efficiency, and Maintainability as the initial structural quality measures to be specified.

An international team of experts drawn from CISQ's 24 original companies formed into working groups to define CISQ measures. Weaknesses that had a high probability of causing reliability, security, performance efficiency, or maintainability problems were selected for inclusion in the four measures. The original CISQ members included IT departments in Fortune 200 companies, system integrators/outsourcers, and vendors that provide quality-related products and services to the IT market. The experts met several times per year for two years in the US, France, and India to develop a broad list of candidate weaknesses. This list was pared down to a set of weaknesses they believed had to be remediated to avoid serious operational or cost problems. These 86 weaknesses became the foundation of the original specifications of the automated source code measures for Reliability, Security, Performance Efficiency, and Maintainability.

Annex B:

Common Weakness Enumeration (CWE)

(Informative)

The Common Weakness Enumeration (CWE) repository (<http://cwe.mitre.org/>) maintained by MITRE Corporation is a collection of over 800 weaknesses in software architecture and source code that malicious actors have used to gain unauthorized entry into systems or to cause malicious actions. The CWE is a widely used industry source (<http://cwe.mitre.org/community/citations.html>) that provides a foundation for the ITU-T X.1524 and ISO/IEC standard, in addition to 2 ISO/IEC technical reports:

- SERIES X: DATA NETWORKS, OPEN SYSTEM COMMUNICATIONS AND SECURITY
Cybersecurity information exchange – Vulnerability/state exchange - Common weakness enumeration (CWE)
- ISO/IEC 29147:2014 Information Technology -- Security Techniques -- Vulnerability Disclosure"
- ISO/IEC TR 24772:2013 Information technology -- Programming languages -- Guidance to avoiding vulnerabilities in programming languages through language selection and use
- ISO/IEC Technical Report is ISO/IEC TR 20004:2012 Information Technology -- Security Techniques -- Refining Software Vulnerability Analysis under ISO/IEC 15408 and ISO/IEC 18045

The CWE/SANS Institute Top 25 Most Dangerous Software Errors is a list of the 25 most widespread and frequently exploited security weaknesses in the CWE repository. The previous version of the CISQ Automated Source Code Security Measure (ASCSM) was based on 22 of the CWE/SANS Top 25 that could be detected and counted in source code. In this revision, the number of security weaknesses is being expanded beyond the CWE/SANS Top 25 since there are other weaknesses severe enough to be incorporated in the CISQ measure. In addition, many CWEs also cause reliability problems and are therefore included in the CISQ reliability measure. Wherever a CWE is included in any of the 4 CISQ structural quality measures, its CWE identifier will be noted.

Since the CWE is recognized as the primary industry repository of security weaknesses, it is supported by the majority of vendors providing tools and technology in the software security domain (<http://cwe.mitre.org/compatible/compatible.html>), such as Coverity, HP Fortify, Klockwork, IBM, CAST, Veracode, and others. These vendors already have capabilities for detecting many of the CWEs. Industry experts who developed the CWE purposely worded the CWEs to be language and application agnostic in order to allow vendors to develop detectors specific to a wide range of languages and application types beyond the scope that could be covered in the CWE. Since some of the CWEs may not be relevant in some languages, the reduced opportunity for anti-patterns in those cases will be reflected in the scores.