# Interoperable Naming Service

BEA Systems, Inc.

Cooperative Research Centre for Distributed Systems Technology (DSTC Pty Ltd)

**Inprise Corporation** 

International Business Machines Inc.

IONA Technologies, PLC

Lockheed Martin Inc.

Objective Interface Systems Inc.

Rogue Wave Software Inc.

Sun Microsystems

OMG TC Document dtc/99-08-10 25 August 1999 Copyright 1999 BEA Systems, Inc, Cooperative Research Centre for Distributed Systems Technology (DSTC Pty Ltd), Inprise Corporation, International Business Machines Inc., IONA Technologies PLC, Lockheed Martin Inc., Objective Interface Systems Inc., Rogue Wave Software Inc., Sun Microsystems Inc.

All rights reserved.

The companies listed above hereby grant to the Object Management Group and Object Management Group members permission to copy this document for the purpose of evaluating the technology contained herein during the Object Services technology selection process for an Interoperable Naming Service. OMG members may make up to 50 copies of this document for review purposes. Distribution for any purpose other than technology evaluation is prohibited.

The material in this document is submitted to the OMG for evaluation. Submission of this document does not represent a commitment to implement any portion of this specification in the products of the submitters.

WHILE THE INFORMATION IN THIS PUBLICATION IS BELIEVED TO BE ACCURATE, THE COMPANIES LISTED ABOVE MAKE NO WARRANTY OF ANY KIND WITH REGARD TO THIS MATERIAL INCLUDING BUT NOT LIMITED TO THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE. The companies listed above shall not be liable for errors contained herein or for incidental or consequential damages in connection with the furnishing, performance or use of this material. The information contained in this document is subject to change without notice.

This document contains information which is protected by copyright. All Rights Reserved. Except as otherwise provided herein, no part of this work may be reproduced or used in any form or by any means -- graphic, electronic, or mechanical, including photocopying, recording, taping, or information storage and retrieval systems -- without the permission of the copyright owner. All copies of this document must include the copyright and other information contained on this page.

This document could include technical inaccuracies or typographical errors. Changes are periodically made to the information herein; these changes will be incorporated in new editions of the document if one is published. The submitters may make improvements and/or changes in the product(s) and/or the program(s) described in this document at any time.

RESTRICTED RIGHTS LEGEND. Use, duplication, or disclosure by government is subject to restrictions as set forth in subdivision (c)(1)(ii) of the Rights in Technical Data and Computer Software Clause at DF ARS 252.227-7013.

#### **Notices**

Each of the following terms used in this publication is a trademark of another company:

OMG Object Management Group
CORBA Object Management Group
ORB Object Management Group
IDL Object Management Group

The term "CORBA" used throughout this publication refers to the Common Object Request Broker Architecture standards promulgated by the Object Management Group, Inc.

Introduction 1

BEA Systems, the Cooperative Research Centre for Distributed Systems Technology (DSTC), Inprise Corporation, International Business Machines, IONA Technologies, Lockheed Martin, Object Interface Systems, Rogue Wave Software, and Sun Microsystems are pleased to submit this Interoperable Naming Service specification in response to the OMG's Interoperability Name Service FTF.

### 1.1 Submission Contact Points

Enquiries and comments about this submission are most welcome and should be directed to:

Ed Cobb BEA Systems 2315 North first St. San Jose, CA. 95131 USA

Phone: +1 408 570 8264 Fax: +1 408 570 8924

Email: ed.cobb@beasys.com

Ted McFadden

CRC for Distributed Systems Technology

University of Queensland

Brisbane 4072

Australia

Phone: +61 7 3365-4310 Email: mcfadden@dstc.edu.au

Remy Beaumont Inprise Corporation 951 Mariner's Island Blvd. Suite 120 San Mateo, CA 94404 **USA** 

Phone: +1 650 358-3049 Email: rbeaumont@inprise.com

Russ Newcombe

**IBM** 

address 1 address 2 USA Phone:

Email: newcombe@austin.ibm.com

Bob Kukura

IONA Technologies PLC

The IONA Building

Shellbourne Road

Dublin 4, Ireland

Phone: +353 1 662 5255 Fax: +353 1 662 5244 Email: kukura@iona.com

Tom Barker

Lockheed Martin

address 1 address 2 USA Phone:

Email: thomas.barker@lmco.com

Victor Giddings

Objective Interface Systems

1892 Preston White Drive, Suite 300

Reston, VA 20191-5448

USA

Phone: +1 703 295 6501

Email: victor.giddings@ois.com

Paul H. Kyzivat

Rogue Wave Software

337 Turnpike Road

Southboro, MA 01772

**USA** 

Phone: +1 508 229 4662 Fax: +1 508 460 3456 Email: paulk@roguewave.com

Harold Carr

Sun Microsystems

address 1 address 2

USA Phone:

Email: harold.carr@eng.sun.com

### 1.2 Submission Overview

This submission is organized as follows:

- Chapter 2 describes the rationale for the service.
- Chapter 3 explains how clients and server obtain access to initial references and shows the URL format for names.
- Chapter 4 is the replacement chapter for the CORBAservices Naming Service Specification.

# 1.3 Scope of RFP

This RFP addresses the following points:

- Resolves open issues affecting interoperability with the current Naming Service.
- Provides a mechanism to allow multiple clients to access a common Initial Naming Context.
- Defines an interoperable, stringified form of a CosNaming::Name.
- Defines a URL format for names.

# 1.4 Proof of Concept

At the time of submission, the submitters have an implementation of this specification in testing. No problems that would endanger the technical viability of this specification were found.

The IDL presented in this submission has been compiled successfully with the following compilers:

- BEA M3 2.1
- DSTC internal research compiler
- Expersoft PowerBroker CORBAplus 2.2
- HP ORB Plus 2.6
- Inprise VisiBroker 3.2
- IONA Orbix 2.3c

# 1.5 Changes to Existing CORBA Specifications

This specification adds definitions to the ORB core, but does not add or change the existing CosNaming IDL interfaces. No changes to IIOP are required to implement this specification. This submission defines four new minor codes for the BAD\_PARAM system exception. These minor codes will need to be assigned by the OMG.

# 1.6 Registrations with Other Standards Organizations

This specification introduces two new stringified URL schemes, iioploc and iiopname, in addition to the currently specified IOR scheme. If this submission is adopted, the OMG will need to register all three schemes with the Internet Assigned Numbers Authority (IANA).

