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UML Testing Profile 2 (UTP 2)

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Preface

OMG

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1 Scope

In 2001, a working group at the OMG started developing a UML Profile dedicated to Model-based testing, called UML Testing Profile (UTP). It is a standardized language based on OMG's Unified Modeling Language (UML) for designing, visualizing, specifying, analyzing, constructing, and documenting the artifacts commonly used in and required for various testing approaches, in particular model-based testing (MBT) approaches. UTP has the potential to assume the same important role for model-based testing approaches as UML assumes for model-driven system engineering.

UTP is a part of the UML ecosystem (see figure below), and as such, it can be combined with other profiles of that ecosystem in order to associate test-related <u>artifacts</u> with other relevant system <u>artifacts</u>, e.g. requirements, risks, use cases, business processes, system specifications etc. This enables requirements engineers, system engineers and test engineers to bridge the communication gap among different engineering disciplines.

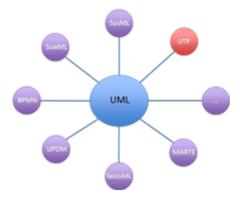


Figure 1.1 - The UML Ecosystem

As the interest of industry in model-based testing approaches and languages increased, UTP attracted more and more users. UTP was the first standardized language for model-based approaches to help in the validation and verification of software-intensive systems. Model-based test specifications expressed with the UML Testing Profile are independent of any methodology, domain, environment or type of system.

Eight years later, the UTP working group (WG) has agreed on consolidating the experiences and achievements of UTP in order to justify the move from UTP 1.2 to a successor specification. These efforts resulted in a Request For Information (RFI) for UML Testing Profile 2 (UTP 2), which was aimed at eliciting and gathering the shortcomings of the current UTP and the most urgent requirements for a successor specification from the OMG and model-based testing community.

Some of the main issues in the RFI responses are that UTP 2 should:

- be able to design test models of different <u>test level</u>s.
- address testing of non-functional requirements.
- be able to reuse test logs for further test evaluation and test generation.
- meet industry-relevant standards.
- integrate with SysML for requirements traceability.
- and so forth.

The UML Testing Profile 2 (UTP 2) was designed to meet the requirements derived from the RFI responses.

People may use the UML Testing Profile in addition to UML to:

• Specify the design and the configuration of a test system: Designing a test system includes the identification of the <u>test item</u> (also known as system under test or abbreviated as SUT), its boundaries, the derivation of <u>test components</u>, and the identification of communication channels between interconnected test items test components over which data can be exchanged.

- Build the model-based test plans on top of already existing system models: The possibility to reuse already existing (system) <u>artifacts</u>, e.g. requirements, interface definitions, type definitions etc.
- Model <u>test case</u>s: The specification of <u>test case</u>s is an essential task of each test process in order to assess the quality of the <u>test item</u> and to verify whether the <u>test item</u> complies with its specification.
- Model test environments: A test environment contains hardware, instrumentation, simulators, software tools, and other support elements needed to conduct a test (according to IEEE 610).
- Model deployment specifications of test-specific <u>artifacts</u>: By relying on the UML's deployment specification capabilities, the actual deployment of a test system can be done in a model-based way.
- Model <u>data</u>: Modeling of <u>data</u> includes the data values being used as stimuli into the <u>test item</u> as well as for responses expected from the <u>test item</u> such as the test oracle.
- Provide necessary information pertinent to test scheduling optimization: Test scheduling optimization can be based on priorities, risk-related information, costs etc.
- Document <u>test case</u> execution results: To associate <u>test case</u>s with the actual outcome of their execution within the very same model in order to perform further analysis, calculate specific metrics, etc.
- Document traceability to requirements and other UML model <u>artifacts</u>: Requirements traceability within
 test specification is important to document and evaluate test coverage and to calculate other metrics such as
 progress reports. Native traceability is given by the underlying UML capabilities. UTP does not offer
 different concepts for traceability other than that provided by UML,

The intended audience for the UML Testing Profile are users who are able to read model-based test specifications expressed within the UML Testing Profile models including:

- Test engineers
- Requirements Engineers
- System/Software Engineers
- Domain experts
- Customer/Stakeholder
- Certification authorities
- Testing tools (<u>test case</u> generators, <u>data</u> generators, schedulers, reporting engines, test script generators, etc.).

The intended audience of this UML Testing Profile specification itself includes, among others:

- People who want to implement UML Testing Profile-compliant tools.
- People who need to/want to/like to teach the UML Testing Profile.
- People who want to improve the UML Testing Profile specification.
- People who want to tailor the UML Testing Profile to satisfy needs of their specific project/domain/process.

2 Conformance

As a native profile specification of the UML, the UTP 2 has to abide by the conformance types declared for compliant UML profiles. The corresponding conformance types of UML can be found in section 2 "Conformance" of the current UML specification [UML]. This guarantees that the underlying environment of any UTP 2 implementation is a UML modeling environment that is conformant with the UML. The UTP 2 adopted version of UML's conformance types are defined as follows:

- Abstract syntax conformance: All concrete stereotypes and tags are implemented in the profile implementation
- Concrete syntax conformance: Support for the visual representation (i.e. icons) of the UTP concepts is provided by the profile implementation
- Model interchange conformance: (delegated to underlying UML)
- Diagram interchange conformance: (delegated to underlying UML)
- Semantic conformance: All UTP <u>constraints</u> are enforced, either directly in the model with OCL (assuming underlying OCL support) or indirectly by any other suitable means of the underlying modeling environment

In addition to the fundamental conformance types of the UML and its profiling mechanism, UTP 2 specifies two compliance levels for its respective concepts:

- mandatory: concepts that are deemed mandatory have to be implemented in order to claim UTP 2 compliance;
- optional: concepts that are deemed optional might be implemented. If they are implemented, they have to be implemented exactly how they have been specified by the UTP 2 specification i.e., optional concepts are still normative, but when they are implemented, they have to abide by the conformance types imposed by the underlying UML and its profiling mechanism.

The decisions, which concepts are considered as mandatory and optional, have been based on the typical use cases of UTP 2 (see section 6.3 Typical Use Cases of UTP 2). The main objective of UTP 2 is to design test cases, potentially in an automated manner, and to describe the test architecture in order to execute test cases, potentially in an automated manner. Except from that, UTP 2 provides further helpful concepts for the design and implementation of a test environment that supports various activities of the test process, such as test analysis, manual and automated test design, test execution and evaluation. The concepts required for these activities are grouped by corresponding sections within this specification. The following relates the test process activities with the respective sections of the UTP 2 specification and indicates whether a feature (a set of concepts grouped in a setion) is normative, mandatory or optional:

UMLTP22-40 Correction of typographical and editorial formatting on specification documents

	<u> </u>	
Test Process Phase	Normative	Mandatory
• <u>Test Analysis</u> Activities		
- Section 8.3.1 Test Analysis	X	-
Test Design Activities		
- Section 8.3.2 Test Design	X	-
- Section 8.4 Test Architecture	X	X
- Section 8.5.1 Test-specific Procedures	X	X
- Section 8.5.1 Procedural Elements	X	X
- Section 8.5.1 Test-specific Actions	X	X
- Section 8.6.1 Data Specifications	X	-
Test Execution and Evaluation Activities		
- Section 8.6.2 Data Values	X	-
- Annex C Non-normative data value extensions	-	-
- Section 8.7.1 Arbitration Specifications	X	-
- Section 8.7.2 Test Logging	X	-

In addition to these concepts, UTP 2 specifies three model libraries for UTP 2. The conformance considerations for the libraries are as follows:

UTP 2 Model Libraries		Normative	Mandatory
•	Section 9.1 UTP Types Library	X	X
•	Section 9.2 UTP Auxiliary Library	X	_

Any implementation that wants to claim conformance with UTP 2 specification has to abide by the adopted UTP 2 conformance types for each normative concept. If the concept is deemed mandatory in addition, any implementation that wants to claim conformance with the UTP 2 specification, has to provide those mandatory concepts to the user.

3 Terms and Definitions

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The following terms and definitions are a sumary of the Conceptual Model described in clause 7. For further examples and details refer to the respective sub-section in Clause 7.

Name	Description	Source
abstract test case	A <u>test case</u> that declares at least one <u>formal parameter</u> .	UTP 2
abstract test configuration	A <u>test configuration</u> that specifies the <u>test item</u> , <u>test components</u> and their interconnections as well as configuration data that should be abstract test data.	UTP 2
actual data pool	A specification of an actual implementation of a data pool.	UTP 2
actual parameter	A concrete value that is passed over to the <u>procedure</u> and replaces the <u>formal parameter</u> with its concrete value.	UTP 2
alternative	A <u>compound procedural element</u> that executes only a subset of its contained <u>procedural elements</u> based on the evaluation of a <u>boolean expression</u> .	UTP 2
arbitration specification	A set of rules that calculates the eventual <u>verdict</u> of an executed <u>test case</u> , test set or procedural element.	UTP 2
artifact	An object produced or modified during the execution of a process.	UTP 2
atomic procedural element	A <u>procedural element</u> that cannot be further decomposed.	UTP 2
boolean expression	An expression that may be evaluated to either of these values: "TRUE" or "FALSE".	UTP 2
check property action	A <u>test action</u> that instructs the tester to check the conformance of a <u>property</u> of the <u>test item</u> and to set the <u>procedural element verdict</u> according to the result of this check.	UTP 2
complement	A <u>morphism</u> that inverts <u>data</u>)i.e., that replaces the <u>data item</u> s of a given set of <u>data item</u> s by their opposites).	UTP 2
compound procedural element	A <u>procedural element</u> that can be further decomposed.	UTP 2
concrete test case	A <u>test case</u> that declares no <u>formal parameter</u> .	UTP 2
concrete test configuration	A <u>test configuration</u> that specifies the <u>test item</u> , <u>test components</u> and their interconnections as well as configuration data that should be concrete <u>data</u> .	UTP 2
constraint	An assertion that indicates a restriction that must be satisfied by any valid realization of the model containing the constraint.	[UML]
create log entry action	A <u>test action</u> that instructs the tester to record the execution of a <u>test action</u> , potentially including the outcome of that <u>test action</u> in the <u>test case log</u> .	UTP 2
create stimulus action	A <u>test action</u> that instructs the tester to submit a <u>stimulus</u> (potentially including <u>data</u>) to the <u>test item</u> .	UTP 2
data	A usually named set of <u>data items</u> .	UTP 2
data item	Either a value or an instance.	UTP 2
data partition	A role that some <u>data</u> plays with respect to some other <u>data</u> (usually being a subset of this other <u>data</u>) with respect to some <u>data</u> <u>specification</u> .	UTP 2
data pool	Some <u>data</u> that is an explicit or implicit composition of other <u>data</u> <u>items</u> .	UTP 2
data provider	A <u>test component</u> that is able to deliver (i.e., either select and/or generate) <u>data</u> according to a <u>data specification</u> .	UTP 2

Name	Description	Source
data specification	A named <u>boolean expression</u> composed of a <u>data type</u> and a set of	UTP 2
	<u>constraints</u> applicable to some <u>data</u> in order to determine whether	
	or not its <u>data items</u> conform to this <u>data specification</u> .	
data type	A type whose instances are identified only by their value.	[UML]
duration	The duration from the start of a <u>test action</u> until its completion.	UTP 2
Error	An indication that an unexpected exception has occurred while	UTP 2
	executing a specific <u>test set</u> , <u>test case</u> , or <u>test action</u> .	
executing entity	An executing entity is a human being or a machine that is	UTP 2
	responsible for executing a <u>test case</u> or a <u>test set</u> .	
expect response	A <u>test action</u> that instructs the tester to check the occurrence of one	UTP 2
action	or more particular <u>response</u> s from the <u>test item</u> within a given time	
	window and to set the <u>procedural element verdict</u> according to the	
	result of this check.	
extension	A <u>morphism</u> that increases the amount of <u>data</u> (i.e., that adds more	UTP 2
	data items to a given set of data items).	
Fail	A verdict that indicates that the test item did not comply with the	UTP 2
	expectations defined by a <u>test set</u> , <u>test case</u> , or <u>test action</u> during	
	execution.	
formal parameter	A placeholder within a procedure that allows for execution of the	UTP 2
1	procedure with different formal parameters that are provided by	
	the procedure invocation.	
Inconclusive	A <u>verdict</u> that indicates that the compliance of a <u>test item</u> against	UTP 2
	the expectations defined by a <u>test set</u> , <u>test case</u> , or <u>test action</u> could	
	not be determined during execution.	
loop	A <u>compound procedural element</u> that repeats the execution of its	UTP 2
	contained procedural elements.	
main procedure	A <u>procedure invocation</u> that is considered as the main part of a <u>test</u>	UTP 2
invocation	case by the test case arbitration specification.	
morphism	A structure-preserving map from one mathematical structure to	[WikiM]
•	another.	
negative	A <u>compound procedural element</u> that prohibits the execution of its	UTP 2
	contained <u>procedural elements</u> in the specified structure.	
None	A <u>verdict</u> that indicates that the compliance of a <u>test item</u> against	UTP 2
	the expectations defined by a <u>test set</u> , <u>test case</u> , or <u>test action</u> has	
	not yet been determined (i.e., it is the initial value of a verdict	
	when a <u>test set</u> , <u>test case</u> , or <u>test action</u> was started).	
parallel	A compound procedural element that executes its contained	UTP 2
•	procedural elements in parallel to each other.	
Pass	A <u>verdict</u> that indicates that the <u>test item</u> did comply with the	UTP 2
	expectations defined by a <u>test set</u> , <u>test case</u> , or <u>test action</u> during	
	execution.	
PE end duration	The <u>duration</u> between the end of the execution of a <u>procedural</u>	UTP 2
	element and the end of the execution of the subsequent procedural	
	element.	
PE start duration	The <u>duration</u> between the end of the execution of a <u>procedural</u>	UTP 2
	element and the beginning of the execution of the subsequent	
	procedural element.	
postcondition	A boolean expression that is guaranteed to be True after a test case	UTP 2
-	execution has been completed.	
preconditon	A boolean expression that must be met before a test case may be	UTP 2
*	executed.	
procedural element	An instruction to do, to observe, and/or to decide.	UTP 2
procedural element	A <u>verdict</u> that indicates the result (i.e., the conformance of the	UTP 2
processial element	1.2 inc. more and result (1.0., the comormance of the	J11 2

Name	Description	Source
verdict	actual properties of the <u>test item</u> with its expected properties) of	
	executing a <u>test action</u> on a <u>test item</u> .	
procedure	A specification that constrains the execution order of a number of procedural elements.	UTP 2
procedure	An atomic procedural element of a procedure that invokes another	UTP 2
invocation	procedure and waits for its completion.	
property	A basic or essential attribute shared by all members of a class of <u>test items</u> .	UTP 2
refinement	A <u>morphism</u> that decreases the amount of <u>data</u> (i.e., that removes <u>data items</u> from a given set of <u>data items</u>).	UTP 2
response	A set of <u>data</u> that is sent by the <u>test item</u> to its environment (often as a reaction to a <u>stimulus</u>) and that is typically used to assess the behavior of the <u>test item</u> .	UTP 2
sequence	A <u>compound procedural element</u> that executes its contained <u>procedural elements</u> sequentially.	UTP 2
setup procedure invocation	A <u>procedure invocation</u> that is considered as part of the setup by the <u>arbitration specification</u> and that is invoked before any <u>main procedure invocation</u> .	UTP 2
stimulus	A set of <u>data</u> that is sent to the <u>test item</u> by its environment (often to cause a <u>response</u> as a reaction) and that is typically used to control the behavior of the <u>test item</u> .	UTP 2
suggest verdict action	A <u>test action</u> that instructs the tester to suggest a particular <u>procedural element verdict</u> to the <u>arbitration specification</u> of the <u>test case</u> for being taken into account in the final <u>test case verdict</u> .	UTP 2
teardown procedure invocation	A <u>procedure invocation</u> that is considered as part of the teardown by the responsible <u>arbitration specification</u> and that is invoked after any <u>main procedure invocation</u> .	UTP 2
test action	An <u>atomic procedural element</u> that is an instruction to the tester that needs to be executed as part of a test procedure of a test case within some time frame.	UTP 2
test case	A <u>procedure</u> that includes a set of preconditions, inputs and expected results, developed to drive the examination of a <u>test item</u> with respect to some <u>test objective</u> s.	UTP 2
test case log	A <u>test log</u> that captures relevant information on the execution of a <u>test case</u> .	UTP 2
test case verdict	A <u>verdict</u> that indicates the result (i.e., the conformance of the actual properties of the <u>test item</u> with its expected properties) of executing a <u>test case</u> against a <u>test item</u> .	UTP 2
test component	A role of an <u>artifact</u> within a <u>test configuration</u> that is required to perform a <u>test case</u> .	UTP 2
test component configuration	A set of configuration options offered by an <u>artifact</u> in the role of a <u>test component</u> chosen to meet the requirements of a particular <u>test configuration</u> .	UTP 2
test configuration	A specification of the test item and test components as well as their interconnection and configuration data.	UTP 2
test context	A set of information that is prescriptive for testing activities which can be organized and managed together for deriving or selecting test objectives, test design techniques, test design inputs and eventually test cases.	UTP 2
test design directive	A <u>test design directive</u> is an instruction for a test designing entity to derive test <u>artifacts</u> such as <u>test sets</u> , <u>test cases</u> , <u>test configurations</u> , <u>data</u> or <u>test execution schedules</u> by applying <u>test design techniques</u> on a <u>test design input</u> . The set of assembled <u>test design techniques</u> are referred to as the capabilities a test designing	UTP 2

Name	Description	Source
	entity must possess in order to carry out the test design directive,	
	regardless whether it is carried out by a human tester or a test	
	generator. A <u>test design directive</u> is a means to support the	
	achievement of a <u>test objective</u> .	
test design input	Any piece of information that must or has been used to derive	UTP 2
	testing <u>artifacts</u> such as <u>test cases</u> , <u>test configuration</u> , and <u>data</u> .	
test design	A specification of a method used to derive or select <u>test</u>	UTP 2
technique	configurations, test cases and data. test design techniques are	
	governed by a <u>test design directive</u> and applied to a <u>test design</u>	
	input. Such test design techniques can be monolithically applied or	
	in combination with other test design techniques. Each test design	
	technique has clear semantics with respect to the test design input	
4 4 4	and the <u>artifacts</u> it derives from the <u>test design input</u> .	LUTD 2
test execution schedule	A <u>procedure</u> that constrains the execution order of a number of <u>test</u>	UTP 2
test item	A role of an artifact that is the object of testing within a test	UTP 2
test item	configuration.	UIP 2
test item	A set of configuration options offered by an <u>artifact</u> in the role of a	UTP 2
configuration	test item chosen to meet the requirements of a particular test	011 2
	configuration.	
test level	A specification of the boundary of a test item that must be	UTP 2
	addressed by a specific test context.	
test log	A <u>test log</u> is the instance of a <u>test log structure</u> that captures	UTP 2
	relevant information from the execution of a <u>test case</u> or <u>test set</u> .	
	The least required information to be logged is defined by the <u>test</u>	
	<u>log structure</u> of the <u>test log</u> .	
test log structure	A <u>test log structure</u> specifies the information that is deemed	UTP 2
	relevant during execution of a <u>test case</u> or a <u>test set</u> . There is an	
	implicit default <u>test log structure</u> that prescribes at least the start	
	time point, the duration, the finally calculated verdict and the	
	executing entity of a test case or test set execution which should be	
	logged.	T VEDD O
test objective	A desired effect that a <u>test case</u> or <u>test set</u> intends to achieve.	UTP 2
test procedure	A <u>procedure</u> that constrains the execution order of a number of <u>test</u>	UTP 2
44	actions.	LITD 2
test requirement	A desired property on a <u>test case</u> or <u>test set</u> , referring to some aspect of the <u>test item</u> to be tested.	UTP 2
test set	A set of test cases that share some common purpose.	UTP 2
test set log	A set of <u>test eases</u> that share some common purpose. A test log that captures relevant information from the execution of	UTP 2
iest set log	a <u>test set</u> .	011 2
test set purpose	A statement that explains the rationale for grouping test cases	UTP 2
test set purpose	together.	011 2
test set verdict	A verdict that indicates the result (i.e., the conformance of the	UTP 2
	actual properties of the <u>test item</u> with its expected properties) of	
	executing a <u>test set</u> against a <u>test item</u> .	
test type	A quality attribute of a <u>test item</u> that must be addressed by a	UTP 2
	specific test context.	
time point	The <u>time point</u> at which a <u>test action</u> is initiated.	UTP 2
verdict	A statement that indicates the result (i.e., the conformance of the	UTP 2
	actual properties of the test item with its expected properties) of	
	executing a <u>test set</u> , a <u>test case</u> , or a <u>test action</u> against a <u>test item</u> .	

4 References

4.1 **Normative References**

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	Object Management Group: "Meta Object Facility TM (MOF TM) - Version 2.5.1",
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[OCL]	http://www.omg.org/spec/OCL/
	Object Management Group: "Object Constraint Language TM (OCL TM) - Version 2.4", February 2014, formal/2014-02-03
[UML]	http://www.omg.org/spec/UML
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[XMI]	http://www.omg.org/spec/XMI/
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4.2 Informative References

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	<u>010201p.pdf</u>
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[ES20311902]	http://www.etsi.org/deliver/etsi_es/203100_203199/20311902/01.01.01_60/es_20311902v
	<u>010101p.pdf</u>
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	Description Language (TDL) - Part 2: Graphical Syntax" ; V1.1.1 (2015-06)
[ES20311903]	http://www.etsi.org/deliver/etsi_es/203100_203199/20311902/01.01.01_60/es_20311902v
	<u>010101p.pdf</u>
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	Description Language (TDL) - Part 3: Exchange Format" ; V1.1.1 (2015-06)
[ES20311904]	http://www.etsi.org/deliver/etsi_es/203100_203199/20311904/01.01.01_60/es_20311904v

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[FUML]	http://www.omg.org/spec/FUML/	
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[ISO29119]	http://www.softwaretestingstandard.org/	
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[ISO9126]	ISO/IEC: "Software engineering—Product quality—Part 1: Quality model", ISO/IEC 9126-1:2001, ISO, 2001	
[ISTQB]	http://www.istqb.org	
	ISTQB: "International Software Testing Qualifications Board"	
[MDA]	http://www.omg.org/cgi-bin/doc?omg/03-06-01.pdf Object Management Group: "MDA Guide - Version 1.0.1", June 2003, omg/2003-06-01	
[MDAa]	http://www.omg.org/mda/papers.htm	
	Object Management Group: "OMG Architecture Board, "Model Driven Architecture - A Technical Perspective""	
[MDAb]	http://www.omg.org/mda/papers.htm	
	Object Management Group: "Developing in OMG's Model Driven Architecture (MDA)"	
[MDAd]	http://www.omg.org/mda	
	Object Management Group: "MDA "The Architecture of Choice for a Changing World""	
[OSLC]	http://open-services.net/bin/view/Main/QmSpecificationV2	
	Open Services for Lifecycle Collaboration (OSLC): "Open Services for Lifecycle Collaboration Quality Management Specification Version 2.0"	
[SBVR]	http://www.omg.org/spec/SBVR	
	Object Management Group: "Semantics of Business Vocabularies and Business Rules (SBVR) - Version 1.3", May 2015, formal/2015-05-07	
[SEP2014a]	http://plato.stanford.edu/archives/win2015/entries/category-theory/	
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	Utting, M., Pretschner, A., and Legeard, B.: "A taxonomy of model-based testing approaches", in Softw. Test. Verif. Reliab. 22, 5, August 2012, p. 297-312
[UTP]	http://www.omg.org/spec/UTP
	Object Management Group: "UML Testing Profile - Version 1.2", April 2013, formal/2013-04-03
[WikiCT]	https://en.wikipedia.org/wiki/Category_theory
	Wikipedia: "Category Theory"
[WikiM]	https://en.wikipedia.org/wiki/Morphism
	Wikipedia: "Morphism"

5 Symbols

No special symbols have been used in this specification.

6 Additional Information

6.1 How to read this document

This specification is intended to be read by the audience listed below in order to learn, apply, implement and support UTP 2. To understand how UTP 2 relates to other testing standards, all readers are encouraged to read Clause 6 (Additional Information). In order to learn more about the conformance of UML and UTP 2 as well as the compliance levels between the UTP 2 specification and the UTP 2 tool implementation, please read Clause 2 (Conformance). Some references to other standards are listed in Chapter 3 (References). For convenience, Clause 4 (Terms and Definitions) contains a brief summary of the concepts described in more detail in Clause 7

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((Informative) Conceptual Model [STUB]).

The definition of the UML Testing Profile itself can be found in the Chapters 7-9. Clause 7 ((Informative) Conceptual Model [STUB]) starts with the definition of a pure conceptual model of UTP 2 independent of any implementation measures. The conceptual model is informative (i.e. non-normative) but provides the big picture of the intended scope of UTP 2. The mapping of the conceptual model to the UML profile specification is described in Clause 8 (Profile Specification [STUB]). The stereotype mappings abide by the semantics of the conceptual elements in general. Only additional aspects of the semantics regarding the integration of a stereotype with related UML metaclasses will be added in Clause 8.

Clause 9 (<u>Model Libraries</u>) describes the predefined UTP 2 model libraries. The <u>UTP Auxiliary Library</u> provides predefined elements for reuse across multiple modeling projects. The UTP Types Library provides additional types that have been proven helpful for the definition of tests.

The Annex sections provide further informative material for UTP 2, in particular an examples section that shows different methodologies how to apply UTP 2 technically and conceptually. The Annex sections are living sections that means they may change among future versions.

Modeling tool vendors should read the whole document, including the annex chapters. Modelers and engineers are encouraged to read Annex A to understand how the language is applied to examples.

This document may be read in both sequential and non-sequential manner.

6.2 Typographical conventions

A set of typographical conventions have been applied to the editorial part of this specification that should help the reader in understanding and relating things to their proper context. These conventions are subsequently explained:

• Concepts of the conceptual model are written in lower letters and colored blue, indicating a link to the section of the conceptual element. Example: <u>test context</u>

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- UML metaclasses start with an upper case letter and are written in camel-case and are set bold and blue. Example: Constraint, BehavioredClassifier
- Stereotypes are start with an upper case letter and are written in camel-case, surrounded by guillemets. Example: «<u>TestContext</u>»
- Properties of metaclasses or tag definitions of stereotypes are stated in italic: Examples:
 constrainedElement (from UML metaclass Constraint), arbitrationSpecification (from stereotype
 «ProceduralElement»)
- Values of Properties or tagged values of tag definitions are stated italic: Examples: false, true
- OCL constraints as formalization of natural language Constraint descriptions are set in Courier. Example: context <u>TestComponent</u>:

```
not self.base_Property.class.getAppliedStereotype('UTP::TestItem')->
oclIsUndefined()
```

6.3 Typical Use Cases of UTP 2

UMLTP22-40 Correction of typographical and editorial formatting on specification documents

This section briefly summarizes typical use cases of UML Testing Profile V2 (UTP 2) by means of a simple UML use case model. It is intended to give the interested reader an initial idea of who and what for UTP 2 may be used in the context of developing and testing complex systems.

The following use case diagram summarizes typical UTP 2 users and their use cases of UTP 2. Test Execution implement tool evaluate test support for UTP 2 implement results automatic test Tool Vendor case execution Human Test implement implement test execute test onboard test components cases cases System Test Designer Test Design generate test design unit case instances update test specifications design system test level test level select test «include» data design test desian «include» test level integration tests specifications design test cases provide test test level aspect to be tested design aspect to be tested acceptance tests aspect to be tested design test design test cases data for a data-intensive design test cases design test cases for «include» system for a system that a system with time-critical behavior includes humans Quality Assurance check traceability ((extend) ts Engineer QA Manage review test determine specifications test coverage Product System Project

Figure 6.1 - UTP 2 Use Cases

The following table characterizes the users (represented as UML actors) introduced in the diagram above and lists for each user the use cases related to UTP 2 she or he may directly or indirectly carry-out.

Table 6.1 - Typical UTP 2 Users

certifying a safety-critical or mission-critical system or product.	User Type	Description	Use Cases
Human Test Executor	Certifier		
executing test cases and/or evaluating their outcomes. Machine Test Executor A machine or device that executes test cases and/or evaluates their outcomes. Product Manager A role of a person having the overall responsibility for a system or product. Project Manager A role of a person having the overall responsibility for the development, procurement, implementation, or adaption of a system or product or a part of it. QA Manager A role of a person responsible to guarantee the appropriate quality of a system or product. Requirements Engineer A role of a person responsible for gathering, expression and managing the requirements on a system or product. A role of a person or product. A role of a person responsible for gathering, expression and managing the requirements on a system or product. A role of a person that designs, builds, extends, maintains or updates a system or product. A role of a person that designs, builds, extends, maintains or updates a system or product. A role of a person that designs, builds, extends, maintains or updates test specifications A role of a person that designs, builds, extends, maintains or updates test specifications A role of a person that designs, builds, extends, maintains or updates test specifications A role of a person that designs, builds, extends, maintains or updates test specifications A role of a person that designs, builds, extends, maintains or updates test specifications A role of a person that designs, builds, extends, maintains or updates test specifications or design test cases for a data-intensive system A role of a person that designs, builds, extends, maintains or updates test specifications Check traceability Test Designer A role of a person that designs, builds, extends, maintains or updates test specifications Check traceability Test Designer A role of a person that designs, builds, extends, maintains or updates test specifications Check traceability Test Designer A role of a person that designs, builds, extends, maintains or updates t			review test specifications
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			time-critical behavior
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		•	generate test case instances
		•	design test specifications
		•	implement automatic test case
			execution
		•	implement onboard test cases
		•	implement test components
		•	provide test data
		•	select test data
		•	update test specifications
Tool Vendor	A role of a person that develops a tool	•	implement tool support for UTP 2
	implementing at least some aspects of the	•	implement automatic test case
	UTP 2 specification.		execution
		•	implement onboard test cases
		•	implement test components
		•	select test data

The following table briefly describes the use cases introduced in the diagram above.

