Date: December December 8,8 20210



Risk Analysis and Assessment Modeling Language (RAAML) Libraries and Profiles

Version 1.0

OMG Document Number: ad/2020-12-02ptc/21-12-03

Standard document URL: http://www.omg.org/spec/RAAML/

Normative Machine Consumable File(s):

https://www.omg.org/spec/RAAML/2021e1101/CoreRAAML.xmi
https://www.omg.org/spec/RAAML/2021e1101/GeneralRAAML.xmi
https://www.omg.org/spec/RAAML/2021e1101/GeneralRAAML.xmi
https://www.omg.org/spec/RAAML/2021e1101/GeneralRAAMLLib.xmi
https://www.omg.org/spec/RAAML/2021e1101/GSN.xmi
https://www.omg.org/spec/RAAML/2021e1101/FMEA.xmi
https://www.omg.org/spec/RAAML/2021e1101/FMEALib.xmi
https://www.omg.org/spec/RAAML/2021e1101/FTA.xmi
https://www.omg.org/spec/RAAML/2021e1101/FTALib.xmi
https://www.omg.org/spec/RAAML/2021e1101/ISO26262.xmi
https://www.omg.org/spec/RAAML/2021e1101/ISO26262Lib.xmi
https://www.omg.org/spec/RAAML/2021e1101/STPA.xmi
https://www.omg.org/spec/RAAML/2021e1101/STPA.xmi
https://www.omg.org/spec/RAAML/2021e1101/STPA.xmi
https://www.omg.org/spec/RAAML/2021e1101/STPA.xmi

Formatted: Font: (Default) Calibri, Not Bold

Field Code Changed

This OMG document is the revised submission replacing the initialBeta submission (ad/2017-08-01ad/2020-12-02). Comments on the content of this document are welcome; and should be entered by December 11, 2020-using the Issue Reporting Form on the main web page http://www.omg.org, under Documents, Report a Bug/Issue (http://issues.omg.org/issues/create-new-issue).

Copyright © 20210, Dassault Systemes

Copyright © 20210, Ford Motor Company

Copyright © 20210, Gesellschaft für Systems Engineering

Copyright © 20210, Object Management Group, Inc.

USE OF SPECIFICATION - TERMS, CONDITIONS & NOTICES

The material in this document details an Object Management Group specification in accordance with the terms, conditions and notices set forth below. This document does not represent a commitment to implement any portion of this specification in any company's products. The information contained in this document is subject to change without notice.

LICENSES

The companies listed above have granted to the Object Management Group, Inc. (OMG) a nonexclusive, royalty-free, paid up, worldwide license to copy and distribute this document and to modify this document and distribute copies of the modified version. Each of the copyright holders listed above has agreed that no person shall be deemed to have infringed the copyright in the included material of any such copyright holder by reason of having used the specification set forth herein or having conformed any computer software to the specification.

Subject to all of the terms and conditions below, the owners of the copyright in this specification hereby grant you a fully-paid up, non-exclusive, nontransferable, perpetual, worldwide license (without the right to sublicense), to use this specification to create and distribute software and special purpose specifications that are based upon this specification, and to use, copy, and distribute this specification as provided under the Copyright Act; provided that: (1) both the copyright notice identified above and this permission notice appear on any copies of this specification; (2) the use of the specifications is for informational purposes and will not be copied or posted on any network computer or broadcast in any media and will not be otherwise resold or transferred for commercial purposes; and (3) no modifications are made to this specification. This limited permission automatically terminates without notice if you breach any of these terms or conditions. Upon termination, you will destroy immediately any copies of the specifications in your possession or control.

PATENTS

The attention of adopters is directed to the possibility that compliance with or adoption of OMG specifications may require use of an invention covered by patent rights. OMG shall not be responsible for identifying patents for which a license may be required by any OMG specification, or for conducting legal inquiries into the legal validity or scope of those patents that are brought to its attention. OMG specifications are prospective and advisory only. Prospective users are responsible for protecting themselves against liability for infringement of patents.

GENERAL USE RESTRICTIONS

Any unauthorized use of this specification may violate copyright laws, trademark laws, and communications regulations and statutes. This document contains information which is protected by copyright. All Rights Reserved. No part of this work covered by copyright herein may be reproduced or used in any form or by any means--graphic, electronic, or mechanical, including photocopying, recording, taping, or information storage and retrieval systems--without permission of the copyright owner.

DISCLAIMER OF WARRANTY

WHILE THIS PUBLICATION IS BELIEVED TO BE ACCURATE, IT IS PROVIDED "AS IS" AND MAY CONTAIN ERRORS OR MISPRINTS. THE OBJECT MANAGEMENT GROUP AND THE COMPANIES LISTED ABOVE MAKE NO WARRANTY OF ANY KIND, EXPRESS OR IMPLIED, WITH REGARD TO THIS PUBLICATION, INCLUDING BUT NOT LIMITED TO ANY WARRANTY OF TITLE OR OWNERSHIP, IMPLIED WARRANTY OF MERCHANTABILITY OR WARRANTY OF FITNESS FOR A PARTICULAR PURPOSE OR USE. IN NO EVENT SHALL THE OBJECT MANAGEMENT GROUP OR ANY OF THE COMPANIES LISTED ABOVE BE LIABLE FOR ERRORS CONTAINED HEREIN OR FOR DIRECT, INDIRECT, INCIDENTAL, SPECIAL, CONSEQUENTIAL, RELIANCE OR COVER DAMAGES, INCLUDING LOSS OF PROFITS, REVENUE, DATA OR USE, INCURRED BY ANY USER OR ANY THIRD PARTY IN CONNECTION WITH THE FURNISHING, PERFORMANCE, OR USE OF THIS MATERIAL, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGES.

The entire risk as to the quality and performance of software developed using this specification is borne by you. This disclaimer of warranty constitutes an essential part of the license granted to you to use this specification.

RESTRICTED RIGHTS LEGEND

Use, duplication or disclosure by the U.S. Government is subject to the restrictions set forth in subparagraph (c) (1) (ii) of The Rights in Technical Data and Computer Software Clause at DFARS 252.227-7013 or in subparagraph (c)(1) and (2) of the Commercial Computer Software - Restricted Rights clauses at 48 C.F.R. 52.227-19 or as specified in 48 C.F.R. 227-7202-2 of the DoD F.A.R. Supplement and its successors, or as specified in 48 C.F.R. 12.212 of the Federal Acquisition Regulations and its successors, as applicable. The specification copyright owners are as indicated above and may be contacted through the Object Management Group, 9C Medway Road, PMB 274, Milford, MA 01757, U.S.A.

TRADEMARKS

CORBA®, CORBA logos®, FIBO®, Financial Industry Business Ontology®, FINANCIAL INSTRUMENT GLOBAL IDENTIFIER®, IIOP®, IMM®, Model Driven Architecture®, MDA®, Object Management Group®, OMG®, OMG Logo®, SoaML®, SOAML®, SysML®, UAF®, Unified Modeling Language®, UML®, UML Cube Logo®, VSIPL®, and XMI® are registered trademarks of the Object Management Group, Inc.

For a complete list of trademarks, see: http://www.omg.org/legal/tm_list.htm. All other products or company names mentioned are used for identification purposes only, and may be trademarks of their respective owners.

COMPLIANCE

The copyright holders listed above acknowledge that the Object Management Group (acting itself or through its designees) is and shall at all times be the sole entity that may authorize developers, suppliers and sellers of computer software to use certification marks, trademarks or other special designations to indicate compliance with these materials

Software developed under the terms of this license may claim compliance or conformance with this specification if and only if the software compliance is of a nature fully matching the applicable compliance points as stated in the specification. Software developed only partially matching the applicable compliance points may claim only that the software was based on this specification, but may not claim compliance or conformance with this specification. In the event that testing suites are implemented or approved by Object Management Group, Inc., software developed using this specification may claim compliance or conformance with the specification only if the software satisfactorily completes the testing suites.

Table of Contents

PI	REFACE.		1
1.	SCOP	E	13
	1.1 INT	RODUCTION	13
		AML Background	
		ENDED USAGE	
	1.4 Rei	ATED DOCUMENTS	14
2.	CONF	ORMANCE	16
3.	REFE	RENCES	17
		RMATIVE REFERENCES.	
		G DOCUMENTS (NORMATIVE REFERENCES)	
		HER NORMATIVE REFERENCES	
		ORMATIVE REFERENCES	
4.		OWLEDGEMENTS	
5.		IS AND DEFINITIONS	
6.		NYMS AND ABBREVIATIONS	
7.		TIONAL INFORMATION (NON-NORMATIVE)	
		NGUAGE ARCHITECTURE	
		LOSOPHY	21
		NCIPLES OF CREATING, EDITING, AND DISPLAYING OF COMPOSITE SITUATIONS IN DIAGRAMMATIC AND	21
		VIEWS	21
	7.3.1 7.3.2	Diagrammatic Situation Specification	
		1 3	
8.	DIAG	RAM LEGEND (NON-NORMATIVE)	27
9.	RISK	ANALYSIS AND ASSESSMENT MODELING LANGUAGE (RAAML) LIBRARY AND PROFI	ILE
	28		
	9.1 Co	RE	28
	9.1.1	Core::Core Library	29
	9.1.2	Core::Core Profile	30
		NERAL	
	9.2.1	General::General Concepts Library	
	9.2.2	General::General Concepts Profile	
		THODS::FMEA	
	9.3.1	Methods::FMEA::FMEALibrary	
	9.3.2	Methods::FMEA::FMEAProfile THODS::FTA	
	9.4 ME 9.4.1	HODS::F1A Methods::FTA::FTALibrary	
	9.4.1	Methods::FTA::FTALtorary Methods::FTA::FTAProfile	
		THODS::STPA	
	9.5.1	Methods::STPA::STPA Library	
	9.5.2	Methods::STPA::STPA Profile	
		N	
	9.6.1	GSN::GSN Profile	
	9.7 ME	THODS::ISO 26262	
	9.7.1	Methods::ISO 26262::ISO 26262 Library	107
	9.7.2	Methods::ISO 26262::ISO 26262 Profile	121
10	. VIEW	S	131

10.1	CORE	131
10.1.		131
10.1.	2 Core::Core Profile	131
10.2	GENERAL	133
10.2.	1 General::General Concepts Library	133
10.2.	2 General::General Concepts Profile	134
10.3	METHODS::FMEA	
10.3.	I Methods::FMEA::FMEA Library	135
10.3.		
10.4	METHODS::FTA	
10.4.	l Methods::FTA::FTALibrary	137
10.4.		
10.5	METHODS::STPA	
10.5.	1 Methods::STPA::STPA Library	143
10.5.		146
10.6	GSN	
10.6.	1 GSN::GSN Profile	148
10.7	METHODS::ISO 26262	
10.7.		
10.7	· · · · · · · · · · · · · · · · · · ·	

Table of Figures

Figure 6.1 – Fundamental situation modeling principles	22
Figure 6.2 – Typical Automotive Situation definition in the ISO26262 Library	23
Figure 6.3 – User Model Defining Operational Situation "Highway Driving Straight at Speed"	24
Figure 6.4 – HazardousEvent Definition in the ISO26262 Library	. 25
Figure 7.1 – Legend of color codes	
Figure 7.2 – An example of using a legend	
Figure 8.1 – Core concepts domain model	28
Figure 8.2 - AnySituation	30
Figure 8.3 - Causality	
Figure 8.4 - Situation	
Figure 8.5 - RelevantTo	
Figure 8.6 - ControllingMeasure	
Figure 8.6 - Controlling Measure Figure 8.7 - Violates	
Figure 8.8 - AbstractEvent	
Figure 8.9 - AbstractCause	
Figure 8.10 - Cause	
Figure 8.11 - DysfunctionalEvent	36
Figure 8.12 - AbstractFailureMode	37
Figure 8.13 - FailureMode	
Figure 8.14 - AbstractEffect	
Figure 8.15 - Effect	
Figure 8.16 - Activation	39
Figure 8.17 - ErrorPropagation	40
Figure 8.18 - ErrorRealization	41
Figure 8.19 - HarmPotential	41
Figure 8.20 - Hazard	
Figure 8.21 - Scenario	
Figure 8.22 - AbstractRisk.	
Figure 8.23 - UndesiredState	
Figure 8.24 - FailureMode	
Figure 8.25 - Error	44
Figure 8.26 - Fault	
Figure 8.27 - Detection	. 45
Figure 8.28 - Prevention	
Figure 8.29 - Mitigation	
Figure 8.30 - Recommendation	
Figure 8.31 - FailureState	47
Figure 8.32 - AbstractFMEAItem	
Figure 8.33 - FMEAItem	49
Figure 8.34 - LossOfFunction	
Figure 8.35 - DegradationOfFunction	
Figure 8.36 - IntermittentFunction	
Figure 8.37 - PartialFunction	. 51
Figure 8.38 - UnintendedFunction	
Figure 8.39 - ExceedingFunction	52
Figure 8.40 - DelayedFunction	52
Figure 8.41 - FMEAItem	
Figure 8.42 - FTAElement	
Figure 8.43 - FTATree	
Figure 8.44 - Event	
Figure 8.45 - BasicEvent	
Figure 8.46 - IntermediateEvent	
Figure 8.47 - TopEvent	57
rigute 6.47 - 10pEvent	

Figure 8.48 -	ConditionalEvent	57
Figure 8.49 -	DormantEvent	57
Figure 8.50 -	UndevelopedEvent	58
Figure 8.51 -	HouseEvent	58
Figure 8.52 -	ZeroEvent	58
Figure 8.53 -	Gate	59
	- AND	
	OR	
	NOT	
	XOR	
	SEQ	
	INHIBIT	
	MAJORITY VOTE	
	Tree	
Figure 8.62 -	Gate	67
	Event	
	DormantEvent	
	BasicEvent	
	ConditionalEvent	
	ZeroEvent	
	HouseEvent	
	AND	
	OR.	
Figure 8.70 -	SEQ	76
	XOR.	
	INHIBIT	
	MAJORITY_VOTE	
Figure 8.74 -	NOT	/7
	IntermediateEvent	
	TopEvent	
	TransferIn	
	TransferOut	
	OutOfSequence	
	Late	
	Early	
	TooLong	
	TooShort	
	Provided	
	NotProvided	
	LossScenario	
	Inadequate Controller Decisions	
Figure 8 89 -	Inadequate Control Execution	67
	Inadequate Process Behavior	
	Inadequate Feedback and Inputs	
	UnsafeControlAction	
	Loss	
	RiskRealization	
	ControlAction	
	Feedback	
	UnsafeControlAction	
	Controlled Process	
	- Actuator	
	- Actuator - Sensor	
	- Sensor - Controller - Control	
	- Controller - ControlStructure - ControlStructure	
	- ControlStructure Standard UML notation for stereotyped elements (from UML 2.5.1, Figure 12.25)	
1 1guic 0.103	- Standard Own notation for stereotyped elements (from Own 2.3.1, Figure 12.23)	70

	Recommended notation	
Figure 8.105 -	Stereotype combination notation	. 97
	GSNNode	
	GSNArgumentNode	
	Solution	
	Goal	
	Strategy	
	Supporting Information.	
	ContextStatement	
	Assumption	
	Justification	
	InContextOf	
	SupportedBy	
	TrafficAndPeople	
	VehicleUsage	
	RoadCondition	
	Location	
Figure 8.121 -	Environmental Condition	109
Figure 8.122 -	OperationalCondition	109
Figure 8.123 -	AbstractOperationalSituation	110
Figure 8.124 - '	TypicalAutomotiveSituation	111
	Exposure	
	Severity	
Figure 8 127 -	ASIL	112
	Controllability	
	Less	
	More	
	No.	
	Intermittent	
	Unintended	
0	Early	
	Late	
	Inverted	
	HazardousEvent	
	AnyMalfunction	
	AutomotiveEffect	
Figure 8.140 -	ISO26262SafetyRequirementTemplate	118
Figure 8.141 -	AccidentScenario	118
Figure 8.142 -	AnyTrafficAndPeople	119
Figure 8.143 -	AnyVehicleUse	119
	AnyRoadCondition	
Figure 8.145 -	AnyLocation	120
	AnyEnvironmentalCondition	
	SystemLevelEffect	
	VehicleLevelEffect	
	OperationalSituation	
	MalfunctioningBehavior	
	IndependenceRequirement.	
Figure 0.131 -	ASILDecompose	123
0	SafeState	
	UserInfoRequirement	
	RecoveryRequirement	
	OperatingMode	
	FunctionalSafetyRequirement	
Figure 8.158 -	SoftwareSafetyRequirement	126
Figure 8.159 -	HardwareSafetyRequirement	126

Figure 8.160 - TechnicalSafetyRequirement	127
Figure 8.161 - SafetyGoal	127
Figure 8.162 - DependabilityRequirement	127
Figure 8.163 - Verified	128
Figure 8.164 - Confirmed	128
Figure 8.165 - HazardAndRiskAssessment	129
Figure 8.166 - IDCarrier	
Figure 8.167 - LessonLearned	129
Figure 8.168 - ASILAssignment	
Figure 8.169 - ASILOverrideRationale	130
Figure 9.1 – Core Library	131
Figure 9.2 - CoreProfile	132
Figure 9.3 - General Concepts Library	
Figure 9.4 - General Concepts Profile	135
Figure 9.5 - FMEA Library	136
Figure 9.6 - FMEA Profile	
Figure 9.7 - Events	138
Figure 9.8 - FTA Library	140
Figure 9.9 - FTA Profile	142
Figure 9.10 - STPA Library	146
Figure 9.11 - STPA Profile	148
Figure 9.12 - GSN Profile	150
Figure 9.13 - ISO26262 Library	152
Figure 9.14 - All-Encompasing Operational Situations	153
Figure 9.15 - RequirementManagement	154
Figure 9.16 - ISO26262 Profile	156

TABLE OF TABLES

Table 1.1 – Table of Related Documents	14
Table 4.1 – Description of terms and definitions used in this specification	19
Table 5.1 – Description of acronyms used in this specification	20
Table 6.1 - Table for Specifying Operational Situations with Situation "Highway Driving Straight at Speed" Defined	24
Table 6.2 – Hazardous Event Table with Grouped Columns	26
Table 8.1 – Mapping of core concepts to the SysML/UML language	29

Preface

OMG

Founded in 1989, the Object Management Group, Inc. (OMG) is an open membership, not-for-profit computer industry standards consortium that produces and maintains computer industry specifications for interoperable, portable and reusable enterprise applications in distributed, heterogeneous environments. Membership includes Information Technology vendors, end users, government agencies and academia. OMG member companies write, adopt, and maintain its specifications following a mature, open process. OMG's specifications implement the Model Driven Architecture® (MDA®), maximizing ROI through a full-lifecycle approach to enterprise integration that covers multiple operating systems, programming languages, middleware and networking infrastructures, and software development environments. OMG's specifications include: UML® (Unified Modeling LanguageTM); CORBA® (Common Object Request Broker Architecture); CWMTM (Common Warehouse Metamodel); and industry-specific standards for dozens of vertical markets. More information on the OMG is available at http://www.omg.org/.

OMG Specifications

As noted, OMG specifications address middleware, modeling and vertical domain frameworks. All OMG Specifications are available from this URL: http://www.omg.org/spec

Specifications are organized by the following categories:

Business Modeling Specifications

Middleware Specifications

- CORBA/IIOP
- Data Distribution Services
- Specialized CORBA IDL/Language Mapping Specifications

Modeling and Metadata Specifications

- UML, MOF, CWM, XMI
- UML Profile Specifications

Platform Independent Model (PIM) - Platform Specific Model (PSM) - Interface Specifications

- CORBAServices
- CORBAFacilities
- OMG Domain Specifications
- CORBA Embedded Intelligence Specifications
- CORBA Security Specifications

All of OMG's formal specifications may be downloaded without charge from our website. (Products implementing OMG specifications are available from individual suppliers.) Copies of specifications, available in PostScript and PDF format, may be obtained from the Specifications Catalog cited above or by contacting the Object Management Group, Inc. at: OMG Headquarters 109 Highland Avenue, Needham, MA 02494 USA Tel: +1- 781-444-0404 Fax: +1-781-444-0320 Email: pubs@omg.org

Certain OMG specifications are also available as ISO standards. Please consult http://www.iso.org

Typographical Conventions

The type styles shown below are used in this document to distinguish programming statements from ordinary English. However, these conventions are not used in tables or section headings where no distinction is necessary.

Times/Times New Roman - 10 pt.: Standard body text

Helvetica/Arial - 10 pt. Bold: OMG Interface Definition Language (OMG IDL) and syntax elements.

Courier - 10 pt. Bold: Programming language elements.

Helvetica/Arial - 10 pt: Exceptions

Note – Terms that appear in *italics* are defined in the glossary. Italic text also represents the name of a document, specification, or other publication.

Issues

All OMG specifications are subject to continuous review and improvement. As part of this process, we encourage readers to report any ambiguities, inconsistencies, or inaccuracies they may find by completing the Issue Reporting Form listed on the main web page http://www.omg.org, under Documents, Report a Bug/Issue (http://issues.omg.org/issues/create-new-issue).

0 Section 0

- The full name of the submission

Risk Analysis and Assessment Modeling Language

- A complete list of all OMG Member(s) making the submission, with a named contact individual for each

Ford Motor Company, Kyle Post kpost l@ford.com

Dassault Systemes, Andrius Armonas andrius.armonas@3ds.com

Dassault Systemes, Tomas Junkevičius tomas.juknevicius@3ds.com

Gesellschaft für Systems Engineering, Tim Weilkiens tim.weilkiens@oose.de

- The acronym proposed for the specification (e.g. UML, CORBA)

RAAML

- The name and OMG document number of the RFP to which this is a response

Safety and Reliability for UML RFP, ad/17-03-05

- The OMG document number of the main submission document

ad/2020-12-04

- Overview or guide to the material in the submission

Section 1.1, 1.4 and section 7 provides overview to the material in the submission.

- Statement of proof of concept (see 4.8)

 $ISO 2626 \ already \ has \ a \ commercial \ implementation. \ See \ Cameo \ Systems \ Modeler \ plugin: \ ISO 26262 \ Functional \ Safety \ plugin.$

For the FTA, there is an example provided and the (upcoming) INCOSE 2021 paper, detailing how to model fault trees with the standard UML/SysML tools, without any need for aditional extensions.

FMEA consists of a simple tabular view, which is based on the same modeling principles as ISO 26262 case, but is semantically less complex than ISO 26262 case. As it has been demonstrated that ISO 262626 is implementable, so FMEA is.

GSN is a simple profile with some stereotyped model elements (classes) and dependency-based relationships. A simple class diagram is enough to capture this domain. There is an example provided, capturing and visualizing the example case.

STPA is based on the same modeling principles as ISO 26262 case. As it has been demonstrated that ISO 262626 is implementable, so STPA is. For STPA, an example is provided in the specification.

- If the proposal does not satisfy any of the general requirements stated in Section 5, a detailed rationale explaining why

General requirements in section 5 are satisfied.

- Discussion of each of the "Issues To Be Discussed" identified in Section 6.

posals shall discuss how the file/model library can be used in junction with SACM, and how proposed profile/model library's		As per https://www.omg.org/spec/SACM/2.0/P
ument notation compares with CM and GSN [GSN].		DF, details of the of the mapping between GSN elements and SACM maintained by the Safety Critical Systems Club (SCSC) at the following URL: http://www.goalstructuringnotation.info/gsn-metamodel
posals shall provide a resentative list of common tasks afety and reliability that can be on the common tasks afety and reliability that can be one of the common tasks afety and reliable that the common tasks afety and reliability that can be common to common tasks afety and reliability that can be common to common tasks afety and the common tasks afety afet		- Calculation of FTA probabilities - Calculation of FMEA RPN values - ASIL propagation (ISO 26262) - Failure propagation
posals shall discuss the degree to ich the safety information can be played in alternative views such afety integrity level matrices.		Safety integrity level matrices can be shown in tables, e.g. HARA in ISO 26262.
posals shall discuss the degree to che the profile/model library can adapted to a specific sty/reliability process used by an anisation, and the impact of this ptation on the use of the file/model library. This includes deling of specific information d by that organisation (for mple, a particular probability) differing representations used an organisation (for example, resenting probabilities as nerical values or as labelled els). Proposals shall also discuss this may impact the use of omated model processing littles inbuilt in modeling tools, integration with external tools model transformations. In ticular, proposals shall provide design rationale for their reach to supporting the	General	The general package provides value properties which can be redefined in the package of a specific method (e.g. FMEA library). Calculations can be defined and redefined using SysML parametrics. Additional concepts and value properties can be introduced as well by inheriting from the provided libraries. The design rationale for the library approach is provided in section 7.2 and 9.1. This has been discussed at length in the paper submitted to INCOSE IS 2019 (the paper was recognized as INCOSE IS 2019 Best paper): OMG standard for integrating safety and reliability analysis into MBSE: Concepts and applications. Geoffrey Biggs (Tier IV, Inc.); Andrius Armonas, Tomas Juknevicius (No Magic / Dassault Systemes); Kyle Post (Ford);
pre a pic a pic a pre a	cosals shall provide a esentative list of common tasks fety and reliability that can be mated using their profile/model ry. Note: Proposals need not ify how such automation should ealized. The safety information can be layed in alternative views such fety integrity level matrices. It is a shall discuss the degree to the the safety information can be layed in alternative views such fety integrity level matrices. It is a shall discuss the degree to the the profile/model library can dapted to a specific ty/reliability process used by an instation, and the impact of this obtained in the safety information and the second of the ile/model library. This includes eling of specific information by that organisation (for inple, a particular probability) differing representations used in organisation (for example, esenting probabilities as erical values or as labelled is). Proposals shall also discuss this may impact the use of mated model processing ities inbuilt in modeling tools, integration with external tools model transformations. In coular, proposals shall provide	cosals shall provide a esentative list of common tasks fety and reliability that can be mated using their profile/model rry. Note: Proposals need not ify how such automation should ealized. cosals shall discuss the degree to the safety information can be layed in alternative views such fety integrity level matrices. cosals shall discuss the degree to the profile/model library can dapted to a specific ry/reliability process used by an insation, and the impact of this station on the use of the ile/model library. This includes eling of specific information by that organisation (for nple, a particular probability) differing representations used in organisation (for example, esenting probabilities as erical values or as labelled ls). Proposals shall also discuss this may impact the use of mated model processing ities inbuilt in modeling tools, integration with external tools nodel transformations. In cular, proposals shall provide lesign rationale for their oach to supporting the

Commented [AA1]: RAAML-51

	compare it to the use of stereotyping and enumerations, if that approach is not selected.		Axel Berres (German Aerospace Center). The paper can be retrieved from https://www.nomagic.com/images/pape
6.7.1.5	Proposals shall discuss any common properties of safety- and reliability-related elements supported by the profile/model library, and the degree to which the list of represented properties is optional and extensible.	occurrence [1] premitigationOccurrence s [0*] severity [1] premitigationSeverities [0*] probability [1] detectability [1] premitigationDetectabilit ies [0*] /score	rs/v20199315_1-INCOSE-IS-2019.pdf occurrence, premigitionOccurrence, severity, PremigitionSeverity, detectability, premitigationDetectability, probability and score can all be extended in different domains. Every of those properties may or may not be used in a specific domain depending on what properties are used in that domain.
6.7.1.6	Proposals shall discuss the degree of traceability that the profile/model library provides, with particular focus on its ease of use by and display for users.	Violates [Dependency] RelevantTo [Dependency] ControllingMeasure [Dependency] Detection [Dependency] Prevention [Dependency] Mitigation [Dependency] Recommendation [Dependency] SafeState [Dependency] OperatingMode [Dependency] RecoveryRequirement [Abstraction] UserInfoRequirement [Abstraction]	Connector is a standard UML metaclass and its usage usability is well supported by tool vendors. The same applies for dependencies which the rest of stereotypes in the list extends. Tool vendors provide various means to visualize and create dependencies and connectors, e.g. matrices, relation maps, tables, or diagrams.
6.7.1.7	Proposals shall discuss how closely their submission relates to any international safety and reliability standards, and the potential impact of updates to those standards on the usefulness of the profile/model library.	Connector	The following standards are supported: FMEA: IEC60812 FTA: IEC61025 Functional Safety in Automotive: ISO 26262 RAAML follows the standard where it

		ISO 26262) and RAAML is adaptable where the standard allows it (for example occurrence, detectability and severity in FMEA). Changes in the parent standards will have to be addressed in RAAML. However since RAAML allows easy extendability and redefinition, it is likely that minor changes to parent standards can be easily accommodated. Major changes in parent standards will require release of major versions of RAAML, but that is less likely since FTA, FMEA and ISO 26262 are mature standards (e.g. FMEA is used for more than 50 years in practice).
6.7.1.8	Proposals may discuss how the profile can be used in conjunction with the UML Testing Profile [UTP] to facilitate test design for reliability testing and/or risk-based testing of safety-critical systems.	It is decided to defer this to later versions of the specflicaton.