This specification uses port 9999 as a placeholder for the default port for use with the iioploc and iiopname schemes. If this submission is adopted, the OMG will need to request assignment of this or another port number above 1024 from IANA.

# 1.7 Addressed Requirements

# 1.7.1 Specific Requirements

This submission addresses all of the requirements stated in the RFP.

This submission is upwardly compatible with current OMG specifications.

# 1.7.2 General Requirements

This submission meets the requirements stated in section 5 of the RFP.

This section presents the rationale for the design of this submission. Further explanations can be found in the description of the relevant functionality.

# 2.1 RFP Requirements

The Interoperability Name Service Enhancements RFP (orbos/97-12-20) solicited proposals addressing several areas concerning the Naming Service. We have addressed these issues in a manner that is compatible with the current Naming Service specification. The RFP items are listed in the following sections.

# 2.1.1 Name String Syntax

The RFP states:

"Name string syntax is not specified. Interfaces for parsing names are not specified. These make it difficult for users to easily define and search for names. A common means for parsing name-strings and converting them to and from name-structures would increase interoperability."

We define a straightforward stringified representation of names that is user-friendly, provides a unique and easily comparable representation for a given name, and is interoperable between Naming Services.

# 2.1.2 Configuration of Initial Naming Context

The RFP states:

"There is no standard way to allow clients to independently bootstrap to a common naming context. For example, using a file system relies on the presence of a shared file system and an agreed upon location, it is not a particularly scalable solution."

This submission defines a simple mechanism by which resolve\_initial\_references can be configured to return a common naming context to any number of clients. The mechanism is scalable and supports other services besides the Naming Service.

#### 2.1.3 URL Names

#### The RFP states:

"With the use of CORBA in the Internet, and it's associated Domain Name Service (DNS), it is desirable to support the use of a Uniform Resource Locator (URL) based names, thus allowing for example, Web-browser based access to CosNaming in a standard way."

Given that the current CORBA IOR format is already a valid URL but difficult for humans to transcribe, we have defined iioploc and iiopname URL schemes that are more user-friendly and similar to ftp and http URLs.

# 2.1.4 Interoperability Defects in the Current Naming Specification

### Significance of id and kind in CosName Comparison

#### The RFP states:

"When a CosNaming::Name is evaluated as a sequence of components, it is not stated whether or not both the id and kind field of the two CosNaming::NameComponents must match for the two components to be identical. Differing products have made different choices, leading to interoperability problems."

This submission clearly states the significance of the id and kind fields during comparison. Both id and kind fields are significant, even if empty.

Clarifying only this point would still leave a Naming Service specification that is too deficient to be interoperable. To meet the RFP objective of an interoperable naming service, we have addressed several other items listed below.

#### Issues Open Against the Naming Service

The OMG issues database contains a number of open issues raised against the Naming Service affecting interoperability. We felt that any revised service should at least address issues against the previous version. The updated specification presented here addresses these issues as follows:

- Issue 24, 280, return type of list incorrect
   No change. Fixing this would require changing an existing interface which is not possible without losing backward compatibility. This issue does not affect interoperability.
- Issues 64, 271, 272, 273, 274, values returned from iterator operations

This specification defines the semantics of iterator operations more precisely to avoid the ambiguities in the original specification. The semantics permit an implementation to avoid read-ahead or buffering during iteration.

- Issue 275, meaning of how\_many in the list and next\_n operations

  For list, a how\_many value of zero indicates that all bindings are to be returned via an iterator. For next\_n, a how\_many value of zero raises an exception.
- Issue 276, fewer than how\_many bindings returned from iterator
   This specification makes it clear under what circumstances an implementation can return fewer than the requested number of bindings.
- Issues 277, 278, destruction of iterators

We rejected the idea of destroying an iterator as soon as the last binding is retrieved as "too clever". However, the text makes it clear that iterators can be destroyed by an implementation without warning, and that clients must be prepared to handle OBJECT NOT EXIST exceptions from iterator operations.

- Issue 279, inheritance from LifeCycleObject
   No change. Fixing this would require changing an existing interface which is not possible without losing backward compatibility.
- Issue 281, Names Library benefit
   The Names Library is removed by this document (see section 2.2.1).
- Issue 298, meaning of why member in NotFound exception
  The semantics of the why member are specified.
- Issue 270, semantics of name equality
   This specification has well-defined semantics for equality of names.

# 2.2 Deprecations and Limitations

# 2.2.1 Names Library

The Names Library as described in the original specification claims that it hides the representation of names from client code and therefore permits the representation of names to evolve without affecting existing clients. However, the library as specified does not provide this functionality—the representation of names is just as visible in the Names Library as it is in the basic IDL. In fact, the Names Library offers only very few benefits (comparison and random insertion) over the basic IDL.

The Names Library requires functionality that can only be implemented as client-side code (is not provided by distributable object interfaces). This requires porting of the Names Library to all possible combinations of operating system, language binding, compiler version, and ORB version. In addition, we believe that specifying such client-side functionality is undesirable because it invalidates transparencies provided by CORBA, such as language, platform, and implementation independence.

The Names Library is therefore removed by this specification.

# 2.2.2 No Support for Wide Characters

The RFP calls for submissions upwardly compatible with the existing Naming Service. We do not see a way to provide this if names are allowed to contain wide characters. In addition, current ORBs do not yet support wide characters and strings, and language bindings for wide strings are still incomplete, which prohibits even proof-of-concept implementations. Therefore, this submission makes no attempt to support names containing wide characters.

Note – Editing Instructions: The entire section 3.1 is to be inserted into the CORBA 2.3 Specification between section 4.7 Obtaining Initial Object References and the section 4.8 CurrentObject. Note that references to CORBA 2.3 refer to the draft chapters in ptc/98-10-xx. If the section numbers for CORBA 2.3 in these documents change before final publications, the cross references in this document must be adjusted.

# 3.1 Configuring Initial Service References

# 3.1.1 ORB-specific Configuration

It is required that an ORB can be administratively configured to return an arbitrary object reference from CORBA::ORB::resolve\_initial\_references for non-locality-constrained objects.

In addition to this required implementation-specific configuration, two CORBA::ORB\_init arguments are provided to override the ORB initial reference configuration.