Table 6.2 - Typical UTP 2 Use Cases

Use Case	Description		
check traceability	Verification of the traceability between requirements and test cases in order to		
	determine the coverage of a system by a set of test cases.		
design acceptance tests	The design of <u>test case</u> s that are used to perform an acceptance test of a system		
	or product, i.e. that the sponsor/customer may decide on the acceptance of that		
	system or product.		
design integration tests	The design of <u>test case</u> s that are used to perform an integration test of a system		
	or product, i.e. the verification of the interoperability among its internal		
	components as well as with its environment conforms to its specification.		
design system tests	The design of <u>test case</u> s that are used to perform a system test of a system or		
	product, i.e. the verification that the system or product (typically viewed as a		
	black box) fulfills its requirements.		
design test cases	The design, elaboration and adaptation of test sets comprising test cases in		
	order to verify the requirements and/or to validate the goals of a system or		
	product.		
design test cases for a data-	The design of <u>test case</u> s for a system whose functionality includes complex		
intensive system	processing of data that is of a highly complex structure and/or of large data		
	volumes.		
design test cases for a system	The design of test cases for a sociotechnical system that includes technical		
that includes humans	systems as well as humans collaboratively performing complex processes.		
design test cases for a system	The design of <u>test case</u> s for a system that must comply to soft or hard real-time		
with time-critical behavior	constraints on its behavior.		
design test data	The design and production of data that is of a highly complex structure and/or		
	of large data volumes.		
design test specifications	The elaboration and compilation of all information necessary for carrying-out		
	verification and validation procedures of a system or product. This includes		
	specifying test objectives, test strategies, test procedures, test data, test		
	configurations, evaluation criteria and more.		
design unit tests	The design of <u>test case</u> s that are used to perform functional tests of an		
	individual component of a system or product.		
determine test coverage	The examination of <u>test set</u> s and <u>test case</u> s with the focus on the coverage		
	provided by of those <u>test set</u> s and <u>test case</u> s with respect to the requirements		
	and/or implementation aspects of a system or product in order to determine the		

	suitability of the <u>test set</u> s and <u>test case</u> s for a given purpose.		
evaluate test results	The examination of the results of an executed test set or executed test case in		
	order to determine the verdict of the test set or test case.		
execute test cases	The manual or automatic execution of test procedures according to a given test specification composed of sets and/or test cases.		
generate test case instances	The manual or automatic production of specific test case instances from a given test specification composed of generic sets and/or test cases.		
implement automatic test case execution	The implementation, provisioning and configuration of test infrastructure required to perform and evaluate <u>test sets</u> or <u>test cases</u> automatically.		
implement onboard test cases	The implementation of test components and test procedures as part of a system or product in order to make it able to perform self-tests while it is in operation.		
implement test components	The implementation, provisioning and configuration of auxiliary test components in order to automate or at least to simplify the execution of test sets or test cases.		
implement tool support for UTP 2	The implementation, provisioning or configuration of a tool in order to supports the utilization of UTP 2. This could e.g. be a UML Profile implementing UTP 2 for a particular UML modeling tool or a test execution tool that supports the concepts of UTP 2.		
provide test data	The provisioning of dedicated data that is used to perform test sets or test cases.		
review test specifications	The quality assurance of a particular test specification in order to fulfill given quality goals.		
select test data	The selection and potentially transformation of available operational data in order to use this data during the execution of test sets or test cases.		
update test specifications	The adaption of <u>test objectives</u> , test strategies, <u>test procedures</u> , test <u>data</u> , <u>test configurations</u> , evaluation criteria etc. according to changing requirements and goals of an already existing system or product.		

6.4 Relation to testing-relevant standards

The landscape of software/system testing standards is diversified. Many domain-specific standards (e.g., [IEC61508]) set requirements on how a test process should be conducted. In addition, there are a number of domain-and methodology-independent testing-relevant standards (e.g., [ISO29119]]), to which UTP 2 can define integration points. In the following section, the specification describes some of these standards and discusses how they can be integrated with UTP 2.

ISO/IEC/IEEE 29119 Software Testing Standard

The ISO/IEC/IEEE 29119 Software Testing Standard is a family of standards for software testing, which consists of five parts:

- Concepts and definitions
- Test processes
- Test documentation
- Test techniques
- Keyword-driven testing

[ISO29119] is a conceptual standard, in the sense that it does not define technical solutions, specific languages or methodologies, in contrast to UTP 2. Instead, [ISO29119] standardizes a number of concepts and definitions, some of which have been adopted by UTP 2. [ISO29119]-2 specifies the structure of test processes and distinguishes different levels for test processes: organizational, test management and dynamic test processes. The first two processes deal with management-related aspects of test processes, and the dynamic test process is mainly about deriving test cases, implementing and executing test cases and evaluating executed test cases.

UTP 2 is designed to support the dynamic test process. That means, it provides concepts that enable the derivation/generation, specification, visualization and documentation of test <u>artifacts</u> such as <u>test cases</u>, <u>data</u>, <u>test configurations</u>, <u>test sets</u> and <u>test contexts</u>. Furthermore, UTP 2 provides necessary concepts to generate [ISO29119]-

3-compliant test reports and documentations out of a UTP 2 model.

A set of standardized <u>test design technique</u>s, such as equivalence partitioning or state-based testing, has been adopted in [ISO29119]-4 made technically explicit as part of the UTP 2 language. Test engineers can utilize UTP 2 to specify <u>test design techniques</u> to be applied on a certain <u>test design input</u> (e.g., a description of the intended behavior of the <u>test item</u>, which is represented as a state machine or interaction). In addition to these standardized <u>test design techniques</u>, test engineers may define additional <u>test design techniques</u> if required.

The relation to [ISO29119]-5, which deals with standardizing the concepts of the keyword-driven testing paradigm, is of an implicit nature. UTP 2 can be effectively employed to setup and drive keyword-driving testing approaches. For further information on the relation of UTP 2 to keyword-driven testing see section Relation to keyword-driven testing.

ISTQB and its glossary

The ISQTB [ISTQB] and its glossary defines a set of globally standardized terminologies and definitions of testing-related concepts. The ISTQB nomenclature was deemed equally important for the definition of UTP 2 concepts as the [ISO29119] definitions. Hence, UTP 2 adopted a set of definitions, terminologies and even test design techniques from the ISTQB glossary and syllabi.

To keep the analogy with [ISO29119], UTP 2 is designed to support activities of test analysis and test design of the ISTQB fundamental test process. Test implementation and test execution are supported rather indirectly by means of arbitration specifications, precise semantics of test actions and the definition of test execution schedules.

Test evaluation activities are supported by means of the <u>test log</u>ging capability of UTP 2, which enables a system-independent representation of a test execution. For example, UTP 2 <u>test log</u>s can be exploited for metrics calculations or supporting other analysis.

ETSI Testing and Test Control Notation 3 (TTCN-3)

ETSI TTCN-3 [ES20187301] standardizes a test programming language and architecture of a test execution system. It enables a platform-independent implementation of executable <u>test cases</u>. As such, it provides test engineers a set of language features that has been proven efficient in the development of large and complex test suites for software-intensive systems of various domains, including telecommunication, transportation, and automotive airborne software. In addition, TTCN-3 provides concepts that address reusability and simplicity in the specification of large test suites, such as using wildcard values to ease the definition of expected <u>responses</u> from the <u>test item</u>.

UTP 2, as a successor of UTP 1, is influenced by the capabilities of TTCN-3. UTP 2 adopts some TTCN-3 concepts such as <u>test components</u>, <u>test configurations</u> and <u>test actions</u>. Moreover, some of the TTCN-3 wildcards definitions (e.g., regular expression, any value) have been adopted by UTP.

Although UTP 2 defines <u>test cases</u> (due to being dependent on UML) at a much higher level of abstraction than TTCN-3, it is possible (and has been done in numerous approaches) to generate TTCN-3 modules from UTP 2 test models.

ETSI Test Description Language (TDL)

The Test Description Language (TDL) standardized by ETSI ([ES20311901], [ES20311902], [ES20311903], [ES20311904]) is a MOF-based graphical modeling language for describing test scenarios (not test cases) by a similar notation to Message sequence Charts (MSC) or UML sequence Diagrams (SD). TDL represents the next generation of testing languages in the ETSI testing technology stack and exploits the advantages of MBT. TDL is used primarily - but not exclusively - for functional testing. According to ETSI, TDL can bring a number of benefits, including:

- higher quality tests through better design
- easier to review by non-testing experts
- better, faster test development
- seamless integration of methodology and tools

TDL and UTP 2 share a set of common concepts such as test component, test configuration and procedural

elements. This is partially due to the same origin of TDL and UTP 2: TTCN-3. In that regard the two languages are compatible. However, UTP 2 has a bigger scope than TDL, which so far mainly focuses on functional testing and the manual definition of test scenarios. UTP 2 offers several features beyond the capability of TDL, such as specifying test design techniques and application thereof onto a test design input. UTP 2 offers explicit concepts for test generation. Another feature of UTP 2 is the flexible handling of arbitration specifications. Finally, UTP 2 offers concepts to organize testing activities based on test management concepts such as test contexts, which resemble the semantics of [ISO29119] test process or test sub-process, test types, test objectives and test sets.

6.5 Relation to model-based testing

Model-Based Testing (MBT) is a testing technique that uses models of a software-intensive system under test to perform certain testing activities such as test analysis, test design and test implementation in both an automated (e.g., generation of <u>test case</u>s and <u>data</u>) and manual manner. Such a system under test is called a <u>test item</u> in the context of the UTP.

The UTP definition of MBT is adopted and slightly adjusted from the [ES202951] definition. "Model-based testing (MBT) is an umbrella of techniques that uses semi-formal models as engineering artifacts in order to specify and/or generate testing-relevant artifacts, such as test cases, test scripts, and reports." Other valid definitions of MBT are:

- "Testing based on or involving models" ([ISTQB], Glossary)
- "An umbrella of techniques that generates tests from models" [ES202951]

MBT has been thoroughly investigated in the academic literature and has also been of great interest in a variety of industry domains [UPL2012], [UL2007]. The idea of MBT is to utilize models (so called test models in the context of UTP 2) that represent the expected behavior of the test item or test cases of the test item at a higher level of abstraction. Such abstraction enables test engineers to focus exclusively on the logical aspects of the test item, instead of being bothered by technical details of the eventual implementation. Low level details of test cases, for example, syntactical details of a scripting language or completeness of data, can be taken care of by domain specific generators eventually producing executable test cases, which can finally be executed against the test item.

UTP 2 is an industrial standard that dedicatedly supports MBT by relying on UML. UTP covers a variety of concepts that are deemed mandatory such <u>test case</u>, <u>data</u>, and Arbitration & <u>verdict</u>. It also dedicatedly and exclusively defines concepts to govern the derivation of test-relevant information (such as <u>test case</u>s, <u>data</u> etc.) by means of test directives and <u>test design techniques</u>. Additionally, it also provides a few test management-related concepts that are required for defining complete test specification documents (compatible with [ISO29119]) such as <u>test contexts</u> (called test process/test sub-process in [ISO29119]), <u>test level</u>, <u>test type</u> and <u>test logs</u>.

UTP 2 is agnostic of any MBT methodology, and thus, supports a variety of MBT approaches. Some of the key aspects include: 1) Modeling <u>test cases</u> for a <u>test item</u> using stereotypes from the profile; 2) Modeling the expected behavior of the <u>test item</u> for test derivation using stereotypes from the profile; 3) Modeling <u>test case</u> specifications in domain specific languages implementing UTP.

Based on the philosophy of (test) modeling, UTP allows creating test models at various levels of abstraction ranging from test models that have no concrete <u>data</u>, test models that have some <u>data</u>, and test models that have all concrete <u>data</u> available.

6.6 Relation to keyword-driven testing

Keyword-driven testing (KDT) is an industrial de-facto standard that is suitable for both manual and automated test execution. KDT methodologies define logical functions that can be performed on the <u>test item</u> in an implementation-independent format (i.e., keyword) at a higher level of abstraction. Keywords are used to design so called keyword <u>test cases</u> (see [ISO29119]-5). In order to execute the keyword <u>test cases</u> against the <u>test item</u>, it is required that implementations of the keywords can be executed by a keyword-based test execution system. Keyword implementations are usually organized in a test library. The keyword-based test execution system is responsible to establish a connection between the keyword implementations and the actual implementation of the <u>test item</u>, run keyword test cases, and execute the keyword implementations against the actual implementation of the test item.

In the literature, there exist a number of keyword-driven testing frameworks. For example, Tang et al. ITCM2008] proposed a keyword-driven testing framework to transform keyword-based test case into different kinds of test scripts. Hametner et al. IHWT2012] proposed a keyword-driven testing approach to specify keyword test case in a high abstraction level, as tabular format using predefined keywords, and automatically generated executable test case. There are a number of commercial and open source tools available for KDT.

UTP 2 is defined to facilitate MBT but it does not explicitly cope with the design and implementation of test execution systems. However, UTP 2 defines concepts such as, <u>abstract test cases</u> and <u>data specification</u> explicitly to enable automated generation of <u>concrete test cases</u> and <u>data</u> from abstract ones. This idea conforms to the idea of

KDT in terms of raising the level of abstractions by defining keyword test cases.

Keywords can be represented by numerous concepts of the underlying UML within UTP 2. For example, Operations of Interfaces may be interpreted as the logical functions that can be performed on the <u>test item</u>. Additionally, UTP 2 can be used to define or generate <u>test cases</u> that are based on these UML-based keyword representations. UML behaviors such as Activities or Interactions are suitable means to represent keywords in <u>test cases</u> in UTP 2, which are eventually exported into the keyword format required by the utilized keyword-based test execution system. As such, UTP 2 is suitable to be used as a standardized and visual language for keywords and keyword <u>test cases</u>.

UTP 2 could even go one step further. Due to the fact that UTP 2 is based on UML, it is even possible to provide an executable specification of the test library (i.e., the implementation of a keyword) by means of other standards such as fUML.

As a summary, UTP 2 can be efficiently leveraged as the language for the (automated or manual) design, visualization, documentation and communication of keywords, keyword <u>test cases</u> and even implementations thereof.

6.7 Relation to the MARTE Profile

Modeling and Analysis of Real-Time and Embedded Systems (MARTE) is a UML profile that is specifically designed for modelling and supporting analyses (e.g., performance and schedulability) for real-time and embedded systems. MARTE is developed to replace its predecessor UML profile, i.e., the UML profile for the Schedulability, Performance, and Time specification (SPTP).

At a very high level, the MARTE profile is organized into four main packages: MARTE foundations, MARTE design model, MARTE analysis model, and MARTE annexes including: MARTE model libraries, Value Specification Language, and Repetitive Structure Modeling. Out of these four packages MARTE analysis model is outside the scope of UTP since it doesn't aim to support analyses such as performance and schedulability but rather focuses on the test case generation. Nonetheless, UTP may be used for supporting model-based performance and schedulability testing and such modelling can be supported with MARTE foundation package on which MARTE analysis model relies on.

The most relevant packages for UTP from MARTE include Non-Functional Properties Modeling (NFP), Time Modelling (Time), and MARTE Library. The NFP package provides a generic framework for modelling NFPs using UML modeling elements. The package defines stereotypes such as «Nfp» to define new NFPs for a particular application and «Unit» for defining new measurement units by extending the existing ones provided in the MARTE model library such as TimeUnitKind and PowerUnitKind. Notice that NFPs defined in MARTE can be used together with UTP to support test case generation.

The Time package is specifically designed for modelling time and its related concepts specifically for real-time and embedded systems. Since Time and behavior are tightly coupled, MARTE's Time modelling can be used in conjunction with the UTP for supporting model-based testing of real-time embedded software/system with a focus on time behavior. The extensive model library of MARTE provides extended basic data types such as Real and DateTime and a rich collection of operations on them. In addition, it also provides a wide variety of measurement units such as TimeUnitKind and LengthUnitKind, general data types such as IntegerVector and IntegerInterval, predefined data types such as NFP_Percentage and NFP_DataSize and TimeLibrary supporting modelling such as logical and ideal clocks. These types can be used for modelling test items and test components that require extended data types rather than the basic data types supported by the UML. In addition, the modelling support for a variety of clocks, i.e., logical and ideal clocks, can be used for modelling complex time behavior of test items and test components.

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7 (Informative) Conceptual Model

This section is *informative*, i.e. non-normative and not relevant for actual profile implementations. However, it is included here to help the reader to get a better understanding of the concepts behind UTP 2. This section illustrates some of the semantics for the concepts defined in this document by means of a pragmatic application of the OMG

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specification "Semantics of Business Rules and Vocabularies" [SBVR]. This pragmatic application of SBVR includes the following:

- A number of concept diagrams visualize the concepts as well as their interrelationships (in SBVR called "verb concepts") organized around different subject areas. Furthermore, any SBVR definitional rule related to the concepts shown is also visualized on the diagram.
- For each concept diagram, the rule statements of each definitional rule shown are listed. The styling of those rule statements is simplified compared to [SBVR] in the sense that no colors/formatting is used. The only styling that is shown is that concepts defined within the document are shown <u>underlined</u> and represent an intra-document hyperlink.
- For each concept diagram, the semantics of each concept shown on the diagram is defined, usually by means of an intensional definition as suggested by [ISO1087-1]. Here underlined words also represent hyperlinks to the mentioned concepts. When defined, additional properties of concepts such as synonyms, examples, generalizations, specialization, etc. are also listed. Furthermore, for each concept the source of its definition is specified.

7.1 Test Planning

7.1.1 Test Analysis

7.1.1.1 Test Context Overview

The following concept diagram represents important semantic aspects of <u>test context</u> and associated other concepts such as <u>test set</u>, <u>test case</u>, <u>data</u> and <u>test design input</u>.

A <u>test context</u> is defined as a hub for information that specifies <u>test type</u>, <u>test level</u>, prescribes <u>test design technique</u>, and refers to <u>data</u>, <u>data pool</u>, <u>test design input</u>, <u>arbitration specification</u>, <u>test set</u> and <u>test case</u>. A <u>test context</u> also refers to other important test model elements, such as the set of <u>test cases</u>, <u>data</u> and the <u>test design input</u>. A <u>test context</u> also provides information for test management, where planning and strategies for the test are defined.

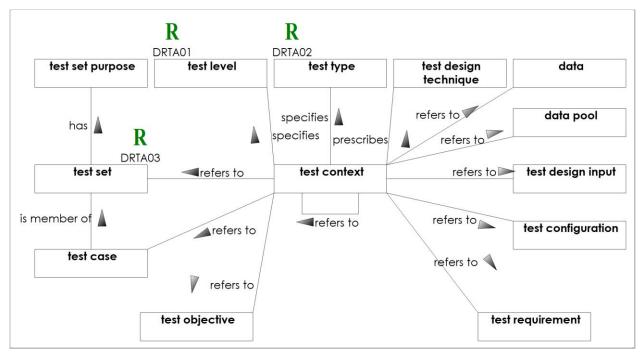


Figure 7.1 - Test Context Overview

Definitional Rules shown on "Test Context Overview"

Table 7.1 - Structural rules shown on Test Context Overview

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Name	Rule statement
DRTA01	It is necessary that each <u>test context</u> specifies at most one <u>test level</u> .
DRTA02	It is necessary that each <u>test context</u> specifies at most one <u>test type</u> .
DRTA03	It is necessary that each <u>test set</u> refers to at most one <u>arbitration specification</u> .

The following concept diagram represents important semantic aspects of <u>test objective</u>s and <u>test requirements</u> and how they relate to requirements on a system to be tested.

A <u>test requirement</u> is designed to meet <u>test objective</u>s and <u>test context</u> specifies <u>test objective</u>s. A <u>test case</u> is designed to meet one or more <u>test objective</u>s and thus the <u>test case</u> must satisfy the associated <u>test requirements</u> of <u>test objective</u>s. In other words, a <u>test objective</u> specifies the goal of a <u>test case</u> and is defined for a certain <u>test context</u>. A <u>test objective</u> is realized by <u>test requirement</u> and implemented by <u>test case</u>s.

The diagram below also shows how <u>test requirements</u> are related to concepts in [SysML]. A <u>test requirement</u> refers to system specification item and associated with requirements of the system. A requirement is further specialized into functional requirement and non-functional requirement.

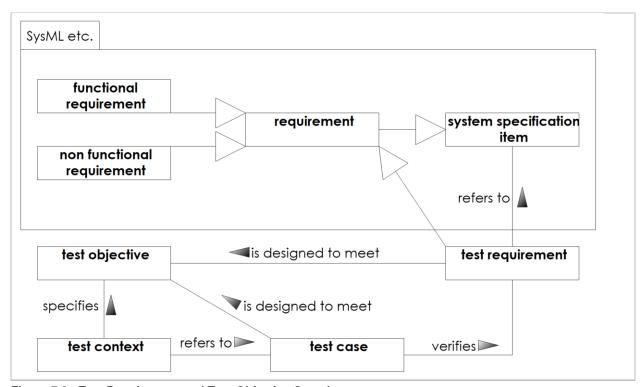


Figure 7.2 - Test Requirement and Test Objective Overview

7.1.1.2 Concept Descriptions

test context	
Definition	A set of information that is prescriptive for testing activities which can be organized and managed together for deriving or selecting <u>test objectives</u> , <u>test design</u> <u>techniques</u> , <u>test design inputs</u> and eventually <u>test cases</u> .
Examples	acceptance test, smoke test, system test,
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2

test level	
Definition	A specification of the boundary of a <u>test item</u> that must be addressed by a specific
	test context.
Examples	integration test, system test, component test,
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2

test objective	
Definition	A desired effect that a <u>test case</u> or <u>test set</u> intends to achieve.
Examples	• Provision of information about the qualities of the product to a certification authority or other stakeholders
	Provision of information that the product has met stakeholder expectations
	• Provision of information that requirements of a product are fulfilled (i.e.

regulatory, design, contractual, etc.)	
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2

test requirement	
Definition	A desired property on a <u>test case</u> or <u>test set</u> , referring to some aspect of the <u>test item</u> to be tested.
Synonyms	test condition
Examples	 Test case must ensure 80% path coverage of use case XY. Test case must check that an IPv6 multicast message is carried out over a GeoBroadcast message into the correct geographical area, with a GVL manually configured.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents UTP 2
Is a	UMLTP22-40 Correction of typographical and editorial formatting on specification documents requirement

test set	
Definition	A set of <u>test case</u> s that share some common purpose.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents UTP 2

test set purpose	
Definition	A statement that explains the rationale for grouping <u>test case</u> s together.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents LITP 2

test type	
Definition	A quality attribute of a <u>test item</u> that must be addressed by a specific <u>test context</u> .
Examples	functionality test, usability test, conformance test, interoperability test, performance
	test,
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	specification documents
	VIIID 0
	- UTP 2

7.1.2 Test Design

7.1.2.1 Test Design Facility Overview

The following diagram summarizes the concepts of UTP 2 test design facility. The test design facility enables the specification of test design techniques that must be applied on a test design input in order to derive test artifacts such as test sets, test cases, test configurations, required data or test execution schedules. Whether the test derivation process according to the specified test design techniques is carried out manually or automatically does not matter whatsoever. Such test design techniques are assembled and governed by a test design directive. Thus, the test design directive is a specification of the capabilities a test designing entity (e.g. a human tester or test generator) must offer in order to perform the derivation activities according to the assembled test design techniques. The UTP 2 test design facility is agnostic of any implementation- or tool-specific details and simply offers the ability to describe, select and extend the set of potentially available and applicable test design techniques.

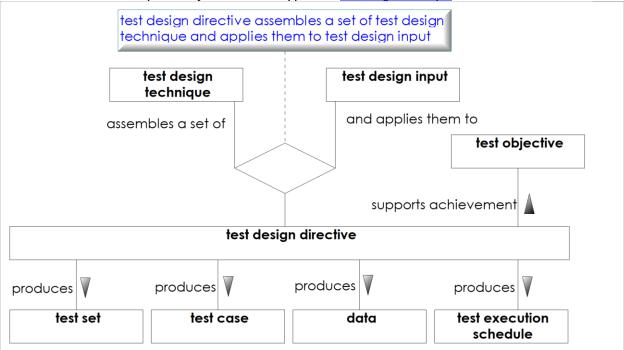


Figure 7.3 - Test Design Facility Overview

7.1.2.2 Concept Descriptions

test design directive	
Definition	A <u>test design directive</u> is an instruction for a test designing entity to derive test <u>artifacts</u> such as <u>test sets</u> , <u>test cases</u> , <u>test configurations</u> , <u>data</u> or <u>test execution schedules</u> by applying <u>test design techniques</u> on a <u>test design input</u> . The set of assembled <u>test design techniques</u> are referred to as the capabilities a test designing entity must possess in order to carry out the <u>test design directive</u> , regardless whether it is carried out by a human tester or a test generator. A <u>test design directive</u> is a means to support the achievement of a <u>test objective</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents UTP 2

test design input	
Definition	Any piece of information that must or has been used to derive testing artifacts such

Examples	as <u>test cases</u> , <u>test configuration</u> , and <u>data</u> . a state machine specifying some expected behavior of the test item used to derive some test cases, a requirements catalog used to derive some test cases,
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents UTP 2
Is a	UMLTP22-40 Correction of typographical and editorial formatting on specification documents model

test design technique	
Definition	A specification of a method used to derive or select <u>test configurations</u> , <u>test cases</u> and <u>data</u> . <u>test design technique</u> s are governed by a <u>test design directive</u> and applied to a <u>test design input</u> . Such <u>test design technique</u> s can be monolithically applied or in combination with other <u>test design technique</u> s. Each <u>test design technique</u> has clear semantics with respect to the <u>test design input</u> and the <u>artifact</u> s it derives from the <u>test design input</u> .
Examples	equivalence testing, structural coverage,
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents UTP 2

7.2 Test Architecture

7.2.1 Test Architecture Overview

The following concept diagram represents important semantic aspects in the context of <u>test configuration</u> and associated other concepts such as <u>test component</u>, <u>test items</u> and <u>test case</u>s. A <u>test case</u> relies on at least one <u>test configuration</u> to execute. A <u>test configuration</u> specifies how the <u>test item</u> and <u>test components</u> are interconnected and what configuration data are needed. Configuration data are specified as part of the <u>test item configuration</u> and <u>test component configuration</u> for the <u>test item</u> and each <u>test component</u>.

We explicitly classify <u>test configuration</u> into two categories: <u>abstract test configuration</u> and <u>concrete test configuration</u> such that enabling the generation of <u>concrete test configuration</u>s from an <u>abstract test configuration</u> would be possible.

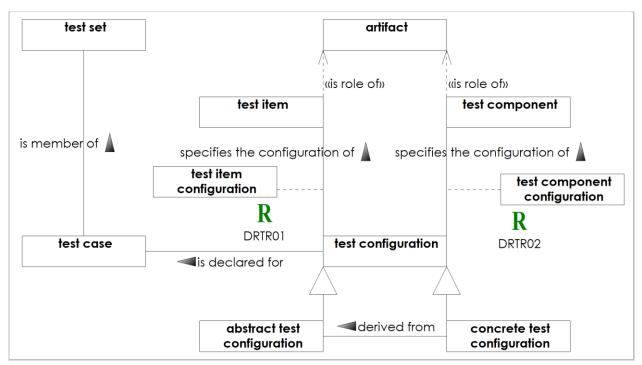


Figure 7.4 - Test Architecture Overview

Definitional Rules shown on "Test Architecture Overview"

Table 7.2 - Structural rules shown on Test Architecture Overview

UMLTP22-40 Correction of typographical and editorial formatting on specification documents

Name	Rule statement
DRTR01	It is necessary that each <u>test item configuration</u> specifies the configuration of at least one <u>test</u>
	<u>item</u> .
DRTR02	It is necessary that each <u>test component configuration</u> specifies the configuration of at least one
	test component.

7.2.2 Concept Descriptions

abstract test configuration	
Definition	A <u>test configuration</u> that specifies the <u>test item</u> , <u>test components</u> and their
	interconnections as well as configuration data that should be abstract test data.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	test configuration

artifact	
Definition	An object produced or modified during the execution of a process.
Synonyms	work product
Examples	Software XY.
	Software Requirements Specification.
	Coffee machine.
	Coffee bean.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents

UTP 2

concrete test configuration	
Definition	A <u>test configuration</u> that specifies the <u>test item</u> , <u>test components</u> and their
	interconnections as well as configuration data that should be concrete <u>data</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	test configuration

test component	
Definition	A role of an <u>artifact</u> within a <u>test configuration</u> that is required to perform a <u>test case</u> .
Examples	 A test driver A test stub Coffee machine that grinds the coffee beans to be tested.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents UTP 2
Sub categories	data provider
Is role of	<u>artifact</u>

test component configuration	
Definition	A set of configuration options offered by an <u>artifact</u> in the role of a <u>test component</u> chosen to meet the requirements of a particular <u>test configuration</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2

test configuration	
Definition	A specification of the test item and test components as well as their interconnection and configuration data.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents UTP 2
Sub categories	abstract test configuration concrete test configuration

test item	
Definition	A role of an <u>artifact</u> that is the object of testing within a <u>test configuration</u> .
Synonyms	System Under Test, SUT
Examples	Software XY to be tested.
	Software Requirements Specification to be reviewed.
	Coffee machine to be tested.
	Coffee beans to be tested.

Abbreviation	SUT
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents UTP 2
Is role of	<u>artifact</u>

test item configuration	n
Definition	A set of configuration options offered by an <u>artifact</u> in the role of a <u>test item</u> chosen
	to meet the requirements of a particular <u>test configuration</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	specification documents
	UTP 2

7.3 **Test Behavior**

7.3.1 Test Cases

7.3.1.1 Test Case Overview

The following concept diagram represents important semantic aspects in the context of what a test case is and what

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its components are. A <u>test case</u> invokes a <u>test procedure</u> describing the execution order of individual <u>test actions</u> (not shown here, see <u>Test Procedures</u> and <u>Test-specific Actions</u> for details). A <u>test case</u> is specialized into <u>abstract test case</u> and <u>concrete test case</u> depending on the availability of <u>data</u>. If all the <u>data</u> required for a <u>test case</u> is available, it is classified as a <u>concrete test case</u> and <u>abstract test case</u> otherwise.

As shown in <u>Test Context Overview</u>, <u>test cases</u> may be grouped into <u>test sets</u>. A <u>test execution schedule</u> prescribes execution order of this set of <u>test cases</u>. All, <u>test cases</u>, <u>test procedure</u>, and <u>test execution schedule</u> may require a <u>precondition</u> and may guarantee a <u>postcondition</u>, each of which play the role of <u>boolean expression</u>.