- An explanation of how the proposal satisfies the specific requirements and (if applicable) requests stated in Section 6.

6.5.1 Gen	6.5.1 General requirement					
6.5.1.1	Proposals shall provide a UML profile and/or model library to extend SysML with the capability to model safety and reliability information.	Core General Methods GSN	Submitted XMI files provide libraries and profiles to extend SysML with the capability to model safety and reliability information.			
6.5.1.2	Proposals shall be compatible with the ReqIF specification and the relationship between ReqIF and SysML.		The specification extends SysML, meaning it is compatible with ReqIF. Requirements created as a result of safety and reliability analysis are either SysML requirements or extended SysML requirements which makes them compatible with the ReqIF standard.			

6.5.1.3	Proposals shall support traceability from safety and reliability elements to relevant SysML and/or UML elements.	Violates [Dependency] ControllingMeasure [Dependency] RelevantTo [Dependency] Detection [Dependency] Prevention [Dependency] Mitigation [Dependency] Recommendation [Dependency] FailureState [State] SafeState [Dependency] OperatingMode [Dependency]	Profiles contain a multitude of stereotypes (see the cell on the left) on relationships which provide traceability from safety and reliability elements to relevant SysML and/or UML elements.
		RecoveryRequirement [Abstraction]	
		UserInfoRequirement [Abstraction]	
6.5.1.4	The profile/model library shall be extensible to be used in any domain with safety and/or reliability concerns.	Core General	The profile and library introduced core and generic concepts that can be easily extended through the UML means (using UML generalizaton relationship and attributes).
6.5.1.5	The profile/model library shall include suitable diagrams for displaying the safety and reliability information and related system information.		Section 7.3 specifies how safety and reliability information should be displayed in diagrams.
6.5.1.6	The profile/model library shall include support for displaying safety and reliability information in tabular views.		Section 7.3.2 specifies how safety and reliability information should be displayed in tabular views.
6.5.1.7	Proposals shall include the capability for model transformations to extract safety and reliability information and relevant system information from the model. The method of performing these model transformations need not be specified.		As safety and reliability information is based on UML profiles and libraries, therefore UML serialization mechanisms apply. Any tool that needs to do data transformation from safety and reliability models, can read data from UML XMI files or use OMG QVT standard for transformations.

6.5.1.8	Proposals shall include the capability to model properties of safety- and reliability-related elements, such as probabilities and severities.		Standard UML/SysML mechanisms are being used and UML properties/SysML value properties can be used to describe various quantitative attributes of situations. Provided libraries define the standard set of these properties.
6.5.2 Speci	fication of safety information		
6.5.2.1	Proposals shall provide modeling support for safety aspects relevant to one or more of the following domains: aerospace, automotive, medical, railways.	ISO 26262	ISO 26262 packages provides support for safety analysis in automotive.
6.5.2.2	Proposals shall comply with the existing safety standard(s) relevant and applicable to the selected domain. Proposals shall choose, at their discretion, whether or not to provide complete coverage of the standard(s).	FMEA ISO 26262 FTA	The following standards are supported: FMEA: IEC60812 FTA: IEC61025 Functional Safety in Automotive:
6.5.2.3	Proposals shall support the assignment of the integrity levels to safety-related information, including SysML elements. For example, the SIL concept from IEC 61508 [IEC61508]. Common SysML specification practice shall be obeyed.	/ASIL : ASIL [0*] ASIL : ASIL [1] ASIL (Enumeration) ASILDecompose [Abstraction] ASILAssignment [Element] ASILOverrideRationale [Comment]	ISO 26262 ISO 26262 library and profile provides means to specify SIL.
6.5.3 Speci	fication of reiability information	[Comment]	<u> </u>
•	port for Fault Tree Analysis		
6.5.3.1.1	Proposals shall include modeling elements, relations, additional notations, and diagrams to allow the specification of a Fault Tree Analysis (FTA) as defined in IEC 61025 [IEC61025].	FTA	FTA library and profile defines modeling elements and relations for Fault Tree Analysis. SysML IBD diagram is used for notation.
6.5.3.1.2	Proposals shall provide a method to mark a FTA as complete or incomplete.	Undeveloped [Element]	The specification provides UndevelopedEvent library element and UndevelopedEvent stereotype to mark an FTA as incomplete.
6.5.3.2 Sup	port for Failure Mode and Effects An	alysis	
6.5.3.2.1	Proposals shall include modeling elements, relations, diagrams, and tabular views to allow the specification of the Failure Mode	FMEA	Modeling elements and relationships for FMEA and FMECA are defined in the FMEA package. Section 7.3 specifies how

	and Effects Analysis (FMEA) and Failure Mode, Effects and Criticality Analysis (FMECA) methodologies for reliability analysis as defined in IEC 60812 [IEC60812].		FMEA/FMECA information should be displayed in diagrams.
6.5.3.2.2	Proposals shall provide a method to mark a FMEA/FMECA as complete or incomplete.	Undeveloped [Element]	The Undeveloped stereotype is used for marking incomplete FMEA/FMECA.
6.5.4 Suppo	ort for model transformations		
6.5.4.1	Proposals shall include support for transforming the combined system/safety/reliability model such that the safety/reliability information and relevant system information can be extracted in a structured format for use with external tools. [Note: Model transformation in this context refers to APIs for import/export of model data, import/export of XMI and other structured formats, and, diagram interchange.]		As information in the model corresponds to safety and reliability standards and it is represented in elements based on UML/SysML, files in UML XMI format can be imported and exported. OMG QVT could be used for transformations.
6.5.4.2	Proposals shall include support for reversing the transform specified in item 6.5.4.1 such that the results of processing by external tools can be imported into the model, retaining the structure and completeness of the information.		As information in the model corresponds to safety and reliability standards and it is represented in elements based on UML/SysML, data can be imported to the model to build corresponding structures. XMI can be used to import/export data from external tools.
6.5.4.3	The model transformations specified in items 6.5.4.1 and 6.5.4.2 shall be deterministic and repeatable.		Data exchange through UML XMI format is repeatable and deterministic.
6.5.5 Suppo	ort for argument specification		
6.5.5.1	Proposals shall include support for specifying safety assurance case arguments.	GSN	GSN profile defines stereotypes for specifying safety assurance case arguments.
6.5.5.2	Proposals shall include support for representing safety assurance case arguments in a visual manner.	GSN	UML class diagram is used for representing GSN models.
6.5.5.3	The support for safety assurance case argument specification shall allow specification of safety goals, strategies for achieving the goals, evidence provided by the system model or to be provided during development for achieving	Goal [Class] Strategy [Class] Justification [Class] InContextOf [Dependency] ContextStatement [Class]	Stereotypes of the GSN profile (see the cell on the left) provide necessary support. References to external sources can be captured in the model as a comment or by other element.

	those goals, and context from the system model and external sources (such as safety standards and regulations) for all of the above.	SupportedBy [Dependency]	Supplier of SupportedBy relationship can point to non-GSN concept (e.g. a comment).
6.5.5.4	Proposals shall include support for displaying, in a single diagram, the derivation of one safety goal and the related assurance case information.	SupportedBy [Dependency]	GSN support is provided by a profile whose stereotypes extend classes and dependencies, thus all GSN elements can be displayed in a single UML Class or SysML BDD diagram.
6.5.5.5	Proposals shall include support for specifying modular safety assurance case arguments.	Goal [Class] Strategy [Class] SupportedBy [Dependency] Justification [Class]	Having assurance case specified as a collection of model elements facilitates reuse by allowing references from one argument to another and to the goal.
6.5.6 Suppo	ort for fault modeling		-
6.5.6.2	Proposals shall include support for modeling faults in system elements. [Note: explicit modeling of faults is intended to support additional automated analysis of faults beyond the FTA and FMEA/FMECA support required by 6.5.3.] Proposals shall allow the modeling of the propagation of faults from source elements to other elements.	Association:Activation[f ault:AbstractCause - error:DysfunctionalEven t] Association:ErrorPropag ation[fromError:DysfunctionalEvent - toError:DysfunctionalEvent] Association:ErrorRealiza tion[error:Dysfunctional Event - failure:DysfunctionalEvent]	The general concepts library provides means to model fault-error-failure propagation. Faults are modeled using subtypes of AbstractCause and DysfunctionalEvent elements from the general concepts library. Fault propagation is captured using the three associations (see the cell on the left) and corresponding connectors in the enclosing scenario in the SysML IBD diagram. Concept of fault is defined by the usage in the scenario – what is an effect at the lower level, can be treated as a fault in the upper level in the error propagation chain.
6.5.6.3	The fault modeling features shall be integrated with the FTA and FMEA/FMECA modeling support.	FTAElement DysfunctionalEvent AbstractCause AbstractEffect AbstractFailureMode AbstractEvent AbstractFMEAItem FMEAItem	FTA elements and FMEA cause, failure mode, and effects are inherited from concepts in the General concepts library providing common denominator, and thus can be intregrated.

6.5.6.4	Proposals shall support the modeling of the context in which	RelevantTo [Dependency]	RelevantTo provides system context. Encolsing scenario
	a fault occurs.	[Bependency]	provides situational context.
6.5.6.5	Proposals shall support the modeling of the connection between faults and their results (such as harms caused).	Association:Activation[f ault:AbstractCause - error:DysfunctionalEven t]	See 6.5.6.1 and 6.5.6.2.
		Association:ErrorPropag ation[fromError:Dysfunc tionalEvent - toError:DysfunctionalEv ent]	
		Association:ErrorRealiza tion[error:Dysfunctional Event - failure:DysfunctionalEve	
6.5.6.6	Proposals shall support the modeling of the connection between faults and safety goals/measures directed at them.	nt] Detection [Dependency] Prevention [Dependency] Mitigation [Dependency] Recommendation [Dependency]	The relationships in the cell on the left are "downstream" relationships allowing modeling of the connection between any kind of situation (including faults) and safety goals/measures.
6.6 Non-m	nandatory features		
6.6.1.1	The profile/model library may allow use with pure UML models.		The proposal requires SysML.
6.6.1.2	Proposals may provide direct support for additional safety/reliability analysis methods, for example a hazard and operability study (HAZOP) [HAZOP].		The proposal does not provide support for HAZOP.
6.6.1.3	Proposals may provide support for domains with safety concerns in addition to the domain(s) chosen in compliance with 6.5.2.1	STPA	STPA support is added in addition to other domains.
6.6.1.4	Some concepts of safety may be common to other dependability-related fields. Proposals may be structured such that these concepts are reusable by other profiles.	Core General	The core and general package is targeted for methodologists aimed at providing support for additional safety domains (see section 9.1 and 9.2).
6.6.1.5	Proposals may provide a mapping from the safety assurance case model included in the profile/model library to the SACM version 2 meta-model [SACM2].		The mapping is not provided by this proposal, however proposal includes GSN. Details of the of the mapping between GSN elements and SACM maintained by the Safety Critical Systems Club (SCSC) at the following URL: https://scsc.uk/scsc-141B http://www.goalstructuringnotation.info/gsn.metamodel

Commented [AA2]: RAAML-51

6.6.1.6	Proposals may use SACM version 2 to provide the support required	The proposal does not use SACM version 2.
	by section 6.5.5.	

⁻ If adopting the submission requires making changes to already-adopted OMG specifications, include a list of those changes in a clearly-labelled subsection in Section 0. Identify exactly which version(s) of which OMG specification(s) shall be amended, and include the list of precise wording changes that shall be made to that specification.

No changes in other OMG specifications are necessary.

1.Scope

1.1 Introduction

There are two parts to this specification, one being normative and another informative. The normative part is:

The Risk Analysis and Assessment Modeling Language (RAAML) Library and Profile (this document) defines
concepts and relationships for capturing safety and reliability aspects of a system in the library and profile form.

The informative part is:

 The RAAML Example Model, Annex A (see document ad/2020-11-01), which illustrates practical usages of RAAML.

1.2 RAAML Background

Model-Based Systems Engineering (MBSE) is gaining popularity in organizations creating complex systems where it is crucial to collaborate in a multi-disciplinary environment. SysML, being one of the key MBSE components, has a good foundation for capturing requirements, architecture, constraints, views and viewpoints. However, SysML does not provide the constructs to capture safety and reliability information in the system model. A group of industry experts at the OMG has been working since 2016 to define a new specification providing the necessary capabilities.

The need for a standardized UML profile/library for addressing safety and reliability aspects emerged long ago. Working group members have seen multiple commercial-grade model-based safety and reliability solution implementations being developed during the recent years and successfully used in practice. While the various safety and reliability implementations may fit the needs for a specific purpose, there are many instances where information needs to be traced and shared across multiple organizations. These inconsistent model-based solutions prohibit direct model sharing between organizations and across the various tools. One of the key goals for the working group is to reconcile these different approaches to alleviate the industry from repeatedly formulating safety and reliability constructs in their tools. The specification provides the modeling capabilities for tool vendors to build safety and reliability modeling tools that provide traditional representations (e.g. trees, tables, etc.) while using a modern model-based approach.

This RAAML 1.0 specification defines extensions to SysML needed to support safety and reliability analysis. It describes:

- the core concepts and shows how the simple concepts are powerful enough to unite all safety and reliability information across a variety of analysis methods
- the approach to automating several safety and reliability analyses, which is built on leveraging existing SysML functionalities to ensure that the profile and library is usable with existing tooling
- specific safety and reliability analysis methods and application domains that are supported
 - o Failure Mode and Effect Analysis (FMEA)
 - o Fault Tree Analysis (FTA)
 - o Systems Theoretic Process Analysis (STPA)
 - o Goal Structuring Notation (GSN)
 - o ISO 26262 Road Vehicles Functional Safety
- · extension mechanisms that are typically needed by the industry to apply the specification in practice

1.3 Intended Usage

The RAAML specification provides the foundation for conducting various safety and quality engineering activities including safety and reliability analysis methods. Besides the method support, linkages to the SysML model-of-interest are provided, enabling integration with and traceability to the analyses. The specification can be used for modeling safety

and reliability aspects directly in the model or as a standard language to import and export from external safety and reliability tools.

The organization of RAAML facilitates tailoring the methodologies to specific engineering domains and industries to support the various assessment and certification agencies.

1.4 Related Documents

The specification is delivered as a set of related documents. The primary normative document is this document, while a set of additional machine-readable documents is provided to specify the UML profiles and model libraries, specified by this standard.

For each safety/reliability domain, supported by this standard (FMEA, FTA, ISO-26262 and STPA) there is a pair of profile and library.

In addition to that there is a pair of profile and library for the concepts used in multiple domains – General; and a pair of profile and library for the very core concepts that might be useful for the implementers of other standards in the safety/reliability domain.

GSN stands separately, as it is an add-on, which can be used with any of the aforementioned domains for additional substantiation of the safety models. It consists of just the profile; no library is necessary. The GSN profile only covers the GSN version 2 standard core notation.

Non-normative examples document is also provided, illustrating how to apply RAAML for capturing safety and reliability data.

Table 1.1 - Table of Related Documents

Document Number	Description		Nor- mative	Machine Readable
ad/2020-07- 06ptc/21-12-05	Core portion of the RAAML.	CoreRAAML.xmi	Y	Y
ad/2020-07- 07ptc/21-12-06	Library portion of the RAAML.	CoreRAAMLLib.xmi	Y	Y
ad/2020-07- 08ptc/21-12-07	General portion, shared across domains of the RAAML.	GeneralRAAML.xmi	Y	Y
ad/2020-07- 09ptc/21-12-08	General Library portion, shared across domains of the RAAML.	GeneralRAAMLLib.xmi	Y	Y
ad/2020-07- 10ptc/21-12-09	Goal Structuring Notation profile.	GSN.xmi	Y	Y
ad/2020-07- 11ptc/21-12-10	FMEA portion of the RAAML.	FMEA.xmi	Y	Y
ad/2020-07- 12ptc/21-12-11	FMEA Library portion of the RAAML.	FMEALib.xmi	Y	Y
ad/2020-07- 13ptc/21-12-12	FTA (Fault Tree Analysis) portion of the RAAML.	FTA.xmi	Y	Y
ad/2020-07- 14ptc/21-12-13	FTA (Fault Tree Analysis) Library portion of the RAAML.	FTALib.xmi	Y	Y
ad/2020-07- 15ptc/21-12-14	ISO26262 Functional Safety Standard portion of the RAAML	ISO26262.xmi	Y	Y
ad/2020-07- 16ptc/21-12-15	ISO26262 Functional Safety Standard Library portion of the RAAML	ISO26262Lib.xmi	Y	Y
ad/2020-07- 17ptc/21-12-16	STPA (Systems Theoretic Process Analysis) portion of the RAAML	STPA.xmi	Y	Y
ad/2020-07- 18ptc/21-12-17	STPA (Systems Theoretic Process Analysis) Library portion of the RAAML	STPALib.xmi	Y	Y

Commented [AA3]: RAAML-56

ad/2020-11- 01ptc/21-11-22		OMG RAAML Examples 1.0.docx	N	N
ad/2020-12-06	Original Cameo Systems Modeler file to produce XMI	RAAML Libraries and	N	Y
	files for RAAMI	Profiles mdzin		

Commented [PK(4]: Editorial change to remove model file listed as it is not on the published OMG page.

2.Conformance

RAAML specifies two types of conformance.

- Type 1 Conformance: RAAML model interchange conformance. A tool demonstrating model interchange conformance can import and export conformant XMI for all valid RAAML models.
- Type 2 Conformance: RAAML View specification conformance. A tool demonstrating view specification conformance shall implement the views specified in RAAML specification.

A tool vendor may choose to implement one method supported by the specification (FMEA, FTA, STPA, GSN or ISO 26262) and claim conformance to it.

3. References

3.1 Normative References

The following normative documents contain provisions which, through reference in this text, constitute provisions of this specification. For dated references, subsequent amendments to, or revisions of, any of these publications do not apply.

3.2 OMG Documents (Normative References)

- Unified Modeling Language (UML), 2.5.1, December 2017, http://www.omg.org/spec/UML
- Object Constraint Language (OCL), 2.4, February 2014, http://www.omg.org/spec/OCL
- System Modeling Language (SysML) ,1.6, December 2019, http://www.omg.org/spec/SysML
- XMI Metadata Interchange (XMI), 2.5.1, June 2015, https://www.omg.org/spec/XMI

3.3 Other Normative References

- IEC 60812 for FMEA, https://webstore.iec.ch/publication/26359 [accessed on October 28, 2020]
- IEC 61025 for FTA, https://webstore.iec.ch/publication/4311 [accessed on October 28, 2020]
- IEC 61508:2010 for Functional safety of electrical/electronic/programmable electronic safety-related systems, https://webstore.iec.ch/publication/22273 [accessed on October 28, 2020]
- International Standardization Organization. ISO PAS 21448:2019(en) Road vehicles Safety of the intended functionality, https://www.iso.org/standard/70939.html [accessed on October 28, 2020]
- International Standardization Organization. ISO 26262-1:2011(en) Road vehicles Functional safety Part 1, Part 3. https://www.iso.org/obp/ui/#iso:std:iso:26262:-1:ed-1:v1:en [accessed on October 28, 2020]
- N. Leveson and J. Thomas, STPA Handbook, Boston, MA: MIT, March 2018, https://psas.scripts.mit.edu/home/get_file.php?name=STPA_handbook.pdf [accessed on October 28, 2020]
- GSN specification 2, document number SCSC-141B https://scsc.uk/gsn?page=gsn%202standardhttp://www.goalstructuringnotation.info-[accessed on October 28, 2020September 29, 2021]
- GSN metamodel mapping to SACM, https://scsc.uk/file/gc/GSN_metamodelV2-2-1210.pdf [accessed on October 7, 2021]

3.4 Informative References

- ISO/IEC 15288:2015, Systems Engineering Systems Life Cycle Processes, http://www.iso.org/iso/home/store/catalogue_tc/catalogue_detail.htm?csnumber=63711 [accessed on October 28, 2020]
- International Council On Systems Engineering (INCOSE), Systems Engineering Handbook V4, 2015, https://www.incose.org/products-and-publications/se-handbook [accessed on October 28, 2020]

Commented [AA5]: RAAML-51

Formatted: Font color: Text 1

Commented [AA6]: RAAML-51

4. Acknowledgements

The following companies and organizations submitted or supported parts of the original version of this standard:

Industry

- Dassault Systemes (submitter)
- Ford Motor Company (submitter)
- The Aerospace Corporation

Government

- NASA/Jet Propulsion Laboratory
- Commissariat à l'énergie atomique
- German Aerospace Center
- National Institute of Advanced Industrial Science and Technology (AIST)

Vendors

· No Magic owned by Dassault Systemes

Academia

· Massachusetts Institute of Technology

Liaison

- Gesellschaft für Systems Engineering (submitter)
- MACE
- Assystem

The following persons were members of the team that designed and wrote this International Standard: Achim Weiss, Andreas Knapp, Andrius Armonas, Annelisa Sturgeon, Axel Berres, Christian Lalitsch-Schneider, Christoph Barchanski, Christopher Davey, Damun Mollahassani, Dave Banham, Edith Holland, Geoffrey Biggs, George Walley, Ilse Adamek, Jean-Francois Castet, Jianlin Shi, John Thomas, Kyle Post, Laura Hart, Manfred Koethe, Mark Sampson, Matthias Nagorni, Myron Hecht, Nataliya Yakymets, Rajiv Murali, Regis Casteran, Sarra Yako, Stephan Boutenko, Thomas Krynicki, Tim Weilkiens, Tomas Juknevicius, Vanessa Sehon, Victor Arcos Barraquero, Yan Liu.

For the final edition of the standard, the following people contributed: Andrius Armonas, Axel Berres, Dave Banham, Kyle Post, George Walley, Tomas Juknevicius.

Commented [PK(7]: Editorial change to designate submitter

Formatted: Font: 10 pt, Font color: Auto
Formatted: Font: 10 pt, Font color: Auto

Formatted: Font:

Commented [PK(8]: Editorial change to designate submitter

Commented [PK(9]: Editorial change to designate submitter

5. Terms and Definitions

New terms and definitions have been required to create this specification. They are listed in the table below.

Table 5.1 – Description of terms and definitions used in this specification

Table 3.1 Desci	iption of terms and definitions used in this specification
Situation	A situation describes a set of situation occurrences of some type. The system, place, time and state parameters are described by classifiers rather than individual descriptions. A situation occurrence is a system being in a given place at given time and in a given state.
	For example, "Boeing 747 with S/N 12305 is being refueled at Gate 7 of Amsterdam Schiphol at 11:45 on Monday, 30th of July 2018."
Causality	Identifies cause-effect relationship between two situations. Causality could be direct (non-conditional), conditional, probabilistic or any other inter-situation relationship, defined by the user. Multiple situations can cause one situation and vice versa - one situation can cause multiple other situations.
	For example, a car in frequent contact with salt, causing safety-critical parts to corrode, which causes leaks in the brake line, causing the brakes to fail, causing a car accident, causing a passenger injury.
Relevant To	The Relevant To relationship is used to link situations to system model elements to provide context and relevance for the Situation.
	For example, in an insulin pump, a Situation where the insulin pump cannot be charged would be related to the main battery element in the system model.
Controlling Measure	A measure taken to address (mitigate severity, reduce probability of occurrence, increase probability of detection) a potential or real adverse situation.

6. Acronyms and Abbreviations

For the purposes of this specification, the following List of acronyms and abbreviations apply.