# 3.1.2 ORBInitRef

The ORB initial reference argument, -ORBInitRef, allows specification of an arbitrary object reference for an initial service. The format is:

-ORBInitRef <ObjectID>=<ObjectURL>

Examples of use are:

-ORBInitRef NameService=IOR:00230021AB...

```
-ORBInitRef \
NotificationService=iioploc://555objs.com/NotificationService
```

-ORBInitRef TradingService=iiopname://555objs.com/Dev/Trader

<ObjectID> represents the well-known ObjectID for a service defined in the CORBA specification, such as NameService. This mechanism allows an ORB to be configured with new initial service Object IDs that were not defined when the ORB was installed.

<ObjectURL> can be any of the URL schemes supported by
CORBA::ORB::string\_to\_object (Sections 13.6.6 to 13.6.7 CORBA 2.3
Specification). If a URL is syntactically malformed or can be determined to be invalid in an implementation defined manner, ORB\_init raises a BAD\_PARAM exception.

Note – Editing Instructions: The iioploc and iiopname URL schemes are described in section 3.2 of this submission. That section is to be placed into the CORBA 2.3 document as the (new) section 13.6.7.

# 3.1.3 ORBDefaultInitRef

The ORB default initial reference argument, -ORBDefaultInitRef, assists in resolution of initial references not explicitly specified with -ORBInitRef. -ORBDefaultInitRef requires a URL that, after appending a slash '/' character and a stringified object key, forms a new URL to identify an initial object reference. For example:

```
-ORBDefaultInitRef iioploc://555objs.com
```

A call to resolve\_initial\_references("NotificationService") with this argument results in a new URL:

```
iioploc://555objs.com/NotificationService
```

That URL is passed to CORBA::ORB::string\_to\_object to obtain the initial reference for the service.

Another example is:

```
-ORBDefaultInitRef \
iiopname://555ResolveRefs.com,555Backup.com/Prod/Local
```

After calling  $resolve\_initial\_references("NameService"), one of the iiopname <math>URLs$ 

```
iiopname://555ResolveRefs.com/Prod/Local/NameService
or
```

```
iiopname://555Backup411.com/Prod/Local/NameService
```

is used to obtain an object reference from string\_to\_object. (In this example, Prod/Local/NameService represents a stringified CosNaming::Name).

Section 13.6.7 provides details of the iioploc and iiopname URL schemes. The -ORBDefaultInitRef argument naturally extends to URL schemes that may be defined in the future, provided the final part of the URL is an object key.

# 3.1.4 Configuration Effect on resolve\_initial\_references

### Default Resolution Order

The default order for processing a call to CORBA::ORB::resolve\_initial\_references for a given <ObjectID> is:

- 1. Resolve with -ORBInitRef for this <ObjectID> if possible
- 2. Resolve with an -ORBDefaultInitRef entry if possible
- 3. Resolve with pre-configured ORB settings.

### ORB Configured Resolution Order

There are cases where the default resolution order may not be appropriate for all services and use of -ORBDefaultInitRef may have unintended resolution side effects. For example, an ORB may use a proprietary service, such as ImplementationRepository, for internal purposes and may want to prevent a client from unknowingly diverting the ORB's reference to an implementation repository from another vendor. To prevent this, an ORB is allowed to ignore the -ORBDefaultInitRef argument for any or all <ObjectID>s for those services that are not OMG-specified services with a well-known service name as accepted by resolve\_initial\_references. An ORB can only ignore the -ORBDefaultInitRef argument but must always honor the -ORBInitRef argument.

# 3.1.5 Configuration Effect on list\_initial\_services

The <ObjectID>s of all -ORBInitRef arguments to ORB\_init appear in the list of tokens returned by list\_initial\_services as well as all ORB-configured <ObjectID>s. Any other tokens that may appear are implementation-dependent.

The list of <ObjectID>s returned by list\_initial\_services can be a subset of the <ObjectID>s recognized as valid by resolve\_initial\_references.

# 3.2 Object URLs

Note – EDITING INSTRUCTIONS. This section is to be added as a new section after Section 13.6.6 Stringified Object References of the CORBA 2.3 Specification.

To address the problem of bootstrapping and allow for more convenient exchange of human-readable object references, ORB::string\_to\_object allows URLs in the iioploc and iiopname formats to be converted into object references. If conversion fails, string\_to\_object raises a BAD\_PARAM exception with the following minor codes (to be assigned by the OMG):

- BadSchemeName
- BadAddress
- BadSchemeSpecificPart
- Other

### iioploc URL

The iioploc URL scheme provides stringified object references that are easily manipulated in TCP/IP- and DNS-centric environments such as the Internet. An iioploc URL contains:

- · an address
- an IIOP version number
- · an object key

The object address and key are specified directly in the URL. Examples of iioploc URLs are:

```
iioploc://1.1@555xyz.com:9999/Dev/NameService
iioploc://555xyz.com/Prod/TradingService
```

The full syntax is:

```
<iioploc> = "iioploc://"[<addr_list>]["/"<key_string>]
<addr_list>= [<address> ","]* <address>
<address> = [<version> <host> [":" <port>]]
<host> = DNS-style Host Name | ip_address
<version> = <major> "." <minor> "@" | empty_string
<port> = number
<major> = number
<minor> = number
<key_string>= <string> | empty_string
```

#### Where:

addr\_list: comma-separated list of addresses that is used in an implementation-defined
manner to address this object

address: a single address

host: DNS-style host name or IP address. If not present, the local host is assumed.

**version**: a major and minor version number, separated by '.' and followed by '@'. If the version is absent, 1.0 is assumed.

**ip\_address:** numeric IP address (dotted decimal notation)

**port:** port number the agent is listening on (see below). Default is 9999.

key\_string: a stringified object key

The key\_string corresponds to the octet sequence in the object\_key member of a GIOP Request or LocateRequest header as defined in section 15.4 of CORBA 2.3. The key\_string uses the escape conventions described in RFC 2396 to map away from octet values that cannot directly be part of a URL. US-ASCII alphanumeric characters are not escaped. Characters outside this range are escaped, except for the following:

The key\_string is not NUL-terminated.

### iioploc Server Implementation

The only requirements on an object advertised by an iioploc URL are that there must be a software agent listening on the host and port specified by the URL. This agent must be capable of handling IIOP Request and LocateRequest messages targeted at the object key specified in the URL.