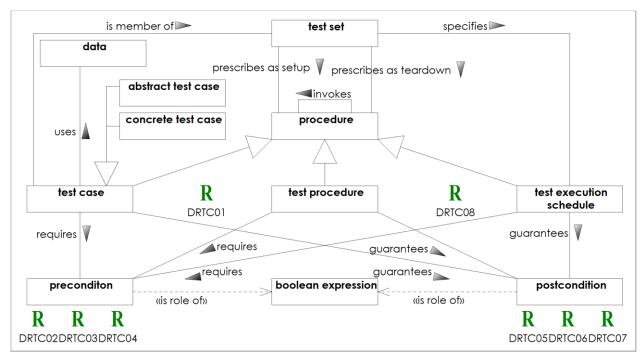


Figure 7.5 - Test Case Overview

Definitional Rules shown on "Test Case Overview"

Table 7.3 - Structural rules shown on Test Case Overview

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Name	Rule statement
DRTC01	It is necessary that each <u>test case</u> invokes at least one <u>test procedure</u> .
DRTC02	It is necessary that each <u>test execution schedule</u> requires at most one <u>preconditon</u> .
DRTC03	It is necessary that each <u>test case</u> requires at most one <u>preconditon</u> .
DRTC04	It is necessary that each <u>test procedure</u> requires at most one <u>preconditon</u> .
DRTC05	It is necessary that each <u>test execution schedule</u> guarantees at most one <u>postcondition</u> .
DRTC06	It is necessary that each <u>test case</u> guarantees at most one <u>postcondition</u> .
DRTC07	It is necessary that each <u>test procedure</u> guarantees at most one <u>postcondition</u> .
DRTC08	It is impossible that a <u>test execution schedule</u> invokes a <u>test procedure</u> .

7.3.1.2 Concept Descriptions

abstract test case	
Definition	A <u>test case</u> that declares at least one <u>formal parameter</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP
Is a	test case

boolean expression	
Definition	An expression that may be evaluated to either of these values: "TRUE" or "FALSE".
Synonyms	predicate
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP

concrete test case	
Definition	A <u>test case</u> that declares no <u>formal parameter</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	test case

postcondition	
Definition	A <u>boolean expression</u> that is guaranteed to be True after a <u>test case</u> execution has been completed.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is role of	boolean expression

preconditon	
Definition	A <u>boolean expression</u> that must be met before a <u>test case</u> may be executed.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is role of	boolean expression

test case	
Definition	A <u>procedure</u> that includes a set of preconditions, inputs and expected results, developed to drive the examination of a <u>test item</u> with respect to some <u>test</u> <u>objectives</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	procedure
Sub categories	 <u>abstract test case</u> concrete test case

test execution schedu	ule
Definition	A <u>procedure</u> that constrains the execution order of a number of <u>test case</u> s.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	<u>procedure</u>

7.3.2 Test-specific Procedures

7.3.2.1 Test Procedures

The following concept diagram represents important semantic aspects of <u>procedures</u> as they are used in UTP. UTP distinguishes three different types of <u>procedures</u>: <u>test execution schedules</u>, <u>test cases</u> and <u>test procedures</u>, which are all special forms of <u>procedures</u>. In general, <u>procedures</u> may invoke other <u>procedures</u>. Furthermore, all <u>procedures</u> may declare one or more <u>formal parameters</u> which are replaced by <u>actual parameters</u> upon <u>procedure invocation</u>.

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A <u>procedure</u> prescribes the execution order of a set of <u>procedural elements</u>, which are either <u>atomic procedural elements</u> (such as <u>procedure invocations</u> or individual <u>test actions</u>) or <u>compound procedural elements</u>. A <u>compound procedural element</u> is a container that groups a set of <u>procedural elements</u> into <u>sequences</u>, <u>loops</u>, and other control structures.

Any <u>procedural element</u> may be constrained by time which is expressed by its possible fact statements of <u>time points</u> and <u>duration</u>s. A <u>procedural element</u> may be constrained on when it is to be performed as well as how long it is to be performed by the tester.

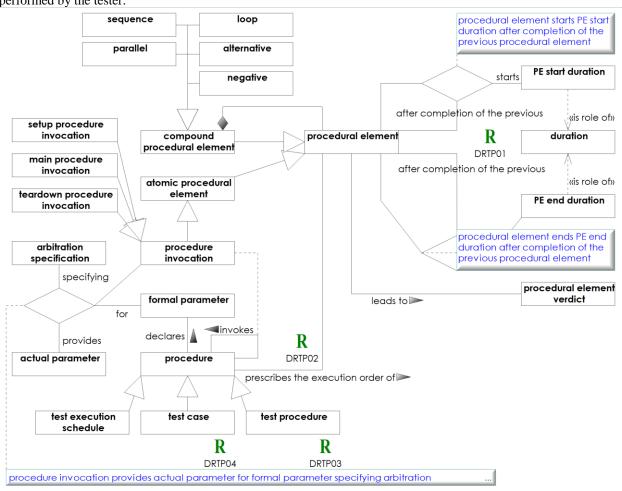


Figure 7.6 - Test Procedures

Definitional Rules shown on "Test Procedures"

Table 7.4 - Structural rules shown on Test Procedures

UMLTP22-40 Correction of typographical and editorial formatting on specification documents		
Name	Rule statement	
DRTP01	It is necessary that the <u>PE start duration</u> of a <u>procedural element</u> is smaller than the <u>PE end duration</u> of the same <u>procedural element</u> .	
DRTP02	It is necessary that each <u>procedure</u> prescribes the execution order of at least one <u>procedural</u> <u>element</u> .	
DRTP03	It is necessary that each <u>test procedure</u> prescribes the execution order of at least one <u>test action</u> .	
DRTP04	It is necessary that each test case invokes at least one <u>test procedure</u> as a <u>main procedure</u>	

Name	Rule statement
	invocation.

7.3.2.2 Concept Descriptions

actual parameter	
Definition	A concrete value that is passed over to the <u>procedure</u> and replaces the <u>formal parameter</u> with its concrete value.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2

alternative	
Definition	A <u>compound procedural element</u> that executes only a subset of its contained
	<u>procedural elements</u> based on the evaluation of a <u>boolean expression</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on
	specification documents
	UTP 2
Is a	compound procedural element

atomic procedural element	
Definition	A <u>procedural element</u> that cannot be further decomposed.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	procedural element
Sub categories	procedure invocationtest action

compound procedural element	
Definition	A <u>procedural element</u> that can be further decomposed.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	procedural element
Sub categories	<u>alternative</u>loop
	• negative
	parallelsequence

UMLTP22-40 Correction of typographical and editorial formatting on specification documents	
UTP 2	
duration	
Definition	The duration from the start of a <u>test action</u> until its completion.
Source	UTP 2

Is a	duration
formal parameter	
Definition	A placeholder within a <u>procedure</u> that allows for execution of the <u>procedure</u> with
Definition	different formal parameters that are provided by the procedure invocation.
Source	UMLTP22-40 Correction of typographical and editorial formatting on
	specification documents
	*Feetings
	UTP 2
	611 2
loop	
Definition	A <u>compound procedural element</u> that repeats the execution of its contained <u>procedural elements</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on
	specification documents
	UTP 2
Is a	compound procedural element
main procedure in	
Definition	A <u>procedure invocation</u> that is considered as the main part of a <u>test case</u> by the <u>test case</u> arbitration specification.
Source	UMLTP22-40 Correction of typographical and editorial formatting on
	specification documents
	UTP 2
Is a	procedure invocation
negative	
Definition	A <u>compound procedural element</u> that prohibits the execution of its contained <u>procedural elements</u> in the specified structure.
Source	UMLTP22-40 Correction of typographical and editorial formatting on
	specification documents
	UTP 2
Is a	compound procedural element
** *	
parallel	A command and advant alarment that are extended as a stained are a description in
Definition	A <u>compound procedural element</u> that executes its contained <u>procedural elements</u> in parallel to each other.
Source	<u> </u>
	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	specification documents
	LETP 2
Ic o	UTP 2
Is a	compound procedural element
PE end duration	
Definition Definition	The <u>duration</u> between the end of the execution of a <u>procedural element</u> and the end
	of the execution of the subsequent procedural element.
Source	UMLTP22-40 Correction of typographical and editorial formatting on
	CALLY 22 40 Correction of typographical and cultorial formatting on
ML Testing Profile 2	(UTP .), Version 2.2

	specification documents
	UTP 2
Is role of	duration

PE start duration	
Definition	The <u>duration</u> between the end of the execution of a <u>procedural element</u> and the beginning of the execution of the subsequent <u>procedural element</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is role of	duration

procedural element	
Definition	An instruction to do, to observe, and/or to decide.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Sub categories	atomic procedural element
	<u>compound procedural element</u>

procedure	
Definition	A specification that constrains the execution order of a number of <u>procedural</u> <u>elements</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Sub categories	 test case test execution schedule test procedure

procedure invocation	
Definition	An atomic procedural element of a procedure that invokes another procedure and waits for its completion.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	atomic procedural element
Sub categories	 main procedure invocation setup procedure invocation teardown procedure invocation

sequence	
Definition	A <u>compound procedural element</u> that executes its contained <u>procedural elements</u>
	sequentially.
Source	UMLTP22-40 Correction of typographical and editorial formatting on

specification documents	
	UTP 2
Is a	compound procedural element

setup procedure invocation	
Definition	A <u>procedure invocation</u> that is considered as part of the setup by the <u>arbitration</u> <u>specification</u> and that is invoked before any <u>main procedure invocation</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	procedure invocation

teardown procedure invocation	
Definition	A <u>procedure invocation</u> that is considered as part of the teardown by the responsible <u>arbitration specification</u> and that is invoked after any <u>main procedure invocation</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	procedure invocation

test procedure	
Definition	A <u>procedure</u> that constrains the execution order of a number of <u>test action</u> s.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	procedure

time point	
Definition	The <u>time point</u> at which a <u>test action</u> is initiated.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	time point

7.3.3 Test-specific Actions

7.3.3.1 Overview of test-specific actions

The following concept diagram represents important semantic aspects of <u>test action</u>s as parts of <u>test procedures</u>. A <u>test action</u> is a specialization of an <u>atomic procedural element</u> and is to be interpreted as an instruction to the tester

UMLTP22-40 Correction of typographical and editorial formatting on specification documents

responsible for executing a <u>test case</u>. Any <u>test action</u> leads to a <u>procedural element verdict</u> (i.e., influences the final <u>test case verdict</u>).

Most <u>test action</u>s check certain aspects of the <u>test item</u>. The most important aspects of the <u>test item</u> are its observable behavior (i.e., its <u>response</u>s) and its measurable properties.

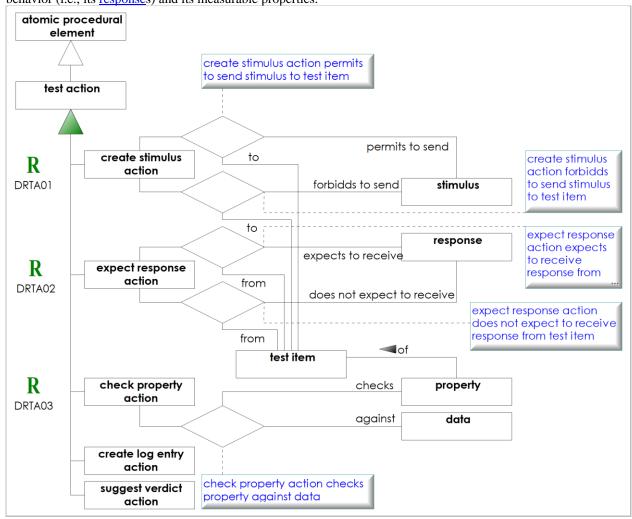


Figure 7.7 - Overview of test-specific actions

Definitional Rules shown on "Overview of test-specific actions"

Table 7.5 - Structural rules shown on Overview of test-specific actions

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Name	Rule statement
DRTA01	It is necessary that a <u>create stimulus action</u> permits to send at least one <u>stimulus</u> .
DRTA02	It is necessary that a <u>expect response action</u> expects to receive at least one <u>response</u> .
DRTA03	It is necessary that a <u>check property action</u> checks at least one <u>property</u> of the <u>test item</u> against
	the <u>data</u> .

7.3.3.2 Concept Descriptions

1.3.3.2 Concept	7.3.3.2 Concept Descriptions	
check property action		
Definition	A <u>test action</u> that instructs the tester to check the conformance of a <u>property</u> of the <u>test item</u> and to set the <u>procedural element verdict</u> according to the result of this check.	
Source	UMLTP22-40 Correction of typographical and editorial formatting on	

	specification documents
	UTP 2
Is a	test action

create log entry actio	on
Definition	A <u>test action</u> that instructs the tester to record the execution of a <u>test action</u> , potentially including the outcome of that <u>test action</u> in the <u>test case log</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	test action

create stimulus action	ı
Definition	A <u>test action</u> that instructs the tester to submit a <u>stimulus</u> (potentially including <u>data</u>) to the <u>test item</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	test action

expect response action	
Definition	A <u>test action</u> that instructs the tester to check the occurrence of one or more particular <u>responses</u> from the <u>test item</u> within a given time window and to set the <u>procedural element verdict</u> according to the result of this check.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	test action

property	
Definition	A basic or essential attribute shared by all members of a class of <u>test items</u> s.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2

response	
Definition	A set of <u>data</u> that is sent by the <u>test item</u> to its environment (often as a reaction to a <u>stimulus</u>) and that is typically used to assess the behavior of the <u>test item</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on
	specification documents
	UTP 2

stimulus	
Definition	A set of <u>data</u> that is sent to the <u>test item</u> by its environment (often to cause a <u>response</u> as a reaction) and that is typically used to control the behavior of the <u>test item</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents UTP 2

suggest verdict action	
Definition	A <u>test action</u> that instructs the tester to suggest a particular <u>procedural element</u> <u>verdict</u> to the <u>arbitration specification</u> of the <u>test case</u> for being taken into account in the final <u>test case verdict</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	test action

test action	
Definition	An <u>atomic procedural element</u> that is an instruction to the tester that needs to be executed as part of a test procedure of a test case within some time frame.
Synonyms	test step
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	atomic procedural element
Sub categories	 check property action create log entry action create stimulus action expect response action suggest verdict action

7.4 Test Data

7.4.1 Test Data Concepts

The following concept diagram represents important semantic aspects of test <u>data</u>. Test <u>data</u> or more generally just <u>data</u> may be modeled at two different levels:

- **Extensional level**: model elements that actually represent some <u>data</u> composed as a set of individual <u>data</u> <u>items</u>
- **Intensional level**: model elements that specify some criteria that some <u>data</u> must comply with, i.e. the specification of the meaning of <u>data</u>

At the *extensional level* <u>data</u> always represents a specific set of <u>data items</u> and is covered by concepts such as <u>data pool</u>, <u>actual data pool</u>, and <u>data partition</u>. The concepts <u>data pool</u> and <u>actual data pool</u> represent containers of <u>data</u>, the former is a logical container, the latter a physical container such as a concrete database. A <u>data partition</u> represents a subset of another set of <u>data items</u> in which all <u>data items</u> are conformant to a particular <u>data specification</u>.

In contrast, at the *intensional level* data is represented by a boolean expression that may be used to qualify data items as member of data, i.e. it represents the intended meaning of data and is covered by concepts such as data specification, data type, and constraint. A data specification is composed of a basic data type plus a set of constraints on that data type. The entire concept of a data specification may be considered as a category in the sense of "Category Theory" in mathematics (see for example [WikiCT] or [SEP2014a]). Thus, two data specifications might be interpreted as categories that are related to each other by means of different dependencies called "morphisms". These may be considered as structure-preserving maps supporting the following three informal semantics:

- A <u>morphism</u> of type "<u>extension</u>" increases the amount of <u>data</u>, i.e. they add more <u>data items</u> to a given set of <u>data items</u>
- A <u>morphism</u> of type "<u>refinement</u>" decreases the amount of <u>data</u>, i.e. they remove <u>data items</u> from a given set of <u>data items</u>
- A <u>morphism</u> of type "<u>complement</u>" inverts <u>data</u>, i.e. it replaces the <u>data items</u> of a given set of <u>data items</u> by

their opposites.

A <u>data provider</u> is a <u>test component</u> that is able to deliver (i.e. either select and/or generate) <u>data</u> according to a <u>data</u> <u>specification</u>.

In the context of a test case, different places of a test case typically refer to different levels of test data

- <u>test case</u>s typically refer to <u>data</u> used as preconditions as well as <u>data</u> to be supplied with stimuli to be sent to the <u>test item</u>
- <u>test case</u>s typically refer to <u>data specifications</u> in postconditions or <u>data</u> returned by responses in order to determine or influence the <u>verdict</u> of the <u>test case</u>.

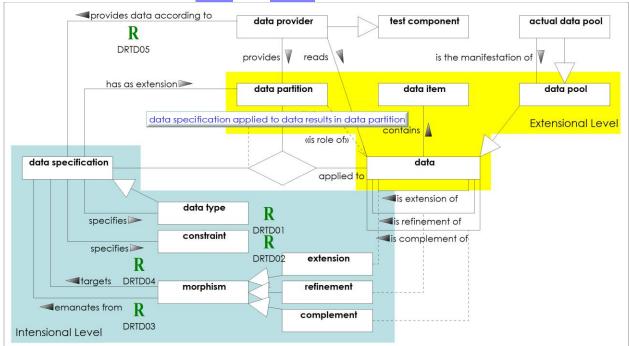


Figure 7.8 - Test Data Concepts

Definitional Rules shown on "Test Data Concepts"

UMLTP22-40 Correction of typographical and editorial formatting on specification documents	
Name	Rule statement
DRTD01	It is necessary that each <u>data specification</u> specifies at least one <u>data type</u> .
DRTD02	It is necessary that each <u>data specification</u> specifies at least one <u>constraint</u> .
DRTD03	It is necessary that a <u>morphism</u> emanates from exactly one <u>data specification</u> .
DRTD04	It is necessary that a morphism targets exactly one data specification.
DRTD05	It is necessary that each <u>data provider</u> provides data according to at least one <u>data specification</u> .

Table 7.6 - Structural rules shown on Test Data Concepts

7.4.2 Concept Descriptions

actual data pool	
Definition	A specification of an actual implementation of a data pool.
Examples	• the specification of the database of type "Customers" on disk DK13 on machine XYZ.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2

Is a	data pool
complement	
Definition	A <u>morphism</u> that inverts <u>data</u>)i.e., that replaces the <u>data item</u> s of a given set of <u>data</u> <u>item</u> s by their opposites).
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents LITP 2

constraint	
Definition	An assertion that indicates a restriction that must be satisfied by any valid
	realization of the model containing the constraint.
Source	[UML]

morphism

data	
Definition	A usually named set of <u>data items</u> s.
Synonyms	concrete data
Examples	• 42.
	• "John".
	• "Some people": {"John", "Greg", "Barb", "Aline"}
	• "Example customer": Sherlock Holmes, living at Baker Street in London
	• The contents of a database "CUST-PRD" containing customers.
Source	UMLTP22-40 Correction of typographical and editorial formatting on
	specification documents
	UTP 2
Sub categories	data pool
Is instance of	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	data structure

data item	_
Definition	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	Either a value or an instance.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2

data partition	
Definition	A role that some <u>data</u> plays with respect to some other <u>data</u> (usually being a subset of this other <u>data</u>) with respect to some <u>data specification</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is role of	data

data pool	
Definition	Some <u>data</u> that is an explicit or implicit composition of other <u>data items</u> .

Is a

Examples	the specification of a database type named "Customers"
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	data
Sub categories	actual data pool

data provider	
Definition	A <u>test component</u> that is able to deliver (i.e., either select and/or generate) <u>data</u> according to a <u>data specification</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	test component

data specification	
Definition	A named <u>boolean expression</u> composed of a <u>data type</u> and a set of <u>constraints</u> applicable to some <u>data</u> in order to determine whether or not its <u>data items</u> conform to this <u>data specification</u> .
Synonyms	abstract data
Examples	 4050. "Jo(h)?n". "odd numbers", i.e. numbers where self mod 2 = 1 "right-angled triangles", i.e. triangles where a^2 + b^2 = c^2 "young, German-speaking customers" i.e., customers, where language= 'German' and age < 18 any/all/295 customers having the forename "John" and living in London.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents UTP 2
Sub categories	data type

data type	
Definition	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	A type whose instances are identified only by their value.
Source	[UML]
Is a	data specification

extension	
Definition	A <u>morphism</u> that increases the amount of <u>data</u> (i.e., that adds more <u>data items</u> to a given set of <u>data items</u>).
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	<u>morphism</u>

morphism	
Definition	A structure-preserving map from one mathematical structure to another.

Source	[WikiM]
Sub categories	• <u>complement</u>
	• extension • refinement

refinement	
Definition	A <u>morphism</u> that decreases the amount of <u>data</u> (i.e., that removes <u>data items</u> from a given set of <u>data items</u>).
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	<u>morphism</u>

7.5 **Test Evaluation**

7.5.1 Arbitration Specifications

7.5.1.1 Arbitration & Verdict Overview

The following concept diagram represents important semantic aspects of verdicts and how they are derived.

An <u>arbitration specification</u> is defined as a set of rules that should be followed to determine the instance of a <u>verdict</u> of an executed <u>test case</u>. An <u>arbitration specification</u> should be specified for a <u>procedure</u> which describes the behavior of <u>test case</u> (<u>test procedure</u>) or a <u>test execution schedule</u> (associated to the execution of a set of <u>test cases</u>). An <u>arbitration specification</u> calculates a <u>verdict</u> which can be <u>Fail</u>, <u>Pass</u>, <u>Inconclusive</u> and <u>None</u>.

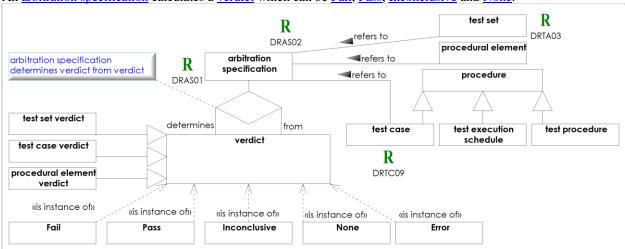


Figure 7.9 - Arbitration & Verdict Overview

Definitional Rules shown on "Arbitration & Verdict Overview"

Table 7.7 - Structural rules shown on Arbitration & Verdict Overview

UMLTP22-40 Correction of typographical and editorial formatting on specification documents	
Name	Rule statement
DRAS01	It is necessary that an <u>arbitration specification</u> determines exactly one <u>verdict</u> .
DRAS02	It is necessary that a <u>arbitration specification</u> determines exactly one of a <u>test set verdict</u> , a <u>test</u>
	case verdict or a procedural element verdict.
DRTA03	It is necessary that each <u>test set</u> refers to at most one <u>arbitration specification</u> .
DRTC09	It is necessary that each <u>test case</u> refers to at most one <u>arbitration specification</u> .

7.5.1.2 Concept Descriptions

arbitration specification	
Definition	A set of rules that calculates the eventual <u>verdict</u> of an executed <u>test case</u> , test set or procedural element.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2

Error	
Definition	An indication that an unexpected exception has occurred while executing a specific
	test set, test case, or test action.
Source	UMLTP22-40 Correction of typographical and editorial formatting on
	specification documents
	UTP 2
Is instance of	<u>verdict</u>

Fail	
Definition	A <u>verdict</u> that indicates that the <u>test item</u> did not comply with the expectations defined by a <u>test set</u> , <u>test case</u> , or <u>test action</u> during execution.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is instance of	<u>verdict</u>

Inconclusive	
Definition	A <u>verdict</u> that indicates that the compliance of a <u>test item</u> against the expectations defined by a <u>test set</u> , <u>test case</u> , or <u>test action</u> could not be determined during execution.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents UTP 2
	UTP 2
Is instance of	<u>verdict</u>

None	
Definition	A <u>verdict</u> that indicates that the compliance of a <u>test item</u> against the expectations defined by a <u>test set</u> , <u>test case</u> , or <u>test action</u> has not yet been determined (i.e., it is the initial value of a <u>verdict</u> when a <u>test set</u> , <u>test case</u> , or <u>test action</u> was started).
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is instance of	<u>verdict</u>

Pass	
Definition	A <u>verdict</u> that indicates that the <u>test item</u> did comply with the expectations defined
	by a <u>test set</u> , <u>test case</u> , or <u>test action</u> during execution.
Source	UMLTP22-40 Correction of typographical and editorial formatting on
	specification documents
	UTP 2
Is instance of	verdict

procedural element verdict	
Definition	A <u>verdict</u> that indicates the result (i.e., the conformance of the actual properties of the <u>test item</u> with its expected properties) of executing a <u>test action</u> on a <u>test item</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	verdict

test case verdict	
Definition	A <u>verdict</u> that indicates the result (i.e., the conformance of the actual properties of the <u>test item</u> with its expected properties) of executing a <u>test case</u> against a <u>test item</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	verdict

test set verdict	
Definition	A <u>verdict</u> that indicates the result (i.e., the conformance of the actual properties of the <u>test item</u> with its expected properties) of executing a <u>test set</u> against a <u>test item</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	<u>verdict</u>

verdict	
Definition	A statement that indicates the result (i.e., the conformance of the actual properties of the <u>test item</u> with its expected properties) of executing a <u>test set</u> , a <u>test case</u> , or a <u>test action</u> against a <u>test item</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Sub categories	 procedural element verdict test case verdict test set verdict
Instances	 Pass Inconclusive None Error Fail

7.5.2 Test Logging

7.5.2.1 Test Log Overview

As defined by [ISTQB] a <u>test log</u> is "a chronological record of relevant details about the execution of tests" and as such is an important means for test evaluation and reporting activities. Thus, the purpose of the UTP 2 <u>test log</u>ging facility is twofold:

1.) It helps establish a trace link between a <u>test case</u> or an entire <u>test set</u> and one or potentially more executions thereof. Essential information of a <u>test log</u> are, for example, the date and the <u>duration</u> when the corresponding <u>test</u>

case was executed; the executing entity (i.e., a human tester or automated test execution system) or entities (in some domains it is not uncommon that test cases are executed over several days by potentially more than one executing entity), and finally, the test case verdict. These so called test log header information are the minimal required information in order to achieve full traceability between test objectives, test requirements, test cases/test sets and finally the execution thereof. Full traceability among those artifacts enables the computation of test metrics such as the status of test execution (how many test cases have eventually been executed at a certain point in time), coverage of requirements (not part of UTP), test requirements or test objectives, etc.

2.) It supports a deeper analysis of what was going on during the execution of a <u>test case</u> or <u>test set</u>. Since the execution of <u>test case</u> or <u>test set</u> is a transient set of <u>test actions</u> performed by an <u>executing entity</u> against the <u>test item</u>, the capturing of detailed information about the performed <u>test actions</u> in a <u>test log</u> is the only way for a stakeholder, usually a test analyst or test manager, to be able to comprehend what has really happened during execution without being part of the executing entities. Such a chronological record of detailed information of an executed <u>test case</u> or <u>test set</u> is in UTP 2 called <u>test log</u> body information. They optionally supplement the <u>test log</u> header information of UTP.

Since the understanding of what information is really relevant during the execution of a <u>test case</u> or <u>test set</u> heavily depends on domain- and/or project-specific requirements, UTP 2 enables the definition of user-defined <u>test log structures</u> that specify what information or data deemed relevant in the respective (test) context and additionally the minimal required header information mentioned above.

Representing <u>test log</u>s on model level contributes to a harmonized and homogeneous view on relevant <u>test log</u> information in the dynamic test process. Usually, a test execution toolscape comprises more than just one tool. Tools for functional testing might be <u>complemented</u> by specialized tools such as those for performance testing (stress, load etc.), security testing or UI testing. The <u>test log</u>s of such heterogeneous toolscapes are basically heterogeneous, too. Thus, a comprehensive, detailed analysis (e.g., for the calculation of metrics over tools etc.) requires access to the proprietary structures of each tool's <u>test log</u> format. The UTP 2 <u>test logging</u> facility mitigates the heterogeneity of <u>test log</u>s by offering an extensible framework to describe arbitrary complex and structured <u>test log</u> formats. The following use cases depict the scenarios the UTP 2 <u>test logging</u> facility was intended to cope with:

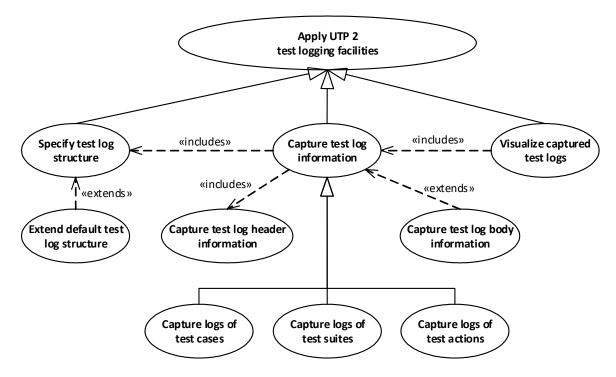


Figure 7.10 - Use Cases of UTP 2 test log XE "test log" ging Facility

The use case "Specify <u>test log structure</u>" enables testers to specify which information is deemed relevant during the execution of in the given test process in addition to the predefined minimal required information. If no additional information is desired, the tester can rely on the implicit default <u>test log structure</u>. This ensures that testers can employ the UTP 2 <u>test logging</u> facilities immediately out of the box.

The use case "Capture <u>test log</u> information" is about capturing the information deemed as relevant that actually appeared during the execution of a <u>test case</u>, <u>test set</u> or even a <u>test action</u> in accordance with the <u>test log structure</u>. Incorporating the <u>test log</u> header information is mandatory, while representing the body part, in contrast, is optional.

The use case "Visualize captured <u>test log</u>s" deals with exposing the captured <u>test log</u> information in an appropriate representation. Since there is no common definition of the most appropriate format of <u>test log</u>s, UTP 2 does not prescribe how that information must be visualized. Thus, it is up to tool vendors to decide about the most appropriate and helpful visual representation(s) of captured <u>test log</u> information.