Table 6.1 – Description of acronyms used in this specification

ASIL	Automotive Safety Integrity Level			
DET	Detectability			
FMEA	Failure Mode and Effect Analysis			
FTA	Fault Tree Analysis			
GSN	Goal Structuring Notation			
HARA	Hazard Analysis and Risk Assessment			
HAZOP	A hazard and operability study			
MBSE	Model-Based Systems Engineering			
ISO	International Standardization Organization			
OCC	Occurrence			
OMG	Object Management Group			
RAAML	Risk Analysis and Assessment Modeling Language			
RPN	Risk priority number			
SEV	Severity			
STPA	Systems Theoretic Process Analysis			
SysML	Systems Modeling Language			
UAF	Universal Architecture Framework			
UML	Unified Modeling Language			

7. Additional Information (non-normative)

7.1 Language Architecture

The RAAML specification reuses a subset of UML 2.5.1 and SysML 1.6 and provides additional extensions needed to address the Safety and Reliability for UML RFP (ad/2017-03-05) requirements. Those requirements form the basis for this specification. This document specifies the language architecture in terms of UML 2.5.1 and SysML 1.6. It explains the design principles and how they are applied to implement RAAML.

7.2 Philosophy

The RAAML working group uses a library approach heavily with a light UML profile support. Using model libraries has several significant benefits compared with implementing everything in a profile:

- It makes use of the full UML structural modeling capabilities instead of just using metamodeling, which are
 further limited by the UML prescriptions for stereotyping. The tools with good support for UML/SysML class
 and composite structure diagrams can make use of their existing generic functionality for modeling safety and
 reliability aspects of a system.
- It enables end users to extend the libraries and profiles provided by the specification because safety and reliability practices vary across domains (automotive, aerospace, nuclear, etc.) and organizations.
- Finally, it is typically easier to make modifications and extensions to model libraries than to profiles, as
 extensions occur at lower metalevels.

The RAAML development uses a model-driven approach. A simple description of the work process is:

The specification is generated from the UML model used to describe RAAML. This approach allows the
working group members to concentrate on architecture issues rather than documentation production. The UML
tool automatically maintains consistency.

7.3 Principles of Creating, Editing, and Displaying of Composite Situations in Diagrammatic and Tabular Views

This standard uses UML/SysML structural modeling capabilities to capture safety and reliability data. The safety and reliability data are captured by a collection of scenarios and situations as shown in

Figure 7.1

Figure 7.1.

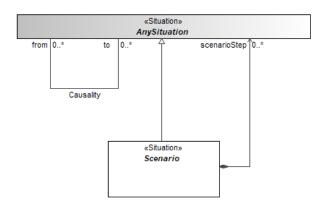


Figure 7.1 – Fundamental situation modeling principles

Complex scenarios can be built by inheriting from other scenarios and composing other situations as parts. Scenarios defined in libraries of this standard provide template scenarios from which to be inherited from. This way multilevel composite situations can be built.

- Situations are UML Classes, SysML Blocks.
- Scenario steps are captured using SysML parts UML Properties with aggregation set to composite, and type set
 to sub-situation (which is UML Class, SysML Block); usually an association is also created for this property.
- Situation attribute values are captured using value properties UML properties with type describing possible
 values (which is UML DataType, SysML ValueType) with the value specified in the defaultValue field.

When inheriting from library situations the properties of the user defined situations redefine or subset the properties of the library situation.

Note that user's model can have additional properties (including sub situations, and attributes and other kinds of properties), beyond those defined in the library. However, from the viewpoint of this standard, they carry user-specific extensions and are not relevant.

Situation in the user model can be inherited from the situation in the standard library indirectly through intermediate situations. This can be used to capture generality/specificity between the real-world situations being described and introduce user-specific library extensions.

Creation and Displaying of situation and scenario models can be done in diagrams, usual for UML/SysML tools, e.g., Class or Block Definition and Composite Structure or Internal Block diagrams. This suits rather well for the safety and reliability domains, which are used to graphical information input such as Fault Tree Analysis. However, users of many safety and reliability domains such as FMEA, STPA or ISO26262 are accustomed to tabular information input. Therefore, the principles of how these models can be described in a tabular format are explained in section §7.3.2.

7.3.1 Diagrammatic Situation Specification

Taking the operational situation TypicalAutomotiveSituation from ISO26262 library as an example, here is how the situation "Highway Driving Straight as Speed" would be defined in a diagram.

The ISO26262 library shown in (Figure 7.2Figure 7.2) stipulates, that TypicalAutomotiveSituation is described by specifying trafficAndPeople, vehicleUsage, roadCondition, location, and environmentalCondition sub-situations and an Exposure attribute.

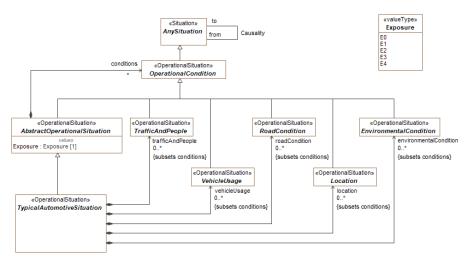


Figure 7.2 – Typical Automotive Situation definition in the ISO26262 Library

The "Highway Driving Straight at Speed" situation, in the user model (Figure 7.3Figure 7.3) specifies, that Exposure level is E4 (chosen from the level enumeration defined in the library), trafficAndPeople is "Traffic Free Flow" (another situation defined by the user or coming from a library of operational conditions), the vehicleUsage is "Driving at Speed", location is "City Roads" and "Highway" (two values), while roadCondition and environmentalCondition are left unspecified.

Note that:

- a) The scenario and sub-situations are inherited from the situations defined in the library.
- b) Exposure, which is a value attribute (i.e., an attribute, whose type is not a situation, but some data type instead a numeric or enumerated value) is specified by redefining a library attribute and specifying a default value.
- c) The trafficAndPeople and vehicleUsage attributes, which specify sub-situations, are redefining corresponding library attributes, and specifying a different type. The normal rules for UML attribute redefinition apply, i.e., redefined attribute type must be narrower that the parent attribute type.
- d) The roadCondition and environmentalCondition are not redefined, therefore they are left unspecified. The attributes type remains the maximally wide, library type ("RoadCondition" and "EnvironmentalCondition" library types)
- e) Two values are being specified for location attribute. Therefore, two attributes location 1 and location 2 are defined in the situation. These attributes are sub-setting the parent location attribute instead of redefining, as in case 3 above. Note that, according to UML rules, names of the sub-setting attributes are not regulated and therefore they can be anything. However, it is strongly recommended, that the tool vendor adopt some intuitive, user-friendly naming scheme like parent_attribute_name+number.

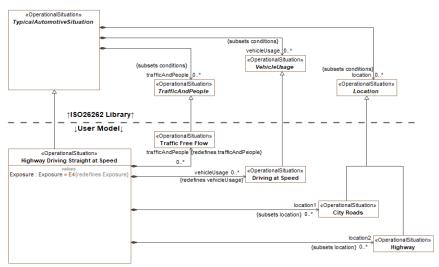


Figure 7.3 – User Model Defining Operational Situation "Highway Driving Straight at Speed"

7.3.2 Tabular Situation Specification

The same TypicalAutomotiveSituation, defined by the ISO26262 library and again shown in Figure 7.2 Figure 7.2, can also define a table format for entering automotive situation user model data in a tabular format.

The table for specifying typical automotive situations comprises the main Name column for defining the situation itself, plus one column per each attribute. A table for typical automotive situations, as defined by TypicalAutomotiveSituation library situation class would then have columns for Exposure, vehicleUsage, trafficAndPeople, location, roadCondition, and environmentalCondition. The column's name does not need to follow library attributes strictly. They can be beautified, for the sake of user-friendliness. It is important that when the user adds or edits rows in this table, the underlying model data must be created in accordance to the chapters above.

The table below (Table 7.1 Table 7.1) shows the same "Highway Driving Straight as Speed" situation defined in tabular format as in the previous chapter. Therefore, the underlying UML model structures must be the same as those shown in diagrammatic format (

Figure 7.1

Figure 7.1).

Table 7.1 – Table for Specifying Operational Situations with Situation "Highway Driving Straight at Speed" Defined

Definied							
#	Name	Exposure	Vehicle Usage	Traffic and People	Location	Road Condition	Environmen tal Condition
1	Highway Driving Straight at Speed	E4	Driving at Speed	Traffic Free Flow	Highway, City Roads		

1.1	Highway Driving Straight at Speed, Dangerous Conditions	Е3	Driving at Speed	Traffic Free Flow	Highway, City Roads	Wet,	Reduced Visibility	l

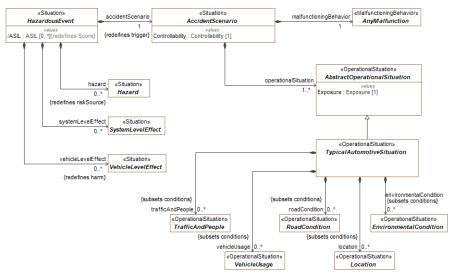
A typical safety and reliability domain such as ISO26262 will then use multiple tables, one for each of the structures defined in the library for that domain.

The tables can have additional columns, at the vendor's discretion, for specifying additional data about the situation, being described in a row. An example of such data could be a description (realized by e.g., UML Comment) of the situation.

Sub-classing by using a generalization relationship between situations can be expressed in tabular format, using hierarchical indented text in table row. In the above table, the "Highway Driving Straight at Speed, Dangerous Conditions" situation is a subclass of the "Highway Driving Straight at Speed" situation. Therefore, a generalization relationship is created between the two in the model. Note that the more specific situation can narrow down the field types of the parent. In this example, the sub-classing situation provides additional data for road and environmental conditions by using attributes and redefining attributes from the library. Using UML redefinition overrides the parent exposure to E3. The vehicle use, traffic and people, and location settings are inherited from the parent and do not require additional model elements.

In case of multiple composition levels between the situations defined the in the library, it is possible to show multi-level composite situation data in a single table instead of the multiple interrelated tables by using hierarchical grouped column approach.

An example of using this hierarchical approach is shown for the main situation - HazardousEvent - in the library for ISO26262 standard (Figure 7.4Figure 7.4):



Figure~7.4-Hazardous Event~Definition~in~the~ISO 26262~Library~

The HazardousEvent comprises sub-situations hazard, systemLevelEffect, vehicleLevelEffect which are elementary and an accidentScenario which is a composite sub-situation. AccidentScenario is composed of the elementary

malfunctioningBehavior and operationalSituation. OperationalSituation is composed of a multitude of operational condition sub-situations vehicleUsage, trafficAndPeople, location, roadCondition, and environmentalCondition.

If tabular format is used for entering this information, there could be 3 simple tables:

- 1. Table for operational situations, having columns for **vehicleUsage**, **trafficAndPeople**, **location**, **roadCondition**, and **environmentalCondition**.
- 2. Table for accident scenarios, having columns for malfunctioningBehavior and operationalSituation.
- Table for hazardous events, having columns for hazard, systemLevelEffect, vehicleLevelEffect, and accidentScenario.

Alternatively, all this data can be entered in a single table, as shown in Table 7.2 Table 7.2:

- Table for hazardous events, having columns for hazard, systemLevelEffect, vehicleLevelEffect, and an accidentScenario.
 - 1.1. Accident scenario is a column group, comprising of columns malfunctioningBehavior and operationalSituation.
 - 1.1.1. Operational situation is a column group comprising of columns vehicleUsage, trafficAndPeople, location, roadCondition, and environmentalCondition.

Table 7.2 - Hazardous Event Table with Grouped Columns

Nam	e Hazard	Accident Scenario								System	Vehicl
		Malfunct	Operational Situation						Contr	Level	e Level
		ioning Behavior	Vehicl e Usage	Traffic and People	Locati on	Road Condit ion	Enviro nmenta l Conditi on	Expo sure	ollabi lity	Effect	Effect

Note – some columns (like ASIL level, or names of accident scenario, operational situation) have been skipped in the table for compactness reasons; in the actual tool that is not limited by page width they would be present.

8. Diagram Legend (non-normative)

The section 9 is comprised of diagrams that represent elements from the RAAML 1.0 specification. The diagrams are color-coded to help the reader to understand the model easier. Please refer to the legend in Figure 8.1 Figure 8.1 to understand the diagrams.

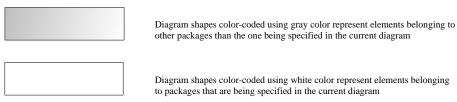


Figure 8.1 - Legend of color codes

An example in Figure 8.2 Figure 8.2 demonstrates how legends are used. Elements that belong to FTA (Fault Tree Analysis) library will be represented in white color in diagrams which belong to FTA method specification. Other elements like DysfunctionalEvent will be represented in gray since they belong to the General part of the specification.

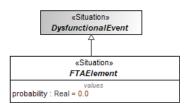


Figure 8.2 – An example of using a legend

9. Risk Analysis and Assessment Modeling Language (RAAML) Library and Profile

The RAAML library and profile imports the entire SysML profile. The use of this import is intended to provide more seamless integration with system modeling using SysML and to be able to fully leverage the capabilities of SysML.

9.1 Core

The core concepts domain model is depicted in Figure 9.1 Figure 9.1. The submission team uses this domain model to derive the CoreLibrary and CoreProfile packages (specified in sections 9.1.1 and 9.1.2 respectively). The other libraries and profiles of the specification are based on the CoreLibrary and CoreProfile packages, and contain elements and relationships representing concepts common across safety and reliability analysis methods.

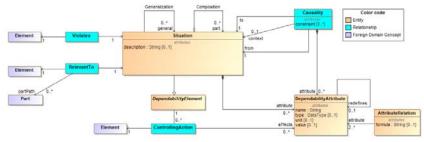


Figure 9.1 - Core concepts domain model

The central element in the core concepts domain model is the "Situation" concept. A situation occurrence is defined as a system being in a given place at given time and in a given state. For example, "Boeing 747 with S/N 12305 is being refueled at Gate 7 of Amsterdam Schiphol at 11:45 on Monday, 30th of July 2018." An elementary situation is a classifier. It describes a set of situation occurrences of some type. The system, place, time and state parameters are described by classifiers rather than individual descriptions.

When describing a situation, some of its parameters may be omitted if the situation does not need to be specific with respect to that parameter. For example:

- Fire in the engine compartment of the ship.
- Finger injury of the circular saw operator.

Different Situations can have generalization/specialization relationships between them. Generalization between two situations expresses the subset/superset relationship between the sets of occurrences that these situations represent. For example, "bone fracture" may be defined as a subtype of "Injury".

Situations can have quantitative attributes, such as probability of occurrence. These are defined using the DependabilityAttribute class. Quantitative attributes can be related to each other and to attributes of the system by formulae using the AttributeRelation class. Formulae can be expressed in any language that the modeling tool can compute, including OCL and other executable languages. For example:

 $FMEAI tem. Risk Priority Number = Cause. Occurrence \times Failure Mode. Detectability \times Failure Mo$

Effect.Severity

Different Situations can be associated with each other using the Causality class, expressing semantic relationships between situations such as simple causality, conditional causality, and probabilistic connections. These relations may also have quantitative attributes, such as the probability of occurrence of the "to" situation if the "from" situation occurs. For example, a car in frequent contact with salt, causing safety-critical parts to corrode, which causes leaks in the brake line, causing the brakes to fail, causing a car accident, causing a passenger injury.

A non-elementary situation (the "Composition" relationship in Figure 9.1Figure 9.1) is a concept encompassing multiple elementary situations: a single system or combination of several systems in a mutable layout, flowing in time through a sequence of states. The choice of whether to use a composite situation with parts described by substituations, or to use a

single situation, is at the discretion of the modeler. It depends on the modeler's needs, such as the depth of analysis required.

Situations can violate requirements, constraints defined/prescribed for the system, or other specifications describing how the system should operate. For example, a Situation where the system can-not detect glucose level violates the requirement that "the insulin pump must work for 1 week without the need to replace batteries".

The RelevantTo relationship is used to link situations to system model elements to provide context and relevance for the Sitution. For example, in the aforementioned insulin pump, a Situation where the insulin pump cannot be charged would be related to the main battery element in the system model.

Situations can be mitigated, detected, and prevented via the ControllingAction. The use of this relationship introduces new safety requirements.

It was decided early on to reuse as many concepts from the SysML language as possible and only add concepts that are missing in SysML to address safety and reliability aspects of systems. This avoids duplication between two languages that will typically be used together. It also enables tool vendors to implement the new profile and library without requiring new tool capabilities, assuming SysML is supported. This leads to a very small library and profile on top of SysML/UML being sufficient to cover all core concepts. The core domain model is covered by SysML/UML concepts as shown in Table 1. The CoreLibrary package is specified in section 9.1.1. The CoreProfile package is shown in 9.1.2. The Core profile and library are used by all domain-specific methods in the specification.

Table 9.1 - Mapping of core concepts to the SysML/UML language

Core concept	SysML/UML concept					
Situation	A specialization of a Block in SysML and a new stereotype «Situation »					
DependabilityAttribute	SysML Value Property					
AttributeRelation	SysML Constraint Block					
Generalization	UML Generalization relationship					
Composition	UML Composition relationship					
Violates	A stereotyped UML dependency					
RelevantTo	A stereotyped UML dependency					
Causality	An association/connector combination					
ControllingAction	A stereotyped UML dependency					

9.1.1 Core::Core Library

AnySituation

Package: Core Library isAbstract: Yes

Applied Stereotype: «Situation»

Description

AnySituation is the universal root of all situations. All situations inherit from AnySituation. A situation describes a set of situation occurrences of some type. The system, place, time and state parameters are described by classifiers rather than individual descriptions. A situation occurrence is a system being in a given place at given time and in a given state.

For example, "Boeing 747 with S/N 12305 is being refueled at Gate 7 of Amsterdam Schiphol at 11:45 on Monday, 30th of July 2018."

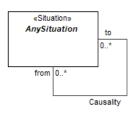


Figure 9.2 - AnySituation

Attributes

from: AnySituation[0..*] (member end of Causality association)

to : AnySituation[0..*] (member end of Causality association)

A situation which precedes the one at the other end of the Causality relationship.

A situation which follows the one at the other end of the Causality relationship.

Causality

Package: Core Library

Description

Universal root relationship between situations. All situation relationships inherit from this relationship. Identifies cause and effect relationship between two situations. Causality could be direct (non-conditional), conditional or probabilistic or any other inter-situation relationship, defined by the user. Multiple situations can cause one situation and vice versa - one situation can cause multiple other situations.

For example, a car in frequent contact with salt, causing safety-critical parts to corrode, which causes leaks in the brake line, causing the brakes to fail, causing a car accident, causing a passenger injury.

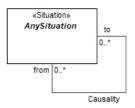


Figure 9.3 - Causality

Association ends

Causality association)

to: AnySituation[0..*] (member end of A situation which follows the one at the other end of the Causality relationship.

of Causality association)

from : AnySituation[0..*] (member end A situation which precedes the one at the other end of the Causality relationship.

9.1.2 Core::Core Profile

Situation

Package: Core Profile isAbstract: No Generalization: Block

Extension: Class

Description

A situation is a SysML v1.6 Block. The situation reuses the following functionality from the Block concept: generalizations, parts, value properties, and Parametrics. The situation stereotype is only needed to distinguish situations from other types of blocks. See AnySituation for the definition of a situation concept.

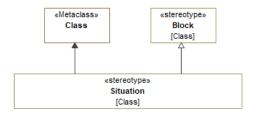


Figure 9.4 - Situation

RelevantTo

Package: Core Profile isAbstract: No

Generalization: DirectedRelationshipPropertyPath

Extension: Dependency

Description

The RelevantTo relationship is used to link situations to system model elements to provide context and relevance for the Situation. For example, in an insulin pump, a Situation where the insulin pump cannot be charged would be related to the main battery element in the system model. The RelevantTo relationship reuses the following functionality from the DirectedRelationshipPropertyPath concept: targetContext and targetPropertyPath.

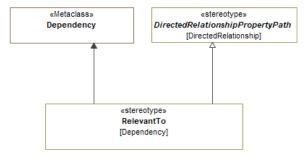


Figure 9.5 - RelevantTo

Constraints

[1] ClientIsSituation -- client of the RelevantTo must be a Situation

Situation.allInstances().base_Class->includesAll(self.base_Dependency.client)

ControllingMeasure
Package: Core Profile
isAbstract: Yes

Generalization: DirectedRelationshipPropertyPath

Extension: Dependency

Description

A measure taken to address (mitigate severity, reduce probability of occurrence, increase probability of detection) a potential or real adverse situation.

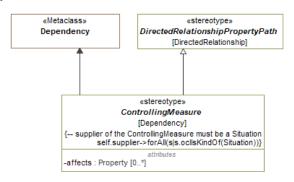


Figure 9.6 - ControllingMeasure

Attributes

affects: Property[0..*] Indicates that this controlling measure influences (typically improves) a

particular quantitative attribute of the situation.

Constraints

[1] SupplierIsSituation -- supplier of the ControllingMeasure must be a Situation

 $Situation. all Instances (). base_Class-> includes All (self.base_Dependency. supplier) \\$

Violates

Package: Core Profile isAbstract: No Extension: Dependency

Description

The violates relationship indicates a situation where a system is violating a prescription (requirement, constraint, etc.). It is used to connect situations to requirements, design constraints and any other elements of system models which prescribe a characteristic of the system.

For example, a Situation where the insulin pump drains the battery in 3 days violates the requirement that "The system must work for 1 week without the need to replace batteries".



Figure 9.7 - Violates

Constraints

[1] ClientIsSituation

-- client of the Violates must be a Situation

Situation.allInstances().base_Class->includesAll(self.base_Dependency.client)

IDCarrier

Package: ISO 26262 Profile

isAbstract: No

Extension: Element

Description

Additional stereotype for carrying human-readable identification data.

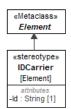


Figure 9.8 - IDCarrier

Attributes

Id: String[1]

Human readable identifier.

9.2 General

The specification includes a general safety and reliability package that extends the core package. It defines common concepts that are used or extended in the method- and domain-specific reliability and safety packages. The package provides a model library, specified in section 9.2.1, and a profile, specified in section 9.2.2.

The general concepts contained in this package can be used as-is to model the safety and reliability related aspects of a system. However, the intended purposes of the package are as follows.

- Provide a common base for the method- and domain-specific reliability and safety modeling packages. The
 same concepts are used in a number of safety and reliability techniques (such as FMEA and FTA), so the role of
 this package is to prevent duplication of common concepts in other packages. This also enables movement of
 information between domains for cross-domain issues. This is particularly important as different domains may
 use the same concepts with different vocabulary. A common foundation provides a way to translate between
 these.
- Provide traceability links between safety and reliability artefacts across the system life cycle. For example, the
 failure modes defined during Hazard Analysis and Risk Assessment (HARA, defined in the ISO 26262 package)
 and in an FMEA could be traced and considered during an FTA.
- 3. Provide a foundation on which additional methods, techniques and domains with safety and reliability concerns not currently included in the profile can be built by users. For example, a tool vendor could build an additional package for the railway domain by building on the general safety and reliability foundation. This both reduces effort to introduce an additional domain and allows additional domain packages to be compatible with the existing specification content.

9.2.1 General::General Concepts Library

AbstractEvent

Package: General Concepts Library

isAbstract: Yes

Commented [AA11]: RAAML-25

Commented [AA10]: Figure number needs to be updated after accepting changes

Generalization: <u>AnySituation</u> **Applied Stereotype:** <u>«Situation»</u>

Description

Anything that causes a change in a system under analysis or environment. Event has an identifiable starting point in time.

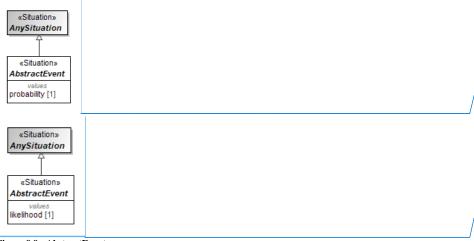


Figure 9.9 - AbstractEvent

Attributes

| A placeholder attribute for indicating probability |: [1] | A placeholder attribute for indicating probability likelihood of occurrence of an event. It is intentionally left without a type. Method developers can derive more specialized ways to characterize probabilitylikelihood.

AbstractCause

Package: General Concepts Library isAbstract: Yes
Generalization: AbstractEvent
Applied Stereotype: «Situation»

Description

An AbstractCause is a precursor <u>event</u> that activates other <u>events</u>. The AbstractCause is a root class for all kinds of causes; method developers should derive from it more specific kinds of causes with specific types for <u>occurrence</u> property. One case is demonstrated in the <u>Cause</u> element that redefines the occurrence property of the AbstractCause with the type Real.

See the diagram $\underline{General Concepts Library}.$

See also: fault association end of the Activation association.

Commented [AA12]: RAAML-40

Commented [AA13]: RAAML-40

Commented [AA14]: RAAML-40
Commented [AA15]: RAAML-40

Commented [AA16]: RAAML-40

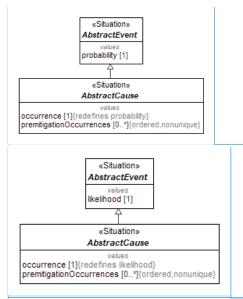


Figure 9.10 - AbstractCause

premitigation Occurrences: [0..*]

Attributes

occurrence : [1], redefines probabilitylikelihood A placeholder attribute without a type declared, for indicating how often this situation occurs. It is a redefinition of probabilitylikelihood.

A placeholder attribute for indicating how often this situation occurred prior to mitigation. This property can have more than one value.

Cause

Package: General Concepts Library

isAbstract: Yes

Generalization: <u>AbstractCause</u>
Applied Stereotype: <u>«Situation»</u>

Description

A Cause is a specific implementation of $\underline{AbstractCause}$ that defines $\underline{occurrence}$ property with the type Real.

Commented [AA17]: RAAML-40

Commented [AA18]: RAAML-40

Commented [AA19]: RAAML-40 Commented [AA20]: RAAML-40

Field Code Changed

Field Code Changed

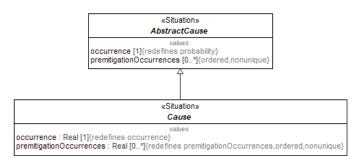


Figure 9.11 - Cause

Attributes

occurrence : Real[1], redefines occurrence

An attribute with the type Real, for indicating how often this situation

premitigationOccurrences : Real[0..*],

 $redefines\ \underline{premitigationOccurrences}$

An attribute for indicating how often this situation occurred prior to mitigation. This property can have more than one value.