A normal CORBA server meets these criteria. It is also possible to implement lightweight object location forwarding agents that respond to IIOP Request messages with Reply messages with a LOCATION\_FORWARD status, and respond to IIOP LocateRequest messages with LocateReply messages.

#### iiopname URL

The iiopname URL scheme is described in Chapter 3 of the CORBAservices specification. It extends the capabilities of the iioploc scheme to allow URLs to denote entries in a Naming Service. Resolving iiopname URLs does not require a Naming Service implementation in the ORB core. An example is:

```
iiopname://555objs.com/a/string/path/to/obj
```

This URL specifies that at host 555objs.com, a object of type NamingContext (with an object key of NameService) can be found, or alternatively, that an agent is running at that location which will return a reference to a NamingContext. The (stringified) name a/string/path/to/obj is then used as the argument to a resolve operation on that NamingContext. The URL denotes the object reference that results from that lookup.

#### Future URL Schemes

Several currently defined non-CORBA URL scheme names are reserved. Implementations may choose to provide these or other URL schemes to support additional ways of denoting objects with URLs.

Table 3-1 lists the required and some optional formats.

Table 3-1 URL formats

Scheme	Description	Status
IOR:	Standard stringified IOR format	Required
iioploc:	IIOP specific stringified object reference	Required
iiopname:	IIOP CosName URL	Required
file://	Specifies a file containing a URL/IOR	Optional
ftp://	Specifies a file containing a URL/IOR that is accessible via ftp protocol.	Optional
http://	Specifies an HTTP URL that returns an object URL/IOR.	Optional

# Naming Service Specification

4

# 4.1 Service Description

**Note** – EDITING INSTRUCTIONS - This chapter is a replacement for the CORBA Services Specification Chapter 3.

#### 4.1.1 Overview

A name-to-object association is called a *name binding*. A name binding is always defined relative to a *naming context*. A naming context is an object that contains a set of name bindings in which each name is unique. Different names can be bound to an object in the same or different contexts at the same time. There is no requirement, however, that all objects must be named.

To *resolve a name* is to determine the object associated with the name in a given context. To *bind a name* is to create a name binding in a given context. A name is always resolved relative to a context — there are no absolute names.

Because a context is like any other object, it can also be bound to a name in a naming context. Binding contexts in other contexts creates a *naming graph* — a directed graph with nodes and labeled edges where the nodes are contexts. A naming graph allows more complex names to reference an object. Given a context in a naming graph, a sequence of names can reference an object. This sequence of names (called a *compound name*) defines a path in the naming graph to navigate the resolution process. Figure 4-1 shows an example of a naming graph.

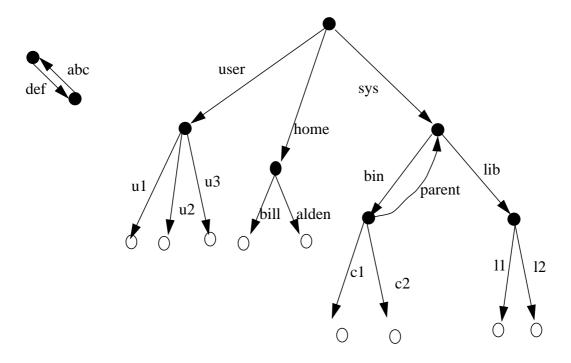


Figure 4-1 A Naming Graph

### 4.1.2 Names

Many of the operations defined on a naming context take names as parameters. Names have structure. A name is an ordered sequence of *components*.

A name with a single component is called a *simple name*; a name with multiple components is called a *compound name*. Each component except the last is used to name a context; the last component denotes the bound object. The notation:

component1/component2/component3

indicates a sequence of components.

**Note** – The slash (/) characters are simply a notation used here and are not intended to imply that names are sequences of characters separated by slashes.

A name component consists of two attributes: the *id attribute* and the *kind attribute*. Both the *id* attribute and the kind attribute are represented as IDL strings.

The kind attribute adds descriptive power to names in a syntax-independent way. Examples of the value of the kind attribute include *c\_source*, *object\_code*, *executable*, *postscript*, or "". The naming system does not interpret, assign, or manage these values in any way. Higher levels of software may make policies about the use and management of these values. This feature addresses the needs of applications that use

syntactic naming conventions to distinguish related objects. For example Unix uses suffixes such as .c and .o. Applications (such as the C compiler) depend on these syntactic convention to make name transformations (for example, to transform foo.c to foo.o).

A sequence of id and kind pairs forming a name can be expressed as a single string using the syntax described in section 4.5. This allows names to be written down easily or to be presented as a strings in user interfaces. In addition, section 4.6 describes a way to express a name relative to a particular naming context in URL format. The URL representation provides a human-readable form of an object reference that is named in some naming context.

# 4.1.3 Example Scenarios

This section provides two short scenarios that illustrate how the naming service specification can be used by two fairly different kinds of systems -- systems that differ in the kind of implementations used to build the Naming Service and that differ in models of how clients might use the Naming Service with other object services to locate objects.

In one system, the Naming Service is implemented using an underlying enterprise-wide naming server such as DCE CDS. The Naming Service is used to construct large, enterprise-wide naming graphs where NamingContexts model "directories" or "folders" and other names identify "document" or "file" kinds of objects. In other words, the naming service is used as the backbone of an enterprise-wide filing system. In such a system, non-object-based access to the naming service may well be as commonplace as object-based access to the naming service.

The Naming Service provides the principal mechanism through which most clients of an ORB-based system locate objects that they intend to use (make requests of). Given an initial naming context, clients navigate naming contexts retrieving lists of the names bound to that context. In conjunction with properties and security services, clients look for objects with certain "externally visible" characteristics, for example, for objects with recognized names or objects with a certain time-last-modified (all subject to security considerations). All objects used in such a scheme register their externally visible characteristics with other services (a name service, a properties service, and so on).

Conventions are employed in such a scheme that meaningfully partition the name space. For example, individuals are assigned naming contexts for personal use, groups of individuals may be assigned shared naming contexts while other contexts are organized in a public section of the naming graph. Similarly, conventions are used to identify contexts that list the names of services that are available in the system (e.g., that locate a translation or printing service).

In an alternative system, the Naming Service can be used in a more limited role and can have a less sophisticated implementation. In this model, naming contexts represent the types and locations of services that are available in the system and a much shallower naming graph is employed. For example, the Naming Service is used to register the object references of a mail service, an information service, a filing service.