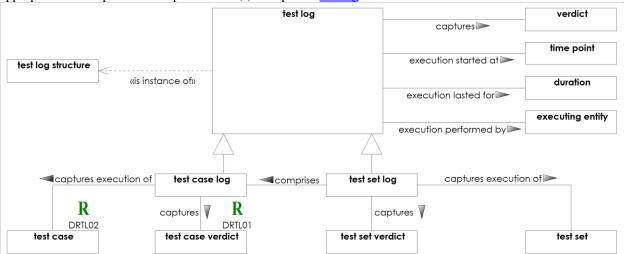


Figure 7.11 - Test Log Overview

Definitional Rules shown on "Test Log Overview"

Table 7.8 - Structural rules shown on Test Log Overview

UMLTP22-40 Correction of typographical and editorial formatting on specification documents		
Name	Rule statement	
DRTL01	It is necessary that each <u>test case log</u> captures exactly one <u>test case verdict</u> .	
DRTL02	It is necessary that each test case log captures execution of exactly one test case.	

7.5.2.2 Concept Descriptions

executing entity	
Definition	An executing entity is a human being or a machine that is responsible for executing
	a <u>test case</u> or a <u>test set</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on
	specification documents
	UTP 2

test case log	
Definition	A <u>test log</u> that captures relevant information on the execution of a <u>test case</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2

Is a	test log
15 a	i tost log

test log	
Definition	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	A <u>test log</u> is the instance of a <u>test log structure</u> that captures relevant information from the execution of a <u>test case</u> or <u>test set</u> . The least required information to be logged is defined by the <u>test log structure</u> of the <u>test log</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Sub categories	• <u>test case log</u>
	• <u>test set log</u>
Is instance of	test log structure

test log structure	
Definition	A <u>test log structure</u> specifies the information that is deemed relevant during execution of a <u>test case</u> or a <u>test set</u> . There is an implicit default <u>test log structure</u> that prescribes at least the start <u>time point</u> , the <u>duration</u> , the finally calculated verdict and the executing entity of a test case or test set execution which should be logged.
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents UTP 2
Instances	test log

test set log	
Definition	A <u>test log</u> that captures relevant information from the execution of a <u>test set</u> .
Source	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	UTP 2
Is a	test log

8 Profile Specification

This section specifies the stereotypes that are defined by the UML Testing Profile.

8.1 Language Architecture

The UML Testing Profile consists of the profile definition and three normative model libraries, which can be imported and applied if required. The profile itself is independent of these libraries, and is a self-contained package. The normative model library <a href="https://doi.org/10.1007/journal.org/10.

UMLTP22-40 Correction of typographical and editorial formatting on specification documents

The UTP Types Library offers helpful types and values, in particular the default verdict type and the default verdict instances. Since some of the definitions and constraints in the profile are based on predefined types, the profile imports the UTP Types Library.

The <u>UTP Auxiliary Library</u> offers the following concepts:

- ISTQB terms for test levels and test set purposes
- Predefined test design techniques and test design technique structures.

Overview of the technical, high-level UML Testing Profile language architecture is given next.

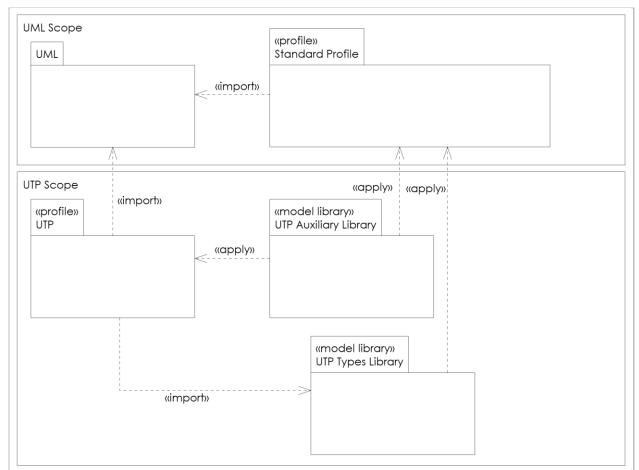


Figure 8.1 - Language Architecture

8.2 **Profile Summary**

The following table gives a brief summary on the stereotypes introduced by the UML Testing Profile 2 (listed in the second column of the table). The first column specifies the mapping to the conceptual model shown in the previous section and the third column specifies the UML 2.5 metaclasses that are extended by the stereotypes.

Stereotype	UML 2.5 Metaclasses	Concepts
ActualParameterValue	Slot	actual parameter
ActualResponseLogEntry	InstanceSpecification	
Alternative	CombinedFragment,	alternative
	StructuredActivityNode	
AlternativeArbitrationSpecifica	BehavioredClassifier	arbitration specification
tion		
AnyValue	Expression	data specification
ArbitrationResult	InstanceSpecification	
ArbitrationSpecification	BehavioredClassifier	arbitration specification
UMLTP22-40 Correction of typ	ographical and editorial formatting on spec	ification documents
ArbitrationSpecificationBindin	InstanceSpecification	
g	•	
ArbitrationTarget		
AtomicProceduralElement		atomic procedural element
AtomicProceduralElementArbit	BehavioredClassifier	arbitration specification
rationSpecification		
AtomicProceduralElementLogE	InstanceSpecification	
ntry	_	
BoundaryValueAnalysis	InstanceSpecification	test design technique
CauseEffectAnalysis	InstanceSpecification	test design technique
ChecklistBasedTesting	InstanceSpecification	test design technique
CheckPropertyAction	Constraint, ObjectFlow	check property action
CheckPropertyArbitrationSpeci	BehavioredClassifier	arbitration specification
fication		
CheckPropertyLogEntry	InstanceSpecification	
ClassificationTreeMethod	InstanceSpecification	test design technique
CombinatorialTesting	InstanceSpecification	test design technique
Complements	Dependency	complement
CompoundProceduralElement	CombinedFragment,	compound procedural element
	StructuredActivityNode	
CompoundProceduralElementA	BehavioredClassifier	arbitration specification
rbitrationSpecification		
CreateLogEntryAction	InvocationAction	create log entry action
CreateLogEntryArbitrationSpec ification	BehavioredClassifier	arbitration specification
CreateLogEntryLogEntry	InstanceSpecification	
CreateStimulusAction	InvocationAction, Message	create stimulus action
CreateStimulusArbitrationSpeci	BehavioredClassifier	arbitration specification
fication		
CreateStimulusLogEntry	InstanceSpecification	
DataPartition	Classifier	data pool
DataPool	Classifier	data pool
DataProvider	Classifier, Property	data provider
DataSpecification	Constraint	data specification
DecisionTableTesting	InstanceSpecification	test design technique

EquivalenceClassPartitioning	InstanceSpecification	test design technique
ErrorGuessing	InstanceSpecification	test design technique
ExpectResponseAction	Message, Trigger	expect response action
ExpectResponseArbitrationSpe cification	BehavioredClassifier	arbitration specification
ExperienceBasedTechnique	InstanceSpecification	test design technique
ExploratoryTesting	InstanceSpecification	test design technique
Extends	Dependency	<u>extension</u>
FormalParameterReference	Property	formal parameter
GenericTestDesignDirective	InstanceSpecification	test design directive
GenericTestDesignTechnique	InstanceSpecification	test design technique
InvocationLogEntry	InstanceSpecification	
InvocationLogEntryStructure	Classifier	
Loop	CombinedFragment, StructuredActivityNode	<u>loop</u>
LoopArbitrationSpecification	BehavioredClassifier	arbitration specification
MessageEventLogEntry	InstanceSpecification	
$\label{logEntryStructur} Message Event Log Entry Structur\\ e$	Classifier	
Morphing	Dependency	<u>morphism</u>
Negative	CombinedFragment,	negative
	StructuredActivityNode	
NegativeArbitrationSpecificatio n	BehavioredClassifier	arbitration specification
NSwitchCoverage	InstanceSpecification	test design technique
OpaqueProceduralElement	NamedElement	procedural element
OpaqueProceduralElementLog Entry	InstanceSpecification	
overrides	Dependency	<u>morphism</u>
PairwiseTesting	InstanceSpecification	test design technique
Parallel	CombinedFragment,	<u>parallel</u>
	StructuredActivityNode	
ParallelArbitrationSpecification	BehavioredClassifier	arbitration specification
ProceduralElement		<u>procedural element</u>
ProceduralElementArbitrationS pecification	BehavioredClassifier	arbitration specification
ProcedureInvocation	CallBehaviorAction, InteractionUse	procedure invocation
ProcedureInvocationArbitration Specification	BehavioredClassifier	arbitration specification
ProcedureInvocationLogEntry	InstanceSpecification	
ProcedureInvocationLogEntryS tructure	Classifier	
Refines	Dependency	<u>refinement</u>
RegularExpression	Expression	data specification
RoleConfiguration	Constraint	test configuration
Sequence	CombinedFragment, StructuredActivityNode	<u>sequence</u>
SequenceArbitrationSpecificati on	BehavioredClassifier	arbitration specification
StateCoverage	InstanceSpecification	test design technique
StateTransitionTechnique	InstanceSpecification	test design technique
SuggestVerdictAction	InvocationAction	suggest verdict action
SuggestVerdictArbitrationSpeci	BehavioredClassifier	arbitration specification

fication		
SuggestVerdictLogEntry	InstanceSpecification	
TestCase	Behavior, BehavioredClassifier	• test case
	,	abstract test case
		• concrete test case
TestCaseArbitrationSpecificatio	BehavioredClassifier	arbitration specification
n		
TestCaseLog	InstanceSpecification	test case log
TestComponent	Classifier, Property	test component
TestComponentConfiguration	Constraint	test component configuration
TestConfiguration	StructuredClassifier	test configuration
TestConfigurationRole	Classifier, Property	test configuration
TestContext	Package	test context
TestDesignDirective	InstanceSpecification	UMLTP22-40 Correction of
		typographical and editorial
		formatting on specification
		documents
		test design directive
TestDesignDirectiveStructure	Classifier	test design directive
TestDesignInput	NamedElement	test design input
TestDesignTechnique	InstanceSpecification	test design technique
TestDesignTechniqueStructure	Classifier	test design technique
TestDirective	InstanceSpecification	
TestDirectiveStructure	Classifier	
TestExecutionSchedule	Behavior	test execution schedule
TestItem	Classifier, Property	<u>test item</u>
TestItemConfiguration	Constraint	test item configuration
TestLog	InstanceSpecification	test log
TestLogElement	InstanceSpecification	
TestLogEntry	InstanceSpecification	
TestLogStructure	Classifier	test log structure
TestLogStructureBinding	Dependency	test log structure
TestObjective	Class	test objective
TestProcedure	Behavior	test procedure
TestRequirement	Class	test requirement
TestSet	Package	test set
TestSetArbitrationSpecification	BehavioredClassifier	arbitration specification
TestSetLog	InstanceSpecification	test set log
TestTechnique	InstanceSpecification	
TestTechniqueStructure	Classifier	
TransitionCoverage	InstanceSpecification	test design technique
TransitionPairCoverage	InstanceSpecification	test design technique
UseCaseTesting	InstanceSpecification	test design technique
verifies	Dependency	

8.3 **Test Planning**

Test analysis and test design deals with determining the identifying test basis for specific testing activities, determination of <u>test objectives</u>, and eventually the selection and application of appropriate the <u>test design</u> <u>techniques</u> to achieve those <u>test objectives</u>. UTP organizes concepts provided for carrying out test analysis and design activities into two parts: concepts for describing <u>test contexts</u>, <u>test objectives</u>, <u>test requirements</u>, and concepts

to specify test design activities.

8.3.1 Test Analysis

The test analysis concepts are means to argue and justify why certain testing activities have to be carried out as well as how these testing activities with all required or helpful <u>artifacts</u> are organized.

In order to group <u>artifacts</u> and information that are deemed necessary for certain testing activities, the <u>test context</u> concept (represented by the stereotype «<u>TestContext</u>») is introduced. It offers the capability to bundle <u>artifacts</u> (e.g., any PackageableElement) in a shared scope (e.g., the Namespace), to hide information from other scopes and to import elements from other scopes. This enables a high degree of organizational reusability of information.

In dynamic testing, <u>test case</u>s are eventually produced by the test design activities in order to execute them. For certain reasons, <u>test case</u>s are often assembled and executed together in a <u>test set</u> (or test suite, which is a synonym of a <u>test set</u>). In UTP, a <u>test set</u> is represented by the stereotype «<u>TestSet</u>» which has the ability to assemble, import and reuse test cases.

The definition of certain coverage criteria and/or objectives that the testing activities have to meet is essential for test planning. In UTP, the planning activities are supported by means of the concepts test objective (implemented by the stereotype «TestObjective»), test requirement (implemented by the stereotype «TestRequirement»), a verification dependency among development artifacts and test objectives or test requirements (represented by the stereotype «verifies»). In order to stay as close as possible to the SysML definition of requirements [SysML], both test objective and test requirements are designed as extensions to the UML metaclass Class. Such a stereotyped Class is capable of defining new properties solely, whereas most of the capabilities of the metaclass Class are forbidden by constraint, such as owning Ports, Operations, Behaviors etc.. The stereotype «verifies» extends the UML metaclass Dependency in order to be technically compatible with SysML [SysML], too.

These concepts enable testers to adhere to well-known and established industrial testing standards such as ISTQB [ISTQB] or ISO 29119 [ISO29119] when creating model-based test specifications. Whereas test objectives are intended to describe higher level goals the testing activities have to achieve in a certain context (e.g., coverage of all high priority requirements at system level testing), test requirements are intended to pinpoint a single and testable aspect of the test item. As such, test objectives describe often the test ending criteria for the testing activities in a certain context (e.g., system level testing), and test requirements leverage the development of test design input definitions or test cases. Eventually, test requirements are realized by test cases, which is similar to the coverage of test requirements. Test requirements contribute to the fulfilment of test objectives.

Both <u>test objectives</u> and <u>test requirements</u> can be used independently of each other or in joint manner or not at all. This is contextually up to the respective testing methodology. UTP does not prescribe the use of these concepts.

8.3.1.1 Test Context Overview

The stereotypes «<u>TestContext</u>» and «<u>TestSet</u>» are defined in UTP. Both represent a container for dedicated elements, thus, they are <u>extensions</u> of the UML Package. As such they inherit the concept of nested Packages, Package templates, owned and imported members as well as visibility. However, it is not prescribed that the visibility concepts have to be respected by any conforming UTP tooling. The decision whether or not to utilize the visibility and import mechanism of UML is up to the tool implementation. However, the derived associations of «<u>TestContext</u>» and «<u>TestSet</u>», however, are based on UML visibility and import.

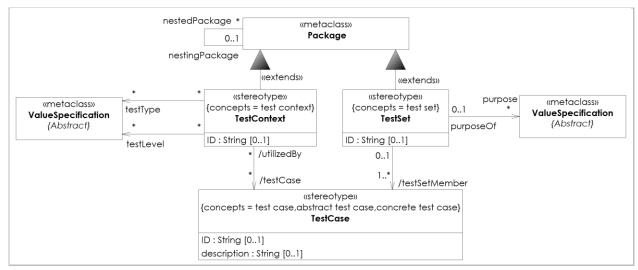


Figure 8.2 - Test Context Overview

8.3.1.2 Test-specific Contents of Test Context

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The UML profile specification for the <u>test context</u> concepts is shown in the following diagram. Most of the relationships among the concepts of the Conceptual Model are already covered by the underlying UML metamodel. In order to allow users of the UTP an easy access to related elements, a set of derived associations is defined that retrieves the desired element for a currently processed stereotype. As an example for the design decision, please see the derived associations between "TestContext" and "TestCase". In the Conceptual Model it is stated that a <u>test context</u> refers to a set of <u>test case</u>s. Since "TestContext" extends the UML metaclass Package and "TestCase" extends a subclass of a PackageableElement, there are several native (i.e., given by the UML metamodel) possibilities on how to reflect the conceptual 'refers to' relationship. First, a Package may contain PackageableElements; second, a Package may import PackageableElement, either by using ElementImport (i.e., only that specific element) or by PackageImport (i.e., all visible and accessible elements in the imported Package). The derived associations of the UTP stereotypes follow the UML metamodel capabilities to collect all concrete PackageableElements stereotyped with "TestCase" that are either contained in or imported by the underlying "TestContext" Package. The advantage is that the test engineer does not have to implement or even know the details of the UML metamodel to retrieve the desired elements.

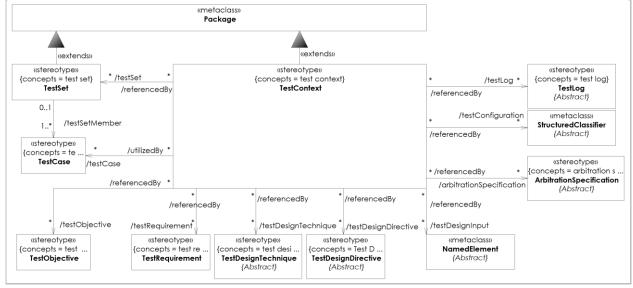


Figure 8.3 - Test-specific Contents of Test Context

8.3.1.3 Test Objective Overview

The following diagram shows the abstract syntax for the <u>test objectives</u> concepts. «metaclass» «metaclass» Class **Dependency** «extends» «extends» «extends» «stereotype» «stereotype» «stereotype» {concepts = test objective} {concepts = test requirement} verifies **TestObjective TestRequirement** ID: String [0..1] ID: String [0..1] references: String [*] 0..1 0..1 specification specification «metaclass» **ValueSpecification**

Figure 8.4 - Test Objective Overview

8.3.1.4 Stereotype Specifications

{Abstract}

8.3.1.4.1 TestContext

Description	<u>TestContext</u> : A set of information that is prescriptive for testing activities which can be organized and managed together for deriving or selecting <u>test objectives</u> , <u>test design techniques</u> , <u>test design inputs</u> and eventually <u>test cases</u> .
	A <u>test context</u> may import the packaged elements of another <u>test context</u> in order to access and reuse visible elements of the imported <u>test context</u> . This is inherently given by the native UML concepts PackageImport or ElementImport. Whether or not the visibility of elements contained in a <u>test context</u> is respected is up to the tool implementation.
	Since a <u>«TestContext</u> » is an extended Package, it is possible to decompose <u>test contexts</u> into more fine-grained <u>test contexts</u> . For example, a <u>test context</u> defined for the <u>test level</u> 'System testing' might be decomposed in accordance to the <u>test types</u> that are addressed at that <u>test level</u> (e.g., functional system testing, security system testing etc.).
Extension	Package
Attributes	ID: String [01] An optional identifier to unambiguously distinguish between any two test contexts.

	If it is set, it has to be unique for all the test contexts in the scope of the model.
Associations	The test cases that are accessible by the given «TestContext». This feature is derived by the set of directly owned or via ElementImport or PackageImport for imported
	test cases. testLevel: ValueSpecification [*]
	The test levels that the testing activities within the given « TestContext » have to cope with. testType: ValueSpecification [*]
	The test types that the testing activities within the given « <u>TestContext</u> » have to cope with.
	/testSet : TestSet [*]
	Refers to the test sets that are known by this test context. It is derived from both contained and imported Packages with «TestSet» applied.
	/testObjective : TestObjective [*]
	Refers to the test objectives that are known by this test context. It is derived from both contained and imported Classes with «TestObjective» applied. /testRequirement: TestRequirement [*]
	Refers to the test requirements that are known by this test context. It is derived from both contained and imported Classes with «TestRequirement» applied. /testConfiguration: StructuredClassifier [*]
	Refers to the test configurations that are known by this test context. It is derived from both contained and imported StructuredClassifier with «TestConfiguration»
	<pre>applied. /testDesignInput : NamedElement [*]</pre>
	Refers to the test design inputs that are known by this test context. It is derived from both contained and imported NamedElements with «TestDesignInput» applied and the NamedElements that are referenced by all known «TestDesignDirective» as their test design input (i.e., referenced by the tag definition testDesignInput). The latter part of the derivation algorithm is necessary, because the use of the «TestDesignInput» stereotype is not mandatory, and sometimes even not possible.
	/testDesignDirective : TestDesignDirective [*]
	Refers to the test design directives that are known by this test context. It is derived from both contained and imported InstanceSpecifications with a concrete subclass of «TestDesignDirective» applied.
	/testDesignTechnique : TestDesignTechnique [*]
	Refers to the test design techniques that are known by this test context. It is derived from both contained and imported InstanceSpecifications with a concrete subclass of «TestDesignTechnique» applied.
	/arbitrationSpecification : ArbitrationSpecification [*]
	Refers to the arbitration specifications that are known by this test context. It is derived from both contained and imported BehavioredClassifiers with «TestDesignTechnique» applied.
	/testLog: TestLog [*] Refers to the test logs that are known by this test context. It is derived from both contained and imported InstanceSpecification with a concrete subclass of «TestLog»
	applied
Constraints	Restriction of extendable metaclasses
	« <u>TestContext</u> » shall not be applied to instances of the metaclass Profile.

Change from UTP 1.2	Changed from UTP 1.2. In UTP 1.2 «TestContext» extended StructuredClassifier
	and BehavioredClassifier as well as incorporated the concepts TestSet,
	TestExecutionSchedule and TestConfiguration into a single concept.

8.3.1.4.2 TestObjective

Description	<u>TestObjective</u> : A desired effect that a <u>test case</u> or <u>test set</u> intends to achieve.
	The stereotype « <u>TestObjective</u> » extends <u>Class</u> . <u>test objective</u> s enables tester to define the test ending criteria for the testing activities in a certain <u>test context</u> . A <u>test objective</u> can be expressed with detail or very abstractly, depending on the underlying methodology.
	As pure test analysis concept, it is very likely that <u>test objectives</u> have to be traceable to and from test environment tools, which first and foremost would be test management tools. Therefore, <u>test objectives</u> have the ability to specify a unique identifier represented by the tag definition ID. However, the use of the explicit identifier is optional and simply enables the most primitive kind of traceability within a test environment.
	The specification of a <u>test objective</u> , i.e., the reason why <u>test cases</u> are created and eventually executed, is expressed by means of the tag definition specification. Although it is typed by the PrimitiveType String, the <u>test objective</u> might be specified by means of a formal or structured language.
	If a BMM profile (see [BMM]) is also loaded into a model containing the UTP 2.0 profile, this stereotype may be considered as a BMM objective (i.e., merged with a BMM objective).
Extension	Class
Attributes	ID : String [01]
	A unique identifier that unambiguously identifies the <u>test objective</u> .
Associations	: TestDesignDirective
	/referencedBy : TestContext [*]
	specification: ValueSpecification [01]
	The specification of the test objective. It might be represented in both unstructured and structured text or any other concrete sub-class of ValueSpecification.
Constraints	Restriction of extendable metaclasses
	«TestObjective» shall only be applied to instances of the metaclass Class.
Change from UTP 1.2	Changed from UTP 1.2. In UTP 1.2, «TestObjective» was called «TestObjectiveSpecification».

8.3.1.4.3 TestRequirement

3.3.1.4.3 TestRequirement		
Description	<u>TestRequirement</u> : A desired property on a <u>test case</u> or <u>test set</u> , referring to some aspect of the <u>test item</u> to be tested.	
	The stereotype « <u>TestRequirement</u> » extends <u>Class</u> (for integration with the SysML stereotype «requirement»). A <u>test requirement</u> enables testers to decompose single and distinct testable aspects of the <u>test item</u> prior to test design. As such, it is part of the test analysis facility of UTP. <u>test requirements</u> are deemed helpful for both the derivation of <u>test cases</u> , <u>test procedures</u> and in particular <u>test design input</u> definitions. <u>test requirements</u> are said to be realized by <u>test design input</u> definitions, <u>test case</u> or <u>test procedures</u> . The default UML metaclass Realize is intended to be utilized to express this relationship.	
	As a pure test analysis concept, it is very likely that <u>test requirements</u> have to be traceable to and from test environment tools, first and foremost test management tools. Therefore, <u>test requirements</u> have the ability to specify a unique identifier represented by the tag definition ID. However, the use of the explicit identifier is optional and simply enables the most primitive kind of traceability within a test environment.	
	UMLTP22-40 Correction of typographical and editorial formatting on specification documents	
	The specification of a <u>test requirement</u> (i.e., the textual description of a single testable aspect of a <u>test requirement</u>) is expressed by means of the tag definition specification. Although it is typed by the PrimitiveType String, the <u>test requirement</u> might be specified by means of a more formal or structured language (e.g., using the Test Purpose Language (TPLan) standardized by ETSI).	
	Additional references to external resources (e.g., relevant standards, guidelines, documents, websites etc.) can be added via the tag definition references.	
	If SysML [SysML] is also loaded into a model containing the UTP 2.0 profile, this stereotype may be considered as (i.e., merged with) the SysML stereotype «requirement».	
Extension	Class	
Attributes	ID : String [01]	
	A unique identifier that unambiguously identifies the <u>test requirement</u> .	
	references: String [*]	
	Includes any additional references that are deemed relevant for the definition of the test requirement (such as relevant standards, papers, or any other meaningful artifact)	
Associations	/realizedBy : TestCase [*]	
	References the <u>test case</u> s that realize the given <u>test requirement</u> . They are derived from the set of UML Realization dependencies that point to the base Class of this stereotype and stem from a BehavioredClassifier or Behavior stereotyped with <u>«TestCase</u> ».	
	/referencedBy : TestContext [*]	
	specification : ValueSpecification [01]	
	The specification of the test requirement. It might be represented in both unstructured and structured text or any other concrete sub-class of ValueSpecification.	
Constraints	Restriction of extendable metaclasses	
	«TestRequirement» shall only be applied to instances of the metaclass Class.	
Change from UTP 1.2	« <u>TestRequirement</u> » has been newly introduced into UTP 2.	

83144 TestSet

3.3.1.4.4 TestSet	
Description	<u>TestSet</u> : A set of <u>test case</u> s that share some common purpose.
	A <u>test set</u> assembles <u>test case</u> s either via ownership or import. These <u>test case</u> s are called the members of the <u>test set</u> . Ownership assembly is based on the ability of UML <u>Packages</u> to nest any PackageableElement. Import assembly is based on the ability of UML <u>Packages</u> to import PackageableElements either directly or indirectly by importing the <u>Package</u> that contains the PackageableElement to be imported. A <u>test case</u> is transitively an <u>extension</u> of PackageableElement, thus, the import mechanisms given by UML can be reused to group <u>test case</u> s in <u>test set</u> s by either assembly kind.
	Visibility of <u>test case</u> s within a <u>test set</u> is defined in accordance with the visibility of NamedElement in Namespaces as defined by UML. Since the use of visibility is not mandatory by UML, it is also not mandatory to utilize visibility in UTP. However, if visibility is desired, it must comply with the UML semantics.
	A <u>test set</u> can have an arbitrary number of <u>test execution schedules</u> (extends Behavior) either by ownership or import, similar to <u>test case</u> assembly. A <u>test execution schedule</u> must only schedule the execution of <u>test cases</u> that are members of the respective <u>test sets</u> . If a <u>test set</u> does not contain an explicit <u>test execution schedule</u> , it is semantically equivalent to an implicitly owned <u>test execution schedule</u> that schedules the execution of all <u>test cases</u> assembled by the current <u>test set</u> in an arbitrary order. If a <u>test set</u> is supposed to be executed, the decision which <u>test execution schedule</u> will be taken into account for scheduling is not defined UTP, since a <u>test set</u> may have more than just one <u>test execution schedule</u> defined. A viable method is to use the UML deployment specification to implement the desired <u>test execution schedule</u> for eventual execution by an <u>executing entity</u> .
	If a <u>test set</u> assembles another <u>test set</u> , the assembling <u>test set</u> has access to all visible <u>test cases</u> assembled by the assembled <u>test set</u> . In addition, the assembling <u>test set</u> has access to all visible <u>test execution schedules</u> of the assembled <u>test set</u> . This enables the composition and decomposition of <u>test set</u> s and their respective <u>test execution schedules</u> .
	The purpose of a <u>test set</u> is set of a ValueSpecifications that can be shared with other <u>test set</u> s. If a <u>test set</u> has more than one purpose, the purposes are logically combined by AND (i.e., if a <u>test set</u> has the two purposes 'Manual Testing' and 'Regression Testing' it should be read as follows 'The <u>test set</u> 's purpose is 'manual regression testing').
Graphical syntax	
Extension	Package
UMLTP22-10 Introdu	ıcing an arbitration specification binding mechanism
Super Class	<u>ArbitrationTarget</u>
Attributes	ID : String [01]
	An optional identifier to unambiguously distinguish between any two <u>test set</u> s. If it is set, it has to be unique for all the <u>test set</u> s in the scope of the model.
Associations	purpose : ValueSpecification [*]

	Denotes the purposes why the test set has been assembled.
	/testSetMember : TestCase [1*]
	Refers to the TestCases that are assembled, either via ownership or import, by the given TestSet, and thus, are members of that TestSet. A TestCase can be a member of more than one TestSet.
	: TestSetLog [*]
	testSetAS : TestSetArbitrationSpecification [01]
	/referencedBy : TestContext [*]
Constraints	Restriction of extendable metaclass
	«TestSet» shall not only be applied to instances of the metaclass Profile.
Change from UTP 1.2	« <u>TestSet</u> » has been newly introduced by UTP 2. It was part of the TestContext in UTP 1.2.

8.3.1.4.5 verifies

Description	The stereotype « <u>verifies</u> » extends Dependency and is intended to express relationships among elements that are supposed to be verified (e.g., a requirement, an interface operation, a use case, a user story, a single transition or state, and so forth) and elements that support the verification thereof (e.g., a <u>test objective</u> , a <u>test requirement</u> , a <u>test case</u> , a <u>test set</u>).
	A <u>«verifies</u> » Dependency as a means to establish traceability within UML-based model elements. It weakens the <u>constraints</u> applied on SysML «Verify» in a sense that UTP <u>«verifies</u> » allows targeting elements different than SysML <u>«requirement»</u> . This limitation is too restrictive for UTP, in particular in setups where, for example, use cases are the elements to be verified.
	Since the semantics of Dependencies with respect to n:m-ary in contrast to binary, 1:m-ary, or n:1-ary Dependencies are not precisely defined, UTP considers by default no difference among all the different ways on how «verifies» Dependencies can be expressed between more than two elements.
	If a SysML profile (see [SysML]) is also loaded into a model containing the UTP 2.0 profile, this stereotype may be considered as the SysML «Verify» stereotype (i.e. merged with the SysML «Verify» stereotype).
Extension	Dependency
Change from UTP 1.2	«verifies» has been newly introduced into UTP 2. In UTP 1.2 the «verify» stereotype from SysML was recommended.