DysfunctionalEvent

Package: General Concepts Library

isAbstract: Yes

 $\textbf{Generalization:} \ \underline{\textbf{AbstractEvent}}$ Applied Stereotype: «Situation»

Description

An event whose occurrence can cause a dysfunctional behavior of a system or a part of the system.

The DysfunctionalEvent concept is a generalization of such concepts as failure, feared event, etc. that are considered in the domain-specific safety standards. It might be extended for introducing new safety and reliability methods and techniques.

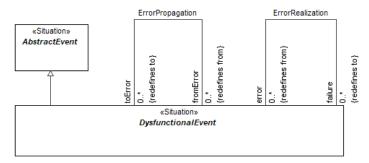


Figure 9.12 - DysfunctionalEvent

AbstractFailureMode

Package: General Concepts Library

isAbstract: Yes

Generalization: <u>UndesiredState</u>
Applied Stereotype: <u>«FailureMode»</u>

Description

The manner in which a system or part of a system (e.g. functions, components, hardware, software, hardware parts, software units), can fail (ISO 26262-1:2018, definition 3.51, modified).

The AbstractFailureMode is a root class for all failure modes; method developers should derive more specific kinds of failure modes with specific types for the detectability property. One case is demonstrated in the FailureMode element that redefines the detectability property of the AbstractFailureMode with the type Real.

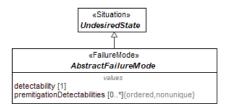


Figure 9.13 - AbstractFailureMode

Attributes

detectability: [1]

A placeholder attribute without a type declared, for indicating how easy it is to detect this failure mode.

premitigationDetectabilities: [0..*]

A placeholder attribute for indicating how easy it would have been to detect the situation with the previous design iteration. This property can have more than one value.

FailureMode

Package: General Concepts Library

isAbstract: Yes

Generalization: AbstractFailureMode **Applied Stereotype:** «FailureMode»

Description

FailureMode is a specific implementation of <u>AbstractFailureMode</u> that defines the <u>detectability</u> property with the type Real

A failure is an instance of a FailureMode.

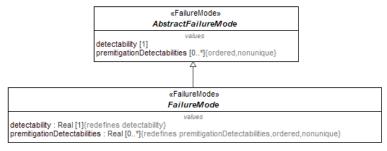


Figure 9.14 - FailureMode

Attributes

detectability: Real[1], redefines

detectability

 $premitigation Detectabilities: Real [0..*], \\ redefines \\ \underline{premitigation Detectabilities}$

An attribute with the type Real, for indicating how easy it is to detect the

An attribute for indicating how easy it would have been to detect the situation with the previous design iteration. This property can have more than one value.

AbstractEffect

Package: General Concepts Library

isAbstract: Yes

Generalization: <u>DysfunctionalEvent</u>
Applied Stereotype: <u>«Situation»</u>

Description

An AbstractEffect is a <u>DysfunctionalEvent</u> that is a result or a consequence of another <u>Situation</u>. The AbstractEffect is a root class for all effects; method developers should derive more specific kinds of effects with specific types for the severity property.

One case is demonstrated in the $\underline{\text{Effect}}$ element that redefines the severity property of the AbstractEffect with the type Real.

See the diagram GeneralConceptsLibrary.

See also: ErrorPropagation, ErrorRealization associations.

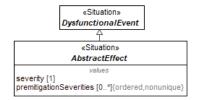


Figure 9.15 - AbstractEffect

Attributes

severity:[1]

A placeholder attribute without a type declared, for indicating the estimate of the extent of harm.

premitigationSeverities: [0..*]

A placeholder attribute for indicating the estimate of the extent of harm that would have resulted from the previous design iterations. This property can have more than one value.

Effect

Package: General Concepts Library

isAbstract: Yes

Generalization: AbstractEffect
Applied Stereotype: «Situation»

Description

An Effect is a specific implementation of $\underline{AbstractEffect}$ that defines the $\underline{severity}$ property with the type Real.

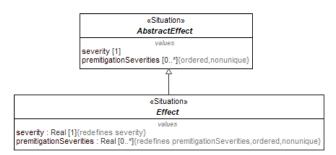


Figure 9.16 - Effect

Attributes

 $severity: Real [1], redefines \, \underline{severity}$

premitigationSeverities : Real[0..*], redefines <u>premitigationSeverities</u>

An attribute with the type Real, for indicating the estimate of the extent of harm.

An attribute for indicating the estimate of the extent of harm that would have resulted from the previous design iterations. This property stores more than one value.

Activation

Package: General Concepts Library Generalization: Causality

Description

 $\label{eq:abstractCause} A \ \underline{causal} \ relationship \ describing \ the \ propagation \ of \ the \ initial \ \underline{AbstractCause} \ situation \ to \ the \ \underline{DysfunctionalEvent} \ situation \ in \ the \ system.$

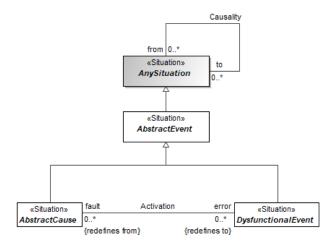


Figure 9.17 - Activation

Association ends

 $error: Dysfunctional Event [0..*] \\ The \ dysfunctional \ situation \ (error) \ of \ the \ system.$

(member end of <u>Activation</u> association,

redefines to)

fault : AbstractCause[0..*] (member

The causal fault.

end of Activation association, redefines

from)

ErrorPropagation

Package: General Concepts Library Generalization: <u>Causality</u>

Description

 $A~\underline{causal}~relationship~describing~the~propagation~of~\underline{errors}~(one~error~leading~to~another)~throughout~the~system.$

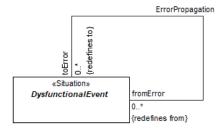


Figure 9.18 - ErrorPropagation

Association ends

to Error : Dysfunctional Event [0..*] The successor error.

(member end of <u>ErrorPropagation</u>

association, redefines to)

fromError: DysfunctionalEvent[0..*] The predecessor error.

(member end of <u>ErrorPropagation</u> association, redefines <u>from</u>)

ErrorRealization

Package: General Concepts Library

Generalization: Causality

Description

A \underline{causal} relationship describing the propagation of an \underline{error} to a $\underline{failure}.$

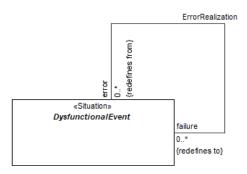


Figure 9.19 - ErrorRealization

Association ends

failure : DysfunctionalEvent[0..*]

(member end of <u>ErrorRealization</u>

association, redefines to)

error : DysfunctionalEvent[0..*] (member end of ErrorRealization association, redefines from)

The resulting failure.

The predecessor error.

HarmPotential

Package: General Concepts Library

isAbstract: Yes

Generalization: AnySituation Applied Stereotype: «Situation»

A state where there is the potential of harm. This includes all types of harm arising from malicious or non-malicious causes.



Figure 9.20 - HarmPotential

Hazard

Package: General Concepts Library

isAbstract: Yes

Generalization: HarmPotential Applied Stereotype: «Situation»

Description

A potential source of harm (IEC 61508-4, 3.1.2). Source of harm is non-malicious.

The term includes danger to persons arising within a short time scale (for example, fire and explosion) and also those that have a long-term effect on a person's health (for example, release of a toxic substance).



Figure 9.21 - Hazard

Scenario

Package: General Concepts Library

isAbstract: Yes

Generalization: <u>AnySituation</u>
Applied Stereotype: <u>«Situation»</u>

Description

A composite <u>situation</u>, consisting of multiple steps (that are themselves <u>situations</u>). Steps should have causal ordering, indicated by <u>Causality</u> relationships or sub-types thereof.

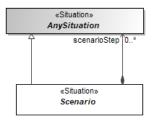


Figure 9.22 - Scenario

Attributes

scenarioStep: AnySituation[0..*] (member A situation which is a part of a bigger situation - scenario. end of association)

AbstractRisk

Package: General Concepts Library

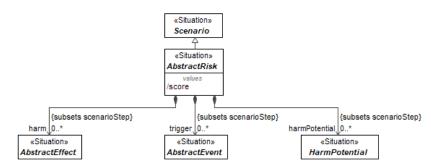
isAbstract: Yes

Generalization: <u>Scenario</u>
Applied Stereotype: <u>«Situation»</u>

Description

An AbstractRisk is a $\frac{Scenario}{C}$ - combination of harm potential ($\frac{Hazard}{C}$ or Vulnerability), triggering event ($\frac{AbstractEvent}{C}$), and resulting harm ($\frac{AbstractEffect}{C}$).

 $\label{eq:continuous_problem} The ~ \underline{AbstractRisk} ~ is ~ a ~ placeholder ~ to ~ enable ~ modelers ~ to ~ specify ~ methodology-specific ~ kinds ~ of ~ risks.$



Pre-existing risk (HarmPotential).

Figure 9.23 - AbstractRisk

Attributes

score:

Combination of the probability of occurrence of abstract event resulting from abstract harm and the severity of that harm (IEC 61508-4, 3.1.5, modified).

An example could be risk priority number ($\overline{\text{RPN}}$) in FMEA analysis.

trigger : AbstractEvent[0..*] (member end

of association, subsets scenarioStep)

 $Triggering\ event\ (\underline{AbstractEvent})\ which\ causes\ harm\ to\ materialize.$

 $harm: AbstractEffect [0..*] \ (member \ end \ of \ \ Resulting \ harm \ (\underline{AbstractEffect}).$

association, subsets $\underline{scenarioStep}$)

harmPotential: HarmPotential[0..*]

(member end of association, subsets

scenarioStep)

UndesiredState

Package: General Concepts Library isAbstract: Yes Generalization: <u>DysfunctionalEvent</u>

Applied Stereotype: «Situation»

An element's condition as a specific time which represents an unintended situation.



Figure 9.24 - UndesiredState

9.2.2 General::General Concepts Profile

FailureMode

Package: General Concepts Profile

isAbstract: No

Generalization: Situation Extension: Class

Description

See FailureMode library class for the definition of a situation concept.

 $\label{eq:continuity} The \ \underline{Failure Mode} \ stereotype \ is \ only \ needed \ to \ distinguish \ Failure Modes \ from \ other \ types \ of \ situations.$

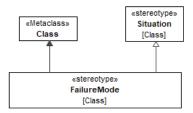


Figure 9.25 - FailureMode

Error

Package: General Concepts Profile

isAbstract: No Generalization: <u>Situation</u> Extension: Class

Description

The discrepancy between a computed, observed or measured value or condition and the true, specified or theoretically correct value or condition. [IEC 61508-4, 3.6.11].

The Error stereotype is needed to distinguish this type of situations.

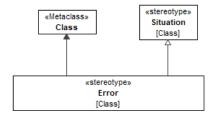


Figure 9.26 - Error

Fault

Package: General Concepts Profile

isAbstract: No

Generalization: Situation Extension: Class

Description

Abnormal condition that may cause a reduction in, or loss of, the capability of a functional unit to perform a required function. [IEC 61508-4, 3.6.1].

Abnormal or undesired condition that can cause an element or a system to fail. [ISO 26262-1:2018, 3.54, modified] The Fault stereotype is needed to distinguish this type of situations.

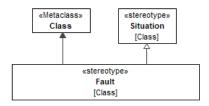


Figure 9.27 - Fault

Detection

Package: General Concepts Profile

isAbstract: No

Generalization: ControllingMeasure

Extension: Dependency

Description

A kind of <u>ControllingMeasure</u> taken to increase probability of detecting the situation under analysis. In hardware these measures may include built-in diagnostic tests, or physical inspection and manual tests.

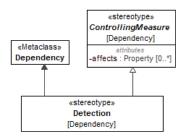


Figure 9.28 - Detection

Prevention

Package: General Concepts Profile **isAbstract:** No

Generalization: ControllingMeasure

Extension: Dependency

Description

A kind of **ControllingMeasure** taken to reduce probability of occurrence of the situation under analysis.

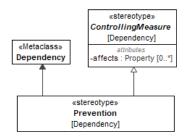


Figure 9.29 - Prevention

Mitigation

Package: General Concepts Profile

isAbstract: No

 ${\bf Generalization:} \ \underline{Controlling Measure}$

Extension: Dependency

Description

A kind of **ControllingMeasure** taken to reduce severity of the situation under analysis.

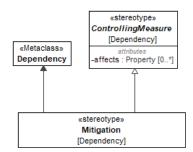


Figure 9.30 - Mitigation

Recommendation

Package: General Concepts Profile

isAbstract: No

 ${\bf Generalization:} \ \underline{Controlling Measure}$

Extension: Dependency

Description

Recommendation is used to connect the situation to an action item.

 $An \ action \ item \ is \ normally \ a \ Requirement \ but \ it \ can \ be \ a \ less \ "strong" \ type \ of \ advice - comment, \ rationale, \ etc.$

The requirement is further managed by the requirements management system - it can have responsible persons, due date, verification properties etc.

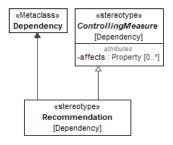


Figure 9.31 - Recommendation

FailureState

Package: General Concepts Profile

isAbstract: No Extension: State

Description

State, which the system or a part of the system enters after occurrence of FailureMode (failure).

The Failure state concept might be used in various formal safety and reliability analysis methods based on the state machine notation. Failure states could be tied to FailureMode via the RelevantTo dependency.



Figure 9.32 - FailureState

Undeveloped

Package: General Concepts Profile

isAbstract: No Extension: Element

Description

Undeveloped stereotype is meant to identify incomplete concepts.

This stereotype can be applied in combination with Goal or Strategy stereotype to express the fact that the goal or strategy is not fully developed, and therefore may lack crucial details.

This stereotype can also be applied to basic event in fault trees to express the fact that it is not fully

developed. Undeveloped stereotype can be applied in combination with Goal or Strategy stereotype to express the fact that the goal or strategy is not fully developer, and therefore may lack crucial details.

9.3 Methods::FMEA

The Failure Mode and Effects Analysis (FMEA) is a method of inspecting a system to analyze potential failures. Therefore, as many components, assemblies and subsystems as possible are examined in order to identify these failure modes in a system and their causes and effects.

Commented [AA21]: RAAML-42

The FMEA package contains all required elements to implement a Failure Model and Effects Analysis. Thus, for each tem(e.g. component or function), the failure modes and their resulting effects on the rest of the system are defined in a SysML BDD and IBD.

Commented [AA22]: RAAML-43
Commented [AA23]: RAAML-43

Commented [AA24]: RAAML-97

9.3.1 Methods::FMEA::FMEALibrary

AbstractFMEAltem

Package: FMEALibrary
isAbstract: Yes
Generalization: AbstractRisk

Generalization: AbstractRisk
Applied Stereotype: «FMEAItem»

Description

An AbstractFMEAItem is a scenario (more specifically - <u>AbstractRisk</u> scenario) composed of a failure mode, (<u>potentially multiple</u>) <u>cause(s)</u> and <u>effect(s)</u> <u>cause and (potentially multiple) effect(s)</u>. It stores assessed and mitigated risk priority

«Situation» AbstractRisk score «FMEAltem» AbstractFMEAltem harmPotential: HarmPotential [0]{subsets scenarioStep,redefines harmPotential previousRPNValues [0..*]{ordered,nonunique} [subsets scenarioStep] {redefines harm} {subsets scenarioStep} failureMode finalEffect 1..* «FailureMode» «Situation» «Situation» AbstractFailureMode AbstractCause AbstractEffect

Figure 9.33 - AbstractFMEAItem

cause: AbstractCause[1..*] (member end

Attributes

RPN: [1], redefines <u>score</u>

The risk priority number ranks the risk of the FMEA item. It is a specialization of AbstractRisk::score.

failureMode: AbstractFailureMode[1]

Represents the failure mode which is reached if a system element fails.

Represents the cause of the failure of a system element.

(member end of association, subsets scenarioStep)

of association, subsets scenarioStep)
finalEffect: AbstractEffect[1.*] (member Represents the effect which occurs on the system border.

end of association, redefines <u>harm</u>)

 $\label{eq:previousRPNValues: [0..*]} Represents the assessed risk priority number before mitigating the risk of a failure.$

harmPotential: HarmPotential[0] (member end of association, redefines harmPotential, subsets scenarioStep)

Pre-existing risk. Not used in FMEA method, therefore redefined in this library with multiplicity [0]

FMEAltem

Package: FMEALibrary isAbstract: Yes

Generalization: AbstractFMEAItem
Applied Stereotype: «FMEAItem»

Description

A FMEAItem is a specialization of AbstractFMEAItem with the Real implementation of quantitative attributes.

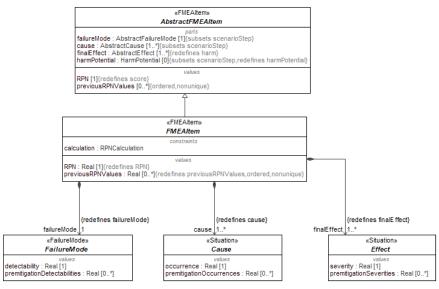


Figure 9.34 - FMEAItem

Attributes

finalEffect: Effect[1..*] (member end of association, redefines finalEffect) cause: Cause[1..*] (member end of association, redefines cause)

RPN : Real[1], redefines RPN failureMode : FailureMode[1] (member end of association, redefines failureMode)

calculation : RPNCalculation previousRPNValues : Real[0..*], redefines

previousRPNValues : Real[0..*], redefines previousRPNValues The specialization of <u>AbstractFMEAItem</u> :: <u>finalEffect</u> with the implementation of <u>Effect</u> with Real severity.

The specialization of <u>AbstractFMEAItem</u> :: \underline{cause} with the implementation of \underline{Cause} with Real occurrence.

The specialization of <u>AbstractFMEAItem</u> :: <u>RPN</u> with the type Real. The specialization of <u>AbstractFMEAItem</u> :: <u>failureMode</u> with the implementation of <u>FailureMode</u> with Real detectability.

Link to a formula for $\underline{\mbox{RPN}}$ calculation.

The specialization of $\underline{AbstractFMEAItem} :: \underline{previousRPNValues}$ with the type Real.

RPNCalculation **Package:** FMEALibrary **isAbstract:** No

Applied Stereotype: «ConstraintBlock»

Description

A formula for $\underline{\text{RPN}}$ calculation. This implementation uses multiplication of Occurrence x Detectability x Severity to calculate RPN.

Attributes

RPN: Risk priority number

SEV: Severity
OCC: Real Occurrence
DET: Detectability

Constraints

[1] Reduced priority number is calculated by simple multiplication of Severity,

Detectability and Occurrence.

LossOfFunction **Package:** FMEALibrary **isAbstract:** Yes

Generalization: FailureMode
Applied Stereotype: «FailureMode»

Description

A failure mode representing loss of function e.g., the function is inoperable, or suddenly fails.



Figure 9.35 - LossOfFunction

DegradationOfFunction Package: FMEALibrary isAbstract: Yes

Generalization: <u>FailureMode</u>
Applied Stereotype: <u>«FailureMode»</u>

Description

A failure mode representing a degradation of function or loss of function over time.

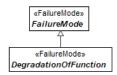


Figure 9.36 - DegradationOfFunction

IntermittentFunction **Package:** FMEALibrary **isAbstract:** Yes

Generalization: FailureMode
Applied Stereotype: «FailureMode»

Description

A failure mode representing an intermittent function or the random stops and starts of a function.

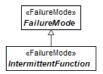


Figure 9.37 - IntermittentFunction

PartialFunction

Package: FMEALibrary isAbstract: Yes Generalization: FailureMode Applied Stereotype: «FailureMode»

Description

A failure mode representing a partial function or loss of performance.



Figure 9.38 - PartialFunction

UnintendedFunction

Package: FMEALibrary
isAbstract: Yes

Consequence Feeting Mean

Generalization: FailureMode
Applied Stereotype: «FailureMode»

Description

A failure mode representing an unintended function, function operating at the wrong time, with unintended direction, or unequal performance.

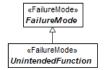


Figure 9.39 - UnintendedFunction

ExceedingFunction
Package: FMEALibrary
isAbstract: Yes

Generalization: FailureMode
Applied Stereotype: «FailureMode»

Description

A failure mode representing a function exceeding the acceptable operational performance.



Figure 9.40 - ExceedingFunction

DelayedFunction

Package: FMEALibrary
isAbstract: Yes
Generalization: FailureMode
Applied Stereotype: «FailureMode»

Description

A failure mode representing a delayed function or function operating after an unintended time interval.



Figure 9.41 - DelayedFunction

9.3.2 Methods::FMEA::FMEAProfile

FMEAltem

Package: FMEAProfile isAbstract: No Generalization: Block Extension: Class

Description

See $\underline{AbstractFMEAItem}$ library class for the definition of a FMEA Item concept.

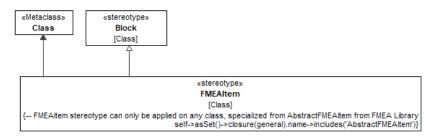


Figure 9.42 - FMEAItem

Constraints

[1] -- FMEAItem stereotype can only be applied on any class, specialized from FMEAItemIsAbstractFMEAItem AbstractFMEAItem from FMEA Library

 $self.base_Class-> as Set()-> closure(general).name-> includes('AbstractFMEAItem')$

9.4 Methods::FTA

Fault Tree Analysis (FTA) is a top-down failure analysis in which an undesired state of a system is analyzed using Boolean logic to combine a series of lower-level (basic) events. This analysis method is used to understand how systems can fail, to identify the best ways to reduce risk and to determine event rates of a safety accident or a functional failure.

The FTA package contains all required elements to implement this analysis. Support for Fault Tree Analysis (FTA) modeling is based on the IEC 61025:2006 standard. Using this standard ensures that the specification offers a form of FTA that is based on best practices and accepted by practitioners. It is also possible for a user to extend the capabilities of the FTA package to enable, for example, dynamic fault tree analysis and component fault tree modeling while still remaining compatible with other information modeled using the specification.

In order to combine FMEA and FTA analysis, a connection between a failure mode and a fault tree event needs to be made. Therefore, the Cause of an FMEAItem can be interpreted as the event which leads to a failure of a system item. By combining FMEAs and FTAs, both analyses can be used to verify the analysis results. This may lead to a better understanding of the behavior of a system during erroneous behavior.

9.4.1 Methods::FTA::FTALibrary

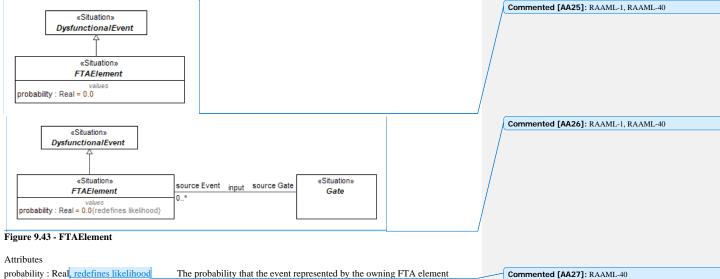
FTAElement

Package: FTALibrary isAbstract: Yes

Generalization: <u>DysfunctionalEvent</u>
Applied Stereotype: <u>«Situation»</u>

Description

Any of the Events and Gates needed for the evaluation of the TopEvent probability.



probability: Real, redefines likelihood The probability that the event represented by the owning FTA element

occurs. Probability is a Real value between 0 and 1.

source Gate: Gate (member end of input association)

FTATree

Package: FTALibrary

isAbstract: No

Generalization: FTAElement, Scenario

 $\textbf{Applied Stereotype:} \ \underline{\text{``Tree}}$

Description

A collection of FTAElements and their interrelationships for the evaluation of the top event probability.

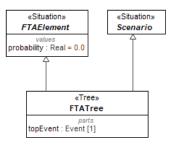


Figure 9.44 - FTATree

Attributes

Commented [AA28]: RAAML-1

topEvent : Event[1] (member end of association) Undesired event which lead to the failure of the system.

Methods::FTA::FTALibrary::Events
Package of events for building fault trees.

Event

Package: Events isAbstract: Yes

Generalization: FTAElement
Applied Stereotype: «Situation»

Description

The Event is a base class for all types fault tree events. It is a kind of DysfunctionalEvent.





Figure 9.45 - Event

Attributes

source Gate : Gate (member end of input

association)

priority: Integer[0..1]

The priority field is only used to indicate the order of this event when multiple events are inputs of Priority AND (SEQ) gate.

target Gate : Gate (member end of output

association)

BasicEvent
Package: Events
isAbstract: No
Generalization: Event

Applied Stereotype: «BasicEvent»

Commented [AA29]: RAAML-1

Commented [AA30]: RAAML-1

Commented [AA31]: RAAML-1

Description

A basic initiating failure requiring no further development.



Figure 9.46 - BasicEvent

IntermediateEvent
Package: Events
isAbstract: No
Generalization: Event

Description

An intermediate event is a failure which occurs because of one or more antecedent events acting through logic gates.

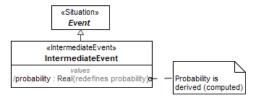


Figure 9.47 - IntermediateEvent

Attributes

probability : Real, redefines probability

Probability of the intermediate event is derived. It is calculated by the gate from the probabilities of the more basic events.

TopEvent
Package: Events
isAbstract: No
Generalization: Event
Applied Stereotype: «TopEvent»

. . .

Undesired event - failure or effect - at the top of the fault tree.

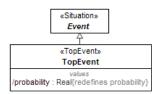


Figure 9.48 - TopEvent

Attributes

probability: Real, redefines probability

The (derived) probability of the top event is the result of the fault tree calculation.

ConditionalEvent
Package: Events
isAbstract: No

Generalization: Event

Applied Stereotype: «ConditionalEvent»

Description

Specific conditions or restrictions that apply to any logic gate (used primarily with PRIORITY AND and INHIBIT gates)



Figure 9.49 - ConditionalEvent

DormantEvent
Package: Events
isAbstract: No
Generalization: Event

 ${\bf Applied\ Stereotype:\ \underline{\ ^{\tiny NDormantEvent}>}}$

Description

The dormant event is similar to **BasicEvent** but indicates the latent failure which is discovered by periodical tests.



Figure 9.50 - DormantEvent

UndevelopedEvent
Package: Events
isAbstract: No
Generalization: Event

Applied Stereotype: «BasicEvent», «Undeveloped»

Description

An event which is not further developed either because it is of insufficient consequence or because information is unavailable.