Given a handful of references to "root objects" obtained from the Naming Service, a client uses the Relationship and Query Services to locate objects contained in or managed by the services registered with the Naming Service. In such a system, the Naming Service is used sparingly and instead clients rely on other services such as query services to navigate through large collections of objects. Also, objects in this scheme rarely register "external characteristics" with another service - instead they support the interfaces of Query or Relationship Services.

Of course, nothing precludes the Naming Service presented here from being used to provide both models of use at the same time. These two scenarios demonstrate how this specification is suitable for use in two fairly different kinds of systems with potentially quite different kinds of implementations. The service provides a basic building block on which higher-level services impose the conventions and semantics which determine how frameworks of application and facilities objects locate other objects.

## 4.1.4 Design Principles

Several principles have driven the design of the Naming Service:

- 1. The design imparts no semantics or interpretation of the names themselves; this is up to higher-level software.
- 2. The design supports distributed, heterogeneous implementation and administration of names and name contexts.
- 3. Naming service clients need not be aware of the physical site of name servers in a distributed environment, or which server interprets what portion of a compound name, or of the way that servers are implemented.
- 4. The Naming Service is a fundamental object service, with no dependencies on other interfaces.
- 5. Name contexts of arbitrary and unknown implementation may be utilized together as nested graphs of nodes that cooperate in resolving names for a client. No "universal" root is needed for a name hierarchy.
- 6. Existing name and directory services employed in different network computing environments can be transparently encapsulated using name contexts. All of the above features contribute to making this possible.
- 7. The design does not address namespace administration. It is the responsibility of higher-level software to administer the namespace.

# 4.2 The CosNaming Module

The CosNaming module is a collection of interfaces that together define the Naming Service. This module contains three interfaces:

- The NamingContext interface
- The BindingIterator interface

• The NamingContextExt interface

This section describes these interfaces and their operations in detail.

The CosNaming module is shown below.

**Note** – Istring was a "placeholder for a future IDL internationalized string data type" in the original specification. It is maintained solely for compatibility reasons.

```
// File: CosNaming.idl
#ifndef _COSNAMING_IDL_
#define _COSNAMING_IDL_
#pragma prefix "omg.org"
module CosNaming {
    typedef string Istring;
    struct NameComponent {
        Istring id;
        Istring kind;
    typedef sequence<NameComponent> Name;
    enum BindingType { nobject, ncontext };
    struct Binding {
        Name
                    binding_name;
        BindingType binding_type;
    };
    // Note: In struct Binding, binding_name is incorrectly defined
    // as a Name instead of a NameComponent. This definition is
    // unchanged for compatibility reasons.
    typedef sequence <Binding> BindingList;
    interface BindingIterator;
    interface NamingContext {
        enum NotFoundReason {
           missing_node, not_context, not_object
        };
        exception NotFound {
             NotFoundReason why;
             Name
                             rest of name;
        };
        exception CannotProceed {
             NamingContext cxt;
             Name
                             rest_of_name;
        };
        exception InvalidName{};
        exception AlreadyBound {};
        exception NotEmpty{};
```

```
void bind(in Name n, in Object obj)
                 raises(
                      NotFound, CannotProceed,
                      InvalidName, AlreadyBound
                 );
          rebind(in Name n, in Object obj)
                 raises(NotFound, CannotProceed, InvalidName);
    void bind_context(in Name n, in NamingContext nc)
                 raises(
                   NotFound, CannotProceed,
                   InvalidName, AlreadyBound
                 );
    void rebind context(in Name n, in NamingContext nc)
                 raises(NotFound, CannotProceed, InvalidName);
    Object resolve (in Name n)
                 raises(NotFound, CannotProceed, InvalidName);
    void unbind(in Name n)
             raises(NotFound, CannotProceed, InvalidName);
    NamingContext new_context();
    NamingContext bind_new_context(in Name n)
                          raises(
                               NotFound, AlreadyBound,
                               CannotProceed, InvalidName
                          );
          destroy() raises(NotEmpty);
    void
    void list(
                 in unsigned long
                                      how_many,
                 out BindingList
                                     bl,
                 out BindingIterator
                                      bi
             );
interface BindingIterator {
    boolean next_one(out Binding b);
    boolean next_n(in unsigned long how_many, out BindingList bl);
    void destroy();
interface NamingContextExt: NamingContext {
    typedef string StringName;
    typedef string Address;
    typedef string URLString;
```

**}**;

**}**;

```
StringName
                       to_string(in Name n) raises(InvalidName);
         Name
                     to_name(in StringName sn)
                           raises(InvalidName);
         exception InvalidAddress {};
         URLString
                       to_url(in Address addr, in StringName sn)
                           raises(InvalidAddress, InvalidName);
         Object
                     resolve_str(in StringName n)
                           raises(
                               NotFound, CannotProceed,
                               InvalidName, AlreadyBound
                           );
    };
};
#endif // _COSNAMING_IDL_
```

### Resolution of Compound Names

In this specification operations that are performed on compound names recursively perform the *equivalent* of a resolve operation on all but the last component of a name before performing the operation on the final name component. The general form is defined as follows:

```
ctx->op(<c1; c2; ...; cn>) equiv
ctx->resolve(<c1>)->resolve(<c2; cn-1>)->op(<cn>)
```

where ctx is a naming context, <c1; ...; cn> a compound name, and op a naming context operation.

**Note** – The intermediate components, <c1: ...; cn> of the compound name must have been bound using bind\_context or rebind\_context to take part in the resolve.

# 4.3 NamingContextInterface

The following sections describe the naming context data types and interface in detail.

#### 4.3.1 Structures

#### NameComponent

```
struct NameComponent {
    Istring Id;
    Istring kind;
};
```

A name component consists of two attributes: the identifier attribute, id, and the kind attribute, kind.

Both of these attributes are arbitrary-length strings of ISO Latin-1 characters, excluding the ASCII NUL character.

When comparing two NameComponents for equality both the id and the kind field must match in order for two NameComponents to be considered identical. This applies for zero-length (empty) fields as well.

An implementation may place limitations on the characters that may be contained in a name component, as well as the length of a name component. For example, an implementation may disallow certain characters, may not accept the empty string as a legal name component, or may limit name components to some maximum length.

#### Name

A name is a sequence of NameComponents. The empty sequence is not a legal name. An implementation may limit the length of the sequence to some maximum. When comparing Names for equality, each NameComponent in the first name must match the corresponding NameComponent in the second Name for the names to be considered identical.