8.3.2 Test Design

UMLTP22-40 Correction of typographical and editorial formatting on specification documents

The UTP 2 test design facility describes a language framework for the specification of test design techniques and their application to a test design input element. This includes behavioral descriptions (e.g., UML state machines), or structural information (e.g., interface definitions). test design techniques are usually assembled by so called test design directive which is responsible for establishing the associations between a set of test design techniques and the test design input element those test design techniques must operate on. A test design directive may also link the test design outputs elements that have been generated or derived by the set of applied test design techniques. This allows for a more comprehensible test design phase and is the key to comprehensive traceability among test objectives/test requirements, test design techniques, test design input and eventually test design output elements.

The UTP 2 test design facility only represents the very core of the language framework. Since the stereotypes of the core framework are based on abstract stereotypes and mostly derived (and read-only unions) associations, it is

possible to concretize and extend the test design facility as required by using stereotype specialization and <u>property</u> subsetting. A built-in concretization of the core framework was done by means of the generic test design capabilities and the predefined <u>test design techniques</u>. It enables test engineers to immediately utilize the test design facility or develop proprietary <u>test design directives</u> and <u>test design techniques</u>. Tailoring of the UTP test design facility can be done at metalevel M1 (model level) and metalevel M2 (metamodel level). The different mechanism for tailoring are:

- Tailoring through structural features: Both «<u>TestDesignTechnique</u>» and «<u>TestDesignDirective</u>» extend the UML metaclass InstanceSpecification with implicit attributes predefined by the respective stereotypes. In addition to these predefined attributes, user may add additional attributes to these two elements by using the genuine InstanceSpecification-Classifier association. Since both stereotypes extend InstanceSpecification, it is possible to classify these InstanceSpecifications with multiple Classifiers. For this purpose, UTP provides the stereotypes «<u>TestDesignDirectiveStructure</u>» and «<u>TestDesignTechniqueStructure</u>». As a result, the user may add as many additional attributes as desired or required to a «<u>TestDesignDirective</u>» and «<u>TestDesignTechnique</u>».
- Tailoring through use of «GenericTestDesignDirective» and «GenericTestDesignTechnique»: By means of the predefined stereotypes «GenericTestDesignTechnique» and «GenericTestDesignDirective», users can build on proprietary test design directives and test design techniques by simply providing dedicated names to the underlying InstanceSpecification (i.e., the InstanceSpecification with «GenericTestDesignDirective» or «GenericTestDesignTechnique» applied. In combination with the extension through structural features as just described above, the use of «GenericTestDesignTechnique» and «GenericTestDesignDirective» provides a flexible and powerful mechanism to tailor the UTP test design facility for user-specific purposes. For example, an InstanceSpecification with «TestDesignTechnique» applied and name set to 'PathCoverage' is one way to provide the test engineer with a new test design techniques that represents path coverage.
- Profile <u>extension</u>: The third and most powerful tailoring to user-specific needs comes along with profile <u>extension</u>. Similar to the provision of specialized stereotypes of the abstract stereotypes
 «<u>TestDesignTechnique</u>» and «<u>TestDesignDirective</u>» as predefined concepts of the language itself, users or vendors may introduce proprietary stereotypes that specialize the abstract stereotypes provided by the test design facility of UTP.

8.3.2.1 Test Design Facility

The following picture shows the abstract syntax of the very core of the UTP test design facility.

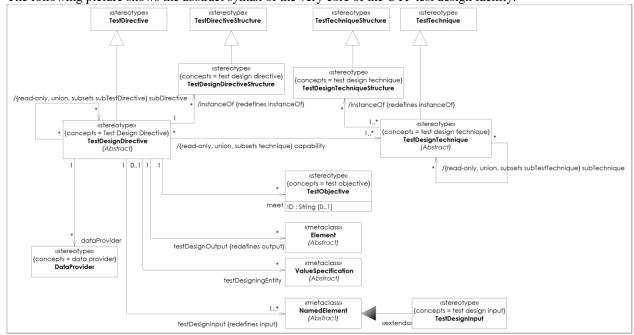


Figure 8.5 - Test Design Facility

8.3.2.2 Generic Test Design Capabilities

The generic test design capabilities of UTP 2 enable tester to immediately start off with specifying <u>test design</u> <u>directives</u> and defining proprietary, user-defined or project-specific <u>test design techniques</u>, if the predefined <u>test design techniques</u> does not suffice.

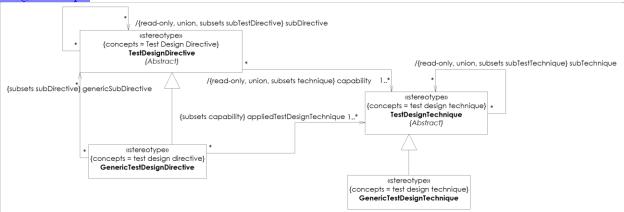


Figure 8.6 - Generic Test Design Capabilities

8.3.2.3 Predefined high-level Test Design Techniques

The following diagram shows the predefined high-level <u>test design techniques</u>. They belong to the so called specification-based <u>test design techniques</u> as categorized by [ISO29119]-4.



Figure 8.7 - Predefined high-level Test Design Techniques

8.3.2.4 Predefined data-related Test Design Techniques

The following diagram shows the predefined <u>data</u>-related <u>test design technique</u>s. They belong to the so called specification-based <u>test design techniques</u> as categorized by [ISO29119]-4.

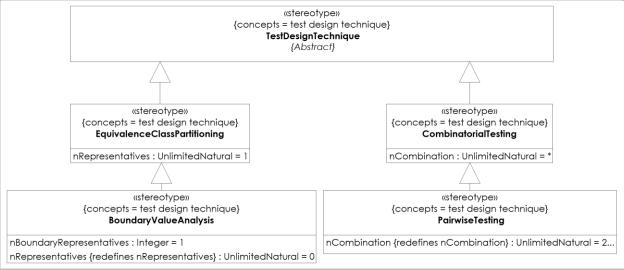


Figure 8.8 - Predefined data-related Test Design Techniques

8.3.2.5 Predefined state-transition-based Test Design Techniques

The following diagram shows the predefined state-transition based <u>test design techniques</u>. They belong to the so called specification-based <u>test design techniques</u> as categorized by [ISO29119]-4.

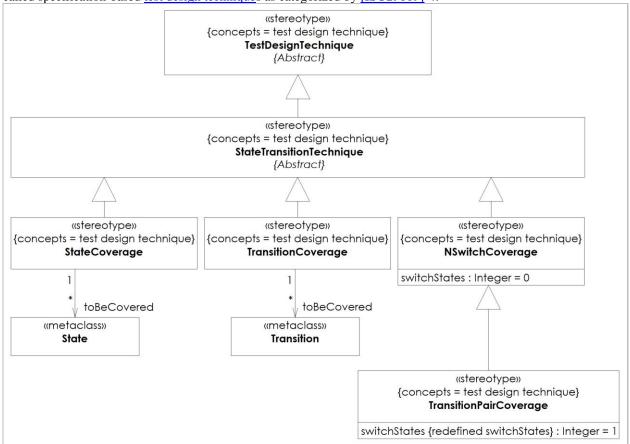


Figure 8.9 - Predefined state-transition-based Test Design Techniques

8.3.2.6 Predefined experience-based Test Design Techniques

The following diagram shows the predefined experienced-based <u>test design technique</u>s as categorized by [ISO29119]-4.

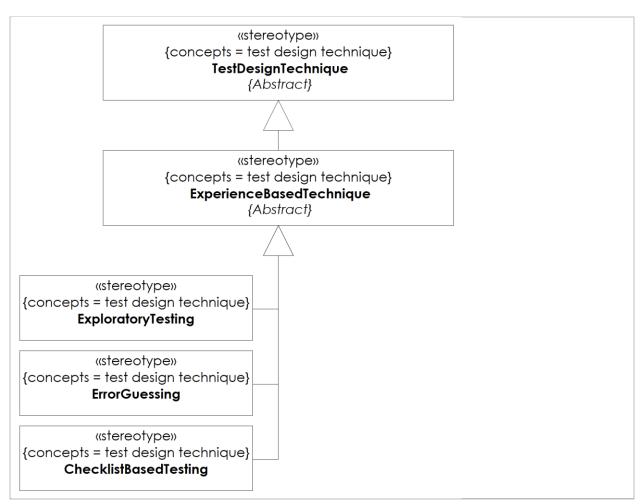


Figure 8.10 - Predefined experience-based Test Design Techniques

8.3.2.7 Stereotype Specifications

8.3.2.7.1 Boundary Value Analysis

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Description	According to [ISTQB]: Black box testing is a <u>test design technique</u> in which <u>test</u> <u>cases</u> are designed based on boundary values.
	«BoundaryValueAnalysis» is an extension of «EquivalenceClassPartitioning» that takes also values at the boundaries (left and right or upper and lower boundary) into account. A boundary value is defined by ISTQB as "an input value or output value which is on the edge of an equivalence partition or at the smallest incremental distance on either side of an edge, for example the minimum and maximum value of a range."
	Since the boundary values already define representatives of an equivalence class, the ordinary (i.e. non-boundary) representatives are usually of less interest. Therefore, the inherited <u>property</u> nRepresentatives is redefined to obtain the default value 0. This ensures that no additional ordinary representatives of the equivalence class are selected. However, it is still possible to specify that in addition to the boundary values, ordinary representatives of the corresponding equivalence class will be selected by setting the value of nRepresentatives to a value greater than 0.
P	See [ISO29119]-4 clause 5.2.3 <u>BoundaryValueAnalysis</u> for further information.
Extension	InstanceSpecification Classification
Super Class	EquivalenceClassPartitioning
Attributes	nBoundaryRepresentatives : Integer [1] = 1
	Specifies the number of boundary representatives that have to be covered by the resulting test cases. Default is 1.
	nRepresentatives {redefines nRepresentatives} : UnlimitedNatural [1] = 0
	Redefines the number of representatives to 0, in addition to the boundary values, meaning that by default only the boundary values will be selected.
Change from UTP 1.2	«BoundaryValueAnalysis» has been newly introduced by UTP 2.

8.3.2.7.2 CauseEffectAnalysis

Description	According to [ISTQB]: A black box <u>test design technique</u> in which <u>test case</u> s are designed from cause-effect graphs.
	See also [ISO29119]-4, clause 5.2.7 Cause-Effect Graphing for further information.
Extension	InstanceSpecification
Super Class	<u>TestDesignTechnique</u>
Change from UTP 1.2	«CauseEffectAnalysis» has been newly introduced by UTP 2.

8.3.2.7.3 ChecklistBasedTesting

Description	According to [ISTQB]: An experience-based <u>test design technique</u> whereby the experienced tester uses a high-level list of items to be noted, checked, or remembered, or a set of rules or criteria against which a product has to be verified.
Extension	InstanceSpecification
Super Class	<u>ExperienceBasedTechnique</u>
Change from UTP 1.2	«ChecklistBasedTesting» has been newly introduced by UTP 2.

8.3.2.7.4 ClassificationTreeMethod

Ololzii Olaboliibali	
Description	According to [ISTQB]: A black box test design technique in which test cases, described by means of a classification tree, are designed to execute combinations of representatives of input and/or output domains. A classification tree is a tree showing equivalence partitions hierarchically ordered, which are used to design test cases in the classification tree method. See also [ISO29119]-4, clause 5.2.2 Classification Tree Method for further information.
Extension	InstanceSpecification
Super Class	<u>TestDesignTechnique</u>
Change from UTP 1.2	«ClassificationTreeMethod» has been newly introduced by UTP 2.

8.3.2.7.5 CombinatorialTesting

Description	According to [ISTQB]: A means to identify a suitable subset of test combinations to achieve a predetermined level of coverage when testing an object with multiple input parameters and where those parameters themselves each have several values.
	The Property nCombinations specifies the number of how many parameters must be combined with each other. The higher the number of combinations, the higher the number of derived test cases. By default, all combinations of input parameters will be covered, which is indicated by the asterisk (*). However, the value of the Property nCombination has to be less than the number of the input parameters. See [ISO29119]-4 clause 5.2.5 Combinatorial Test Design Technquies for further information.
Extension	InstanceSpecification
Super Class	TestDesignTechnique
Sub Class	PairwiseTesting
Attributes	nCombination : UnlimitedNatural [1] = *
	The number of combinations of input parameters
Change from UTP 1.2	«CombinatorialTesting» has been newly introduced by UTP 2.

8.3.2.7.6 DecisionTableTesting

Description	According to [ISTQB]: A black box <u>test design technique</u> in which <u>test cases</u> are designed to execute combinations of inputs and/or stimuli (causes) shown in a decision table. A decision table is a table showing combinations of inputs and/or stimuli (causes) with their associated outputs and/or actions (effects), which can be used to design <u>test cases</u> . See also [ISO29119]-4, clause 5.2.6 Decision Table Testing for further information.
Extension	InstanceSpecification
Super Class	<u>TestDesignTechnique</u>
Change from UTP 1.2	«DecisionTableTesting» has been newly introduced by UTP 2.

8.3.2.7.7 EquivalenceClassPartitioning

0.3.2.7.7 Equivalence	Diassi artificining
Description	According to [ISTOB]: A black box test design technique in which test cases are designed to execute representatives from equivalence partitions. In principle test cases are designed to cover each partition at least once. Usually, the number of the representatives of each equivalence class that will be used to derive the test cases is set to 1 in order to keep the number of test cases as low as possible. In certain situations it might be, for whatever reason, desired to select more than just one representative per equivalence class. The property nRepresentatives enables the tester to set any number desired number of representatives per equivalence class. By default, the value is set to 1 (reflecting the usual application of that test design technique). If the value is set to unlimited (i.e., the asterisk (*)), all possible representatives of an equivalence class have to be selected. See [ISO29119]-4 clause 5.2.1 Equivalence Partitioning for further information.
Extension	InstanceSpecification
Super Class	<u>TestDesignTechnique</u>
Sub Class	<u>BoundaryValueAnalysis</u>
Attributes	nRepresentatives : UnlimitedNatural [1] = 1
	Indicates the desired number of minimal representatives that should be derived for a
	given equivalence class.
Change from UTP 1.2	«EquivalenceClassPartitioning» has been newly introduced by UTP 2.

8.3.2.7.8 ErrorGuessing

	·· ·
Description	According to [ISTQB]: A <u>test design technique</u> where the experience of the tester is used to anticipate what defects might be present in the component or <u>test item</u> as a result of <u>Error</u> s made and to design tests specifically to expose them.
	See [ISO29119]-4 clause 5.4 Error Guessing for further information.
Extension	InstanceSpecification
Super Class	<u>ExperienceBasedTechnique</u>
Change from UTP 1.2	«ErrorGuessing» has been newly introduced by UTP 2.

8.3.2.7.9 ExperienceBasedTechnique

Description	According to [ISTQB]: A procedure to derive and/or select test cases based the tester's experience, knowledge and intuition.
	Experienced-based <u>test design techniques</u> are usually informal techniques potentially supported by checklists or <u>Error</u> taxonomies.
Extension	InstanceSpecification
Super Class	<u>TestDesignTechnique</u>
Sub Class	ChecklistBasedTesting, ErrorGuessing, ExploratoryTesting
Change from UTP 1.2	«ExperienceBasedTechnique» has been newly introduced by UTP 2.

8.3.2.7.10 ExploratoryTesting

Description	According to [ISTQB]: An informal <u>test design technique</u> where the tester actively controls the design of the tests as those tests are performed and uses information
	gained while testing to design new and better tests.
Extension	InstanceSpecification
Super Class	<u>ExperienceBasedTechnique</u>

Change from UTP 1.2	«ExploratoryTesting» has been newly introduced by UTP 2.
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8.3.2.7.11 GenericTestDesignDirective

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Description	A predefined <u>test design directive</u> that is able to assemble any <u>test design technique</u> available or known in a certain context, including any user-defined « <u>GenericTestDesignTechnique</u> ». As such, the generic <u>test design directive</u> makes no assumptions about the capabilities of a test designing entity a priori. Additional required information can be introduced by utilizing the <u>test design directive</u> structure concept.
Extension	InstanceSpecification
Super Class	<u>TestDesignDirective</u>
Associations	{subsets capability} appliedTestDesignTechnique : TestDesignTechnique [1*]
	Enables a generic test design directive to apply any known test design technique for the test design activity.
	{subsets subDirective} genericSubDirective :
	TestDesignDirective [*]
	Enables a generic test design directive to be potentially refined by any other known test design directive.
Change from UTP 1.2	«GenericTestDesignDirective» has been newly introduced by UTP 2.

8.3.2.7.12 GenericTestDesignTechnique

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Description	The predefined generic <u>test design technique</u> is a semantic-free test design technique
	that is intended to be used to specify proprietary test design techniques that are not
	part of the predefined UTP 2 test design facility. The name of the underlying
	InstanceSpecification determines the name of the test design technique, potentially
	extended by structural information.
Extension	InstanceSpecification
Super Class	<u>TestDesignTechnique</u>
Change from UTP 1.2	«GenericTestDesignTechnique» has been newly introduced by UTP 2.

8.3.2.7.13 NSwitchCoverage

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Description	According to [ISTQB]: A form of state transition testing in which test cases are
	designed to execute all valid <u>sequence</u> s of N+1 transitions.
	N-Switch coverage was initially developed by [Chow], where n defines the number
	of switch states among a <u>sequence</u> of consecutive transitions. The default is 0,
	meaning that a test case may only consist of a single transition. However, the
	entirety of all transitions will be captured by the resulting test cases.
Extension	InstanceSpecification
Super Class	<u>StateTransitionTechnique</u>
Sub Class	<u>TransitionPairCoverage</u>
Attributes	<pre>switchStates : Integer [1] = 0</pre>
	Specifies the number of switch states, and thus, implicitly the sequence of
	transitions that will at least be covered by the resulting test cases.
Change from UTP 1.2	«NSwitchCoverage» has been newly introduced by UTP 2.

8.3.2.7.14 PairwiseTesting

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Description	According to [ISTQB]: A black box test design technique in which test cases are designed to execute all possible discrete combinations of each pair of input parameters. «PairwiseTesting» is a specialized «CombinatorialTesting» test design technique whose property nCombination is refined and set to the read-only value 2, meaning, that at least each pair of input parameters will be covered in the resulting test cases. See [ISO29119]-4 clause 5.2.5.4 Pair-wise Testing for further information.	
Extension	InstanceSpecification	
Super Class	CombinatorialTesting	
Attributes	nCombination {redefines nCombination}: UnlimitedNatural [1] = 2 The number of combinations for each input parameter is set to exactly 2 (i.e. each	
	The number of combinations for each input parameter is set to exactly 2 (i.e., each combination of every pair of input parameters must at least be covered).	
Change from UTP 1.2	«PairwiseTesting» has been newly introduced by UTP 2.	

8.3.2.7.15 StateCoverage

Description	According to [ISTQB]: A black box <u>test design technique</u> in which <u>test case</u> s are designed that cover at least the execution of a set of referenced states.
	If no State is referenced by the <u>property</u> toBeCovered, all States in the related state machine will be covered.
Extension	InstanceSpecification
Super Class	<u>StateTransitionTechnique</u>
Associations	toBeCovered: State [*] Refers to a set of States that will at least be covered by the test designer.
Change from UTP 1.2	«StateCoverage» has been newly introduced by UTP 2.

8.3.2.7.16 StateTransitionTechnique

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Description	According to <a>[ISTQB] : A black box <a>test design technique in which <a>test case are designed to execute valid and invalid state transitions.
	Test design directives that assemble a concrete state-transition technique must refer to at least one state machine as its <u>test design input</u> . If more than one state machine is referenced as <u>test design input</u> , the concrete state-transition techniques are applied to all state machines.
	See also [ISO29119]-4, clause 5.2.8 State-Transition Testing for further information.
Extension	InstanceSpecification
Super Class	<u>TestDesignTechnique</u>
Sub Class	NSwitchCoverage, StateCoverage, TransitionCoverage
Change from UTP 1.2	«StateTransitionTechnique» has been newly introduced by UTP 2.

8.3.2.7.17 TestDesign	Directive
Description	TestDesignDirective: A test design directive is an instruction for a test designing entity to derive test artifacts such as test sets, test cases, test configurations, data or test execution schedules by applying test design techniques on a test design input. The set of assembled test design techniques are referred to as the capabilities a test designing entity must possess in order to carry out the test design directive, regardless whether it is carried out by a human tester or a test generator. A test design directive is a means to support the achievement of a test objective. The abstract stereotype «TestDesignDirective» extends InstanceSpecification and brings all relevant information together that is required for automatically or manually derive test artifacts from a test design input. The derivation process is steered by the set of test design techniques, which the current test design directives refers to.
	Each <u>test design directive</u> has a basic set of structural elements, given by the tag definitions of the « <u>TestDesignDirective</u> » stereotype. The fundamental and implicit structure can be extended by means of UML. Since « <u>TestDesignDirective</u> » extends InstanceSpecification, it is possible to add Classifiers to the underlying InstanceSpecification which then define additional structural information deemed necessary in a specific context. This is the easiest and UML native mechanism to tailor <u>test design directive</u> to specific needs.
	The <u>test design techniques</u> that will be applied on the <u>test design input</u> are captured in the association end capabilities. This is a derived union, since it cannot be foreseen which <u>test design techniques</u> are required. Concrete subtypes have to subset the derived union capabilities (see for example "GenericTestDesignDirective") in order to enable certain <u>test design techniques</u> for a <u>test design directive</u> . Those <u>test design techniques</u> can be combined with each other by a <u>test design directive</u> .
	A <u>test design directive</u> refers to a set of NamedElements as the input for the eventual test design activities performed by a test designing entity. This input yields the association end <u>TestDesignInput</u> . It is not required that a referenced NamedElement has the stereotype « <u>TestDesignInput</u> » applied. The assembled <u>test design techniques</u> by the given <u>test design directive</u> are then applied on the <u>test design input</u> in order to produce the test design output <u>artifacts</u> .
	A <u>test design directive</u> may provide sub-directives by means of the association end subDirective. Providing a sub <u>test design directive</u> enables testers to refine the test design activities for certain elements contained in the <u>test design input</u> . As an example, this specification assumes a parent <u>test design directive</u> refers to a StateMachine as its <u>test design input</u> . The <u>test design directive</u> also assembles a set of state-transition and <u>data-related test design techniques</u> that will be applied to the StateMachine by a test designing entity. This specification further assume that the StateMachine contains a submachine State (i.e., a reference of another StateMachine that is considered to be copied to the location of the submachine State) which is referred to as <u>test design input</u> by a sub <u>test design directive</u> . This enables the composition of different kinds of <u>test design directives</u> in order to meet different <u>test objectives</u> .
Extension	InstanceSpecification
Super Class	<u>TestDirective</u>
Sub Class	GenericTestDesignDirective
Associations	<pre>meet : TestObjective [*] The test objectives that have to be fulfilled by putting the given test design directive into effect.</pre>

TestDesignTechnique [1..*] Refers to the set test design techniques that are assembled by the given test design directive. The set is referred to as the capabilities a test designing entity (e.g., a generator in automated test design or human tester in manual test design) has to offer in order to be able to perform the test design activities imposed by the test design directive. : TestDesignDirective [*] /{read-only, union, subsets subTestDirective} subDirective : TestDesignDirective [*] Refers to one or more test design directives that further refine the instructions given by the parent test design directive. : GenericTestDesignDirective [*] testDesignOutput {redefines output} : Element [*] The outcome of the test design activities produced by the given test design directives.

testDesigningEntity : ValueSpecification [*]

Identifies the test designing entity (e.g. a generator in automated test design or a human tester in manual test design) that has produced (parts of) the test design output.

/{read-only, union, subsets technique} capability :

/instanceOf {redefines instanceOf} : TestDesignDirectiveStructure [*]

Refers to the test design directive structure of which the given test design directive is an instance of. The test design directive structure is derived from all Classifiers with «TestDesignDirectiveStructure» applied that are referred as classifiers by the underlying InstanceSpecification.

testDesignInput {redefines input} : NamedElement [1..*]

Refers to the model elements that have to be incorporated by the test designer (e.g. a generator in automated test design or a human tester in manual test design) as input to the derivation process.

/referencedBy : TestContext [*] dataProvider : DataProvider [*]

References the data providers that are supposed to deliver or produce the required

«TestDesignDirective» has been newly introduced by UTP 2. Change from UTP 1.2

8.3.2.7.18 TestDesignDirectiveStructure

Description	A <u>TestDesignDirectiveStructure</u> describes user-defined or context-specific
	additional information that may augment any given <u>TestDesignDirective</u> . A
	Classifier with « <u>TestDesignDirectiveStructure</u> » applied might be of arbitrary
	complexity. It enables the provision of information that are deemed relevant in a
	certain context but not required in a different context.
Extension	Classifier
Super Class	<u>TestDirectiveStructure</u>
Associations	: TestDesignDirective
Change from UTP 1.2	«TestDesignDirectiveStructure» has been newly introduced by UTP 2.

8.3.2.7.19 TestDesignInput

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Description	<u>TestDesignInput</u> : Any piece of information that must or has been used to derive testing <u>artifacts</u> such as <u>test cases</u> , <u>test configuration</u> , and <u>data</u> .
	The stereotype «TestDesignInput» is an explicit, yet optional means to indicate that
	the purpose of a given model element is to use it for test design activities (i.e.,
	usually the derivation of <u>test case</u> s, test <u>data</u> , <u>test configuration</u> s etc.). The
	application of this stereotype is declared as optional, because in general any kind of
	model element might be used as input for the test design activities.
Extension	NamedElement
Change from UTP 1.2	«TestDesignInput» has been newly introduced by UTP 2.

TestDesignTechnique: A specification of a configurations, test cases and data. test design directive and applied to a test design be monolithically applied or in combination test design technique has clear semantics we the artifacts it derives from the test design test design technique with test dis a concrete action, technique or procedure design input. A test design technique is bas design input element, but can be reused acr Some test design technique only make sen was selected (e.g., state-transition test design input element is a StateMachine). Each test design technique has a basic set of definitions of the «TestDesignTechnique» simplicity structure can be extended by mear «TestDesignTechnique» extends InstanceS Classifiers to the underlying InstanceSpecification to the underlying InstanceSpecification as the subTechnique may provide sub-test design technique may provide sub-test design technique. Providing a sub test design design technique for certain elements control existing (potentially pre-defined) test underlying the existing (potentially pre-defined) test instanceSpecification. Extension InstanceSpecification Super Class TestTechnique CauseEffectAnalysis, ClassificationTreeModesign technique (potentially pre-defined) test instanceSpecification. TestTechnique TestDesignTechnique, StateTransit TestDesignTechnique, StateTransit TestDesignTechnique, StateTransit TestDesignTechnique (potentially test test test test test test test tes	
integrates test design techniques with test dis a concrete action, technique or procedure design input. A test design technique is bas design input element, but can be reused acr Some test design techniques only make sen was selected (e.g., state-transition test design design input element is a StateMachine). Each test design technique has a basic set of definitions of the «TestDesignTechnique» simplicity structure can be extended by mean «TestDesignTechnique» extends InstanceS Classifiers to the underlying InstanceSpecification and the substance of the substa	n input. Such test design techniques can with other test design techniques. Each with respect to the test design input and
definitions of the «TestDesignTechnique» simplicit) structure can be extended by mear «TestDesignTechnique» extends InstanceS Classifiers to the underlying InstanceSpecification upon to tailor test design technique may provide subtes subTechnique. Providing a sub test design design technique for certain elements contenrich existing (potentially pre-defined) test design technique. Sub Class Extension InstanceSpecification Super Class TestTechnique Sub Class CauseEffectAnalysis, ClassificationTreeMode DecisionTableTesting, EquivalenceClassPage GenericTestDesignTechnique, StateTransit Associations TestDesignDirective [*] TestDesignTechnique [*] /{read-only, union, subsets subTechnique: TestDesignTechnique : TestDesignTechnique Te	design directives. A test design technique to derive test design output from a test sically independent of a dedicated test ross multiple test design input elements. se if a certain test design input element
subTechnique. Providing a sub test design of design techniques for certain elements continuent enrich existing (potentially pre-defined) test. Extension Super Class TestTechnique Sub Class CauseEffectAnalysis, ClassificationTreeMediate DecisionTableTesting, EquivalenceClassPadenericTestDesignTechnique, StateTransite Associations : TestDesignTechnique [*] /{read-only, union, subsets signTechnique : TestDesignTechnique : TestDesig	stereotype. The fundamental (and ns of UML. Since Specification, it is possible to add fication which then define additional a specific context. This is the easiest and
Extension Super Class TestTechnique Sub Class CauseEffectAnalysis, ClassificationTreeModecisionTableTesting, EquivalenceClassPagenericTestDesignTechnique, StateTransit Associations : TestDesignTechnique [*] : TestDesignTechnique [*] /{read-only, union, subsets subTechnique : TestDesignTechnique : TestDesignTechnique Test	technique enables testers to refine the test tained in the test design input and also to
Super Class Sub Class CauseEffectAnalysis, ClassificationTreeMode DecisionTableTesting, EquivalenceClassPagenericTestDesignTechnique, StateTransit Associations : TestDesignTechnique [*] : TestDesignTechnique [*] /{read-only, union, subsets subTechnique : TestDesignTechnique : Tes	
Sub Class CauseEffectAnalysis, ClassificationTreeMode DecisionTableTesting, EquivalenceClassPagenericTestDesignTechnique, StateTransit Associations : TestDesignTechnique [*] /{read-only, union, subsets subTechnique : TestDesignTechnique :	
: TestDesignTechnique [*] /{read-only, union, subsets s subTechnique : TestDesignTech	artitioning, ExperienceBasedTechnique,
Refers to one or more test design technique	subTestTechnique}
design technique. : GenericTestDesignDirective	es that may further refine the parent test

	/instanceOf {redefines instanceOf} : TestDesignTechniqueStructure [*]
	Refers to additional structural information of the given test design technique. The test design technique structures are derived from all Classifiers with «TestDesignTechniqueStructure» applied that are referred to as classifiers by the
	underlying InstanceSpecification.
	/referencedBy : TestContext [*]
Change from UTP 1.2	«TestDesignTechnique» has been newly introduced by UTP 2.