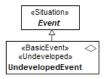


Figure 9.51 - UndevelopedEvent

HouseEvent
Package: Events
isAbstract: No
Generalization: Event

 ${\bf Applied\ Stereotype:}\ \underline{\ ^{\it w} House Event}{\it >\! >}$

Description

An event which can be set to occur or not occur.

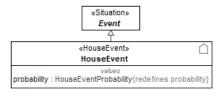


Figure 9.52 - HouseEvent

Attributes

 $probability: House Event Probability, \\ redefines \\ \underline{probability}$

Probability of the house event is 0 or 1. It is set before doing a fault tree evaluation.

ZeroEvent
Package: Events
isAbstract: No
Generalization: Event

Applied Stereotype: «ZeroEvent»

Description

An event which represents a condition or an event that will never occur.



Figure 9.53 - ZeroEvent

Attributes

 $probability: Real, redefines \underline{probability} \qquad \quad The \ probability \ of \ zero \ event \ is \ always \ 0.$

Methods::FTA::FTALibrary::Gates

Package of logical conditions for building fault trees.

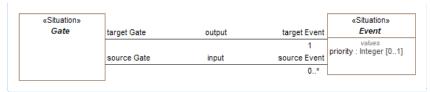
Gate

Package: Gates isAbstract: Yes

Applied Stereotype: «Situation»

Description

An <u>FTAElement</u> that combines input <u>Event</u> probabilities in a prescribed manner to determine output <u>Event</u> probability. The output event occurs if the combination of input events is satisfied. The gate subtypes specify the necessary combination.



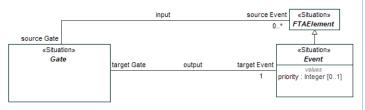


Figure 9.54 - Gate

Attributes

source Event : Event[0..*] (member end of

input association)

target Event : Event[1] (member end of

output association)

AND

Package: Gates isAbstract: No Generalization: Gate

Applied Stereotype: «Block», «AND»

Description

The output event occurs only if all input events occur.

Commented [AA32]: RAAML-1

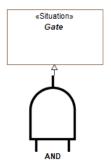


Figure 9.55 - AND

OR

Package: Gates isAbstract: No Generalization: Gate Applied Stereotype: «Block», «OR»

The output event occurs if at least one of input event occurs.



Figure 9.56 - OR

NOT

Package: Gates isAbstract: No

Generalization: Gate
Applied Stereotype: «Block», «NOT»

Description

The output event occurs if the input event does not occur.

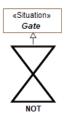


Figure 9.57 - NOT

XOR

Package: Gates isAbstract: No Generalization: Gate

Applied Stereotype: «Block», «XOR»

Description

The output event occurs if exactly one of the input events occurs.



Figure 9.58 - XOR

SEQ

Package: Gates isAbstract: No Generalization: Gate

Generalization: Gate
Applied Stereotype: «Block», «SEQ»

Description

The output event occurs if all of the input events occur in a specific sequence.

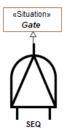
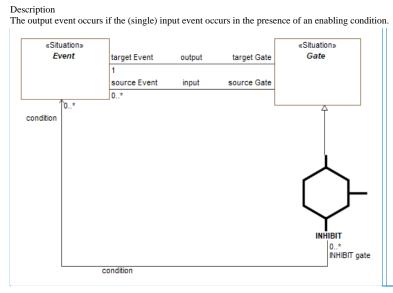


Figure 9.59 - SEQ

INHIBIT Package: Gates isAbstract: No $\textbf{Generalization:} \ \underline{\underline{\textbf{Gate}}}$



Commented [AA33]: RAAML-1 Commented [AA34]: RAAML-1

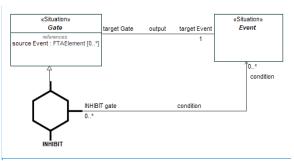


Figure 9.60 - INHIBIT

Attributes

condition : Event[0..*] (member end of

condition association)

MAJORITY_VOTE

Package: Gates isAbstract: No Generalization: Gate

Applied Stereotype: «Block», «MAJORITY_VOTE»

Description

The output event occurs if the majority of the input events occurs. It has a threshold parameter m.

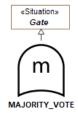


Figure 9.61 - MAJORITY_VOTE

Attributes

m: Integer

The m parameter defines the number of input events that form a majority. It is not necessarily ceil(number_of_inputs / 2). It is possible to stipulate that e.g. 5 (or 2) input events have to occur out of total of 7 events for majority gate to fire.

Methods::FTA::FTALibrary::Gates::ConstraintBlocks

Reference implementation for the FTA gates.

ANDConstraintBlock

Package: ConstraintBlocks

isAbstract: No

Applied Stereotype: «ConstraintBlock»

Description

Reference implementation for the \underline{AND} gate.

Attributes output: input: [0..*]

Constraints

[1] Probability of AND node is simply a multiplication of probabilities of incoming nodes.

Note - this simplistic calculation assumes that incoming node events are mutually

independent.

ORConstraintBlock

Package: ConstraintBlocks

isAbstract: No

Applied Stereotype: «ConstraintBlock»

Description

Reference implementation for the OR gate.

Attributes

output : input : [0..*]

Constraints

[1] Probability of OR node is calculated as opposite probability of the event where neither of the

input events happen.

This follows De Morgan's theorem - OR(input1, input2, input3...) is equal to NOT AND

(NOT input1, NOT input2, NOT input3...).

Note - this simplistic calculation assumes that incoming node events are mutually

independent.

SEQConstraintBlock

Package: ConstraintBlocks

isAbstract: No

Applied Stereotype: «ConstraintBlock»

Description

Reference implementation for the $\underline{\textbf{SEQ}}$ gate.

Attributes

output:

input : Real[0..*]

Constraints

[1] Probability of SEQ node is calculated the same way as AND node - it is simply a

multiplication of probabilities of incoming nodes.

This simplistinc calculation cannot capture time-dependency of the events; only more complex simulations can estimate this probability.

XORConstraintBlock **Package:** ConstraintBlocks

isAbstract: No

Applied Stereotype: «ConstraintBlock»

Description

Reference implementation for the XOR gate.

Attributes output: input: [0..*]

Constraints

[1]

In case of two inputs, XOR probability is calculated by ORing of two event combintation probabilities - $\,$

probability that first event happened and second did not ORed with probability that second event happened while first did not.

Input1 XOR Input2 = Input1 AND NOT Input2 OR Input2 AND NOT Input1 Since combinations are mutually exclusive, simple (+) operation can be used for ORing them. Therefore

Input1 XOR Input2 = Input1 AND NOT Input2 + Input2 AND NOT Input1

Further expanding ANDs and NOTs using their corresponding formulas, we get

Input1 XOR Input2 = Input1*(1 - Input2) + Input2*(1 - Input1) = Input1 + Input2

- 2 * Input1 * Input2

This formula can be iteratively applied for the case with number of inputs greater than

Note - this simplistic calculation assumes that incoming node events are mutually independent.

INHIBITConstraintBlock

Package: ConstraintBlocks

isAbstract: No

Applied Stereotype: «ConstraintBlock»

Description

Reference implementation for the $\underline{\text{INHIBIT}}$ gate.

Attributes output : input : [0..*] condition : Real

Constraints

[1]

Probability of INHIBIT node is calculated the same way as AND node - it is simply a multiplication of probabilities of input nodes and condition nodes.

Note - this simplistic calculation assumes that incoming node events and conditions are mutually independent.

MAJORITY_VOTEConstraintBlock

Package: ConstraintBlocks

isAbstract: No

Applied Stereotype: «ConstraintBlock»

Description

Reference implementation for the MAJORITY_VOTE gate.

Attributes output : input : [0..*] m :

Constraints

[1] Majority Vote probability can be calculated by iteratively examining all the

combinations of input events, taking those combinations that satisfy the condition that at least m input events happen, then calculating probability of each combination using AND formula (multiplying all individual event probabilities in that combination) and then calculating cumulative probability of all combinations by ORing them.

Note - this simplistic calculation assumes that incoming node events are mutually independent.

taking those combinations that satisfy the condition that at least m input events happen, then calculating probability of each combination using AND formula (multiplying all-individual event probabilities in that combination)

and then calculating cumulative probability of all combinations by ORing them.

Note—this simplistic calculation assumes that incoming node events are mutually independent.

NOTConstraintBlock

Package: ConstraintBlocks

isAbstract: No

Applied Stereotype: «ConstraintBlock»

Description

Reference implementation for the \underline{NOT} gate.

Attributes output: input:[1]

Constraints

[1] Probability of NOT node is calculated as probability of the event opposite to the input

event.

Thereby it is unity minus probability of input event.

Commented [AA35]: RAAML-102

9.4.2 Methods::FTA::FTAProfile

Tree

Package: FTAProfile isAbstract: No Generalization: Situation Extension: Class

Description

A marker stereotype for fault trees. See $\underline{\mathsf{FTATree}}$ library class for definition.



Figure 9.62 - Tree

Constraints

[1] TreeIsFTATree -- Tree stereotype can only be applied on any class specialized from FTATree from

FTA Library

A marker stereotype for fault tree gates. See $\underline{\text{Gate}}$ library class for definition.

 $self.base_Class-> as Set()-> closure(general).name-> includes('FTATree')$

Gate

Package: FTAProfile isAbstract: Yes Extension: Class, Property

Description



«stereotype»

Figure 9.63 - Gate

Event

Package: FTAProfile isAbstract: Yes
Generalization: Situation

Extension: Class, Property

Description

Risk Analysis and Assessment Modeling Langauge (RAAML) Version 1.0

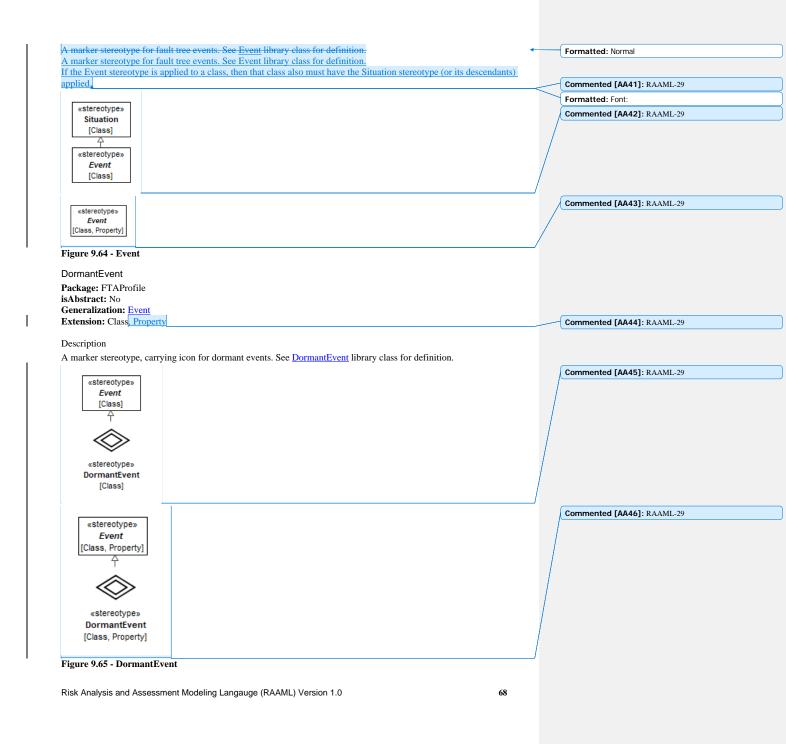
Commented [AA36]: RAAML-29

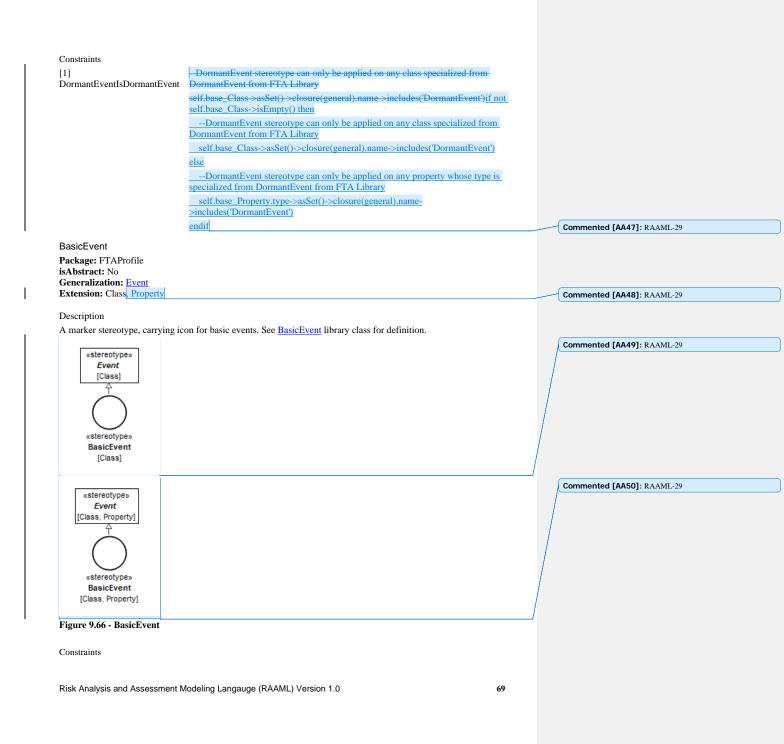
Commented [AA37]: RAAML-29

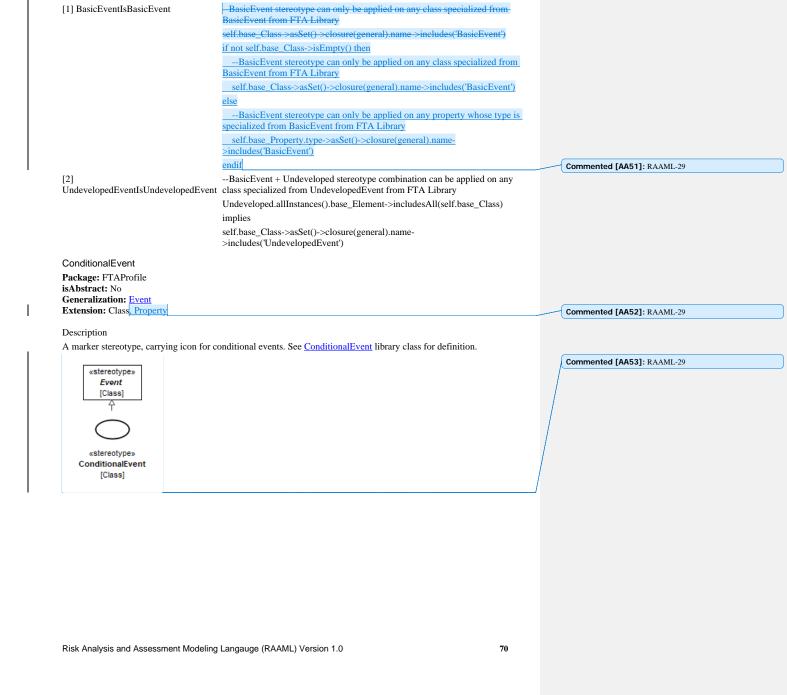
Commented [AA38]: RAAML-29

Commented [AA39]: RAAML-29
Commented [AA40]: RAAML-29

67







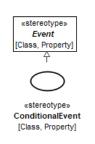


Figure 9.67 - ConditionalEvent

Constraints

[1] ConditionalEvent stereotype can or ConditionalEvent from FTA Library

ConditionalEvent stereotype can only be applied on any class specialized from

 $\frac{self.base_Class > asSet() > closure(general).name > includes('ConditionalEvent')\underline{if}}{not \ self.base_Class > isEmpty() \ then}$

--ConditionalEvent stereotype can only be applied on any class specialized

from ConditionalEvent from FTA Library

self.base_Class->asSet()->closure(general).name-

>includes('ConditionalEvent')

else

--ConditionalEvent stereotype can only be applied on any property whose type

is specialized from ConditionalEvent from FTA Library self.base_Property.type->asSet()->closure(general).name-

>includes('ConditionalEvent')

endif

Commented [AA55]: RAAML-29

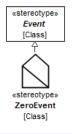
Commented [AA54]: RAAML-29

ZeroEvent

Package: FTAProfile isAbstract: No Generalization: Event Extension: Class Property

Description

A marker stereotype, carrying icon for zero events. See $\underline{\text{ZeroEvent}}$ library class for definition.



Commented [AA56]: RAAML-29

Commented [AA57]: RAAML-29

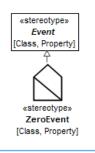


Figure 9.68 - ZeroEvent

Constraints

[1] ZeroEventIsZeroEvent

- ZeroEvent stereotype can only be applied on any class specialized from ZeroEvent from FTA Library

self.base_Class >asSet() >closure(general).name >includes('ZeroEvent')

if not self.base_Class->isEmpty() then

--ZeroEvent stereotype can only be applied on any class specialized from ZeroEvent from FTA Library

self.base_Class->asSet()->closure(general).name->includes('ZeroEvent')

else

--ZeroEvent stereotype can only be applied on any property whose type is specialized from ZeroEvent from FTA Library

self.base_Property.type->asSet()->closure(general).name->includes('ZeroEvent')

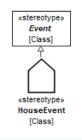
endif

HouseEvent Package: FTAProfile isAbstract: No

Generalization: Event Extension: Class, Property

Description

A marker stereotype, carrying icon for house events. See $\underline{\text{HouseEvent}}$ library class for definition.



Commented [AA58]: RAAML-29

Commented [AA59]: RAAML-29

Commented [AA60]: RAAML-29

Commented [AA61]: RAAML-29

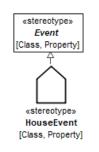


Figure 9.69 - HouseEvent

Constraints

[1] HouseEventIsHouseEvent

HouseEvent stereotype can only be applied on any class specialized from HouseEvent from FTA Library

self.base_Class >asSet() >closure(general).name >includes('HouseEvent')

if not self.base_Class->isEmpty() then

_--HouseEvent stereotype can only be applied on any class specialized from HouseEvent from FTA Library

self.base_Class->asSet()->closure(general).name->includes('HouseEvent')

else

--HouseEvent stereotype can only be applied on any property whose type is specialized from HouseEvent from FTA Library

self.base_Property.type->asSet()->closure(general).name->includes('HouseEvent')

endif

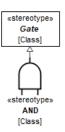
AND

Package: FTAProfile isAbstract: No Generalization: Gate Extension: Class Property

sion: Class, Property Commented [AA64]: RAAML-29

Description

A marker stereotype, carrying icon for AND gates. See AND library class for definition.



Commented [AA65]: RAAML-29

Commented [AA63]: RAAML-29

Commented [AA62]: RAAML-29

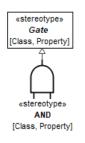


Figure 9.70 - AND

Constraints

[1] ANDIsAND

AND stereotype can only be applied on any class specialized from AND gate from

FTA Library

self.base_Class >asSet() >closure(general).name >includes('AND')

if not self.base_Class->isEmpty() then

--AND stereotype can only be applied on any class specialized from AND gate from

FTA Library

self.base_Class->asSet()->closure(general).name->includes('AND')

else

--AND stereotype can only be applied on any property whose type is specialized

from AND from FTA Library

self.base_Property.type->asSet()->closure(general).name->includes('AND')

endif

Commented [AA67]: RAAML-29

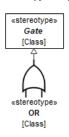
Commented [AA66]: RAAML-29

OR

Package: FTAProfile isAbstract: No Generalization: Gate Extension: Class, Property

Description

A marker stereotype, carrying icon for OR gates. See OR library class for definition..



Commented [AA68]: RAAML-29

Commented [AA69]: RAAML-29

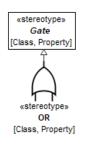


Figure 9.71 - OR

Constraints

[1] ORIsOR

-OR stereotype can only be applied on any class specialized from OR gate from FTA

Library

$$\label{eq:class} \begin{split} & self.base_Class > asSet() > closure(general).name > includes('OR') if \ not \\ & self.base_Class > isEmpty() \ then \end{split}$$

--OR stereotype can only be applied on any class specialized from OR gate from

self.base_Class->asSet()->closure(general).name->includes('OR')

else

--OR stereotype can only be applied on any property whose type is specialized from

OR from FTA Library

self.base_Property.type->asSet()->closure(general).name->includes('OR')

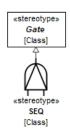
endif

SEQ

Package: FTAProfile isAbstract: No Generalization: Gate Extension: Class, Property

Description

A marker stereotype, carrying icon for SEQ gates. See <u>SEQ</u> library class for definition.



Commented [AA70]: RAAML-29

Commented [AA71]: RAAML-29

Commented [AA72]: RAAML-29

Commented [AA73]: RAAML-29

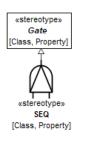


Figure 9.72 - SEQ

Constraints

[1] SEQIsSEQ

SEQ stereotype can only be applied on any class specialized from SEQ gate from

FTA Library

self.base_Class >asSet() >closure(general).name >includes('SEQ')

if not self.base_Class->isEmpty() then

--SEQ stereotype can only be applied on any class specialized from SEQ gate from

FTA Library

self.base_Class->asSet()->closure(general).name->includes('SEQ')

else

--SEQ stereotype can only be applied on any property whose type is specialized

from SEQ from FTA Library

self.base_Property.type->asSet()->closure(general).name->includes('SEQ')

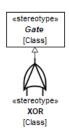
endif

XOR

Package: FTAProfile isAbstract: No Generalization: Gate Extension: Class Property

Description

A marker stereotype, carrying icon for XOR gates. See $\underline{\text{XOR}}$ library class for definition.



Commented [AA74]: RAAML-29

Commented [AA75]: RAAML-29

Commented [AA76]: RAAML-29

Commented [AA77]: RAAML-29

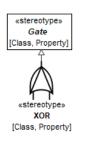


Figure 9.73 - XOR

Constraints

[1] XORIsXOR

-XOR stereotype can only be applied on any class specialized from XOR gate from

FTA Library

self.base_Class >asSet() >closure(general).name >includes('XOR')if not

self.base_Class->isEmpty() then

--XOR stereotype can only be applied on any class specialized from XOR gate from

FTA Library

self.base_Class->asSet()->closure(general).name->includes('XOR')

else

--XOR stereotype can only be applied on any property whose type is specialized from XOR from FTA Library

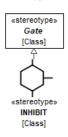
self.base_Property.type->asSet()->closure(general).name->includes('XOR')

endif

INHIBIT

Package: FTAProfile isAbstract: No Generalization: Gate Extension: Class, Property

A marker stereotype, carrying icon for INHIBIT gates. See **INHIBIT** library class for definition.



Commented [AA78]: RAAML-29

Commented [AA79]: RAAML-29

Commented [AA80]: RAAML-29

Commented [AA81]: RAAML-29

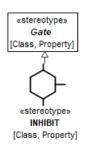


Figure 9.74 - INHIBIT

Constraints

[1] INHIBITIsINHIBIT

-INHIBIT stereotype can only be applied on any class specialized from INHIBIT gate-from FTA Library

 $\frac{self > asSet() > closure(general).name > includes("INHIBIT")}{if \ not \ self.base \ Class > isEmpty() \ then}$

--INHIBIT stereotype can only be applied on any class specialized from INHIBIT gate from FTA Library

self.base_Class->asSet()->closure(general).name->includes('INHIBIT')

else

--INHIBIT stereotype can only be applied on any property whose type is specialized from INHIBIT from FTA Library

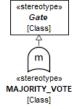
_self.base_Property.type->asSet()->closure(general).name->includes('INHIBIT'')
endif

MAJORITY_VOTE

Package: FTAProfile isAbstract: No Generalization: Gate Extension: Class Property

Description

A marker stereotype, carrying icon for MAJORITY_VOTE gates. See <u>MAJORITY_VOTE</u> library class for definition.



Commented [AA82]: RAAML-29

Commented [AA83]: RAAML-29

Commented [AA84]: RAAML-29

Commented [AA85]: RAAML-29

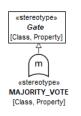


Figure 9.75 - MAJORITY_VOTE

Constraints

[1] MAJORITY_VOTE stereotype can only be applied on any class MAJORITY_VOTE gate from FTA Library

self.base_Class >asSet() >closure(general).name

>includes('MAJORITY_VOTE')if not self.base_Class->isEmpty() then --MAJORITY_VOTE stereotype can only be applied on any class specialized from MAJORITY_VOTE gate from FTA Library self.base_Class->asSet()->closure(general).name-

>includes('MAJORITY_VOTE')

--MAJORITY_VOTE stereotype can only be applied on any property whose type is specialized from MAJORITY_VOTE from FTA Library

__self.base_Property.type->asSet()->closure(general).name->includes('MAJORITY_VOTE')

endif

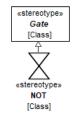
Commented [AA87]: RAAML-29

Commented [AA86]: RAAML-29

NOT

Package: FTAProfile isAbstract: No Generalization: Gate Extension: Class, Property

A marker stereotype, carrying icon for NOT gates. See NOT library class for definition.



Commented [AA88]: RAAML-29

Commented [AA89]: RAAML-29

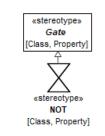


Figure 9.76 - NOT

Constraints

[1] NOTIsNOT

NOT stereotype can only be applied on any class specialized from NOT gate from

FTA Library

self.base_Class >asSet() >closure(general).name >includes('NOT')if not

self.base_Class->isEmpty() then

--NOT stereotype can only be applied on any class specialized from NOT gate from

FTA Library

__self.base_Class->asSet()->closure(general).name->includes('NOT')

--NOT stereotype can only be applied on any property whose type is specialized

from NOT from FTA Library

self.base_Property.type->asSet()->closure(general).name->includes('NOT')

endif

Commented [AA91]: RAAML-29

Commented [AA90]: RAAML-29

IntermediateEvent Package: FTAProfile isAbstract: No

Generalization: Event

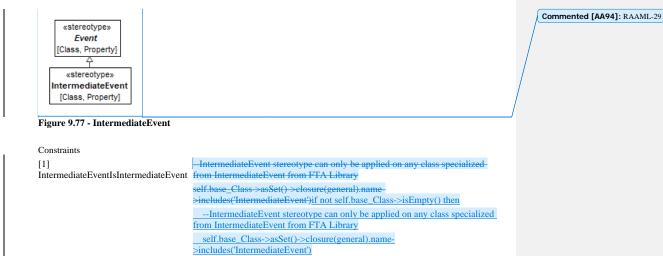
Extension: Class, Property

A marker stereotype, carrying icon for intermediate events. See **IntermediateEvent** library class for definition.