#### Binding

```
enum BindingType { nobject, ncontext };
struct Binding {
   Name binding_name;
   BindingType binding_type;
};
typedef sequence<Binding> BindingList;
```

This types are used by the NamingContext::list,
BindingIterator::next\_n and BindingIterator::next\_one operations.
A Binding contains a Name in the member binding\_name, together with the
BindingType of that Name in the member binding\_type.

**Note** – The binding\_name member is incorrectly typed as a Name instead of a NameComponent. For compatibility with the original CosNaming specification this incorrect definition has been retained. The binding\_name is used as a NameComponent and will always be a Name with length of 1.

The value of <code>binding\_type</code> is <code>ncontext</code> if a Name denotes a binding created with one of the following operations:

- bind\_context
- rebind\_context
- bind\_new\_context

For bindings created with any other operation, the value of BindingType is nobject.

# 4.3.2 Exceptions

The Naming Service exceptions are defined below.

#### NotFound

```
exception NotFound {
    NotFoundReason why;
    Name rest_of_name;
};
```

This exception is raised by operations when a component of a name does not identify a binding or the type of the binding is incorrect for the operation being performed. The why member explains the reason for the exception and the rest\_of\_name member contains the remainder of the non-working name:

• missing\_node

The first name component in rest\_of\_name denotes a binding that is not bound under that name within its parent context.

not\_context

The first name component in rest\_of\_name denotes a binding with a type of nobject when the type ncontext was required.

• not\_object

The first name component in rest\_of\_name denotes a binding with a type of ncontext when the type nobject was required.

#### Cannot Proceed

```
exception CannotProceed {
    NamingContext cxt;
    Name rest_of_name;
};
```

This exception is raised when an implementation has given up for some reason. The client, however, may be able to continue the operation at the returned naming context.

The cxt member contains the context that the operation may be able to retry from.

The rest\_of\_name member contains the remainder of the non-working name.

#### InvalidName

#### exception InvalidName {};

This exception is raised if a Name is invalid. A name of length zero is invalid (containing no name components). Implementations may place further limitations on what constitutes a legal name and raise this exception to indicate a violation.

### AlreadyBound

### exception AlreadyBound {};

Indicates an object is already bound to the specified name. Only one object can be bound to a particular Name in a context.

#### NotEmpty

#### exception NotEmpty {};

This exception is raised by destroy if the NamingContext contains bindings. A NamingContext must be empty to be destroyed.

# 4.3.3 Binding Objects

The binding operations name an object in a naming context. Once an object is bound, it can be found with the resolve operation. The Naming Service supports four operations to create bindings: bind, rebind, bind\_context and rebind\_context. bind\_new\_context also creates a binding, see section 4.3.6.

void bind(in Name n, in Object obj)
raises(NotFound, CannotProceed, InvalidName, AlreadyBound);
void rebind(in Name n, in Object obj)
raises(NotFound, CannotProceed, InvalidName);
void bind\_context(in Name n, in NamingContext nc)
raises(NotFound, CannotProceed, InvalidName, AlreadyBound);
void rebind\_context(in Name n, in NamingContext nc)
raises(NotFound, CannotProceed, InvalidName);

#### bind

Creates an nobject binding in the naming context.

#### rebind

Creates an nobject binding in the naming context even if the name is already bound in the context.

If already bound, the previous binding must be of type nobject; otherwise, a NotFound exception with a why reason of not\_object is raised.

### bind\_context

Creates an ncontext binding in the parent naming context. Attempts to bind a nil context raise a BAD PARAM exception.

#### rebind context

Creates an ncontext binding in the naming context even if the name is already bound in the context.

If already bound, the previous binding must be of type ncontext; otherwise, a NotFound exception with a why reason of not\_context will be raised.

#### Usage

If a binding with the specified name already exists, bind and bind\_context raise an AlreadyBound exception.

If an implementation places limits on the number of bindings within a context, bind and bind\_context raise the IMP\_LIMIT system exception if the new binding cannot be created.

Naming contexts bound using bind\_context and rebind\_context participate in name resolution when compound names are passed to be resolved; naming contexts bound with bind and rebind do not.

Use of rebind\_context may leave a potential orphaned context (one that is unreachable within an instance of the Name Service). Policies and administration tools regarding potential orphan contexts are implementation-specific.

If rebind or rebind\_context raise a NotFound exception because an already existing binding is of the wrong type, the rest\_of\_name member of the exception has a sequence length of 1.

## 4.3.4 Resolving Names

The resolve operation is the process of retrieving an object bound to a name in a given context. The given name must exactly match the bound name. The naming service does not return the type of the object. Clients are responsible for "narrowing" the object to the appropriate type. That is, clients typically cast the returned object from Object to a more specialized interface. The IDL definition of the resolve operation is:

# Object resolve (in Name n) raises (NotFound, CannotProceed, InvalidName);

Names can have multiple components; therefore, name resolution can traverse multiple contexts. These contexts can be federated between different Naming Service instances.

## 4.3.5 Unbinding Names

The unbind operation removes a name binding from a context. The definition of the unbind operation is:

# void unbind(in Name n) raises (NotFound, CannotProceed, InvalidName);

# 4.3.6 Creating Naming Contexts

The Naming Service supports two operations to create new contexts: new\_context and bind\_new\_context.

### NamingContext new\_context(); NamingContext bind\_new\_context(in Name n) raises(NotFound, AlreadyBound, CannotProceed, InvalidName);

new\_context

This operation returns a new naming context. The new context is not bound to any name.

4-13

### bind\_new\_context

This operation creates a new context and creates an ncontext binding for it using the name supplied as an argument.

### Usage

If an implementation places limits on the number of naming contexts, both new\_context and bind\_new\_context can raise the IMP\_LIMIT system exception if the context cannot be created. bind\_new\_context can also raise IMP\_LIMIT if the bind would cause an implementation limit on the number of bindings in a context to be exceeded.

## 4.3.7 Deleting Contexts

The destroy operation deletes a naming context.

# void destroy() raises(NotEmpty);

This operation destroys its naming context. If there are bindings denoting the destroyed context, these bindings are *not* removed. If the naming context contains bindings, the operation raises NotEmpty.

# 4.3.8 Listing a Naming Context

The list operation allows a client to iterate through a set of bindings in a naming context.