8.3.2.7.21 TestDesignTechniqueStructure

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Description	A <u>test design technique</u> structure describes user-defined or context-specific
	additional information that may augment any given test design technique. A
	Classifier with «TestDesignTechniqueStructure» applied might be of arbitrary
	complexity. It enables the provision of information that is deemed relevant in a
	certain context but not required in a different context.
Extension	Classifier
Super Class	<u>TestTechniqueStructure</u>
Associations	: TestDesignTechnique [1*]
Change from UTP 1.2	«TestDesignTechniqueStructure» has been newly introduced by UTP 2.

8.3.2.7.22 TransitionCoverage

Description	According to [ISTQB]: A black box <u>test design technique</u> in which <u>test case</u> s are designed that cover at least the execution of a set of references states.
	If no Transition is referenced by the <u>property</u> toBeCovered, all States in the related state machine will be covered.
Extension	InstanceSpecification
Super Class	<u>StateTransitionTechnique</u>
Associations	toBeCovered: Transition [*] Refers to a set of Transitions that will at least be covered by the test designer.
Change from UTP 1.2	«TransitionCoverage» has been newly introduced by UTP 2.

8.3.2.7.23 TransitionPairCoverage

Description	The « <u>TransitionPairCoverage</u> » <u>test design technique</u> is a specific (and often used) « <u>NSwitchCoverage</u> » <u>test design technique</u> that redefines the Property switchStates to the read-only value 1. That means that the resulting <u>test case</u> s should at least cover all sequences of any two consecutive Transitions.
	The semantics of transition pair coverage and N-Switch coverage with nSwitches set to 1 is semantically equivalent.
Extension	InstanceSpecification
Super Class	<u>NSwitchCoverage</u>
Attributes	switchStates {redefined switchStates} : Integer [1] = 1 Restricts the number of switch states to exactly one, meaning, that every pair of subsequent Transitions will at least be covered.
Change from UTP 1.2	«TransitionPairCoverage» has been newly introduced by UTP 2.

8.3.2.7.24 UseCaseTesting

Description	According to [ISTQB]: A black box <u>test design technique</u> in which <u>test cases</u> are designed to execute scenarios of use cases. See also [ISO29119]-4, clause 5.2.9 Scenario Testing for further information.
Extension	InstanceSpecification
Super Class	<u>TestDesignTechnique</u>
Change from UTP 1.2	«UseCaseTesting» has been newly introduced by UTP 2.

8.4 Test Architecture

Test architecture concepts specify structural aspects of a test environment, including a <u>test configuration</u>, necessary to eventually execute <u>test cases</u> against the <u>test item(s)</u>. The test environment comprises everything that is necessary to execute <u>test cases</u> (e.g., <u>test components</u>, hardware, simulators, test execution tools etc.). The <u>test configuration</u> describes how those parts of the test environment and represented <u>test components</u>, are connected with the <u>test item</u>.

Building a reliable <u>test configuration</u> is required for any <u>test case</u>, because it determines the <u>test item(s)</u> and how the test environment (in UTP represented by <u>test components</u>) interfaces to the <u>test item(s)</u>.

Test architectures are mainly expressed by means of UML class and composite structure diagrams. In contrast to UTP 1.2, both <u>test components</u> and <u>test items</u> can be represented either as a standalone type or as a role that a certain type may assume in a specific <u>test configuration</u>. However, UTP does not prescribe which option to use for describing test architecture and both have advantages and disadvantages.

The test architecture concepts consist of

- test configuration, implemented by the stereotype «<u>TestConfiguration</u>»;
- <u>test configuration</u> role, implemented by the abstract stereotype «<u>TestConfigurationRole</u>» as a superclass for any known (even future) role a <u>test configuration</u> may assume;
- role configuration, implemented by the abstract stereotype «<u>RoleConfiguration</u>» as superclass for configurations of concrete roles;
- <u>test component</u>, implemented by the stereotype «<u>TestComponent</u>» that specializes <u>«TestConfigurationRole</u>»;
- <u>test component configuration</u>, implemented by the stereotype <u>«TestComponentConfiguration</u>» that specializes <u>«RoleConfiguration</u>»;
- test item, implemented by the stereotype «TestItem» that specializes «TestConfigurationRole»;
- <u>test item configuration</u>, implemented by the stereotype «<u>TestItemConfiguration</u>» that specializes «RoleConfiguration»;

8.4.1 Test Architecture Overview

The diagram below shows the abstract syntax of the test architecture concepts.

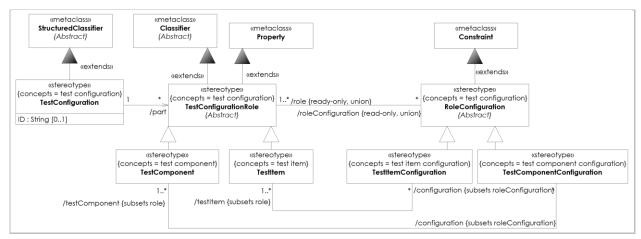


Figure 8.11 - Test Architecture Overview

8.4.2 Stereotype Specifications

8.4.2.1 RoleConfiguration

8.4.2.1 RoleConfig	guration
Description	The abstract stereotype « <u>RoleConfiguration</u> » extends the metaclass Constraint and is used to specify the configuration of <u>test configuration</u> role within a certain <u>test configuration</u> .
	There are at least two ways a role configuration can be associated with a <u>test</u> <u>configuration</u> role, both stemming from the underlying UML Constraints metamodel:
	• Classifier-oriented: A Constraint with a concrete substereotype of «RoleConfiguration» applied is contained by a Classifier as its context with a concrete substereotype of «TestConfigurationRole» applied, or it refers to a set of such Classifiers by means of the meta-association constrainedElement; and
	 Property-oriented: A Constraint with a concrete substereotype of «<u>RoleConfiguration</u>» applied refers to one or more Properties with «<u>TestConfigurationRole</u>» applied by means of the meta-association constrainedElement
	The Classifier-oriented way has the advantage that all parts of test configurations which are typed by a Classifier with a concrete substereotype of «TestConfigurationRole» applied, must abide by the configurations defined for that Classifier. On the downside, this might prevent reuse, because it is not possible to get rid of configurations (similar to the handling of Constraints in UML) expressed on Classifier level.
	The Property-oriented way has the advantage that it enables the dedicated configuration of single <u>test component</u> roles within a <u>test configuration</u> .
Extension	Constraint
Sub Class	<u>TestComponentConfiguration</u> , <u>TestItemConfiguration</u>
Associations	/role {ready-only, union} : TestConfigurationRole [1*]
Cl C LITD 1.2	Refers to the set of at least one test configuration roles.
Change from UTP 1.2	« <u>RoleConfiguration</u> » is newly introduced in UTP 2.

8.4.2.2 TestComponent

Description	TestComponent: A role of an artifact within a test configuration that is required to
	perform a test case.
	•
	The stereotype «TestComponent» specializes «TestConfigurationRole» and declares
	that a certain element (i.e., either a Classifier or Property) is responsible for driving
	the execution of a <u>test case</u> . The use of the stereotype « <u>TestComponent</u> » on
	Classifier is optional but, if it is used, all Properties of that type must also have
	« <u>TestComponent</u> » applied, if they are used in a <u>test configuration</u> .
Extension	Classifier, Property
Super Class	<u>TestConfigurationRole</u>
Sub Class	<u>DataProvider</u>
Associations	/configuration {subsets roleConfiguration} :
	TestComponentConfiguration [*]
	Refers to the configurations that are defined for this «TestComponent». This set of
	configurations is derived from all Constraints with «TestComponentConfiguration»
	applied that are either owned rules (in case of «TestComponent» is applied on a
	Classifier) of the «TestComponent» or inversely referring to the «TestComponent»
	(in case of «TestComponentConfiguration» is applied on Constraint without having
	a context, but using Constraint.constrainedElement to refer to the
	«TestComponent»).
Change from UTP 1.2	Changed from UTP 1.2. In UTP 1.2., « <u>TestComponent</u> » only extended Class.

8.4.2.3 TestComponentConfiguration

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Description	TestComponentConfiguration: A set of configuration options offered by an artifact in the role of a test component chosen to meet the requirements of a particular test configuration. The stereotype «TestComponentConfiguration» specializes the abstract stereotype «RoleConfiguration». The eventual set of configurations for a NamedElement with «TestComponent» applied is derived from the union of all test component configurations declared for that NamedElement (i.e., either on Classifier or Property level).
Extension	Constraint
Super Class	RoleConfiguration
Associations	/testComponent {subsets role} : TestComponent [1*]
	Refers to the set of at least one test components that are configured by the given test component configuration. The resulting set is derived from both the Classifier stereotyped with «TestComponent» that is the context of the underlying Constraint and all test components regardless of whether Classifier or Property that are referenced by the underlying <i>Constraint.constrainedElement</i> .
Constraints	Ownership of «TestComponentConfiguration»
	Each «TestComponentConfiguration» shall refer to at least one «TestComponent», i.e., there is no «TestComponentConfiguration» that exists without referring to a «TestComponent».
Change from UTP 1.2	« <u>TestComponentConfiguration</u> » has been newly introduced into UTP 2.

8.4.2.4 TestConfiguration

3.4.2.4 TestConfiguration	
Description	TestConfiguration: A specification of the test item and test components as well as their interconnection and configuration data.
	The stereotype « <u>TestConfiguration</u> » extends StructuredClassifier which effectively extends a variety of UML metaclasses such as Class, Collaboration, and Component, etc. The <u>test configuration</u> then refers to the composite structure of the underlying StructuredClassifier . Every <u>test configuration</u> must have at least one member stereotyped « <u>TestItem</u> » which is connected to at least one member stereotyped with « <u>TestComponent</u> ».
	The <u>test configuration</u> s of any two distinct <u>test procedure</u> s that are intended to be executed together, as part of a potentially third <u>test procedure</u> , and must have a compatible <u>test configuration</u> . Compatibility of <u>test configuration</u> s is partially defined by UML and the substitution principle of Liskov, but also by means of the idea of EncapsulatedClassifiers. The attempt to invoke <u>test procedure</u> s together will most likely fail due to technical incompatibility.
	Test cases or <u>test procedures</u> may come along with their own <u>test configurations</u> expressed by means of their respective composite structures. In that case, the application of the <u>*TestConfiguration**</u> stereotype will be done in addition to <u>*TestCase**</u> or <u>*TestProcedure**</u> . In case of shared <u>test configurations</u> it is recommended, though not required, to facilitate the UML concept of a <u>*TestConfiguration**</u> stereotyped Collaboration. Collaborations are meant to be reused by other <u>StructuredClassifiers</u> , including Behaviors, by means of CollaborationUse and role bindings. Inheritance and redefinition, as defined by UML, are additional means to express shared and reusable <u>test configurations</u> , as well.
Extension	StructuredClassifier
Attributes	ID: String [01] A unique identifier that unambiguously identifies the given test configuration.
Associations	/part : TestConfigurationRole [*]
	Refers to the test configuration parts that are involved in this test configuration. They are derived from all members of the underlying StructuredClassifier that has a subclass of the abstract stereotype «TestConfigurationRole» applied.
Constraints	Minimal test configuration
	A StructuredClassifier with «TestConfiguration» applied must at least specify one part having «TestItem» applied.
Change from UTP 1.2	« <u>TestConfiguration</u> » has been newly introduced into UTP 2. It was conceptually represented by the composite structure of a «TestContext» in UTP 1.2.

8.4.2.5 TestConfigurationRole

Description	The abstract stereotype « <u>TestConfigurationRole</u> » extends both Classifier and
	Property.
	The advantage of assigning the role to a certain part assumes in a <u>test configuration</u> that the very same Type of this part (i.e., Class or Component) can be reused in different <u>test configuration</u> with different roles. This entails that the application of a concrete subclass of <u>«TestConfigurationRole»</u> on a Classifier is not required at all
	and limits reusability of this Classifier. If a concrete substereotype of
	« <u>TestConfigurationRole</u> » is applied on a Classifier, any part of a <u>test configuration</u>
	must have the very same concrete substereotype applied.
Extension	Classifier, Property

Sub Class	TestComponent, TestItem
Associations	/roleConfiguration {read-only, union} :
	RoleConfiguration [*]
	Refers to the role configuration that is defined for this test configuration role.
	: TestConfiguration
Change from UTP 1.2	« <u>TestConfigurationRole</u> » is newly introduced in UTP 2.

8.4.2.6 TestItem

Description	<u>TestItem</u> : A role of an <u>artifact</u> that is the object of testing within a <u>test configuration</u> .
	The stereotype « <u>TestItem</u> » always indicates that a certain <u>artifact</u> (i.e., either applied
	on Classifier or Property) specifies (parts of) the system under test. The use of the
	stereotype « <u>TestItem</u> » on a Classifier is optional, but if it is used, all Properties of
	that type within a <u>test configuration</u> must also have « <u>TestItem</u> » applied, if they are
	used in a <u>test configuration</u> .
Extension	Classifier, Property
Super Class	<u>TestConfigurationRole</u>
Associations	/configuration {subsets roleConfiguration} :
	TestItemConfiguration [*]
	Refers to the configurations that are defined for this test item. This set of configurations is derived from all Constraints with «TestItemConfiguration» applied that are either owned rules of the «TestItem» (in case of «TestItem» is applied on a Classifier) or that refer to the given test item using the underlying Constraint's <i>constrainedElement</i> attribute.
Change from UTP 1.2	« <u>TestItem</u> » has been newly introduced into UTP 2 and supersedes the «SUT» stereotype in UTP 1.

8.4.2.7 TestItemConfiguration

Description	TestItemConfiguration: A set of configuration options offered by an artifact in the role of a test item chosen to meet the requirements of a particular test configuration. The stereotype «TestItemConfiguration» specializes the abstract stereotype «RoleConfiguration». The eventual set of configurations for a NamedElement with «TestItem» applied is derived from the union of all test item configurations declared for that NamedElement (i.e., either on Classifier or Property level).
Extension	Constraint
Super Class	RoleConfiguration
Associations	/testItem {subsets role} : TestItem [1*] Refers to the set of at least one test items that are configured by the given configuration. The resulting set is derived from both the Classifier stereotyped with «TestItem» that is the context of the underlying Constraint and all «TestItem» elements, regardless whether Classifier or Property, that are referenced by the underlying Constraint.constrainedElement.
Constraints	Ownership of «TestItemConfiguration» Each «TestItemConfiguration» shall refer to at least one «TestItem», i.e., there is no «TestItemConfiguration» that exists without referring to a «TestItem».
Change from UTP 1.2	« <u>TestItemConfiguration</u> » has been newly introduced into UTP 2.

8.5 **Test Behavior**

Test behavior is a collective term for concepts that can be executed as part of a <u>test set</u> or <u>test case</u>. Since the behavioral descriptions of UML are orthogonal to each other to a certain extent, UTP introduces a set of test execution-relevant stereotypes independently of the underlying UML Behaviors or its constituting parts. Integration with these Behaviors is done via partially multiple <u>extensions</u>.

The concepts for test behaviors are separated into the following blocks:

- Concepts for test-specific <u>procedures</u> (see section <u>Test-specific Procedures</u>)
- Concepts for procedural element (see section Procedural Elements)
- Concepts for test-specific actions (see section Test-specific Actions)

8.5.1 Test-specific Procedures

The fundamental executable concept in UTP is a <u>procedure</u>. Any UML <u>Behavior</u> without <u>«TestCase</u>», <u>«TestExecutionSchedule</u>» or <u>«TestProcedure</u>» applied is considered as a <u>procedure</u>. A <u>procedure</u> comprises <u>procedural elements</u> regardless whether the building blocks are called <u>InteractionFragments</u> (if the <u>procedure</u> is realized as <u>Interaction</u>) or Action (if the <u>procedure</u> is realized as Activity). For example, the <u>procedural element loop</u> is represented by the stereotype <u>«Loop</u>» and denotes a repeated execution of procedural elements that are contained in that loop. <u>«Loop</u>» extends the UML metaclasses CombinedFragment (integrating with <u>Interactions</u>) and the StructuredActivityNode <u>loop</u> (integrating with Activities). Furthermore, it adds some test-specific information such as the ability to provide <u>arbitration specifications</u>, when the <u>loop</u> is part of a <u>test procedure</u>.

Test-specific <u>procedures</u> are <u>procedures</u> that deliver a <u>verdict</u> (i.e., they can, or must in the case of a <u>test case</u>, be arbitrated (see section Arbitration Specifications for further information about arbitration). This includes that its constituting procedural elements are arbitrated as well and provide their respective verdict to a test case arbitration specification, which potentially provides its test case verdict to a test set arbitration specification. UTP defines three different test-specific <u>procedures</u> for:

- <u>test procedure</u>, represented by the stereotype «<u>TestProcedure</u>»;
- <u>test case</u>, represented by the stereotype «<u>TestCase</u>»; and
- <u>test execution schedule</u>, represented by the stereotype <u>«TestExecutionSchedule</u>»

A <u>test procedure</u> is a reusable behavior that comprises <u>procedural elements</u> and runs on a <u>test configuration</u>. A <u>test case</u> invokes one or more <u>test procedures</u> and assigns either of these roles: setup, main or teardown to the invoked <u>test procedure</u>. A <u>test execution schedule</u> represents the invocation order of a <u>test set</u>'s <u>test cases</u>.

The allowed invocation scheme for test-specific procedures is as follows:

- <u>test execution schedule</u> must only invoke other <u>test execution schedules</u>, <u>test cases</u> or <u>procedures</u>. The invocation of test procedures by a test execution schedule is not allowed;
- <u>test case</u> must only invoke <u>test procedure</u>s or <u>procedure</u>s, but must invoke at least one <u>test procedure</u> as its main part. The invocation of <u>test cases</u> or <u>test execution schedules</u> is not allowed;
- <u>test procedure</u> must only invoke other <u>test procedure</u>s or <u>procedure</u>s. The invocation of <u>test case</u>s or <u>test execution schedules</u> is not allowed.

The <u>test configuration</u> of the invoking <u>test case</u> or <u>test procedure</u> must be compatible with the <u>test configuration</u> of the invoked <u>test procedure</u>. In the case of contained <u>test configurations</u> and inheritance thereof, compatibility is given by the substitution principle of Liskov. In the case of shared <u>test configurations</u> based on Collaboration, compatibility is defined by UML.

8.5.1.1 Test Case Overview

The following diagram shows the abstract syntax of the test-specific procedures.

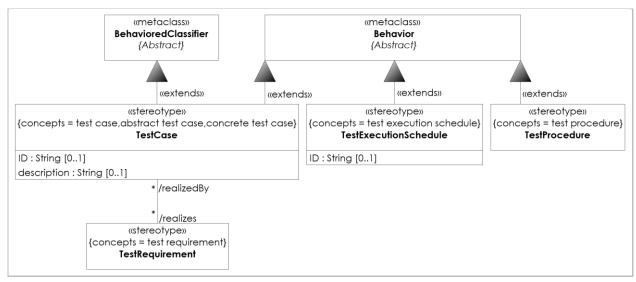


Figure 8.12 - Test Case Overview

8.5.1.2 Stereotype Specifications

8.5.1.2.1 TestProcedure

0.3.1.2.1 Testriocedule	
<u>TestProcedure</u> : A <u>procedure</u> that constrains the execution order of a number of <u>test actions</u> .	
A <u>test procedure</u> is a reusable Behavior that constitutes the building blocks for other <u>test procedures</u> or <u>test cases</u> . A <u>test procedure</u> consists of <u>procedural elements</u> , in particular <u>test actions</u> .	
A test procedure must always run on a test configuration (i.e., its constituting procedural elements are either executed by a test component or a test item). Since «TestProcedure» extends Behavior (as such both StructuredClassifier as well as BehavioredClassifier), a test procedure may provide its own dedicated test configuration defined by its composite structures. In that case, compatibility with the test configuration of any invoking test-specific procedure (i.e., test procedure or test case) must be ensured.	
A test procedure must only invoke other test procedures or procedures and must only be invoked by other test procedures or test cases. If invoked by a test case, a test procedure may assume either of these roles: main, setup or teardown. If a test procedure invokes another test procedure by means of «ProcedureInvocation» the attribute role of «ProcedureInvocation» must not be set. A test procedure is not allowed to determine the role of other test procedures, because this role can only be determined by test cases. Implicitly, any test procedure assigns their current role assigned by the invoking test case to any other test procedure they invoke. This transitive assignment will be recursively continued until no more test procedures are available. This recursion ensures consistency for the invoking test case.	
Behavior	
Test procedure operates on test configuration A TestProcedure must always run on a (potentially implicit) TestConfiguration	
comprising at least one instance of a TestComponent connected to a TestItem	
Allowed invocation scheme	
A TestProcedure must only invoke other TestProcedures or procedures.	

Use of «ProcedureInvocation»

A TestProcedure must not make use of the role attribute of «ProcedureInvocation» when used as ProceduralElement of the given TestProcedure.

UMLTP22-40 Correction of typographical and editorial formatting on specification documents

Test case invokes one main procedure

<u>DRTP04</u>: It is necessary that each <u>test case</u> invokes at least one <u>test procedure</u> as a <u>main procedure invocation</u>.

Procedure sequentializes procedural element

<u>DRTP02</u>: It is necessary that each <u>procedure</u> prescribes the execution order of at least one <u>procedural element</u>.

Test procedure sequencializes test action

<u>DRTP03</u>: It is necessary that each <u>test procedure</u> prescribes the execution order of at least one <u>test action</u>.

One postcondition per test procedure

<u>DRTC07</u>: It is necessary that each <u>test procedure</u> guarantees at most one <u>postcondition</u>.

One precondition per test procedure

<u>DRTC04</u>: It is necessary that each <u>test procedure</u> requires at most one <u>preconditon</u>.

«TestProcedure» has been newly introduced by UTP 2.

Change from UTP 1.2

8.5.1.2.2 TestCase

Description

<u>TestCase</u>: A <u>procedure</u> that includes a set of preconditions, inputs and expected results, developed to drive the examination of a <u>test item</u> with respect to some <u>test</u> objectives.

«<u>TestCase</u>» extends both <u>BehavioredClassifier</u> and <u>Behavior</u>. According to the conceptual model, a <u>test case</u> must provide different functionality like defining pre/<u>postconditions</u>, being executable etc., and the UML allows different ways for implementing the <u>test case</u> concept. In general, a <u>test case</u> can be either defined as a standalone <u>Behavior</u> stereotyped with «<u>TestCase</u>» or as a compound construct consisting of a «<u>TestCase</u>» <u>BehavioredClassifier</u>, and a «<u>TestCase</u>» <u>Behavior</u> set as the classifierBehavior of the «<u>TestCase</u>» <u>BehavioredClassifier</u>. In the second <u>alternative</u>, both the <u>BehavioredClassifier</u> and its classifierBehavior are semantically treated as a single concept.

A <u>test case</u> describes the interplay of the <u>test item</u> with its controlled environment, the so called test environment, consisting of <u>test components</u>. A <u>test case</u> has to operate on a <u>test configuration</u>. The composite structure of a StructuredClassifier with <u>«TestConfiguration</u>» applied determines the different roles the composite structures assume for that <u>test case</u>. Test cases may define their own <u>test configurations</u> as part of their dedicated composite structure (e.g. in case the stereotype <u>«TestCase</u>» is applied on an instance of StructuredClassifier>, or it may operate on a shared <u>«TestConfiguration</u>» StructuredClassifier such as a Collaboration. If a <u>«TestCase</u>» **Behavior** invokes a <u>«TestProcedure</u>» **Behavior**, the invoked <u>test procedure</u> has to operate on the same or a compatible <u>test</u> configuration.

The pre- and <u>postconditions</u> of a <u>test case</u> are always declared by the **Behavior** with «<u>TestCase</u>» applied by means of the underlying UML capability that each **Behavior** may contain a number of Constraints as pre- and <u>postconditions</u>. A <u>test case</u> must be parameterizable. This feature is also determined by the **Behavior** with «<u>TestCase</u>» applied. Again, the underlying capability of a UML **Behavior** is reused by UTP.

A test case may only invoke test procedures as main, setup or teardown part or ordinary procedures. A test case must invoke at least one test procedure as its main part. This can be either done explicitly using the stereotype «ProcedureInvocation» or by using the underlying native UML elements for Behavior invocation (e.g., CallBehaviorAction, InteractionUse, BehaviorExecutionSpecification etc.) If a native UML Behavior invocation element is used and refers to a Behavior with «TestProcedure» applied, it is semantically equivalent with explicitly applying the stereotype «ProcedureInvocation» on the UML Behavior invocation element and setting the tagged value of role to main. Any procedural element that is directly contained in Behavior with «TestCase» applied is considered semantically equivalent to an explicit Behavior with «TestProcedure» applied that contains the procedural element and the use of «ProcedureInvocation» within the «TestCase» instead of the procedural elements. This ensures flexibility and guarantees simplicity when defining test cases.

The semantics of the default arbitration specification of a test case is defined by «TestCaseArbitrationSpecification». The default arbitration specification is always active, unless an explicit «TestCaseArbitrationSpecification» is bound to the «TestCase».

Graphical syntax	?X
Extension	Behavior, BehavioredClassifier
UMLTP22-10 Introdu	ucing an arbitration specification binding mechanism
Super Class Attributes	ArbitrationTarget ID: String [01]
	A unique identifier to unambiguously distinguish between any two <u>test case</u> s. This is mainly intended to interface easier with management tools such as test management tools.
	description: String [01]
Associations	Usually, a narrative description of the given test case. /utilizedBy : TestContext [*]
rissociations	/realizes : TestRequirement [*]
	The test requirements that are realized by the given test case. They are derived from the set of UML Realization dependencies that point from the base BehavioredClassifier to UML Classes stereotyped by «TestRequirement». : TestSet [01] : TestCaseLog [*]
	testCaseAS: TestCaseArbitrationSpecification [01] Refers to the explicit static test case arbitration specification that overrides the implicit default test case arbitration specification.
Constraints	Each test case returns a verdict statement
	Any Behavior stereotyped as « <u>TestCase</u> » returns a ValueSpecification typed by <u>verdict</u> after arbitration had happened.
	Use of BehavioredClassifier If «TestCase» is applied to a BehavioredClassifier that is not an instance of the metaclass Behavior, the 'classifierBehavior' of that BehavioredClassifier shall be Behavior with «TestCase» applied. Allowed invocation scheme
	A <u>TestCase</u> must only invoke <u>TestProcedure</u> or <u>procedure</u> s, but not other <u>TestCase</u> s or <u>TestExecutionSchedule</u> .
	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	One precondition per test case DRTC03: It is necessary that each test case requires at most one precondition. One postcondition per test case DRTC06: It is necessary that each test case guarantees at most one postcondition. Owned UseCases not allowed A BehavioredClassifier or Behavior with «TestCase» applied must not own UseCases with «TestCase» applied.

	A Behavior with «TestCase» applied must not nest any other Behavior that has «TestCase» applied.
Change from UTP 1.2	Changed from UTP 1.2. «TestCase» extended Behavior and Operation in UTP 1.2.

8.5.1.2.3 TestExecutionSchedule

Description

<u>TestExecutionSchedule</u>: A <u>procedure</u> that constrains the execution order of a number of test cases.

A <u>test execution schedule</u> is a **Behavior** with <u>«TestExecutionSchedule</u>» applied that schedules the execution order of a number of TestCases.

A <u>test execution schedule</u> can be either defined standalone or related to one or more <u>test sets</u>. If a <u>test execution schedule</u> is related to a <u>test set</u>, the <u>test execution schedule</u> is only allowed to schedule the execution of <u>test case</u>s that belong to its related <u>test set</u>. This holds true, even if many <u>test set</u>s share the same <u>test execution schedule</u>. However, it is possible, due to the semantics of **Behavior**, to specialize, invoke or redefine <u>test execution schedules</u>. This enables the composition and decomposition of <u>test execution schedules</u>, which, in turn, fosters reusability. A standalone <u>test execution schedule</u> has the same semantics like defining a <u>test set</u> that owns the <u>test execution schedule</u> and assembles all the <u>test case</u>s scheduled for execution by the standalone <u>test execution schedule</u>. Standalone <u>test execution schedules</u>. However, the semantics of the standalone <u>test execution schedule</u> remains the same.

A <u>test execution schedule</u> may produce a <u>test set verdict</u>, calculated by an implicit or explicit <u>arbitration specification</u> for that <u>test execution schedule</u>. The semantics of the default arbitration specification of a test execution schedule is defined by «TestSetArbitrationSpecification». The default arbitration specification is always active, unless an explicit «TestSetArbitrationSpecification» is bound to the <u>*TestExecutionSchedule</u>».

A <u>test execution schedule</u> may invoke other <u>test execution schedule</u>s, <u>test case</u>s or auxiliary <u>procedures</u> (e.g., to retrieve required test <u>data</u>), however, a <u>test execution schedule</u> is not allowed to invoke a <u>test procedure</u> directly (see <u>ProcedureInvocation</u>» for further information on the allowed invocation schemes). Invocation of <u>Behaviors</u> relies on the underlying UML concepts for invoking <u>Behaviors</u>. These are for Activities and StateMachines CallBehaviorAction, StartObjectBehaviorAction and StartClassifierBehaviorAction, and for Interactions InteractionUse. If such an invocation element is stereotyped with <u>ProcedureInvocation</u>, and part of a <u>TestExecutionSchedule</u> <u>Behavior</u>, e.g., such as an Activity, the following <u>Behavior</u>s can be invoked:

- **Behavior**s with «<u>TestExecutionSchedule</u>» applied: Useful for decomposing and reusing <u>test execution schedule</u>s. If the user assigns a ProcedurePhaseKind to the invoked «<u>TestExecutionSchedule</u>», it will not have an effect.
- Behaviors with «<u>TestCase</u>» applied: Useful for decomposing and reusing test cases. If the user assigns a ProcedurePhaseKind to the invoked «<u>TestCase</u>», it will not have an effect.
- Behaviors without «<u>TestExecutionSchedule</u>», «<u>TestCase</u>» or
 «<u>TestProcedure</u>» applied: Such a Behavior invoked by a
 «<u>ProcedureInvocation</u>» is considered as auxiliary <u>Behavior</u> required to
 prepare the execution of succeeding «<u>TestExecutionSchedule</u>s», and thus,
 «<u>TestCase</u>». The user may mark the invoked <u>Behavior</u> as setup or
 teardown activity by means of the role attribute.