«stereotype» Event [Class] «stereotype» IntermediateEvent [Class]

Commented [AA92]: RAAML-29

Commented [AA93]: RAAML-29



--IntermediateEvent stereotype can only be applied on any property whose type is specialized from IntermediateEvent from FTA Library.

self.base_Property.type->asSet()->closure(general).name-

>includes('IntermediateEvent')

Commented [AA95]: RAAML-29

TopEvent Package: FTAProfile isAbstract: No

Generalization: **Event** Extension: Class, Property

Commented [AA96]: RAAML-29

A marker stereotype, carrying icon for top events. See **TopEvent** library class for definition.

else

endif

«stereotype» Event [Class] «stereotype» TopEvent [Class]

Commented [AA97]: RAAML-29

«stereotype» Event [Class, Property] «stereotype» TopEvent [Class, Property]

Figure 9.78 - TopEvent

Constraints

[1] TopEventIsTopEvent

TopEvent stereotype can only be applied on any class specialized from TopEvent from FTA Library

self.base_Class >asSet() >closure(general).name >includes("TopEvent")if not

self.base_Class->isEmpty() then

--TopEvent stereotype can only be applied on any class specialized from TopEvent from FTA Library

self.base_Class->asSet()->closure(general).name->includes('TopEvent')

--TopEvent stereotype can only be applied on any property whose type is specialized

from TopEvent from FTA Library

self.base_Property.type->asSet()->closure(general).name->includes("TopEvent")

endif

TransferIn

Package: FTAProfile isAbstract: No Extension: Property

Description

The node of the current fault tree that indicates that the tree is developed further as a separate fault tree - TransferOut.



Figure 9.79 - TransferIn

Constraints

[1] TypeIsTransferOut

-- type of Transfer In property must be Transfer Out FTA Tree

 $TransferOut.allInstances().base_Class-> includesAll(self.base_Property.type)$

TransferOut

Package: FTAProfile isAbstract: No Generalization: Tree Extension: Class

Commented [AA99]: RAAML-29

Commented [AA98]: RAAML-29

Description

A marker stereotype for partial fault trees. It indicates that this tree is used as a part of another fault tree through <u>TransferIn</u>. The computed probability of the top event of the TransferOut tree is used as a probability of the <u>TransferIn</u> node.



Figure 9.80 - TransferOut

9.5 Methods::STPA

The System Theoretical Process Analysis (STPA) is a hazard analysis technique based on control and system theory. In comparison, most existing hazard analysis techniques are based on reliability theory. In STPA, however, the easy goals are pursued as in any hazard analysis, i.e., collecting information on how hazards may occur. For further information on this approach the handbook describes the method and show the application.

9.5.1 Methods::STPA::STPA Library

OutOfSequence

Package: STPA Library isAbstract: Yes

Generalization: <u>UnsafeControlAction</u>
Applied Stereotype: <u>«UnsafeControlAction»</u>

Description

STPA Guideword, describing kind of control.

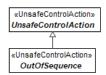


Figure 9.81 - OutOfSequence

Late

Package: STPA Library isAbstract: Yes

Generalization: <u>UnsafeControlAction</u>
Applied Stereotype: <u>«UnsafeControlAction»</u>

Description

STPA Guideword, describing kind of control.

 $^{^1\,}https://psas.scripts.mit.edu/home/get_file.php?name=STPA_handbook.pdf$

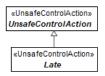


Figure 9.82 - Late

Early

Package: STPA Library isAbstract: Yes

Generalization: <u>UnsafeControlAction</u>
Applied Stereotype: <u>«UnsafeControlAction»</u>

Description

STPA Guideword, describing kind of control.

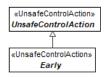


Figure 9.83 - Early

TooLong

Package: STPA Library isAbstract: Yes

Generalization: <u>UnsafeControlAction</u>
Applied Stereotype: <u>«UnsafeControlAction»</u>

Description

STPA Guideword, describing kind of control.

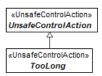


Figure 9.84 - TooLong

TooShort

Package: STPA Library isAbstract: Yes

Generalization: <u>UnsafeControlAction</u>
Applied Stereotype: <u>«UnsafeControlAction»</u>

Description

STPA Guideword, describing kind of control.

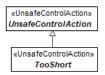


Figure 9.85 - TooShort

Provided

Package: STPA Library isAbstract: Yes

Generalization: UnsafeControlAction
Applied Stereotype: «UnsafeControlAction»

Description

STPA Guideword, describing a kind of control.

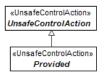


Figure 9.86 - Provided

NotProvided

Package: STPA Library isAbstract: Yes

Generalization: <u>UnsafeControlAction</u>
Applied Stereotype: <u>«UnsafeControlAction»</u>

Description

STPA Guideword, describing kind of control.

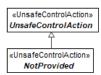


Figure 9.87 - NotProvided

LossScenario

Package: STPA Library isAbstract: Yes Generalization: Scenario

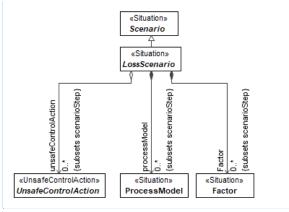
Applied Stereotype: <u>«Situation»</u>«LossScenario»

Description

Formatted: Font: Times New Roman, Underline, Font color:

Commented [AA100]: RAAML-28

A sequence of situations starting from Factors, that (through Process Model deficiencies) leads to an UnsafeControlAction (which further leads to risks and possibly losses).



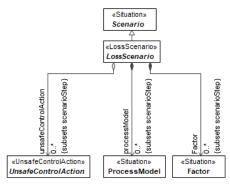


Figure 9.88 - LossScenario

Attributes

Factor : Factor[0..*] (member end of association, subsets scenarioStep)

unsafe Control Action:

UnsafeControlAction[0..*] (member end of association, subsets <u>scenarioStep</u>) processModel: ProcessModel[0..*] (member end of association, subsets <u>scenarioStep</u>)

ProcessModel
Package: STPA Library

isAbstract: No

 ${\bf Applied\ Stereotype:\ \underline{«Situation»}}$

Commented [AA101]: RAAML-28

Commented [AA102]: RAAML-28

Description

A ProcessModel describes a process / control loop model that may lead to an Unsafe Control Action. The four high level kinds of process model deficiencies can be used to specify the section of the control loop.

Process model deficiencies are often called (high level) Scenario in STPA theory.

Attributes

Factor : Factor[0..*] (member end of ProcessModelFactor association, redefines

from)

unsafeControlAction:

UnsafeControlAction[0..*] (member end of <u>ProcessModelConsequence</u> association,

Inadequate Controller Decisions

Package: STPA Library isAbstract: Yes

Generalization: ProcessModel
<a href="mailto:Applied Stereotype: «Situation»

Description

A kind of ProcessFlaw.

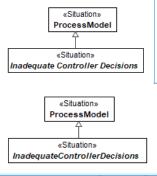


Figure 9.89 - Inadequate Controller Decisions

Inadequate Control Execution

Package: STPA Library

isAbstract: Yes

Generalization: ProcessModel
Applied Stereotype: «Situation»

Description

A kind of ProcessFlaw.

Commented [AA103]: RAAML-9

Commented [AA104]: RAAML-9

Commented [AA105]: RAAML-9

Commented [AA106]: RAAML-9

Commented [AA107]: RAAML-9

Commented [AA108]: RAAML-9

Commented [AA109]: RAAML-9

Commented [AA110]: RAAML-9

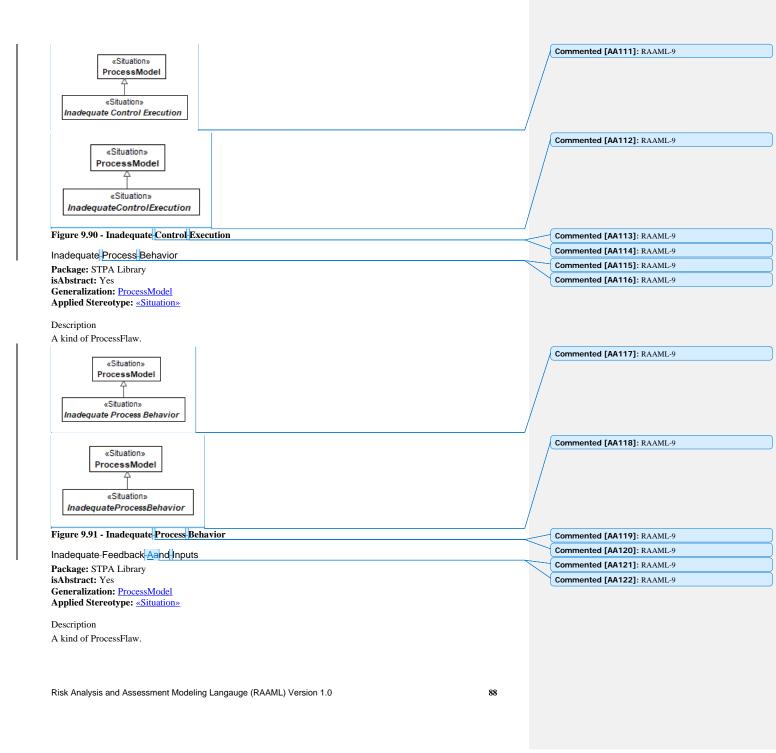




Figure 9.92 - Inadequate-Feedback And Inputs

UnsafeControlAction
Package: STPA Library
isAbstract: Yes

 $\textbf{Generalization:} \ \underline{\textbf{UndesiredState}}$

Applied Stereotype: «UnsafeControlAction»

Description

An Unsafe Control Action (UCA), used in STPA, describes in what context providing / not providing a Control Action might lead to an undesired result.

A UCA generally consist of four parts:

- Controller (Subject) that issues the Control Action inferred from Control Action and model of the system (block/part producing the control action).
- Guideword (provides, does not provide, etc.) indicated using Generalization relationship
- Control Action connected with RelevantTo relationship.
- Context in which Control Action leads to undesired outcome sub situation of (part of) UCA situation.

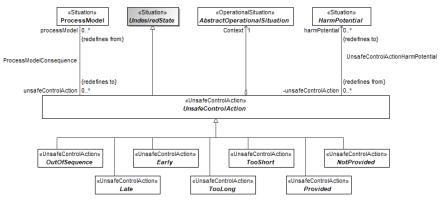


Figure 9.93 - UnsafeControlAction

Commented [AA123]: RAAML-9

Commented [AA124]: RAAML-9

Commented [AA125]: RAAML-9

Commented [AA126]: RAAML-9

Attributes

Context: Abstract Operational Situation [1]

(member end of association) processModel: ProcessModel[0..*]

(member end of

ProcessModelConsequence association,

redefines **from**)

harmPotential: HarmPotential[0..*] (member end of UnsafeControlActionHarmPotential association, redefines to)

Factor

Package: STPA Library isAbstract: No

Generalization: AbstractCause
Applied Stereotype: «Situation»

Description

A Factor (F) can be used to further refine Process Model inadequacies - specifying causes of deficiencies in the process model and/or other contributing factors.

Attributes

 $\begin{aligned} &processModel : ProcessModel[0..*]\\ &(member\ end\ of\ \underline{ProcessModelFactor}\end{aligned}$

association, redefines to)

Loss

Package: STPA Library isAbstract: Yes Generalization: AbstractEffect

Generalization: <u>AbstractEffect</u> Applied Stereotype: <u>«Situation»</u>

Description

In STPA, is any effect that is unacceptable and should be prevented. Some factors such as environmental conditions may contribute to a loss but are outside our control.

Examples for losses are:

- Loss of human life or injury
- Vehicle/property damage
- Mission loss (inadequate transportation)
- Loss of customer satisfaction
- Financial loss
- Loss of public image
- Environmental pollution

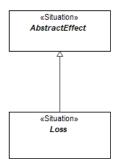


Figure 9.94 - Loss

RiskRealization

Package: STPA Library

isAbstract: No

Generalization: AbstractRisk, Causality

Applied Stereotype: «Block»

Description

Association between the Loss and Hazard (potential harm).

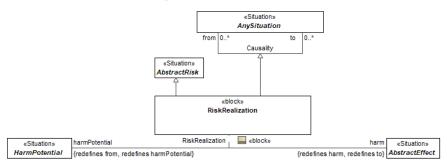


Figure 9.95 - RiskRealization

ProcessModelFactor **Package:** STPA Library **Generalization:** Causality

Description

Causal relationship between CausalFactor and ProcessFlaw

Association ends

processModel: ProcessModel[0..*] (member end of ProcessModelFactor

association, redefines to)

Factor: Factor[0..*] (member end of <u>ProcessModelFactor</u> association,

redefines <u>from</u>)

ProcessModelConsequence
Package: STPA Library
Generalization: Causality

Description

Causal relationship between ProcessFlaw and UnsafeControlAction

Association ends

unsafeControlAction:

 $\label{lem:unsafeControlAction} UnsafeControlAction[0..*] \ (member end of $\underbrace{ProcessModelConsequence}$)$

association, redefines \underline{to})

processModel : ProcessModel[0..*]

(member end of

 $\underline{ProcessModelConsequence} \ association,$

redefines **from**)

UnsafeControlActionHarmPotential

Package: STPA Library Generalization: <u>Causality</u>

Description

Causal relationship between UnsafeControlAction and RiskSource

Association ends

harmPotential: HarmPotential[0..*] (member end of UnsafeControlActionHarmPotential

association, redefines to)

association, redefines to

unsafe Control Action:

 $Unsafe Control Action [0..*]\ (member$

end of

 $\underline{Unsafe Control Action Harm Potential}$

association, redefines $\underline{\text{from}}$)

9.5.2 Methods::STPA::STPA Profile

ControlAction

Package: STPA Profile

isAbstract: No

Extension: Signal, Class, DataType

Description

A Control Action (CA) is an output signal from a functional / logical Controller to a ControlledProcess (via the Actuator), that determines the receiving process behaviour.

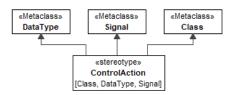


Figure 9.96 - ControlAction

Feedback

Package: STPA Profile isAbstract: No

Extension: Signal, Class, DataType

Description

A Feedback is an input signal to a functional / logical Controller from a ControlledProcess (via the Sensor), that characterizes the current processes behavior (or the environment).

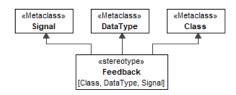


Figure 9.97 - Feedback

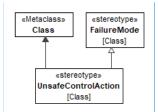
UnsafeControlAction
Package: STPA Profile
isAbstract: No

Generalization: SituationFailureMode

Extension: Class

Description

Stereotype used to demarcate all the Unsafe ControlActions.



Commented [AA127]: RAAML-95

Commented [AA128]: RAAML-95

Figure 9.98 - UnsafeControlAction

ControlledProcess

Package: STPA Profile
isAbstract: No
Extension: Property, Class

Description

An abstract representation of the system and it's behaviours that need to be supervised and governed. Controller is controlling this process through the ControlAction via the Actuator.



Figure 9.99 - ControlledProcess

Actuator

Package: STPA Profile isAbstract: No

Extension: Property, Class

Description

Actuator receives ControlActions from Controller and influences the ControlledProcess in some way.



Figure 9.100 - Actuator

Sensor

Package: STPA Profile isAbstract: No

Extension: Property, Class

Description

 $Sensor\ assesses\ the\ Controlled Process\ (also\ environment\ or\ other\ controllers)\ and\ gives\ Feedback\ to\ the\ Controller.$



Figure 9.101 - Sensor

Controller

Package: STPA Profile isAbstract: No

Extension: Property, Class

Description

Controller sends the ControlActions and receives Feedback.



Figure 9.102 - Controller

ControlStructure

Package: STPA Profile
isAbstract: No
Generalization: Block
Extension: Class

Description

ControlStructure is a system-of-systems composed of ControlledProcess, Controller and their functional relationships - ControllActions, Feedbacks, describing feedback control loops.

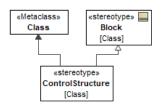


Figure 9.103 - ControlStructure

LossScenario

Package: STPA Profile isAbstract: No Generalization: Situation

Extension: Class

Description

Stereotype used to demarcate all the LossScenarios.

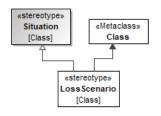


Figure 9.104 - LossScenario

9.6 **GSN**

The GSN profile is an implementation of the core notation described in the GSN version 2 standard. The GSN standard is made available under creative commons licence version 4:

9.6—"To view a copy of this license, visit http://creativecommons.org/licenses/by/4.0/ or send a letter to Creative Commons, PO Box 1866, Mountain View, CA 94042, USA.".

The OMG acknowledges the work of the SCSC ACWG in the production of the GSN standard.

Whilst GSN is an extension of the OMG SACM standard, which has a defined meta-model based on the OMG MOF standard, the objectives of RAAML to integrate with SysML 1.6 necessitate the use of a UML profile interpretation of the GSN standard.

9.6.1 GSN::GSN Profile

Notation

Most of the stereotypes in GSN profile have stereotype images specified. Displaying the stereotyped GSN elements in UML Class diagram may follow the UML standard prescription (UML 2.5.1, Chapter 12.3.4.1 Icon presentation) for displaying elements having stereotypes with icons, namely:

- Showing model element as an image with element name below
- Showing model element as a box with the iconic form image inside the box at the top left







Figure 9.105 - Standard UML notation for stereotyped elements (from UML 2.5.1, Figure 12.25)

However, in addition to the notation described in UML standard, this standard allows additional notation. Namely – using stereotype image as a (resizable) outline/shape of the box, with the same compartments that are prescribed by the UML standard (including name/stereotype/tag values compartment) inside. This notation is recommended i.e. preferred over the standard UML notation.

An example of the SCSC/GSN standard representation of the GSN extension is shown in Figure 9.104. See the SCSC/GSN standard for the shapes and text placement to be used for various model element types.

Commented [AA130]: RAAML-28

Formatted: Font: Not Italic, English (United Kingdom)

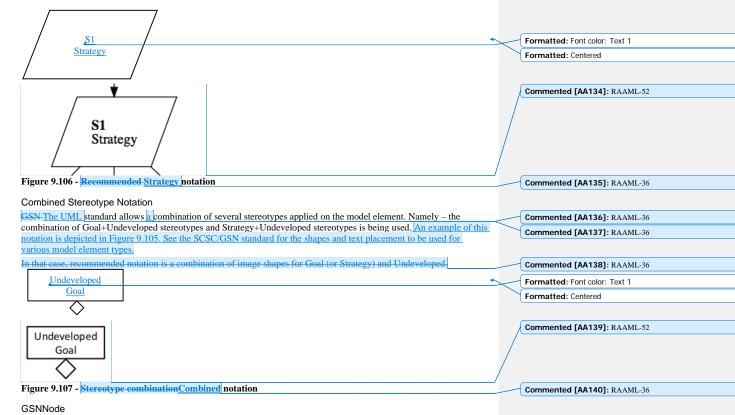
Formatted: Body Text

Formatted: OMG Normal Paragraph

Commented [AA131]: RAAML-52, RAAML-56

Commented [AA132]: RAAML-49

Commented [AA133]: RAAML-36



Package: GSN Profile isAbstract: Yes Extension: Element

Description

Root type for all the different kinds of nodes in GSN.

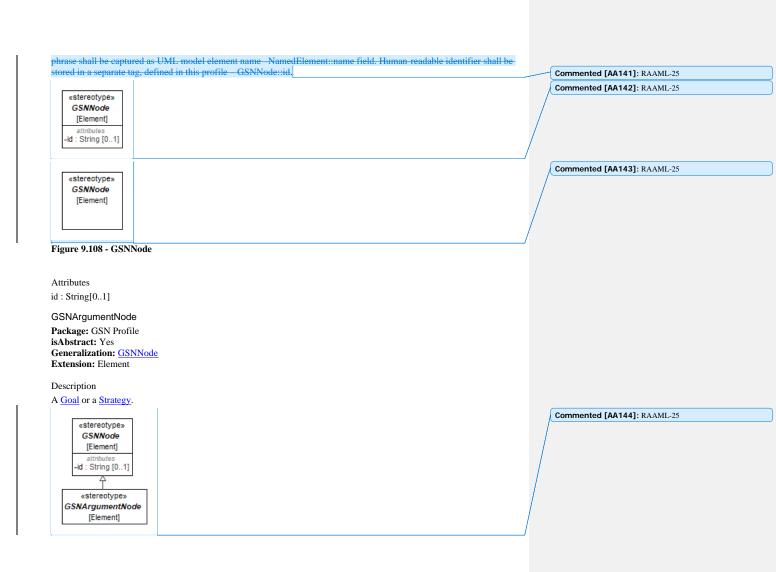
Note: name versus human-readable ID

GSN domain elements frequently have both a short phrase, describing the element and human-readable identifier. For example:

G1 Control System is acceptably safe to operate

In this example "Control System is acceptably safe to operate" is a short phrase, describing the goal, while G1 is a human-readable identifier of the goal.

In this standard, the short phrase shall be captured as UML model element name — NamedElement::name field. Human-readable identifier shall be stored in a separate tag, defined in the Core profile — IDCarrier::id. In this standard, the short



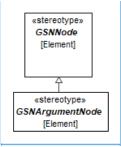


Figure 9.109 - GSNArgumentNode

Solution

Package: GSN Profile isAbstract: No $\textbf{Generalization:} \ \underline{\textbf{GSNNode}}$

Extension: Class

«stereotype» GSNNode [Element]

Description

A solution presents a reference to an evidence item or items.



Figure 9.110 - Solution

Goal

Package: GSN Profile

isAbstract: No
Generalization: GSNArgumentNode

Extension: Class Description

Risk Analysis and Assessment Modeling Langauge (RAAML) Version 1.0

Commented [AA145]: RAAML-25

Commented [AA146]: RAAML-25

Commented [AA147]: RAAML-25

A goal presents a claim forming part of the argument.



Figure 9.111 - Goal

Strategy

Package: GSN Profile isAbstract: No

 $\textbf{Generalization:} \ \underline{GSNArgumentNode}$

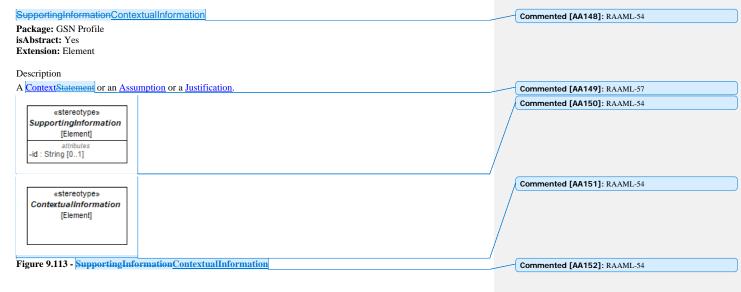
Extension: Class

Description

A strategy describes the nature of the inference that exists between a goal and its supporting goal(s).



Figure 9.112 - Strategy



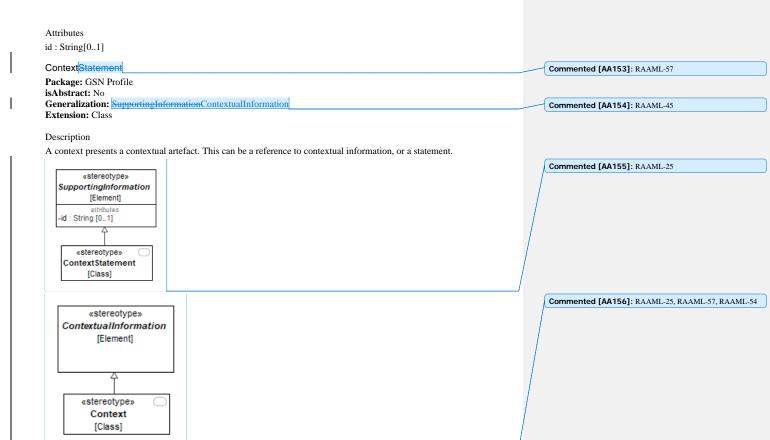


Figure 9.114 - ContextStatement

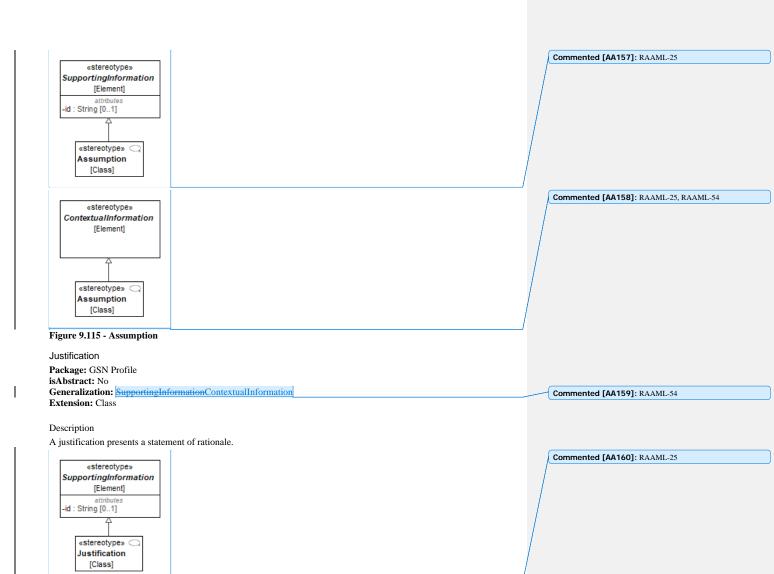
Assumption

Package: GSN Profile isAbstract: No

Generalization: SupportingInformation Extension: Class

Description

An assumption presents an intentionally unsubstantiated statement.



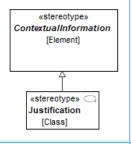


Figure 9.116 - Justification

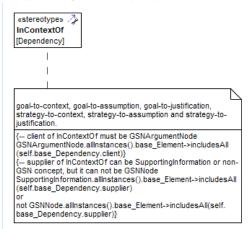
InContextOf

Package: GSN Profile isAbstract: No Extension: Dependency

Description

InContextOf declares a contextual relationship.