### 

list returns the bindings contained in a context in the parameter bl. The bl parameter is a sequence where each element is a Binding containing a Name of length 1 representing a single NameComponent.

The how\_many parameter determines the maximum number of bindings to return in the parameter bl, with any remaining bindings to be accessed through the returned BindingIterator bi.

- A non-zero value of how\_many guarantees that bl contains at most how\_many elements. The implementation is free to return fewer than the number of bindings requested by how\_many. However, for a non-zero value of how\_many, it may not return a bl sequence with zero elements unless the context contains no bindings.
- If how\_many is set to zero, the client is requesting to use only the BindingIterator bi to access the bindings and list returns a zero length sequence in bl.
- The parameter bi returns a reference to an iterator object.

- If the bi parameter returns a non-nil reference, this indicates that the call to list may not have returned all of the bindings in the context and that the remaining bindings (if any) must be retrieved using the iterator. This applies for all values of how many.
- If the bi parameter returns a nil reference, this indicates that the bl parameter contains all of the bindings in the context. This applies for all values of how\_many.

# 4.4 The BindingIterator Interface

The BindingIterator interface allows a client to iterate through the bindings using the next\_one or next\_n operations:

If a context is modified in between calls to list, next\_one, or next\_n, the behavior of further calls to next\_one or next\_n is implementation-dependent.

#### next\_one

The next\_one operation returns the next binding. It returns true if it is returning a binding, false if there are no more bindings to retrieve. If next\_one returns false, the returned Binding is indeterminate

Further calls to next\_one after it has returned false have undefined behavior.

#### next\_n

next\_n returns, in the parameter bl, bindings not yet retrieved with list or previous calls to next\_n or next\_one. It returns true if bl is a non-zero length sequence; it returns false if there are no more bindings and bl is a zero-length sequence.

The how\_many parameter determines the maximum number of bindings to return in the parameter bl:

- A non-zero value of how\_many guarantees that bl contains at most how\_many elements. The implementation is free to return fewer than the number of bindings requested by how\_many. However, it may not return a bl sequence with zero elements unless there are no bindings to retrieve.
- A zero value of how\_many is illegal and raises a BAD\_PARAM system exception.

next\_n returns false with a bl parameter of length zero once all bindings have been retrieved. Further calls to next\_n after it has returned a zero-length sequence have undefined behavior.

### destroy

The destroy operation destroys its iterator. If a client invokes any operation on an iterator after calling destroy, the operation raises OBJECT\_NOT\_EXIST.

# 4.4.1 Garbage Collection of Iterators

Clients that create iterators but never call destroy can cause an implementation to permanently run out of resources. To protect itself against this scenario, an implementation is free to destroy an iterator object at any time without warning, using whatever algorithm it considers appropriate to choose iterators for destruction. In order to be robust in the presence of garbage collection, clients should be written to expect OBJECT\_NOT\_EXIST from calls to an iterator and handle this exception gracefully.

# 4.5 Stringified Names

Names are sequences of name components. This representation makes it difficult for applications to conveniently deal with names for I/O purposes, human or otherwise. This specification defines a syntax for stringified names and provides operations to convert a name in stringified form to its equivalent sequence form and vice-versa (see section 4.6.4).

A stringified name represents one and only one CosNaming::Name. If two names are equal, their stringified representations are equal (and vice-versa).

The stringified name representation reserves use of the characters '/', '.', and '\'. The forward slash '/' is a name component separator; the dot '.' separates id and kind fields. The backslash '\' is an escape character (see section 4.5.2).

# 4.5.1 Basic Representation of Stringified Names

A stringified name consists of the name components of a name separated by a '/' character. For example, a name consisting of the components "a", "b", and "c" (in that order) is represented as

a/b/c

Stringified names use the '.' character to separate id and kind fields in the stringified representation. For example, the stringified name

a.b/c.d/.

represents the CosNaming::Name:

Index	id	kind
0	a	b
1	С	d
2	<empty></empty>	<empty></empty>

The single '.' character is the only representation of a name component with empty id and kind fields.

If a name component in a stringified name does not contain a '.' character, the entire component is interpreted as the id field, and the kind field is empty. For example:

corresponds to the CosNaming::Name:

Index	id	kind
0	a	<empty></empty>
1	<empty></empty>	<empty></empty>
2	С	d
3	<empty></empty>	е

If a name component has a non-empty id field and an empty kind field, the stringified representation consists only of the id field. A trailing '.' character is not permitted.

# 4.5.2 Escape Mechanism

The backslash '\' character escapes the reserved meaning of '/', '.', and '\' in a stringified name. The meaning of any other character following a '\' is reserved for future use.

# NameComponent Separators

If a name component contains a ' slash character, the stringified representation uses the ' character as an escape. For example, the stringified name

represents the name consisting of the name components "a", "x/y/z", and "b".

#### Id and kind Fields

The backslash escape mechanism is also used for '.', so id and kind fields can contain a literal '.'. To illustrate, the stringified name

$$a\.b.c\.d/e.f$$

represents the CosNaming::Name:

Index	id	kind
0	a.b	c.d
1	е	f

### The Escape Character

The escape character '\' must be escaped if it appears in a name component. For example, the stringified name:

represents the name consisting of the components "a", "b\", and "c".

### 4.6 URL schemes

This section describes the Uniform Resource Locator (URL) schemes available to represent a CORBA object and a CORBA object bound in a NamingContext.

#### 4.6.1 IOR

The string form of an IOR (IOR:<hex\_octets>) is a valid URL. The scheme name is IOR and the text after the ':' is defined in the CORBA 2.3 specification, Section 13.6.6. The IOR URL is robust and insulates the client from the encapsulated transport information and object key used to reference the object. This URL format is independent of Naming Service.

# 4.6.2 iioploc

It is difficult for humans to exchange IORs through non-electronic means because of their length and the text encoding of binary information. The iioploc URL scheme provides URLs that are familiar to people and similar to ftp or http URLs.

The iioploc URL is described in the CORBA 2.3 Specification, Section 13.6.6. This URL format is independent of the Naming Service.

# 4.6.3 iiopname

An iiopname URL is similar to an iioploc URL. However, an iiopname URL also contains a stringified name that identifies a binding in a naming context.