In the last case, a role might be assigned to an invoked **Behavior**. This role is either of setup or teardown. If the role main is assigned, it will not have an effect. **Behavior**s executed as setup or teardown **Behavior**s will not be arbitrated by a corresponding arbitration specification. The meaning of the ProcedurePhaseKind in

Extension	 Setup: A means to declare that the executed Behavior is responsible to prepare the execution of succeeding arbitrated test cases contained in that test execution schedule. UTP does not prescribe which verdict will be assigned in case something goes wrong while executing the setup phase of an arbitrated test execution schedule. Teardown: A means to declare that the executed Behavior is responsible to clean-up after the arbitrated test cases of this test execution schedule have been executed. UTP does not prescribe which verdict will be assigned in case something goes wrong while executing the teardown phase. Behavior
UMLTP22-10 Introduci	ng an arbitration specification binding mechanism
Super Class	ArbitrationTarget
Attributes	ID : String [01]
	A unique identifier to unambiguously distinguish between any two test execution schedules. This is mainly intended to interface easier with management tools such as test management tools.
Associations	testSetAS : TestSetArbitrationSpecification [01]
	Refers to the explicit static test set arbitration specification that overrides the implicit default test set arbitration specification. An explicit test set arbitration specification has only an effect, if the attribute <i>isArbitrated</i> is set to <i>true</i> .
Constraints	Allowed invocation scheme
	If a Behavior with «TestExecutionSchedule» contains an Element with «ProcedureInvocation» applied, the invoked Behavior shall have either none or one of the stereotypes «TestExecutionSchedule» or «TestCase» applied. The direct invocation of «TestProcedure» Behaviors is not allowed from within a «TestExecutionSchedule» Behavior.
	UMLTP22-40 Correction of typographical and editorial formatting on
	specification documents
	One precondition per test execution schedule
	DRTC02: It is necessary that each <u>test execution schedule</u> requires at most one <u>preconditon</u> .
	One postcondition per test execution schedule
	<u>DRTC05</u> : It is necessary that each <u>test execution schedule</u> guarantees at most one <u>postcondition</u> .
Change from UTP 1.2	« <u>TestExecutionSchedule</u> » has been newly introduced by UTP 2. It was conceptually

8.5.2 Procedural Elements

Procedural elements constitute the building blocks of <u>procedures</u> and <u>test procedures</u>. They can be realized by any building block of UML **Behaviors** (e.g., **InteractionFragments** in case of Interactions, **Actions** in case of Activities and **Transitions**/Vertices in case of StateMachines). The stereotypes for <u>procedural elements</u> reflect the minimal language concepts that are deemed necessary for testers to specify test-specific <u>procedures</u>. Each <u>procedural element</u> in a test-specific <u>procedure</u> has an effective <u>arbitration specification</u> assigned that delivers a <u>procedural element</u> verdict to the surrounding arbitration specification at runtime.

represented as the classifier behavior of a «TestContext» in UTP 1.2.

Since the UML **Behavior** building blocks outnumber the UTP <u>procedural elements</u>, test-specific <u>procedures</u> may consist of more than just the few predefined <u>procedural elements</u>. CombinedFragments of Interactions, for example, offer more than just the four predefined <u>compound procedural elements</u> of UTP. Such a plain UML Behavior building block provides implicitly the predefined verdict instances *none* to the surrounding arbitration specification. This default semantics can be overridden by means of <u>OpaqueProceduralElement</u>.

In general, UTP provides the following procedural elements out of the box:

- <u>procedural element</u> represented by the abstract stereotype «<u>ProceduralElement</u>»
- <u>atomic procedural element</u> represented by the abstract stereotype «<u>AtomicProceduralElements</u>»
- compound procedural element represented by the abstract stereotype «CompoundProceduralElement»
- opaque <u>procedural element</u> represented by the stereotype «<u>OpaqueProceduralElement</u>»

Specialized <u>compound procedural element</u>s comprises:

- loop represented by the stereotype «<u>Loop</u>»
- sequence represented by the stereotype «Sequence»
- parallel represented by the stereotype «Parallel»
- alternative represented by the stereotype «Alternative»
- negative represented by the stereotype «<u>Negative</u>»
- <u>procedure invocation</u> represented by the stereotype «<u>ProcedureInvocation</u>»

Specialized atomic procedural elements are described by the test-specific actions (see section Test-specific Actions).

The <u>procedural elements</u> have been introduced by UTP to offer a harmonized view on technically different UML behavioral building blocks.

8.5.2.1 Procedural Elements Overview

The following diagram shows the abstract syntax of the core procedural elements.

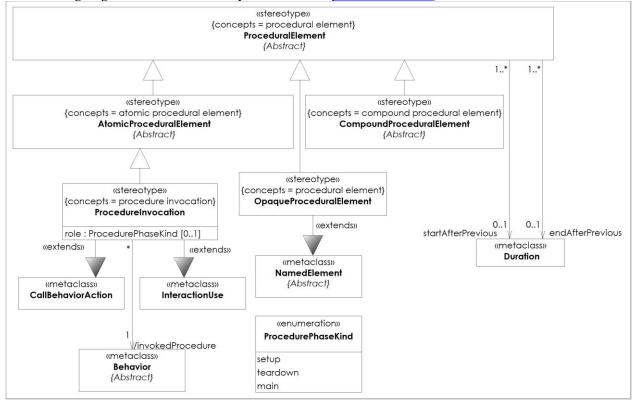


Figure 8.13 - Procedural Elements Overview

8.5.2.2 Compound Procedural Elements Overview

The following diagram shows the abstract syntax of the compound procedural elements.

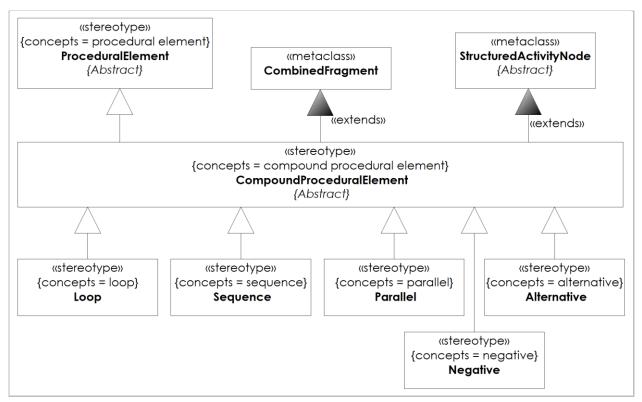


Figure 8.14 - Compound Procedural Elements Overview

8.5.2.3 Stereotype Specifications

8.5.2.3.1 Alternative

Alternative: A compound procedural element that executes only a subset of its
contained <u>procedural elements</u> based on the evaluation of a <u>boolean expression</u> .
If «Alternative» is applied to CombinedFragement, the underlying
CombinedFragment must have the InteractionOperatorKind alt or opt set.
In an Activity, « <u>Alternative</u> » must only be applied to CondititonalNode.
CombinedFragment, StructuredActivityNode
<u>CompoundProceduralElement</u>
arbitrationSpecification {redefines
arbitrationSpecification} :
AlternativeArbitrationSpecification [01]
Refers to an alternative arbitration specification that overrides the default and
implicit arbitration specification, if set. It redefines the Property
arbitrationSpecification of CompoundProceduralElement.
Application in Interactions
If «Alternative» is applied to CombinedFragment , the underlying
CombinedFragment must have the InteractionOperatorKind <i>alt</i> or <i>opt</i> set.
· · · · · · · · · · · · · · · · · · ·
Application in Activities
In an Activity, «Alternative» must only be applied to CondititonalNode.
« <u>Alternative</u> » has been newly introduced by UTP 2.

8.5.2.3.2 AtomicProceduralElement

Description	Atomic Proceedy real Element, A proceedy real clament that connect be fruither
Description	AtomicProceduralElement: A procedural element that cannot be further
	decomposed.
	« <u>AtomicProceduralElement</u> » is an abstract stereotype that does not extend UML
	metaclass at all. This means that its substereotypes have to define suitable UML
	metaclass for extension.
	Atomic procedural elements resembles the semantics of UML Behavior building
	blocks that are not able to be further decomposed. Message and
	CallOperation Action are examples for concrete UML Behavior building block that
	adhere to the definition of atomic procedural element. In contrast,
	CombinedFragment or LoopNode are examples for compound procedural elements
	for they contain potentially further <u>procedural elements</u> .
Super Class	<u>ProceduralElement</u>
Sub Class	CheckPropertyAction, CreateLogEntryAction, CreateStimulusAction,
	ExpectResponseAction, ProcedureInvocation, SuggestVerdictAction
Associations	arbitrationSpecification {redefines
	arbitrationSpecification} :
	AtomicProceduralElementArbitrationSpecification [01]
	Refers to an atomic arbitration specification that overrides the default and implicit
	arbitration specification if set. It redefines the Property arbitration Specification of
	procedural element.
	: AtomicProceduralElementLogEntry [*]
Change from UTP 1.2	«AtomicProceduralElement» has been newly introduced by UTP 2.

8.5.2.3.3 CompoundProceduralElement

o.J.Z.J.J Compounds	i ocedara i Liement
Description	<u>CompoundProceduralElement</u> : A <u>procedural element</u> that can be further decomposed.
	« <u>CompoundProceduralElement</u> » is an abstract stereotype that extends CombinedFragment and StructuredActivityNode to interface with the UML Behaviors Interaction and Activity.
	A <u>compound procedural element</u> resembles the semantics of UML Behavior building blocks that consist of other <u>procedural element</u> . As such, it may obtain the <u>verdicts</u> of its contained executed <u>procedural elements</u> in order to calculate its own <u>procedural element verdict</u> . The difference between an atomic <u>procedural element verdict</u> and <u>compound procedural element verdict</u> is that the latter is potentially composed out of multiple atomic <u>procedural element verdicts</u> .
Extension	CombinedFragment, StructuredActivityNode
Super Class	<u>ProceduralElement</u>
Sub Class	Alternative, Loop, Negative, Parallel, Sequence
Associations	<pre>arbitrationSpecification {redefines arbitrationSpecification} : CompoundProceduralElementArbitrationSpecification [01]</pre>
Change from UTP 1.2	«CompoundProceduralElement» has been newly introduced by UTP 2.

8.5.2.3.4 Loop

Description	<u>Loop</u> : A <u>compound procedural element</u> that repeats the execution of its contained <u>procedural elements</u> .
	If « <u>Loop</u> » is applied to CombinedFragement, the underlying CombinedFragment must have the InteractionOperatorKind <u>loop</u> set.
	In an Activity, «Loop» must only be applied to LoopNode.
	The nature of the <u>loop</u> (i.e., counter-controlled <u>loop</u> , conditional-controlled <u>loop</u> or collection-controlled <u>loop</u>) is determine by the configuration of the underlying UML element for expressing <u>loop</u> s.
Extension	CombinedFragment, StructuredActivityNode
Super Class	<u>CompoundProceduralElement</u>
Associations	<pre>arbitrationSpecification {redefines arbitrationSpecification} : LoopArbitrationSpecification [01]</pre>
	Refers to a loop arbitration specification that overrides the default and implicit arbitration specification if set. It redefines the Property <i>arbitrationSpecification</i> of CompoundProceduralElement.
Constraints	Application in Interactions
	If « <u>Loop</u> » is applied to CombinedFragment , the underlying CombinedFragment must have the InteractionOperatorKind <i>loop</i> set.
	Application in Activities
	In an Activity, «Loop» must only be applied to LoopNode.
Change from UTP 1.2	«Loop» has been newly introduced by UTP 2.

8.5.2.3.5 Negative

Description	Negative: A compound procedural element that prohibits the execution of its contained procedural elements in the specified structure. If «Negative» is applied to CombinedFragement, the underlying CombinedFragment must have the InteractionOperatorKind neg set. In an Activity, «Negative» must only be applied to StructuredActivityNode.
Extension	CombinedFragment, StructuredActivityNode
Super Class	CompoundProceduralElement
Associations	arbitrationSpecification {redefines
	arbitrationSpecification} :
	NegativeArbitrationSpecification [01]
Constraints	Application in Interactions
	If «Negative» is applied to CombinedFragment, the underlying
	CombinedFragment must have the InteractionOperatorKind <i>neg</i> set.
	Application in Activities
	In an Activity, «Negative» must only be applied to StructuredActivityNode.
Change from UTP 1.2	«Negative» has been newly introduced by UTP 2.

8.5.2.3.6 OpaqueProceduralElement

Description	«OpaqueProceduralElement» adds the possibility to assign arbitration specifications to UML Behavior building blocks that are not covered by UTP procedural elements. Thus, it is a plain technical stereotype introduced for flexibility of UTP. Similar to the semantics of opaque elements in UML (i.e., OpaqueBehavior, OpaqueExpression, OpaqueAction), there is no additional semantics for «OpaqueProceduralElement» given apart from the ability to assign arbitration specifications to UML elements for which no dedicated procedural element stereotype has been defined.
Extension	NamedElement
Super Class	<u>ProceduralElement</u>
Associations	: OpaqueProceduralElementLogEntry [*]
Constraints	Only applicable to UML Behavior building blocks «OpaqueProceduralElement» must only be applied on instances of the UML metaclass Action, InteractionFragment, Vertex and Transition.
Change from UTP 1.2	«OpaqueProceduralElement» has been newly introduced by UTP 2.

8.5.2.3.7 Parallel

8.5.2.3.7 Parallel	
Description	Parallel: A compound procedural element that executes its contained procedural elements in parallel to each other.
	If « <u>Parallel</u> » is applied to CombinedFragement, the underlying CombinedFragment must have the InteractionOperatorKind <i>par</i> set.
	If used in Activities, the metaclass ConditionalNode is reused to describe parallel execution of procedural elements (i.e., ExecutableNodes). The branches that must be executed in parallel are defined by the Clauses that are contained in a ConditionalNode with «Parallel» applied. If such a ConditionalNode is activated and ready for execution, the evaluation of the Clauses by executing the test parts are executed as described by UML. In contrast to a plain ConditionalNode, where at most one Clause's body part will be executed, even if more than one Clause's test part eventually enabled the Clause, all enabled Clause's body parts are executed in parallel, if the ConditionalNode has «Parallel» applied.
Extension	CombinedFragment, StructuredActivityNode
Super Class	CompoundProceduralElement
Associations	arbitrationSpecification {redefines arbitrationSpecification} : ParallelArbitrationSpecification [01]
	Refers to a parallel arbitration specification that overrides the default and implicit arbitration specification if set. It redefines the Property <i>arbitrationSpecification</i> of CompoundProceduralElement.
Constraints	Application in Interactions
	If « <u>Parallel</u> » is applied to CombinedFragment , the underlying CombinedFragment must have the InteractionOperatorKind <i>par</i> set.
	Application in Activities
	In an Activity, «Parallel» must only be applied to SequenceNode
Change from UTP 1.2	«Parallel» has been newly introduced by UTP 2.

8.5.2.3.8 ProceduralElement

ProceduralElement: An instruction to do, to observe, and/or to decide.	8.5.2.3.8 ProceduralElement	
metaclasses. This means that its substereotypes have to define suitable UML metaclasses for extension. A procedural element is the lowest common denominator for the building blocks of the different UML Behaviors. If used as constituting part (possibly transitively) of a test case execution, every procedural element delivers a verdict depending on both the execution of the respective procedural element. Every procedural element has an effective arbitration specification of that procedural element. Every procedural element has an effective arbitration specification is either the default arbitration specification of the respective procedural element or an explicitly bound arbitration specification. If no explicit arbitration specification is bound to the procedural element, the default arbitration specification becomes the effective arbitration specification. A procedural element adds the ability to specify the expected starting and end point of the execution of procedural element related to a previously executed procedural element, represented by the tag definitions startAfterPrevious and endAfterPrevious. These timing-related characteristics are represented by means of explicit tag definitions in addition to the existing simple time concepts of UML and time-related information potentially available by further UML profiles such as MARTE UTP 2 does not prescribe which of these timing-related concepts should be used. As a recommendation, users should not mix different mechanisms to express timing-related information. Sub Class Arbitration specification compoundProceduralElement. OpaqueProceduralElement. CompoundProceduralElement. OpaqueProceduralElement arbitration specification [01] Refers to a procedural element arbitration specification that overrides the default and implicit arbitration specification for procedural elements. startAfterPrevious: Duration [01] endAfterPrevious: Duration [01] testLogEntry: TestLogEntry [*] UMLTP22-40 Correction of typographical and editorial formatting on specific	Description	ProceduralElement: An instruction to do, to observe, and/or to decide.
the different UML Behaviors. If used as constituting part (possibly transitively) of a test case execution, every procedural element delivers a verdict depending on both the execution of the respective procedural element and the effective arbitration specification of that procedural element. Every procedural element has an effective arbitration specification is either the default arbitration specification. If no explicit arbitration specification is either the default arbitration specification. If no explicit arbitration specification is bound to the procedural element, the default arbitration specification. A procedural element adds the ability to specify the expected starting and end point of the execution of procedural element related to a previously executed procedural element, represented by the tag definitions startAfterPrevious and endAfterPrevious. These timing-related characteristics are represented by means of explicit tag definitions in addition to the existing simple time concepts of UML and time-related information potentially available by further UML profiles such as MARTE. UTP 2 does not prescribe which of these timing-related concepts should be used. As a recommendation, users should not mix different mechanisms to express timing-related information. Sub Class Atomic Procedural Element, Compound Procedural Element, Opaque Procedural Element arbitration specification in arbitration specification binding mechanism Super Class Arbitration Target Associations Arbitration Target Associations Refers to a procedural element arbitration specification that overrides the default and implicit arbitration specification for procedural elements. startAfterPrevious: Duration [0,.1] endAfterPrevious: Duration [0,.1] testLogEntry: TestLogEntry [*] Constraints UMLTP22-40 Correction of typographical and editorial formatting on specification documents Valid duration DRTP01: It is necessary that the PE start duration of a procedural element is smaller than the PE end duration of the same procedural e		metaclass. This means that its substereotypes have to define suitable UML
of the execution of procedural element related to a previously executed procedural element, represented by the tag definitions startAfterPrevious and endAfterPrevious. These timing-related characteristics are represented by means of explicit tag definitions in addition to the existing simple time concepts of UML and time-related information potentially available by further UML profiles such as MARTE. UTP 2 does not prescribe which of these timing-related concepts should be used. As a recommendation, users should not mix different mechanisms to express timing-related information. Sub Class AtomicProceduralElement, CompoundProceduralElement, OpaqueProceduralElement Introducing an arbitration specification binding mechanism Super Class ArbitrationSpecification: ProceduralElementArbitrationSpecification [01] Refers to a procedural element arbitration specification that overrides the default and implicit arbitration specification for procedural elements. startAfterPrevious: Duration [01] endAfterPrevious: Duration [01] testLogEntry: TestLogEntry [*] Constraints Valid duration DRTP01: It is necessary that the PE start duration of a procedural element is smaller than the PE end duration of the same procedural element.		the different UML Behaviors. If used as constituting part (possibly transitively) of a test case execution, every procedural element delivers a verdict depending on both the execution of the respective procedural element and the effective arbitration specification of that procedural element. Every procedural element has an effective arbitration specification assigned at evaluation time. This effective arbitration specification is either the default arbitration specification of the respective procedural element or an explicitly bound arbitration specification. If no explicit arbitration specification is bound to the procedural element, the default arbitration
Introducing an arbitration specification binding mechanism Super Class ArbitrationTarget Associations arbitrationSpecification: ProceduralElementArbitrationSpecification [01] Refers to a procedural element arbitration specification that overrides the default and implicit arbitration specification for procedural elements. startAfterPrevious: Duration [01] endAfterPrevious: Duration [01] testLogEntry: TestLogEntry [*] Constraints UMLTP22-40 Correction of typographical and editorial formatting on specification documents Valid duration DRTP01: It is necessary that the PE start duration of a procedural element is smaller than the PE end duration of the same procedural element.		of the execution of procedural element related to a previously executed procedural element, represented by the tag definitions <i>startAfterPrevious</i> and <i>endAfterPrevious</i> . These timing-related characteristics are represented by means of explicit tag definitions in addition to the existing simple time concepts of UML and time-related information potentially available by further UML profiles such as MARTE. UTP 2 does not prescribe which of these timing-related concepts should be used. As a recommendation, users should not mix different mechanisms to express timing-
Super Class ArbitrationTarget arbitrationSpecification: ProceduralElementArbitrationSpecification [01] Refers to a procedural element arbitration specification that overrides the default and implicit arbitration specification for procedural elements. startAfterPrevious: Duration [01] endAfterPrevious: Duration [01] testLogEntry: TestLogEntry [*] Constraints UMLTP22-40 Correction of typographical and editorial formatting on specification documents Valid duration DRTP01: It is necessary that the PE start duration of a procedural element is smaller than the PE end duration of the same procedural element.	Sub Class	AtomicProceduralElement, CompoundProceduralElement,
Associations arbitrationSpecification: ProceduralElementArbitrationSpecification [01] Refers to a procedural element arbitration specification that overrides the default and implicit arbitration specification for procedural elements. startAfterPrevious: Duration [01] endAfterPrevious: Duration [01] testLogEntry: TestLogEntry [*] Constraints UMLTP22-40 Correction of typographical and editorial formatting on specification documents Valid duration DRTP01: It is necessary that the PE start duration of a procedural element is smaller than the PE end duration of the same procedural element.	Introducing an arbitration	on specification binding mechanism
ProceduralElementArbitrationSpecification [01] Refers to a procedural element arbitration specification that overrides the default and implicit arbitration specification for procedural elements. startAfterPrevious: Duration [01] endAfterPrevious: Duration [01] testLogEntry: TestLogEntry [*] Constraints UMLTP22-40 Correction of typographical and editorial formatting on specification documents Valid duration DRTP01: It is necessary that the PE start duration of a procedural element is smaller than the PE end duration of the same procedural element.	Super Class	ArbitrationTarget
and implicit arbitration specification for procedural elements. startAfterPrevious: Duration [01] endAfterPrevious: Duration [01] testLogEntry: TestLogEntry [*] Constraints UMLTP22-40 Correction of typographical and editorial formatting on specification documents Valid duration DRTP01: It is necessary that the PE start duration of a procedural element is smaller than the PE end duration of the same procedural element.	Associations	
Constraints UMLTP22-40 Correction of typographical and editorial formatting on specification documents Valid duration DRTP01: It is necessary that the PE start duration of a procedural element is smaller than the PE end duration of the same procedural element.		and implicit arbitration specification for procedural elements. startAfterPrevious : Duration [01] endAfterPrevious : Duration [01]
Valid duration DRTP01: It is necessary that the PE start duration of a procedural element is smaller than the PE end duration of the same procedural element.	Constraints	
<u>DRTP01</u> : It is necessary that the <u>PE start duration</u> of a <u>procedural element</u> is smaller than the <u>PE end duration</u> of the same <u>procedural element</u> .		
Change from UTP 1.2		DRTP01: It is necessary that the PE start duration of a procedural element is smaller
	Change from UTP 1.2	«ProceduralElement» has been newly introduced by UTP 2.

8.5.2.3.9 ProcedureInvocation

3.5.2.3.9 ProcedureInvocation		
Description	<u>ProcedureInvocation</u> : An atomic procedural element of a procedure that invokes another procedure and waits for its completion.	
	«ProcedureInvocation» is a means to invoke procedures from within other procedures. Since the constituents of UML Behavior s are not based on an integrated metaclass, the concrete metaclasses for « <u>ProcedureInvocation</u> » depend on the Behavior kind in which the « <u>ProcedureInvocation</u> » is used. If it represents a building block of an Activity or StateMachine, « <u>ProcedureInvocation</u> » must only be applied on the metaclass CallBehaviorAction, StartObjectBehaviorAction or StartClassifierBehaviorAction. If it represents a building block of an Interaction, « <u>ProcedureInvocation</u> » must only be applied on the metaclass InteractionUse.	
	The allowed invocation scheme for a « <u>ProcedureInvocation</u> » is as follows:	
	 If it constitutes a procedural element of a test execution schedule, only test execution schedules, test cases or procedures must be invoked. If it constitutes a procedural element of a test case, only test procedures and procedures must be invoked. If it constitutes a procedural element of a test procedure, only test procedure or procedures must be invoked. 	
	If <u>procedure invocation</u> is part of a <u>test case</u> it must assign a <u>role</u> to the invoked <u>test procedure</u> . This <u>role</u> is either <i>main</i> , <i>setup</i> or <i>teardown</i> . The semantics of these <u>role</u> s in UTP are:	
	 main: A <u>test procedure</u> that implements the reason why the invoking <u>test case</u> has been designed, i.e., it contribute to the coverage of a <u>test objective</u> or <u>test requirement</u>. The main part of a <u>test case</u> is relevant for calculating coverage and controlling the progress. setup: A means to declare that the executed <u>test procedure</u> is responsible to prepare the main part of a <u>test case</u>. teardown: A means to declare that the executed <u>test procedure</u> is responsible to clean-up after the main part of a <u>test case</u> has been executed. 	
	If <u>procedure invocation</u> is part of a <u>test execution schedule</u> it may assign a <u>role</u> to an invoked Behavior . This <u>role</u> is either of <i>setup</i> or <i>teardown</i> . The semantics of these <u>roles</u> in UTP are: • setup: A means to declare that the executed Behavior is responsible to	
	 setup. A means to declare that the executed behavior is responsible to prepare the execution of arbitrated test cases contained in that test case. teardown: A means to declare that the executed Behavior is responsible to clean-up after the arbitrated test cases of this test execution schedule have been executed. 	
Extension	CallBehaviorAction, InteractionUse	
Super Class	<u>AtomicProceduralElement</u>	
Attributes	role: ProcedurePhaseKind [01] The role, the invoked procedure assumes within the invoking test-specific procedure.	
Associations	arbitrationSpecification {redefines arbitrationSpecification}: ProcedureInvocationArbitrationSpecification [01] Refers to a procedure invocation arbitration specification that overrides the default	
	and implicit arbitration specification if set. It redefines the Property arbitrationSpecification of «CompoundProceduralElement».	

	/invokedProcedure : Behavior
	The procedure that was invoked by that «ProcedureInvocation». If «ProcedureInvocation» is applied to CallBehaviorAction, it is derived from the property 'behavior' of the underlying CallBehaviorAction. If «ProcedureInvocation» is applied to InteractionUse, it is derived from the property 'refersTo' of the underlying InteractionUse.
	: ProcedureInvocationLogEntry [*]
Constraints	Role only in context of test cases relevant
	If « <u>ProcedureInvocation</u> » is part of a « <u>TestProcedure</u> » Behavior , the tag definition <u>role</u> must be empty. If it is empty, it will be ignored.
Change from UTP 1.2	«ProcedureInvocation» has been newly introduced by UTP 2.

8.5.2.3.10 Sequence

Description	Sequence: A <u>compound procedural element</u> that executes its contained <u>procedural elements</u> sequentially.
	If «Sequence» is applied to CombinedFragement, the underlying CombinedFragment must have the InteractionOperatorKind <i>strict</i> or <i>seq</i> applied.
	In an Activity, «Sequence» must only be applied to SequenceNode.
Extension	CombinedFragment, StructuredActivityNode
Super Class	<u>CompoundProceduralElement</u>
Associations	arbitrationSpecification {redefines
	arbitrationSpecification} :
	SequenceArbitrationSpecification [01]
	Refers to a SequenceArbitrationSpecification that overrides the default and implicit ArbitrationSpecification if set. It redefines the Property <i>arbitrationSpecification</i> of CompoundProceduralElement.
Constraints	Application in Interactions
	If applied on a CombinedFragment , the underlying CombinedFragment must have set InteractionOperatorKind::seq or InteractionOperatorKind::strict as the <i>interactionOperator</i> .
	Application in Activities
	If applied on a StructuredActivityNode , the StructuredActivityNode must be a SequenceNode.
Change from UTP 1.2	«Sequence» has been newly introduced by UTP 2.

8.5.2.4 Enumeration Specifications

Name	Description	Enumeration literals
ProcedurePhaseKin d	An enumeration of the three possible values a procedure or test procedure can assume.	The invoked procedure or test procedure is considered as a preamble of the test case or a test execution schedule, intended to prepare the execution of test cases. teardown The invoked procedure or test procedure is considered as a postamble of the test case or a test execution schedule, intended to clean-up or finalize the execution of test cases. main

Name	Description	Enumeration literals
		The invoked test procedure is considered as the essential part of a test case's execution with respect to coverage.

8.5.3 Test-specific Actions

UTP introduces dedicated test-specific actions that denote actions a tester, regardless whether this is an automated or human tester, can carry out in order to communicate with the <u>test item</u>. In context of dynamic testing, communicating with a <u>test item</u> either means to stimulate the <u>test item</u> with a <u>create stimulus action</u> (implemented as stereotype «<u>CreateStimulusAction</u>») or observing and evaluating its actual <u>responses</u> with the expected ones (represented by the stereotypes «<u>ExpectResponseAction</u>», «<u>CheckPropertyAction</u>»).

Test-specific actions are specialized <u>procedural elements</u>. As such, they contribute a dedicated <u>procedural element</u> <u>verdict</u> to the eventual calculation of a <u>test case</u> or <u>test set verdict</u>. The test-specific actions can be categorized by the entity that contributes information to the calculation of the respective <u>procedural element verdict</u>.

The <u>procedural element verdicts</u> of the following test-specific actions are calculated by taking into consideration the information provided by the <u>test component</u> or tester. These test-specific actions are henceforth called <u>test component</u> controlled actions, because an erroneous execution of these <u>test actions</u> indicates a misbehavior of the <u>test component</u> (submitting the wrong <u>stimulus</u>, performing a test-specific action too late/too early) or technical issues in the test environment (e.g., breakdown of connectivity etc.):

- Create <u>stimulus</u> action represented by the stereotype «<u>CreateStimulusAction</u>»
- Suggest <u>verdict</u> action represented by the stereotype «<u>SuggestVerdictAction</u>»
- Create log entry action represented by the stereotype «<u>CreateLogEntryAction</u>»

It is highly recommended that the <u>verdict</u>s calculated by these <u>test component</u> controlled actions should only result in the predefined <u>verdict</u> instances <u>pass</u> or <u>error</u>.