 $Permitted \ connections \ are: goal-to-context, goal-to-assumption, goal-to-justification, strategy-to-context, strategy-to-assumption and strategy-to-justification.\\$



Commented [AA161]: RAAML-25, RAAML-45

Commented [AA162]: RAAML-54

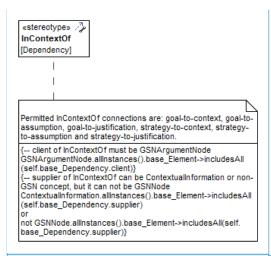


Figure 9.117 - InContextOf

Constraints

[1] ClientIsArgumentNode -- client of InContextOf must be GSNArgumentNode

> GSNArgumentNode.allInstances().base_Element->includesAll(self.base_Dependency.client)

[2] SupplierIsNotGSNNode -- supplier of InContextOf can be SupportingInformation ContextualInformation

or non-GSN concept, but it can not be GSNNode

SupportingInformationContextualInformation allInstances().base_Element-

>includesAll(self.base_Dependency.supplier)

not GSNNode.allInstances().base_Element->includesAll(self.base_Dependency.supplier)

SupportedBy

Package: GSN Profile isAbstract: No Extension: Dependency

Description

SupportedBy allows inferential or evidential relationships to be documented. Inferential relationships declare that there is an inferencebetween goals in the argument. Evidential relationships declare the link between a goal and the evidence used to substantiate it. Permitted supported by connections are: goal-to-goal, goal-to-strategy,goal-to-solution, strategy to

goal.

Commented [AA163]: RAAML-54

Commented [AA164]: RAAML-54

Commented [AA165]: RAAML-54



estereotype»

SupportedBy
[Dependency]

Permitted SupportedBy connections are: goal-to-goal, goal-to-strategy, goal-to-solution, strategy to goal.

No undeveloped goals nor strategies as sources

- client of SupportedBy must be GSNArgumentNode
GSNArgumentNode.allinstances().base_Element->includesAll(self.base_Dependency.client)}
-- clent can not be Undeveloped Strategy nor Goal
-- if strategy or goal is client of SupportedBy - it is developed
not Undeveloped.allinstances().base_Element->includesAll(self.base_Dependency.client)}
-- if client is Strategy then supplier must be Goal
Strategy.allinstances().base_Class->includesAll(self.base_Dependency.client) implies
Goal.allinstances().base_Class->includesAll(self.base_Dependency.supplier)}
-- supplier of SupportedBy can be GSNNode or non-GSN concept, but it can not be Contextualinformation
GSNNode.allinstances().base_Element->includesAll(self.base_Dependency.supplier) or not Contextualinformation.allinstances().base_Element->includesAll(self.base_Dependency.supplier)

Figure 9.118 - SupportedBy

Constraints

[1] ClientIsGSNArgumentNode

-- client of SupportedBy must be GSNArgumentNode GSNArgumentNode.allInstances().base_Element>includesAll(self.base_Dependency.client)

[2] StrategyToGoal

-- if client is Strategy then supplier must be Goal

Commented [AA166]: RAAML-54

Commented [AA167]: RAAML-54

 $Strategy.allInstances().base_Class-\\ includesAll(self.base_Dependency.client)$

implies

Goal.allInstances().base_Class->includesAll(self.base_Dependency.supplier) -- supplier of SupportedBy can be GSNNode or non-GSN concept, but it can

not be SupportingInformationContextualInformation

 $GSNN ode. all Instances (). base_Element-$

 $>\!\!includes All(self.base_Dependency.supplier)$

or

[3] SupplierIsNotSupportingInformation_ SupplierIsNotContextualInformation_

[4] ClientIsNotUndeveloped

SupportingInformationContextualInformation.allInstances().base_Element-

>includesAll(self.base_Dependency.supplier)

-- client can not be Undeveloped Strategy nor Goal

-- if strategy or goal is client of SupportedBy - it is developed

not Undeveloped.allInstances().base_Element->includesAll(self.base_Dependency.client) Commented [AA168]: RAAML-54
Commented [AA169]: RAAML-54

Commented [AA170]: RAAML-54

9.7 Methods::ISO 26262

The ISO 26262 package contains elements supporting the analysis and requirement specification aspects of Functional Safety, as specified by ISO 26262 standard for automotive applications. ISO 26262 is a risk based standard derived from IEC 61508. The ISO 26262 package redefines or extends concepts from the Core concepts package and the General Concepts package.

The ISO 26262 package enables modeling a HAZOP, which is typically used to identify malfunctioning behaviors. The failure modes concept is used from the General Concepts and specialized as a malfunctioning behavior. This allows the malfunctioning behavior to be related to the system behaviors through the HAZOP guidewords for construction of the HAZOP table. The risk analysis is performed by identifying Hazards that could result from the MalfunctioningBehavior, which in combination with a particular OperationalSituation could result in an AccidentScenario. This information is contained in the HazardousEvent which provides the risk level assessment for the event. Each of these concepts are modeled using elements defined in the ISO 26262 package as extensions of the Core and General concepts. This means that the same elements can be used in other analyses in the model, such as in an FMEA.

9.7.1 Methods::ISO 26262::ISO 26262 Library

TrafficAndPeople

Package: ISO 26262 Library

isAbstract: Yes

Generalization: OperationalCondition
Applied Stereotype: «OperationalSituation»

Description

TrafficAndPeople extends the <<situation>> class, and is used to describe the presence and behaviour of any motorists or non-motorists considered in a hazardous event.

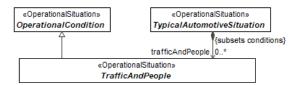


Figure 9.119 - TrafficAndPeople

VehicleUsage

Package: ISO 26262 Library

isAbstract: Yes

Generalization: OperationalCondition
Applied Stereotype: «OperationalSituation»

Description

VehicleUsage extends the <<situation>> class, and is used to describe the usage of a vehicle during a hazardous event.

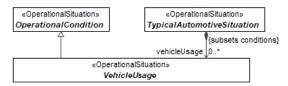


Figure 9.120 - VehicleUsage

RoadCondition

Package: ISO 26262 Library

isAbstract: Yes

Generalization: OperationalCondition
Applied Stereotype: «OperationalSituation»

Description

RoadConditions extends the <<situation>> class, and is used to describe the conditions or state of the surface a vehicle is driving on (Low-traction, Grade(Slope), etc.) during a hazardous event.

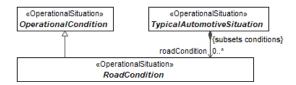


Figure 9.121 - RoadCondition

Location

Package: ISO 26262 Library

isAbstract: Yes

Generalization: OperationalCondition
Applied Stereotype: «OperationalSituation»

Description

VehicleLocation extends the <<situation>> class, and is used to describe the physical location (high speed road, intersection, parking lot, etc.) of a vehicle during a hazardous event.

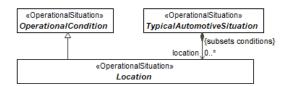


Figure 9.122 - Location

EnvironmentalCondition **Package:** ISO 26262 Library

isAbstract: Yes

Generalization: OperationalCondition
Applied Stereotype: «OperationalSituation»

Description

EnvironmentalConditions extends the <<situation>> class, and is used to describe the environmental conditions at the time of vehicle operation in a hazardous event.

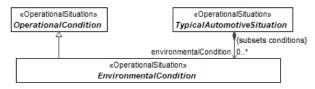


Figure 9.123 - EnvironmentalCondition

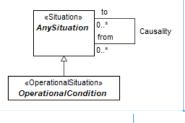
OperationalCondition Package: ISO 26262 Library

isAbstract: Yes

Generalization: AbstractEventAnySituation Applied Stereotype: «Operational Situation»

Description

Component/part of operational situation.



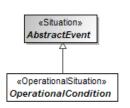


Figure 9.124 - OperationalCondition

Abstract Operational SituationPackage: ISO 26262 Library

isAbstract: Yes

Generalization: OperationalCondition Applied Stereotype: «Operational Situation»

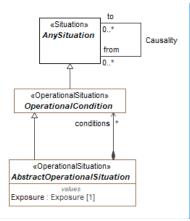
Description

Operational situation is a scenario that can occur in vehicle's life.

Commented [AA171]: RAAML-40

Commented [AA172]: RAAML-40

Commented [AA173]: RAAML-40



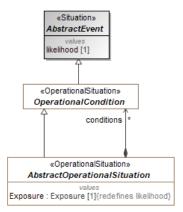


Figure 9.125 - AbstractOperationalSituation

Attributes

conditions : OperationalCondition[*] (member end of association)

Exposure : Exposure[1] redefines Likelihood of being in a particular operational situation.

likelihood

Must have a Rationale attached

TypicalAutomotiveSituation **Package:** ISO 26262 Library

isAbstract: Yes

Generalization: AbstractOperationalSituation
Applied Stereotype: «OperationalSituation»

Description

Risk Analysis and Assessment Modeling Langauge (RAAML) Version 1.0

Commented [AA174]: RAAML-40

Commented [AA175]: RAAML-40

Commented [AA176]: RAAML-40

A grouping of operational conditions, including traffic and people, vehicle usage, road conditions, location, and environmental conditions.



Figure 9.126 - TypicalAutomotiveSituation

Attributes

 $\label{trafficAndPeople} trafficAndPeople[0..*] \\ (member end of \ association, subsets$

conditions)

vehicleUsage : VehicleUsage[0..*] (member end of association, subsets

conditions)

roadCondition: RoadCondition[0..*] (member end of association, subsets

conditions)

location : Location[0..*] (member end of

association, subsets conditions)

environmental Condition:

EnvironmentalCondition[0..*] (member end of association, subsets <u>conditions</u>)

Exposure

Package: ISO 26262 Library

isAbstract: No

 ${\bf Applied\ Stereotype:\ } \\ {\tt ~ValueType} \\ {\tt ~~} \\ {\tt ~~~} \\ {\tt ~~~~} \\ {\tt ~~~} \\ {\tt ~~~~} \\ {\tt ~~~~~~} \\ {\tt ~~~~~~} \\ {\tt ~~~~~~~} \\ {\tt ~~~~~~~~~~~} \\ {\tt ~~~~~~~~~~$

Description

Possible values of exposure.



Figure 9.127 - Exposure

Severity

Package: ISO 26262 Library

isAbstract: No

Applied Stereotype: «ValueType»

Description

Possible values for severity.

	«valueType» Severity
ſ	S0
١	S1
١	52 S3
L	

Figure 9.128 - Severity

ASIL

Package: ISO 26262 Library

isAbstract: No
Applied Stereotype: «ValueType»

Description

Possible ASIL values.

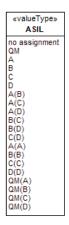


Figure 9.129 - ASIL

Controllability

Package: ISO 26262 Library

isAbstract: No
Applied Stereotype: «ValueType»

Description

Possible values of controllability.



Figure 9.130 - Controllability

Less

Package: ISO 26262 Library

isAbstract: Yes

Generalization: AnyMalfunction
Applied Stereotype: «MalfunctioningBehavior»

A subclass of malfunctioning behaviour used for classification purposes. Must be connected to a behavioural element (Use Case or Function). This kind of malfunctioning behaviour represents a failure resulting from providing less output/behaviour than required.

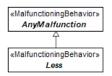


Figure 9.131 - Less

More

Package: ISO 26262 Library

isAbstract: Yes

 $\textbf{Generalization:} \ \underline{\textbf{AnyMalfunction}}$

Applied Stereotype: «MalfunctioningBehavior»

A subclass of malfunctioning behaviour used for classification purposes. Must be connected to a behavioural element (Use Case or Function). This kind of malfunctioning behaviour represents a failure resulting from providing more output/behaviour than required.

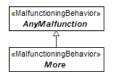


Figure 9.132 - More

No

Package: ISO 26262 Library

isAbstract: Yes

Generalization: AnyMalfunction

Applied Stereotype: «MalfunctioningBehavior»

Description

A subclass of malfunctioning behaviour used for classification purposes. Must be connected to a behavioural element (Use Case or Function). This kind of malfunctioning behaviour represents a failure resulting from the behaviour not being performed when required.

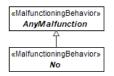


Figure 9.133 - No

Intermittent

Package: ISO 26262 Library isAbstract: Yes Generalization: AnyMalfunction

Applied Stereotype: «MalfunctioningBehavior»

A subclass of malfunctioning behaviour used for classification purposes. Must be connected to a behavioural element (Use Case or Function). This kind of malfunctioning behaviour represents a failure from the behaviour being performed intermittently.

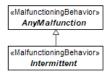


Figure 9.134 - Intermittent

Unintended

Package: ISO 26262 Library

isAbstract: Yes

Generalization: <u>AnyMalfunction</u>

Applied Stereotype: «MalfunctioningBehavior»

A subclass of malfunctioning behaviour used for classification purposes. Must be connected to a behavioural element (Use Case or Function). This kind of malfunctioning behaviour represents a failure resulting from the behaviour being provided when not required.

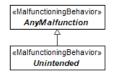


Figure 9.135 - Unintended

Early

Package: ISO 26262 Library

isAbstract: Yes

Generalization: AnyMalfunction

 $\textbf{Applied Stereotype:} \ \underline{\text{``MalfunctioningBehavior'}}$

Description

A subclass of malfunctioning behaviour used for classification purposes. Must be connected to a behavioural element (Use Case or Function). This kind of malfunctioning behaviour represents a failure resulting from the behaviour being performed earlier than required.

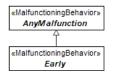


Figure 9.136 - Early

Late

Package: ISO 26262 Library

isAbstract: Yes

Generalization: AnyMalfunction

Applied Stereotype: «MalfunctioningBehavior»

Description

A subclass of malfunctioning behaviour used for classification purposes. Must be connected to a behavioural element (Use Case or Function). This kind of malfunctioning behaviour represents a failure resulting from the behaviour being performed later than required.

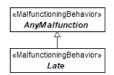


Figure 9.137 - Late

Inverted

Package: ISO 26262 Library

isAbstract: Yes

Generalization: AnyMalfunction

Applied Stereotype: «MalfunctioningBehavior»

Description

A subclass of malfunctioning behaviour used for classification purposes. Must be connected to a behavioural element (Use Case or Function). This kind of malfunctioning behaviour represents a failure resulting from the behaviour providing an inverted output.

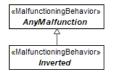


Figure 9.138 - Inverted

HazardousEvent

Package: ISO 26262 Library isAbstract: Yes

Generalization: AbstractRisk
Applied Stereotype: «Situation»

Description

Combination of hazard and operational situation to identify automotive safety integrity level.

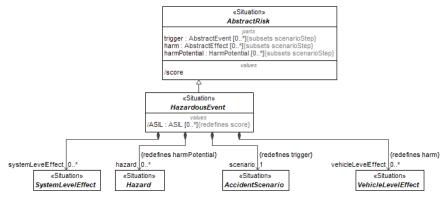


Figure 9.139 - HazardousEvent

Attributes

scenario: AccidentScenario[1] (member end of association, redefines trigger) hazard: Hazard[0..*] (member end of association, redefines harmPotential)

systemLevelEffect:

SystemLevelEffect[0..*] (member end of

association)

vehicleLevelEffect:

 $VehicleLevelEffect[0..*]\ (member\ end\ of$

association, redefines harm)

 $ASIL: ASIL[0..*], redefines \ \underline{score}$

Automotive Safety Integrity Level value - one of four levels to specify necessary requirements for ISO-26262 and safety measures for avoiding unreasonable risks.

AnyMalfunction

Package: ISO 26262 Library isAbstract: Yes

 $\textbf{Generalization:} \ \underline{\textbf{UndesiredState}}$

Description

Root of all malfunctioning behaviours.

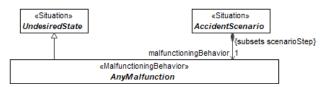


Figure 9.140 - AnyMalfunction

AutomotiveEffect

Package: ISO 26262 Library

isAbstract: Yes

Generalization: AbstractEffect
Applied Stereotype: «Situation»

Description

System- or vehicle-level effect which is or could result in harm.

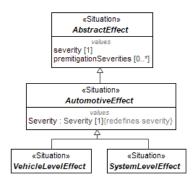


Figure 9.141 - AutomotiveEffect

Attributes

 $Severity: Severity[1], redefines \underline{severity} \qquad \quad Estimate \ of \ the \ extent \ of \ harm.$

Must have a Rationale attached.

ISO26262SafetyRequirementTemplate

Package: ISO 26262 Library

isAbstract: No

 $\textbf{Applied Stereotype:} ~ \underline{\text{$\tt ext{$w$Dependability} Requirement}} \\$

Description

A template for dependability requirements.



Figure~9.142-ISO 26262 Safety Requirement Template

Attributes

ASIL : ASIL[1] ASIL value of the requirement. FTTI : time[1] Fault Tolerant Time Interval.

AccidentScenario

Package: ISO 26262 Library

isAbstract: Yes

Generalization: DysfunctionalEvent, Scenario

Applied Stereotype: «Situation»

Description

A combination of operational situation and malfunctioning behaviour.

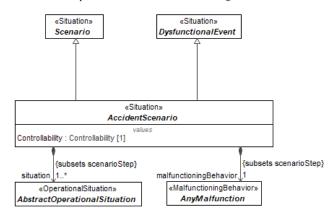


Figure 9.143 - AccidentScenario

Attributes

situation

AbstractOperationalSituation[1..*] (member end of association, subsets scenarioStep)

Controllability: Controllability[1]

Ability to avoid a specified harm or damage through timely reactions of individuals involved in the scenario.

Must have a Rationale attached.

malfunctioningBehavior:

AnyMalfunction[1] (member end of association, subsets scenarioStep)

AnyTrafficAndPeople **Package:** ISO 26262 Library

isAbstract: No

Generalization: OperationalCondition, TrafficAndPeople

Applied Stereotype: «Operational Situation»

Description

TrafficAndPeople extends the <<situation>> class, and is used to describe the presence and behaviour of any motorists or non-motorists considered in a hazardous event.

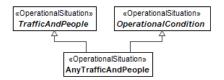


Figure 9.144 - AnyTrafficAndPeople

AnyVehicleUse

Package: ISO 26262 Library

isAbstract: No

 $\begin{tabular}{lll} \textbf{Generalization:} & \underline{OperationalCondition, VehicleUsage} \\ \textbf{Applied Stereotype:} & \underline{«OperationalSituation»} \\ \end{tabular}$

Description

TrafficAndPeople extends the <<situation>> class, and is used to describe the presence and behaviour of any motorists or non-motorists considered in a hazardous event.



Figure 9.145 - AnyVehicleUse

AnyRoadCondition

Package: ISO 26262 Library

isAbstract: No

Generalization: Operational Condition, Road Condition

Applied Stereotype: «Operational Situation»

Description

TrafficAndPeople extends the <<situation>> class, and is used to describe the presence and behaviour of any motorists or non-motorists considered in a hazardous event.

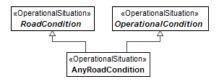


Figure 9.146 - AnyRoadCondition

AnyLocation

Package: ISO 26262 Library

isAbstract: No

Generalization: <u>Location</u>, <u>OperationalCondition</u> **Applied Stereotype:** <u>«OperationalSituation»</u>

Description

TrafficAndPeople extends the <<situation>> class, and is used to describe the presence and behavior of any motorists or non-motorists considered in a hazardous event.

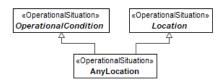


Figure 9.147 - AnyLocation

AnyEnvironmentalCondition **Package:** ISO 26262 Library

isAbstract: No

Generalization: EnvironmentalCondition, OperationalCondition

Applied Stereotype: «Operational Situation»

Description

TrafficAndPeople extends the <<situation>> class, and is used to describe the presence and behaviour of any motorists or non-motorists considered in a hazardous event.

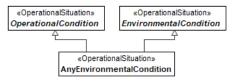


Figure 9.148 - AnyEnvironmentalCondition

SystemLevelEffect

Package: ISO 26262 Library

isAbstract: Yes

Generalization: <u>AutomotiveEffect</u> **Applied Stereotype:** <u>«Situation»</u>

Description

System- or vehicle-level effect which is or could result in harm.

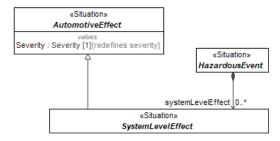


Figure 9.149 - SystemLevelEffect

VehicleLevelEffect

Package: ISO 26262 Library isAbstract: Yes Generalization: <u>AutomotiveEffect</u> Applied Stereotype: <u>«Situation»</u>

Description

System- or vehicle-level effect which is or could result in harm.

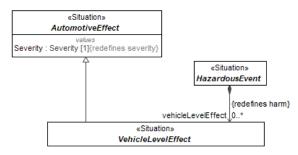


Figure 9.150 - VehicleLevelEffect

Methods::ISO 26262::ISO 26262 Library::Diagrams by elements

9.7.2 Methods::ISO 26262::ISO 26262 Profile

OperationalSituation

Package: ISO 26262 Profile
isAbstract: No
Generalization: Situation
Extension: Class

Description

A situation describes the operational scenario or driving scenario which is considered in a hazardous event, as part of the Hazard Analysis and Risk Assessment process.

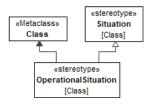


Figure 9.151 - Operational Situation

MalfunctioningBehavior **Package:** ISO 26262 Profile

isAbstract: No

Generalization: FailureMode

Extension: Class

Description

A malfunctioning behaviour describes a failure or unintended behaviour of an item with respect to its design intent. It is a subtype of failure mode.

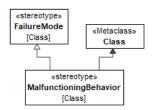


Figure 9.152 - MalfunctioningBehavior

Methods::ISO 26262::ISO 26262 Profile::RequirementManagement

IndependenceRequirement
Package: RequirementManagement

isAbstract: No

Generalization: DeriveReqt **Extension:** Abstraction

Description

A relationship between requirement elements indicating that the child requirement specifies an independence criterion that needs to be satisfied in order for an ASIL decomposition to be valid. The decomposition between the parent requirement and 2 other children requirements.

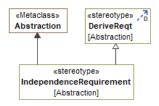


Figure 9.153 - IndependenceRequirement

ASILDecompose

Package: RequirementManagement

isAbstract: No

Generalization: DeriveReqt **Extension:** Abstraction

Description

An ASIL decompose relation is used to connect two safety requirements for the purposes of performing ASIL decomposition. The target requirement (supplier) should be of a higher abstraction than the source (client). ASIL decompose relations shall be applied in pairs (e.g. a requirement cannot be the supplier of a single ASIL decompose relation).

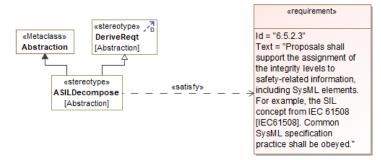


Figure 9.154 - ASILDecompose

SafeState

Package: RequirementManagement

isAbstract: No

Extension: Dependency

Description

A state of function realized by one or more architectural components. May be composed of serval subfunctions or called by other functions. Associated with safety specific behaviours, typically (but not necessarily) triggered by a failure mode.



Figure 9.155 - SafeState

UserInfoRequirement

Package: RequirementManagement

isAbstract: No

Generalization: Satisfy **Extension:** Abstraction

Description

A UserInfoRequirement relationship is a dependency which links a State to a requirement. The arrow direction points from a state (client) to a FSR or TSR (supplier). Linked requirements specify information that must be presented to vehicle occupants when the vehicle enters a safe state.

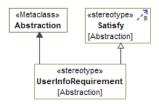


Figure 9.156 - UserInfoRequirement

RecoveryRequirement

Package: RequirementManagement

isAbstract: No

Generalization: Satisfy **Extension:** Abstraction

Description

A RecoveryRequirement relationship is a dependency between a safe state and requirement where the requirement indicates the criteria to recover from the safe state to another operational mode.

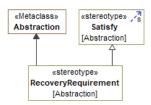


Figure 9.157 - RecoveryRequirement

OperatingMode

Package: RequirementManagement

isAbstract: No Extension: Dependency

Description

A state of function realized by one or more architectural components. May be composed of serval subfunctions or called by other functions. Associated with specific behaviours.



Figure 9.158 - OperatingMode

FunctionalSafetyRequirement

Package: RequirementManagement

isAbstract: No

Generalization: DependabilityRequirement, Requirement

Extension: Class

Description

A functional safety requirement specifies an implementation independent safety behaviour, or an implementation independent safety measure, required for achievement of a safety goal from which it is derived.

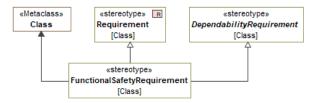


Figure 9.159 - FunctionalSafetyRequirement

SoftwareSafetyRequirement

Package: RequirementManagement

isAbstract: No

Generalization: DependabilityRequirement, Requirement

Extension: Class

Description

A software safety requirement provides implementation details for software. They can express behaviours or specific software mechanisms which realize the technical safety requirements from which they are derived.

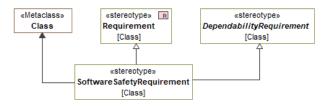


Figure 9.160 - SoftwareSafetyRequirement

HardwareSafetyRequirement

Package: RequirementManagement

isAbstract: No

 $\textbf{Generalization:} \ \underline{\textbf{Dependability} \textbf{Requirement}}, \textbf{Requirement}$

Extension: Class

Description

A hardware safety requirement specifies hardware behaviours or hardware specific details necessary for implementing the safety concept. Hardware safety requirements are implementation specific and assigned to components or subcomponents.

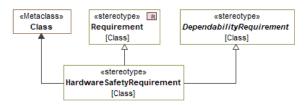


Figure 9.161 - HardwareSafetyRequirement

TechnicalSafetyRequirement **Package:** RequirementManagement

isAbstract: No

Generalization: DependabilityRequirement, Requirement

Extension: Class

Description

A technical safety requirement specifies the implementation of the functional safety requirement(s) from which it is derived. Technical safety requirements express the behaviours and details necessary to realize the safety aspects of the item at the system level. Additional details that do not act at the system level can be specified in the hardware safety requirements or software safety requirements.