### iiopname Examples

iiopname://1.1@myhost.555xyz.com:9999/a/b/c

The URL denotes a naming context at myhost.xyz.com:9999 (possibly returned in a LocateReply or LOCATION\_FORWARD reply by an agent listening at that address). The agent at that hosts supports IIOP version 1.1. The name a/b/c is resolved against that context to yield the object reference denoted by the URL.

```
iiopname://x/y/z
```

This URL refers to an agent supporting IIOP version 1.0 on the local host and default port. The naming context associated with the object key NameService is used to resolve the name x/y/z, which yields the object reference denoted by the URL.

```
iiopname:///
```

This URL represents the naming context returned by the agent running on the local host at the default port. It is equivalent to iioploc://NameService.

### iiopname Syntax

The full iiopname BNF is:

```
<iiopname>
            = "iiopname://"[<addr_list>]["/"<string_name>]
<addr_list> = [<address> ","]* <address>
            = [<version> <host> [":" <port>]]
<address>
<host>
            = DNS Style Host Name | ip_address
            = <major> "." <minor> "@" | empty_string
<version>
<port>
            = number
<major>
            = number
<minor>
            = number
<string_name>= stringified Name | empty_string
```

#### Where:

**addr\_list:** comma-separated list of addresses that is used in an implementation-defined manner to address this object.

address: A single address

**host:** DNS-style host name or IP address. If not present, the local host is assumed.

**version:** a major and minor version number, separated by '.' and followed by '@'. If the version is absent, 1.0 is assumed.

ip\_address: numeric IP address (dotted decimal notation).

port: port number object is listening on. Default is 9999.

string\_name: a stringified Name with URL escapes as defined in section .

#### Multiple Addresses in iiopnames

iiopname:555xyz.com,555backup.com/very/critical/binding

An implementation resolves the stringified name very/critical/binding through the naming context identified by either 555xyz.com or 555backup.com. The order of processing of the address list to obtain the naming context is implementation-dependent.

**Note** – Unlike stringified names, iiopnames cannot be compared directly for equality as the address specification can differ for iiopname URLs with the same meaning.

### iiopname Character Escapes

iiopname URLs use the escape mechanism described in the Internet Engineering Task Force (IETF) RFC 2396. These escape rules insure that URLs can be transferred via a variety of transports without undergoing changes. The character escape rules for the stringified name portion of an iiopname are:

US-ASCII alphanumeric characters are not escaped. Characters outside this range are escaped, except for the following:

### iiopname Escape Mechanism

The percent '%' character is used as an escape. If a character that requires escaping is present in a name component it is encoded as two hexadecimal digits following a "%" character to represent the octet. (The first hexadecimal character represent the high-order nibble of the octet, the second hexadecimal character represents the low-order nibble.) If a '%' is not followed by two hex digits, the stringified name is syntactically invalid.

### Examples

Table 4-1

Stringified Name	After URL Escapes	Comment
a.b/c.d	a.b/c.d	URL form identical
<a>.b/c.d</a>	%3ca%3e.b/c.d	Escaped "<" and ">"
a.b/ c.d	a.b/%20%20c.d	Escaped two " " spaces
a%b/c%d	a%25b/c%25d	Escaped two "%" percents

### iiopname Resolution

iiopnames can be implemented using the iioploc URL scheme. Given an iiopname:

```
iiopname://<addresses>["/" <string_name>]
```

The iiopname is resolved by:

- First constructing an iioploc URL of the form: iioploc://<addresses>/NamingService. NamingService is the object key for iiopnames.
- 2. This is converted to a naming context object reference with CORBA::ORB::string\_to\_object.
- 3. The <string\_name> is converted to a CosNaming::Name.
- 4. The resulting name is passed to a resolve operation on the naming context.
- 5. The object reference returned by the resolve is the result.

Implementations are not required to use the method described and may make optimizations appropriate to their environment.

# 4.6.4 Converting between CosNames, Stringified Names, and URLs

The NamingContextExt interface, derived from NamingContext, provides the operations required to use URLs and stringified names.

```
module CosNaming {
    interface NamingContextExt: NamingContext {
         typedef string StringName;
         typedef string Address;
         typedef string URLString;
                       to_string(in Name n) raises(InvalidName);
         StringName
         Name
                     to_name(in StringName sn)
                           raises(InvalidName);
         exception InvalidAddress {};
         URLString
                      to_url(in Address addr, in StringName sn)
                           raises(InvalidAddress, InvalidName);
         Object
                     resolve_str(in StringName n)
                           raises(
                               NotFound, CannotProceed,
                               InvalidName
                          );
    };
};
to string
```

This operation accepts a Name and returns a stringified name. If the Name is invalid, an InvalidName exception is raised.

#### to\_name

This operation accepts a stringified name and returns a Name. If the stringified name is syntactically malformed or violates an implementation limit, an InvalidName exception is raised.

#### resolve str

This is a convenience operation that performs a resolve in the same manner as NamingContext::resolve. It accepts a stringified name as an argument instead of a Name.

### to\_url

This operation takes a URL <address> component such as

- myhost.xyz.com
- myhost.555xyz.com,my\_backup\_host.555xyz.com:900

and a stringified name. It then performs any escapes necessary on the stringified name and returns a fully formed URL string. An exception is raised if either the protocol or name parameters are invalid.

It is legal for the address and/or stringified\_name to be empty. If the address is empty, it means the local host.

## URL to Object Reference

Conversions from URLs to objects are handled by CORBA::ORB::string\_to\_object as described in the CORBA 2.3 Specification, Section 13.6.6.

# 4.7 Initial Reference to a NamingContextExt

An initial reference to an instance of this interface can be obtained by calling resolve\_initial\_references with an ObjectID of NameService.

# 4.8 Conformance Requirements

# 4.8.1 Optional Interfaces

There are no optional interfaces in this specification. A compliant implementation must implement all of the functionality and interfaces described.

# 4.8.2 Documentation Requirements

A compliant implementation must document all of the following:

- any limitations to the character values or character sequences that may be used in a name component
- any limitations to the length (including minimum or maximum) of a name component
- any limitations to number of name components in a name
- any limitations to the maximum number of bindings in a context
- any limitations to the total number of bindings (implementation-wide)
- any limitations to the maximum number of contexts
- the means provided to deal with orphaned contexts and bindings
- Any policy for dealing with potentially orphaned naming contexts. Orphaned contexts are contexts that are not bound in any other context within a naming server.
- Any policy for destroying binding iterators that are considered to be no longer in use.
- Under what circumstances, if any, a Cannot Proceed exception is raised.