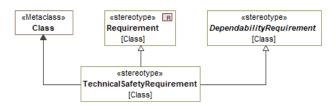


Figure 9.162 - TechnicalSafetyRequirement

SafetyGoal

Package: RequirementManagement

isAbstract: No

 $\textbf{Generalization:} \ \underline{\textbf{DependabilityRequirement}}, \ \textbf{Requirement}$

Extension: Class

Description

A safety goal extends the SysML <<Requirement>> stereotype. It represents a top-level safety requirement, defined as a result of the Hazard Analysis and Risk Assessment process.

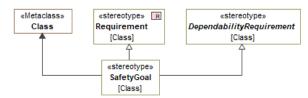


Figure 9.163 - SafetyGoal

DependabilityRequirement

Package: RequirementManagement

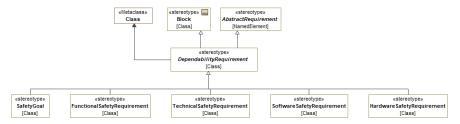
isAbstract: Yes

Generalization: AbstractRequirement, Block

Extension: Class

Description

Parent type of all subtypes of safety requirements



 ${\bf Figure~9.164-Dependability Requirement}$

Verified

Package: ISO 26262 Profile

isAbstract: No Extension: Class

Description

Marker, indicating that hazardous event has been verified.

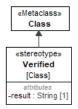


Figure 9.165 - Verified

Attributes

result : String[1] Verification result

Confirmed

Package: ISO 26262 Profile

isAbstract: No Extension: Class

Description

Marker, indicating that hazardous event has been confirmed.

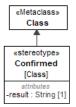


Figure 9.166 - Confirmed

Attributes

result : String[1] Confirmation result

HazardAndRiskAssessment **Package:** ISO 26262 Profile

isAbstract: No Extension: Package

Description

Grouping package for storing hazardous events.



Figure 9.167 - HazardAndRiskAssessment

DCarrie

Package: ISO 26262 Profile

isAbstract: No
Extension: Element

Description

Additional stereotype for carrying human-readable identification data.

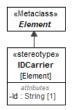


Figure 9.166 - IDCarrier

Attributes

Id : String[1]

Human readable identifier.

LessonLearned

Package: ISO 26262 Profile

isAbstract: No
Extension: Comment

Description

Comments about lessons learned from hazard and risk assessment.



Figure 9.168 - LessonLearned

ASILAssignment

Package: ISO 26262 Profile

isAbstract: No

Risk Analysis and Assessment Modeling Langauge (RAAML) Version 1.0

Commented [AA177]: RAAML-25

129

Extension: Element

Description

Stereotype for assigning ASIL values on system design elements.

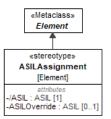


Figure 9.169 - ASILAssignment

Attributes

ASIL: ASIL[1]

ASILOverride : ASIL[0..1]

The associated ASIL value of the system design element.

An ASIL value which does not follow from the normal ASIL derivation rules, but is exceptional. This exceptional value needs to have an

associated rationale.

ASILOverrideRationale **Package:** ISO 26262 Profile

isAbstract: No

Generalization: Rationale **Extension:** Comment

Description

A rationale specifically justifying ASIL Override value.

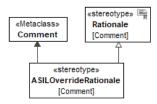


Figure 9.170 - ASILOverrideRationale

10. Views

10.1 Core

10.1.1 Core::Core Library

View Core::Core Library::Core Library



Figure 10.1 – Core Library

Elements

- AnySituation
- <u>Causality</u>

10.1.2 Core::Core Profile

View Core::Core Profile::CoreProfile

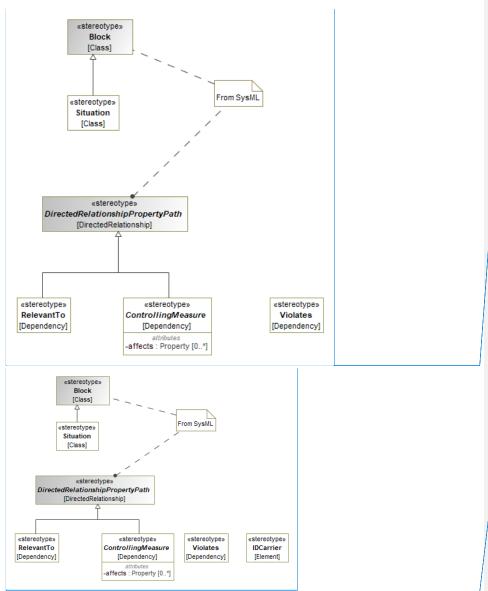


Figure 10.2 - CoreProfile

Elements

Risk Analysis and Assessment Modeling Langauge (RAAML) Version 1.0

Commented [AA178]: RAAML-25

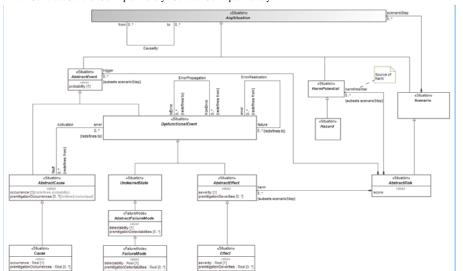
Commented [AA179]: RAAML-25

- ControllingMeasure
- RelevantTo
- <u>Situation</u>
- <u>Violates</u>

10.2 General

10.2.1 General::General Concepts Library

View General::General Concepts Library::General Concepts Library



Commented [AA180]: RAAML-40

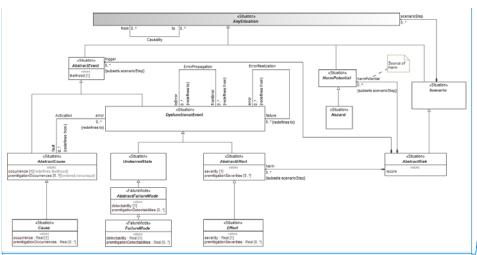


Figure 10.3 - General ___Concepts Library

- <u>AbstractCause</u>
- AbstractEffect
- AbstractEvent
- AbstractFailureMode
- <u>AbstractRisk</u>
- Activation
- <u>AnySituation</u>
- <u>Causality</u>
- <u>Cause</u>
- <u>DysfunctionalEvent</u>
- <u>Effect</u>
- ErrorPropagation
- <u>ErrorRealization</u>
- FailureMode
- <u>HarmPotential</u>
- <u>Hazard</u>
- Scenario
- <u>UndesiredState</u>

10.2.2 General::General Concepts Profile

View General::General Concepts Profile::General Concepts Profile

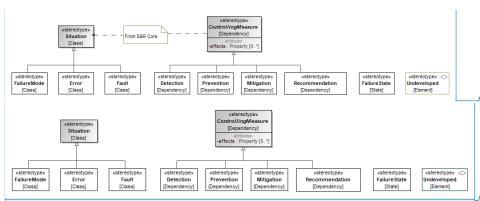


Figure 10.4 - General Concepts Profile

- ControllingMeasure
- <u>Detection</u>
- Error
- FailureMode
- FailureState
- Fault
- Mitigation
- Prevention
- Recommendation
- <u>Situation</u>
- <u>Undeveloped</u>

10.3 Methods::FMEA

10.3.1 Methods::FMEA::FMEA Library

View Methods::FMEA::FMEA Library::FMEA Library

Commented [AA182]: RAAML-4

Commented [AA183]: RAAML-4

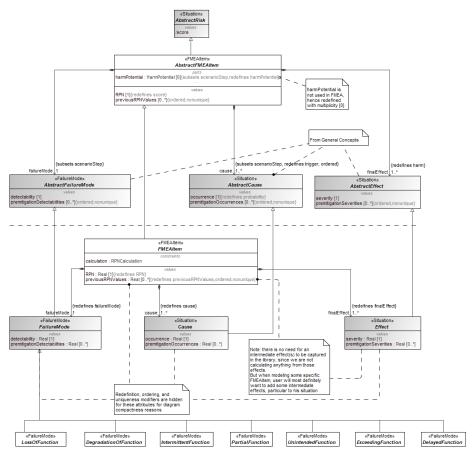


Figure 10.5 - FMEA Library

- <u>AbstractCause</u>
- <u>AbstractEffect</u>
- <u>AbstractFailureMode</u>
- AbstractFMEAItem
- <u>AbstractRisk</u>
- <u>Cause</u>
- <u>DegradationOfFunction</u>
- <u>DelayedFunction</u>
- <u>Effect</u>

- ExceedingFunction
- <u>FailureMode</u>
- **FMEAItem**
- IntermittentFunction
- LossOfFunction
- PartialFunction
- UnintendedFunction

10.3.2 Methods::FMEA::FMEA Profile

View Methods::FMEA::FMEA Profile::FMEA Profile

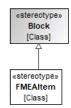


Figure 10.6 - FMEA Profile

Elements
• FMEAItem

10.4 Methods::FTA

10.4.1 Methods::FTA::FTALibrary

Methods::FTA::FTALibrary::Events

View Methods::FTA::FTALibrary::Events::Events

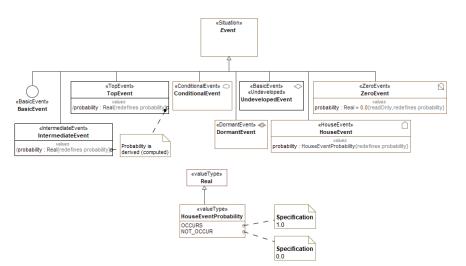
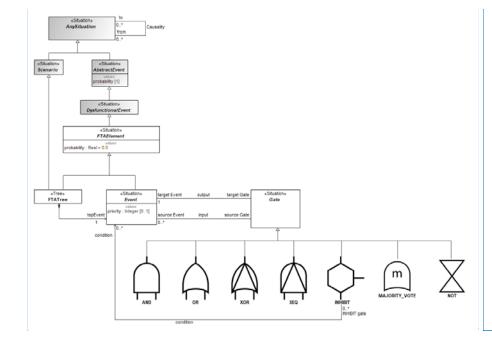


Figure 10.7 - Events

- BasicEvent
- <u>ConditionalEvent</u>
- <u>DormantEvent</u>
- Event
- <u>HouseEvent</u>
- <u>IntermediateEvent</u>
- <u>TopEvent</u>
- <u>UndevelopedEvent</u>
- <u>ZeroEvent</u>

View Methods::FTA::FTALibrary::FTA Library



Commented [AA184]: RAAML-1, RAAML-45, RAAML-40

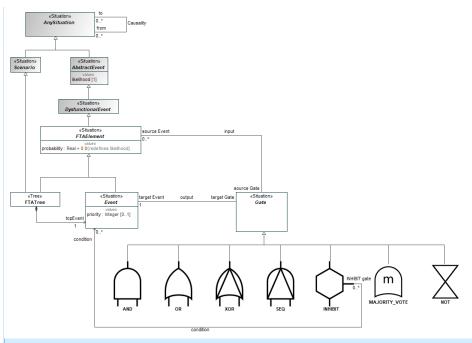


Figure 10.8 - FTA Library

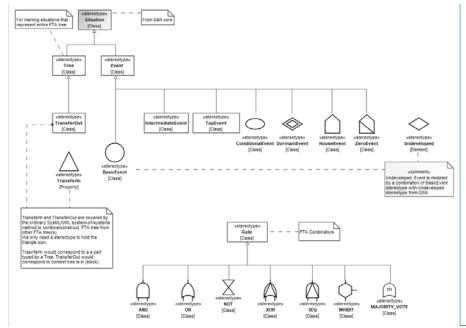
- AbstractEvent
- <u>AND</u>
- AnySituation
- <u>Causality</u>
- <u>DysfunctionalEvent</u>
- Event
- <u>FTAElement</u>
- <u>FTATree</u>
- Gate
- <u>INHIBIT</u>
- MAJORITY_VOTE
- <u>NOT</u>
- <u>OR</u>
- Scenario
- <u>SEQ</u>
- XOR

Commented [AA185]: RAAML-1, RAAML-45, RAAML-40

10.4.2 Methods::FTA::FTAProfile

Methods::FTA::FTAProfile::Diagrams by elements

View Methods::FTA::FTAProfile::FTA Profile



Commented [AA186]: RAAML-5

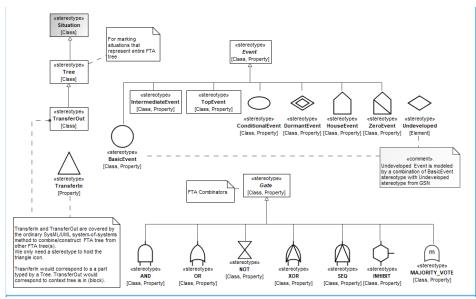


Figure 10.9 - FTA Profile

- <u>AND</u>
- BasicEvent
- <u>ConditionalEvent</u>
- <u>DormantEvent</u>
- Event
- Gate
- HouseEvent
- <u>INHIBIT</u>
- <u>IntermediateEvent</u>
- <u>MAJORITY_VOTE</u>
- NOT
- <u>OR</u>
- SEQ
- <u>Situation</u>
- <u>TopEvent</u>
- <u>TransferIn</u>
- <u>TransferOut</u>
- <u>Tree</u>
- <u>Undeveloped</u>
- XOR

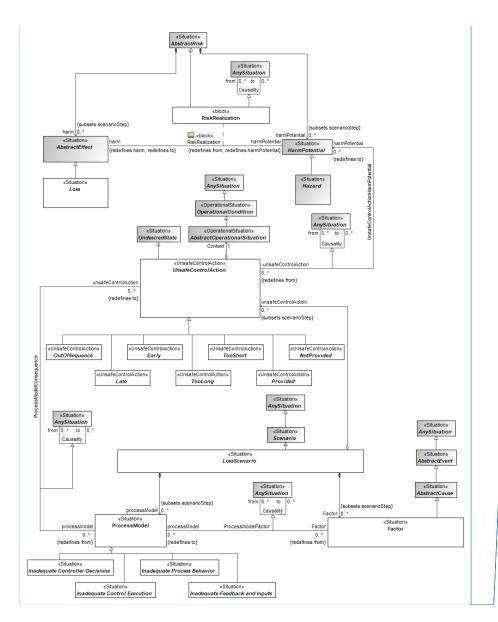
Commented [AA187]: RAAML-5, RAAML-29

• <u>ZeroEvent</u>

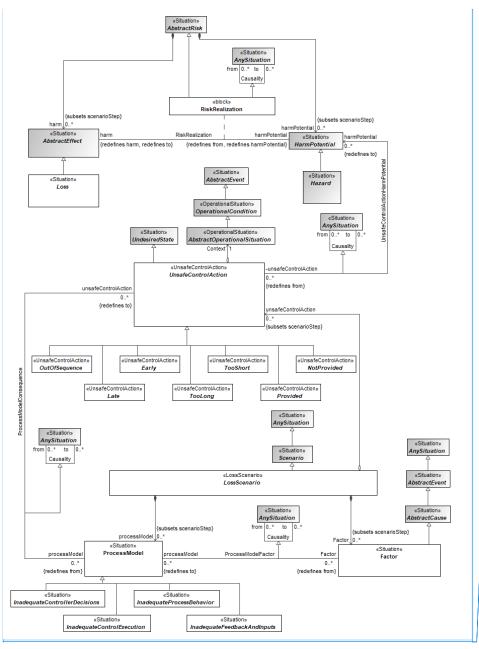
10.5 Methods::STPA

10.5.1 Methods::STPA::STPA Library

View Methods::STPA::STPA Library::STPA Library



Commented [AA188]: RAAML-9, RAAML-28



Risk Analysis and Assessment Modeling Langauge (RAAML) Version 1.0

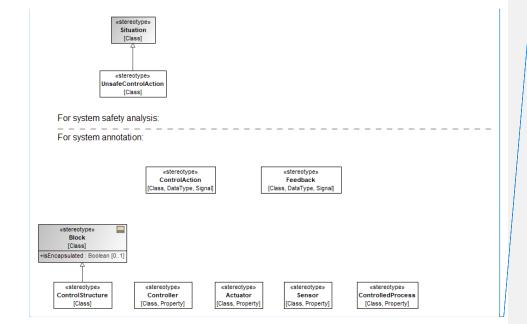
Figure 10.10 - STPA Library

Elements

- AbstractCause
- AbstractEffect
- AbstractEvent
- AbstractOperationalSituation
- AbstractRisk
- AnySituation
- <u>Causality</u>
- Early
- Factor
- <u>HarmPotential</u>
- <u>Hazard</u>
- Inadequate Control Execution
- Inadequate Controller Decisions
- Inadequate Feedback and Inputs
- Inadequate Process Behavior
- <u>Late</u>
- Loss
- <u>LossScenario</u>
- <u>NotProvided</u>
- OperationalCondition
- OutOfSequence
- <u>ProcessModel</u>
- <u>ProcessModelConsequence</u>
- <u>ProcessModelFactor</u>
- <u>Provided</u>
- RiskRealization
- <u>Scenario</u>
- <u>TooLong</u>
- <u>TooShort</u>
- <u>UndesiredState</u>
- <u>UnsafeControlAction</u>
- <u>UnsafeControlActionHarmPotential</u>

10.5.2 Methods::STPA::STPA Profile

View Methods::STPA::STPA Profile::STPA Profile



Commented [AA190]: RAAML-28, RAAML-95

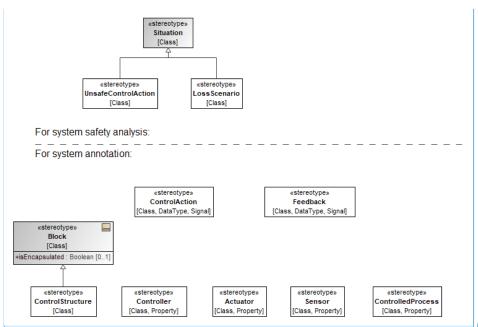


Figure 10.11 - STPA Profile

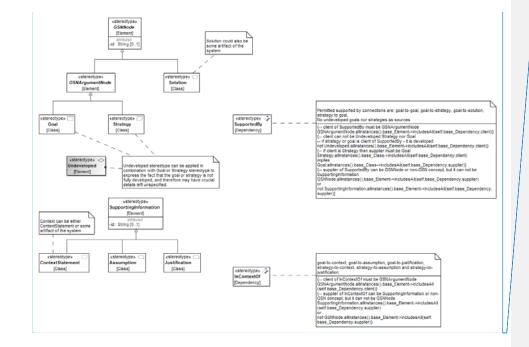
- <u>Actuator</u>
- ControlAction
- ControlledProcess
- <u>Controller</u>
- ControlStructure
- <u>FailureMode</u>
- <u>Feedback</u>
- <u>Sensor</u>
- <u>UnsafeControlAction</u>

10.6 GSN

10.6.1 GSN::GSN Profile

View GSN::GSN Profile::GSN Profile

Commented [AA191]: RAAML-28, RAAML-95



Commented [AA192]: RAAML-25, RAAML-57, RAAML-54, RAAML-55

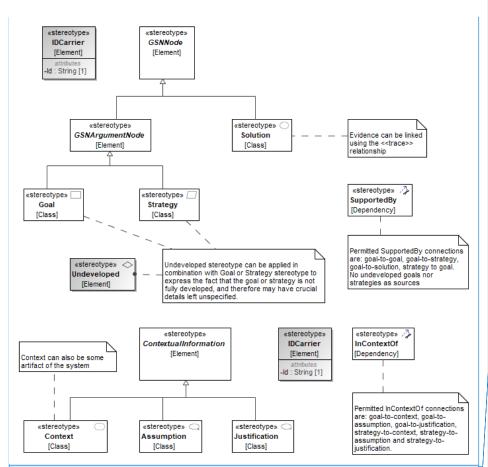


Figure 10.12 - GSN Profile

- <u>Assumption</u>
- ContextStatement
- Goal
- GSNArgumentNode
- <u>GSNNode</u>
- <u>InContextOf</u><u>Justification</u>
- Justification
 Solution
- Strategy
- SupportedBy
- SupportingInformationContextualInformation
- Undeveloped

Commented [AA194]: RAAML-57

Commented [AA193]: RAAML-25, RAAML-57, RAAML-54,

RAAML-55

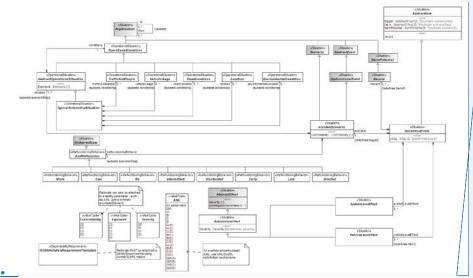
Commented [AA195]: RAAML-54

Commented [AA196]: RAAML-40

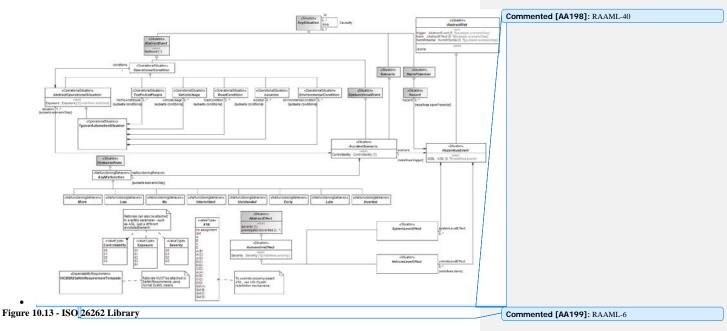
10.7 Methods::ISO 26262

10.7.1 Methods::ISO 26262::ISO 26262 Library

View Methods::ISO 26262::ISO 26262 Library::ISO26262 Library



Commented [AA197]: RAAML-40



- <u>AbstractEffect</u>
- <u>AbstractEvent</u>
- <u>AbstractOperationalSituation</u>
- <u>AbstractRisk</u>
- AccidentScenario
- AnyMalfunction
- AnySituation
- <u>ASIL</u>
- <u>AutomotiveEffect</u>
- <u>Causality</u>
- Controllability
- <u>DysfunctionalEvent</u>
- Early
- EnvironmentalCondition
- <u>Exposure</u>
- <u>HarmPotential</u>
- <u>Hazard</u>
- <u>HazardousEvent</u>
- <u>Intermittent</u>
- <u>Inverted</u>

- ISO26262SafetyRequirementTemplate
- Late
- <u>Less</u>
- Location
- Mor
- No
- OperationalCondition
- RoadCondition
- Scenario
- <u>Severity</u>
- SystemLevelEffect
- TrafficAndPeople
- TypicalAutomotiveSituation
- <u>UndesiredState</u>
- Unintended
- <u>VehicleLevelEffect</u>
- <u>VehicleUsage</u>

View Methods::ISO 26262::ISO 26262 Library::All-Encompassing Operational Situations

Commented [AA200]: RAAML-7

Commented [AA201]: RAAML-7



Figure 10.14 - All-Encompassing Operational Situations

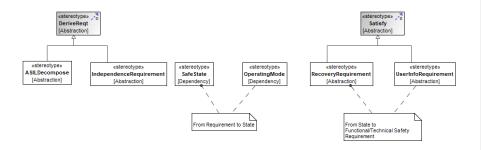
Elements

- AnyEnvironmentalCondition
- AnyLocation
- <u>AnyRoadCondition</u>
- <u>AnyTrafficAndPeople</u>
- AnyVehicleUse
- EnvironmentalCondition
- <u>Location</u>
- <u>RoadCondition</u>
- <u>TrafficAndPeople</u>
- VehicleUsage

10.7.2 Methods::ISO 26262::ISO 26262 Profile

Methods::ISO 26262::ISO 26262 Profile::RequirementManagement

View Methods::ISO 26262::ISO 26262 Profile::RequirementManagement::RequirementManagement



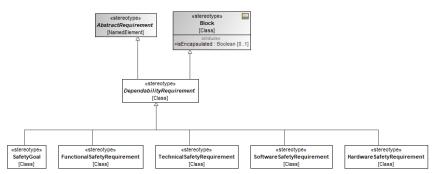
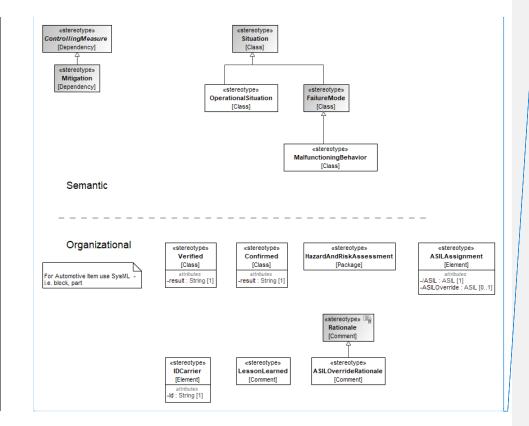


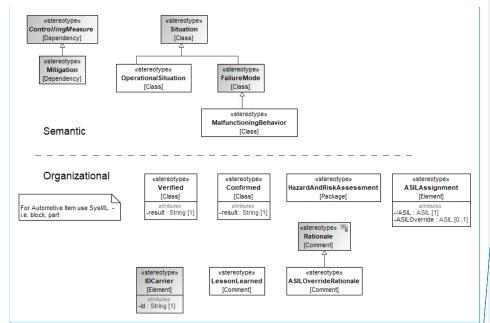
Figure 10.15 - RequirementManagement

- <u>ASILDecompose</u>
- <u>DependabilityRequirement</u>
- FunctionalSafetyRequirement
- <u>HardwareSafetyRequirement</u>
- <u>IndependenceRequirement</u>
- <u>OperatingMode</u>
- RecoveryRequirement
- <u>SafeState</u>
- <u>SafetyGoal</u>
- <u>SoftwareSafetyRequirement</u>
- <u>TechnicalSafetyRequirement</u>
- <u>UserInfoRequirement</u>

View Methods::ISO 26262::ISO 26262 Profile::ISO26262 Profile



Commented [AA202]: RAAML-25



Commented [AA204]: RAAML-8

Commented [AA203]: RAAML-25

Figure 10.16 - ISO 26262 Profile

Elements

- <u>ASILAssignment</u>
- ASILOverrideRationale
- <u>Confirmed</u>
- ControllingMeasure
- FailureMode
- HazardAndRiskAssessment
- <u>IDCarrier</u>
- <u>LessonLearned</u>
- MalfunctioningBehavior
- <u>Mitigation</u>
- OperationalSituation
- <u>Situation</u>
- <u>Verified</u>