The <u>verdict</u> of following test-specific actions is calculated by taken into consideration information received by the <u>test items</u>. These test-specific actions are henceforth called <u>test item</u> controlled actions, because the arbitration of these test-specific actions depend on the <u>responses</u> of the <u>test items</u> during execution and as such indicate deviations between the expected <u>response</u> and actual <u>response</u>:

- Expect <u>response</u> action represented by the stereotype «<u>ExpectResponseAction</u>»
- Check property action represented by the stereotype «CheckPropertyAction»

It is highly recommended that the verdicts calculated by test component controlled actions should only result in the predefined verdict instances pass or error.

8.5.3.1 Test-specific actions Overview

The following diagram shows the abstract syntax of the <u>test action</u>.

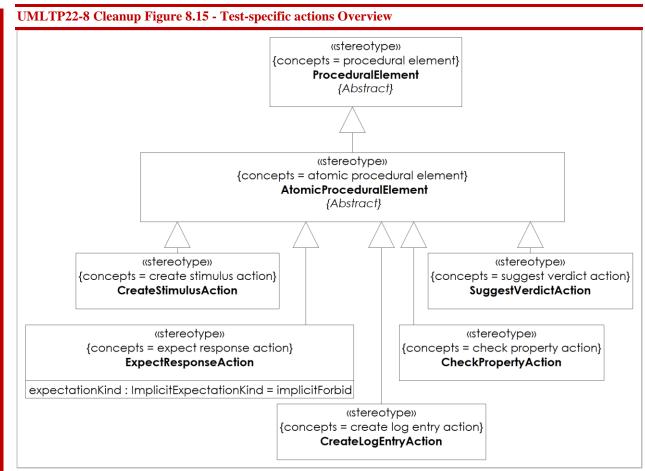


Figure 8.15 - Test-specific actions Overview

8.5.3.2 Tester Controlled Actions

The following diagram shows the details of the <u>test component</u> controlled <u>test actions</u>.

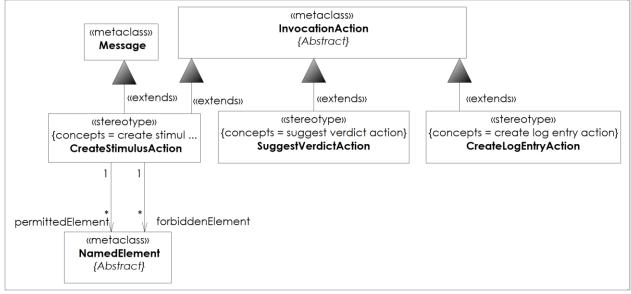


Figure 8.16 - Tester Controlled Actions

8.5.3.3 Test Item Controlled Actions

The following diagram shows the details of the <u>test item</u> controlled <u>test actions</u>.

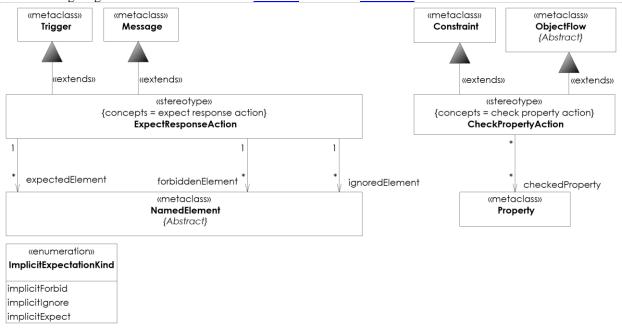


Figure 8.17 - Test Item Controlled Actions

8.5.3.4 Stereotype Specifications

8.5.3.4.1 CheckPropertyAction

8.5.3.4.1 CheckProp	ertyAction
Description Graphical syntax	CheckPropertyAction: A test action that instructs the tester to check the conformance of a property of the test item and to set the procedural element verdict according to the result of this check. The stereotype «CheckPropertyAction» extends Constraint (for integration with Interaction's StateInvariant and StateMachines), and ObjectFlow (for integration with Activities) and enables the test component to check certain properties of the test item that cannot be checked via the publicly available or known APIs of the test item. Thus, it is not defined how the test component accesses the test item's property. If used in Interactions, check property action is used as Constraint of a StateInvariant that covers a test component. Such a Constraint must be contained by StateInvariants. The specification of the StateInvariant's «CheckPropertyAction» Constraint is intended to determine the Property of the test item that must be checked and the value the Property has to match with. As specification of the «CheckPropertyAction» Constraint, any kind of suitable ValueSpecification can be utilized. For example, the «CheckPropertyAction» Constraint may specify location expressions with OCL or Alf for declaring access and expected values of the test item's Property. If used in StateMachines, check property action is expressed as stateInvariant attribute of a State. Since the stateInvariant attribute is of type Constraint, the usage, application and semantics is similar to the check property action used in Interactions (i.e., use of StateInvariant in Interactions). If used in Activities, check property action is expressed as «CheckPropertyAction» ObjectFlow that emanates from a ReadStructuralFeatureAction and is used to access a StructuralFeature of the test item. The expected value of the checked Property is defined by the guard condition of the CheckPropertyAction» ObjectFlow. In addition, it is possible to point directly to the Property that will be checked by the check property action by means of the tag definition chec
Extension	Constraint, ObjectFlow
Super Class	AtomicProceduralElement
Associations	arbitrationSpecification {redefines
	arbitrationSpecification} :
	CheckPropertyArbitrationSpecification [01]
	Refers to a check property action arbitration specification that overrides the default and implicit arbitration specification, if set. It redefines the Property <i>arbitrationSpecification</i> of test action.

	checkedProperty : Property [*]
	Refers to set of Properties of a test item that is supposed to be checked by the check property action. : CheckPropertyLogEntry [*]
Constraints	Owner of Constraint
	If applied on a Constraint, the owner of this Constraint must only be a State (referring to the Constraint as StateInvariant) or StateInvariant. Owner of Property
	If 'checkedProperty' is not empty, the referenced Property must belong to a <u>TestItem</u> participating in the current test-specific procedure.
	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	At least one property
	DRTA03: It is necessary that a <u>check property action</u> checks at least one <u>property</u> of the <u>test item</u> against the <u>data</u> .
Change from UTP 1.2	«CheckPropertyAction» has been newly introduced by UTP 2.

8.5.3.4.2 CreateLogEntryAction

8.5.3.4.2 CreateLogE	ntryAction
Description	<u>CreateLogEntryAction</u> : A <u>test action</u> that instructs the tester to record the execution of a <u>test action</u> , potentially including the outcome of that <u>test action</u> in the <u>test case log</u> .
	The stereotype « <u>CreateLogEntryAction</u> » extends InvocationAction which allows for using a variety of metaclasses for application. The <u>create log entry action</u> is a <u>test action</u> that instructs the tester or the test execution system to log certain information about the execution of a <u>test case</u> . This information is henceforth called content to be logged. The content to be logged has to be provided as the argument InputPin of the underlying InvocationAction. It is not specified how the variety of potentially logable contents is eventually be represented in the log. Test execution systems are responsible for eventually writing the content to be logged into the actual <u>test log</u> .
	If used in an Interaction, the InvocationAction that is stereotyped with «CreateLogEntryAction» should be referenced from an ActionExecutionSpecification that indirectly covers a Lifeline that represents a test component role in the underlying test configuration. Indirectly means that the corresponding start and end OccurenceSpecification of the ActionExecutionSpecification cover the test component lifeline.
	If used in Activities or StateMachines, e.g., CallOperationAction could be used to invoke a (not standardized, yet proprietary) logging interface operation. Another possibility is to use SendObjectAction without specifying the target Pin which has the semantics to submit the information to be logged to the logging facility of the test execution system without needing a dedicated interface. However, during test execution the create-log-entry-action must be made executable and eventually carried out. This may include manually writing some information into a paper-based document.
	The default <u>arbitration specification</u> for the <u>create log entry action</u> is described by «CreateLogEntryArbitrationSpecification».
Graphical syntax	**Create Log Philipping in Strategy in Str
Extension	InvocationAction
Super Class	<u>AtomicProceduralElement</u>
Associations	<pre>arbitrationSpecification {redefines arbitrationSpecification} : CreateLogEntryArbitrationSpecification [01]</pre>
	Refers to a create log entry action arbitration specification that overrides the default and implicit arbitration specification if set. It redefines the Property arbitrationSpecification of test action. : CreateLogEntryLogEntry [*]
Change from UTP 1.2	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	«CreateLogEntryAction» has been newly introduced by UTP 2.

8.5.3.4.3 CreateStimulusAction

3.5.3.4.3 CreateStimulusAction		
Description	<u>CreateStimulusAction</u> : A <u>test action</u> that instructs the tester to submit a <u>stimulus</u>	
_	(potentially including <u>data</u>) to the <u>test item</u> .	
	« <u>CreateStimulusAction</u> » extends Message (for integration with Interaction) and	
	InvocationAction (for integration with Activities and StateMachines).	
	The country of multiple action is a suffermental by an instance of a test account and	
	The <u>create stimulus action</u> is performed by an instance of a <u>test component</u> and represents a set of possible invocations of the <u>test item</u> , potentially conveyed by a	
	payload. Invocation means that either a BehavioralFeature of the test item is	
	invoked (e.g. using a Message or a SendSignalAction respectively	
	CallOperationAction) or by simply sending a <u>stimulus</u> to the <u>test items</u> (e.g.,	
	SendObjectAction or BroadcastSignalAction).	
	The set of stimuli to be sent is derived from the arguments of the underlying UML	
	element and the elements specified by the tag definition permittedElement. This set	
	is then reduced by the elements yield by forbiddenElement. If the set of stimuli is	
	empty (i.e., neither the underlying UML element yields arguments nor the permittedElement tag definition yields an element), it is semantically equivalent to a	
	situation where any possible and known by the invoking test component stimuli at	
	this point in time can be send to the <u>test item</u> . This set of any possible and known	
	stimuli is potentially reduced by the elements yield by forbiddenElement. In case the	
	set of permitted elements and the set of forbidden elements are overlapping, the	
	elements in the intersection belong to the set of forbidden elements. If both sets are	
	empty, every known stimuli can be send to the <u>test item</u> .	
	The default <u>arbitration specification</u> for the <u>create stimulus action</u> is described by	
E demails in	« <u>CreateStimulusArbitrationSpecification</u> ».	
Extension	InvocationAction, Message	
Super Class	AtomicProceduralElement arbitrationSpecification {redefines	
Associations	arbitrationSpecification {redefines arbitrationSpecification}:	
	CreateStimulusArbitrationSpecification [01]	
	Refers to a create stimulus action arbitration specification that overrides the default and implicit arbitration specification if set. It redefines the Property	
	arbitrationSpecification of test action.	
	forbiddenElement: NamedElement [*]	
	A set of elements that are explicitly removed from the set of stimuli to be sent.	
	permittedElement : NamedElement [*]	
	Additional set of stimuli that contribute to the set of permitted stimuli.	
	: CreateStimulusLogEntry [*]	
Constraints	Type of forbidden elements	
	The tag definition 'forbiddenElement' shall only contain instances of the following	
	metaclasses: Message, Event, Signal, BehavioralFeature, Trigger,	
	InstanceSpecification.	
	Type of permitted elements	
	The tag definition 'permittedElement' shall only contain instances of the following	
	metaclasses: Message, Event, Signal, BehavioralFeature, Trigger,	
	InstanceSpecification.	

	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	At least one stimulus
	DRTA01: It is necessary that a <u>create stimulus action</u> permits to send at least one <u>stimulus</u> .
Change from UTP 1.2	«CreateStimulusAction» has been newly introduced by UTP 2.

8.5.3.4.4 ExpectResponseAction

Description

<u>ExpectResponseAction</u>: A <u>test action</u> that instructs the tester to check the occurrence of one or more particular <u>responses</u> from the <u>test item</u> within a given time window and to set the <u>procedural element verdict</u> according to the result of this check.

The stereotype «ExpectResponseAction» extends Message (for integration with Interactions) and Trigger (for integration with StateMachines and Activities) and denotes the expectation of the <u>test component</u> to receive an actual <u>response</u>, potentially conveyed by some payload, from the <u>test item</u> at a certain point in time during test execution.

Actually received information from the <u>test item</u> can be classified into one of the following three sets:

- expected elements: The actually received element is expected by the <u>test</u> component.
- ignored elements: The actually received element may be received from the <u>test item</u>, but if it is received, it will be ignored by the <u>test component</u>.
- forbidden elements: The actually received element is forbidden to be received from the <u>test item</u>.

The classification of received elements as member of one of the three sets helps calculating the <u>verdict</u> by the arbitration specification of the executed expect response action. The classification itself does not prescribe which <u>verdict</u> will be be produced for the currently executed expect response action. It is the responsibility of the associated arbitration specification to derive a verdict from the received elements and their classification. For further details of the semantics of the default «ExpectResponseArbitrationSpecification», refer to the corresponding sub-section.

Basiscally, only two sets are required to be explicity stated, the third set is then derived from the complement set of the union of the other two sets. The decision, which set shall be derived by the complement set of the union of the other two sets is determined by the tag definition 'expectationKind'. In case of overlapping sets the following precedences are given: forbidden elements > ignored elements > expected elements. The reason for this precedence is to reduce the possibily of 'false negative' results.

In case of a Message extension, the expected response is defined by the Message's signature and its arguments, if any. If more than one response type is expected at the same point in time, the tag definition 'expectedElement' can be used to denote further expected responses in addition to the expected response denoted by the Message's argument. The eventual number of expected responses is the union of the Message with «ExpectResponseAction» applied, inclusing its arguments, joined with the elements of the tag definition 'expectedElement'. If the signature of the Message is left empty, the expect response action accepts and consumes any kind of actual responses from the test item. In that case, the tag definition 'expectationKind' shall be set to 'implicitExcept' only. The effective set of expected elements is eventually determined by the complement set of the union of forbidden elements and ignored elements.

In case of Trigger extension, the expected responses are the union of the MessageEvents obtained from the underlying Trigger and the expected responses yield by the expectedElement tag definition, if any. A Trigger with «ExpectResponseAction» that defines an AnyReceiveEvent excepts and consumes any kind of actual responses from the test item. In that case, the tag definition 'expectationKind' shall be set to 'implicitExcept' only. The effective set of expected elements is eventually determined by the complement set of the union of forbidden

	elements and ignored elements.
	The default <u>arbitration specification</u> for the <u>expect response action</u> is described by <u>«ExpectResponse Arbitration Specification»</u> .
Extension	Message, Trigger
Super Class	AtomicProceduralElement
Attributes	expectationKind: ImplicitExpectationKind [1] = implicitForbid The expectation kind determines which of the three explicit sets in the context of an ExpectResponseAction is implicitly merged (union) with the complement set of the union of the other two sets. The following possibilities are:
	 forbidden elements are implicitly unified (implicitForbid): Any received element that does not belong to the set of expected or ignored elements will be unified with the explicit set of forbidden elements during test execution. This prevents (or reduces the likelihood of) 'false negatives'. ignored elements are implicitly unified (implicitIgnore): Any received element that does not belong to the set of expected or forbidden elements will be unified with the explicit set of ignored elements during test execution. Care must be taken when going for this mechanism, since it is prone to 'false negative' results in case a forbidden element was forgotten to be explicitly defined in the corresponding set. expected elements are implicitly unified (implicitExpect): Any received element that does not belong to the set of ignored or forbidden elements will be unified with the explicit set of expected elements during test execution. Care must be taken when going for this mechanism, since it is prone to 'false negative' results in case a forbidden element was forgotten to be explicitly defined in the corresponding set.
Associations	A set of elements that are expected from the <u>test item</u> during test execution. Depending on the <u>expectationKind</u> for this <u>ExpectResponseAction</u> this set might be implicitly joined with the complement set of union of the sets <u>'forbiddenElement'</u> and <u>'ignoredElement'</u> .
	<pre>arbitrationSpecification {redefines arbitrationSpecification} : ExpectResponseArbitrationSpecification [01]</pre>
	Refers to an expect response action arbitration specification that overrides the default and implicit arbitration specification if set. It redefines the Property arbitrationSpecification of test action. forbiddenElement: NamedElement [*]
	A set of elements that are forbidden to be received from the test item during test execution. Depending on the expectationKind for this «ExpectResponseAction» this set might be implicitly joined with the complement set of union of the sets 'expectedElement' and 'ignoredElement'. ignoredElement: NamedElement [*]
	A set of elements that are ignored when being received from the <u>test item</u> during test execution. Depending on the <u>expectationKind</u> for this « <u>ExpectResponseAction</u> » this set might be implicitly joined with the complement set of union of the sets <u>'expectedElement'</u> and <u>'forbiddenElement'</u> .
	: ActualResponseLogEntry [*]

Constraints	Type of elements for the explicit sets
	The tag definitions 'forbiddenElement', 'expectedElement' and 'ignoredElement'
	shall only contain instances of the following metaclasses: Message, Event, Signal,
	BehavioralFeature, Trigger, InstanceSpecification.
	UMLTP22-40 Correction of typographical and editorial formatting on
	specification documents
	At least one response
	DRTA02: It is necessary that a <u>expect response action</u> expects to receive at least one
	<u>response</u> .
	Enforced expectation kind 'implicitExcept'
	In the cases, when «ExpectResponseAction» is applied to a Message in the context
	of an Interaction, and the Message's signature is left empty, or when
	«ExpectResponseAction» is applied to a Trigger that yields an AnyReceiveEvent,
	the 'expectationKind' of the «ExpectResponseAction» shall be set to
	' <u>implicitExpect</u> '.
Change from UTP 1.2	«ExpectResponseAction» has been newly introduced by UTP 2.

8.5.3.4.5 SuggestVerdictAction

3.5.3.4.5 SuggestVerdictAction		
Description	SuggestVerdictAction: A <u>test action</u> that instructs the tester to suggest a particular <u>procedural element verdict</u> to the <u>arbitration specification</u> of the <u>test case</u> for being taken into account in the final <u>test case verdict</u> .	
	Stereotype « <u>SuggestVerdictAction</u> » extends InvocationAction which allows for using a variety of metaclasses for application. However, there must be at least one argument InputPin defined for the InvocationAction of the predfefined type <u>verdict</u> or subclasses thereof.	
	For example, a CallOperationAction could be used to invoke a (not standardized, yet proprietary) arbiter-specific interface operation. Another possibility is to use SendObjectAction without specifying the target Pin, which has the semantics of providing the Verdict instance to the arbitrating facility of a test execution system without needing a dedicated Interface. However, during test execution the suggest verdict action must be made executable. This may include manually writing the verdict instance into a paper-based document.	
	If used in an Interaction, the InvocationAction that is stereotyped with «SuggestVerdictAction» must be referenced from an ActionExecutionSpecification that indirectly covers a Lifeline that represents a test component role in the underlying test configuration. Indirectly means that the corresponding start and end OccurenceSpecification of the ActionExecutionSpecification cover the test component lifeline.	
	The default <u>arbitration specification</u> for the <u>suggest verdict action</u> is described by « <u>SuggestVerdictArbitrationSpecification</u> ».	
Graphical syntax	□ X	
Extension	InvocationAction	
Super Class	<u>AtomicProceduralElement</u>	

Associations	<pre>arbitrationSpecification {redefines arbitrationSpecification} : SuggestVerdictArbitrationSpecification [01]</pre>
	Refers to a suggest verdict action arbitration specification that overrides the default and implicit arbitration specification if set. It redefines the Property <i>arbitrationSpecification</i> of test action.
	: SuggestVerdictLogEntry [*]
Constraints	Type of Argument
	The type of the argument InputPin must be the predefined <u>verdict</u> type or a subtype thereof.
Change from UTP 1.2	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	«SuggestVerdictAction» has been newly introduced by UTP 2.

8.5.3.5 Enumeration Specifications

ImplicitExpectation Kind Determines, which of the three received element sets in the context of an ExpectResponseAction is implicitly joined with the complement set of the union of the other two sets. The three sets of elements that are meaningful in the context of an «ExpectResponseAction» are the expected elements, ignored element and forbidden elements. Two of these sets have to be stated explicitly in the context of an ExpectResponseAction, the third one is implicitly derived from the complement set of the union of the explicit set of ignored elements is implicitlgnore Determines that the explicit set of forbidden elements is implicitly joined with the complement set of the union of the explicitly expected and ignored elements sets. ImplicitForbid Determines that the explicit set of forbidden elements is implicitly joined with the complement set of the union of the explicitly expected and ignored elements is implicitIgnore Determines that the explicit set of ignored elements is implicitly joined with by the complement set of the union of the explicit set of ignored elements is implicitIgnore Determines that the explicit set of ignored elements is implicitIgnore Determines that the explicit set of ignored elements is implicitIgnore Determines that the explicit set of ignored elements is implicitIgnore Determines that the explicit set of ignored elements is implicitIgnore Determines that the explicit set of ignored elements is implicitIgnore Determines that the explicit set of ignored elements is implicitIgnore Determines that the explicit set of ignored elements is implicitIgnore.	Name	Description	Enumeration literals
implicitly joined with the complement set of the union	ImplicitExpectation	Determines, which of the three received element sets in the context of an ExpectResponseAction is implicitly joined with the complement set of the union of the other two sets. The three sets of elements that are meaningful in the context of an «ExpectResponseAction» are the expected elements, ignored element and forbidden elements. Two of these sets have to be stated explicitly in the context of an ExpectResponseAction, the third one is implicitly derived from the complement set of the	implicitForbid Determines that the explicit set of forbidden elements is implicitly joined with the complement set of the union of the explicitly expected and ignored element sets. implicitIgnore Determines that the explicit set of ignored elements is implicitly joined with by the complement set of the union of the explicitly expected and element sets. implicitExpect Determines that the explicit set of expected elements is

8.6 **Test Data**

Testing is mainly about the exchange of <u>data</u> and the ability to compare actual <u>responses</u> and their payload received from the <u>test item</u> at test execution with the expected one stated in the <u>test case</u>. Therefore, testers usually have to take at least two <u>data</u>-related concepts into account. First, the specification of <u>data</u>, i.e., the known types and the <u>constraints</u> applied on these types for deriving <u>data</u> values that abide by these <u>constraints</u>. Second, a flexible mechanism to specify <u>data</u> values and their allowed matching mechanisms for <u>test case</u> execution.

Data specification-related concepts are provided and further described by the concepts of the <u>Data Specifications</u> chapter.

Data value-related concepts are provided and further described by the concepts of the Data Values chapter.

8.6.1 Data Specifications

UMLTP22-40 Correction of typographical and editorial formatting on specification documents

This section specifies the stereotypes to implement the <u>data specification</u> concepts introduced in section Test Data of the Conceptual Model.

8.6.1.1 Data Specifications Overview

The diagram below shows abstract syntax of the data specifications package.

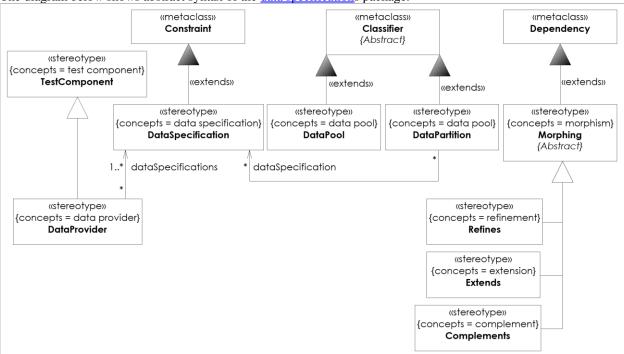


Figure 8.18 - Data Specifications Overview

8.6.1.2 Stereotype Specifications

8.6.1.2.1 Complements

NorthErr Complements		
Description	<u>Complements</u> : A <u>morphism</u> that inverts <u>data</u>)i.e., that replaces the <u>data items</u> of a given set of <u>data items</u> by their opposites).	
	The stereotype « <u>Complements</u> » specializes the abstract stereotype « <u>Morphing</u> » and logically negates the specification of the morphed <u>data specification</u> s within the morphing <u>data specification</u> . That means that <u>complement morphism</u> result in a complementing <u>data specification</u> that is the difference set of the complemented or morphed <u>data specification</u> .	
Extension	Dependency	
Super Class	Morphing	
Change from UTP 1.2	«Complements» has been newly introduced by UTP 2.	

8.6.1.2.2 DataPartition

Description	<u>DataPartition</u> : A role that some <u>data</u> plays with respect to some other <u>data</u> (usually being a subset of this other <u>data</u>) with respect to some <u>data specification</u> .
	The stereotype « <u>DataPartition</u> » extends a UML Classifier and represents a set of data that complies with one or more data specifications.
Extension	Classifier
Associations	dataSpecification : DataSpecification [*]
Change from UTP 1.2	«DataPartition» has been newly introduced by UTP 2.

8.6.1.2.3 DataPool

Description	<u>DataPool</u> : Some <u>data</u> that is an explicit or implicit composition of other <u>data items</u> .
	The stereotype «DataPool» extends a UML Classifier and represents a set of
	physical <u>data</u> without complying to any particular <u>data specification</u> .
Graphical syntax	
Extension	Classifier
Change from UTP 1.2	Changed from UTP 1.2. In UTP 1.2 « <u>DataPool</u> » extended both Classifier and Property.

8.6.1.2.4 DataProvider

Description	DataProvider: A test component that is able to deliver (i.e., either select and/or generate) data according to a data specification. The stereotype «DataProvider» is a specialization of stereotype «TestComponent». Such a test component is used to provide a data partition, represented as a Constraint extended by the stereotype «DataPartition», by generating some new data or by selecting some existing data from another data partition or a data pool according to some data specifications (represented as a Constraint extended by the stereotype «DataSpecification»).
Extension	Classifier, Property
Super Class	<u>TestComponent</u>
Associations	: TestDesignDirective
	dataSpecifications : DataSpecification [1*]
Change from UTP 1.2	«DataProvider» has been newly introduced by UTP 2.

8.6.1.2.5 DataSpecification

.6.1.2.5 DataSpecification		
Description	DataSpecification: A named boolean expression composed of a data type and a set of constraints applicable to some data in order to determine whether or not its data items conform to this data specification. The stereotype «DataSpecification» extends Constraint and is used to describe the	
	constraints within the context of one or more types, instances of those types have to comply with. <u>DataSpecifications</u> are used to build and define <u>DataPartitions</u> .	
	Since « <u>DataSpecification</u> » is an <u>extension</u> of Constraint the specification of the Constraint is defined by a ValueSpecification. This specification might be as simple as a LiteralString (e.g., natural language describing the <u>constraint</u>) or as complex as a formal language statement (e.g., Alf or OCL). UTP does not prescribe the notation used for describing the specification of a « <u>DataSpecification</u> » Constraint.	
	In case a Constraint with « <u>DataSpecification</u> » is directly contained in Classifier, it is considered semantically equivalent to « <u>DataSpecification</u> » Constraint defined outside of this Classifier and with a « <u>Refines</u> » Dependency established between the	
Extension	« <u>DataSpecification</u> » Constraint and the Classifier.	
Associations	: DataProvider [*]	
rissociations	: DataPartition [*]	
Constraints	UMLTP22-40 Correction of typographical and editorial formatting on specification documents	
	DataType in DataSpecification DRTD01: It is necessary that each <u>data specification</u> specifies at least one <u>data type</u> .	
Change from UTP 1.2	«DataSpecification» has been newly introduced by UTP 2.	

8.6.1.2.6 Extends

Extends: A morphism that increases the amount of data (i.e., that adds more data
<u>items</u> to a given set of <u>data items</u>).
The stereotype «Extends» specialized the abstract stereotype «Morphing» and
logically OR-combines the specification of the morphed data specifications within
the morphing <u>data specification</u> . That means that <u>extension morphism</u> result in a
<u>data specification</u> that is more general than the extended or morphed <u>data</u>
specifications.
Dependency
Morphing
«Extends» has been newly introduced by UTP 2.

8.6.1.2.7 Morphing

Morphing: A structure-preserving map from one mathematical structure to another.
The abstract stereotype « <u>Morphing</u> » extends Dependency and is used to derive <u>data</u> <u>specifications</u> from other <u>data specifications</u> . This enables a high degree of reusability of existing <u>data specifications</u> . « <u>Morphing</u> » is intended to be subclassed and simply acts as a common superclass for shared semantics and constraints.
A Dependency stereotyped with a subclass of « <u>Morphing</u> » always emanates from a Constraint with « <u>DataSpecification</u> » applied. It must point to a UML Classifier , to a UML Package containing some UML Classifiers , or to a Constraint with « <u>DataSpecification</u> » applied. If it targets a « <u>DataSpecification</u> » Constraint , it morphs the definitions of that <u>data specification</u> (called the morphed <u>data specification</u>) into a new <u>data specification</u> (called morphing <u>data specification</u>). If it targets a Classifier (or a set of Classifiers contained in a Package), all constraints applied on those Classifiers or their attributes are considered as an implicit morphed <u>data specification</u> attached to the Classifier which is eventually morphed into a morphing <u>data specification</u> .
The exact effect of morphing a <u>data specification</u> into another <u>data specification</u> is defined by the concrete subclasses of the stereotype « <u>Morphing</u> ».
Dependency
Complements, Extends, Refines
Clients of a «Morphing» Dependency
DRTD03: As clients of a Dependency stereotyped with a concrete substereotype of «Morphing» only the following elements are allowed: Constraint with «DataSpecification» applied.
Suppliers of a «Morphing» Dependency
DRTD04: As suppliers of a Dependency stereotyped with a concrete substereotype of « <u>Morphing</u> » only the following elements are allowed: Constraint with « <u>DataSpecification</u> » applied, UML Classifier , and UML Package .
«Morphing» has been newly introduced by UTP 2.
Ta A Ca a a ca a ca a ca a ca a ca a ca a

8.6.1.2.8 Refines

Description	Refines: A morphism that decreases the amount of data (i.e., that removes data items from a given set of data items). The stereotype «Refines» specialized the abstract stereotype «Morphing» and logically AND-combines the specification of the morphed data specifications within the morphing data specification. That means that refinement morphism result in a data specification that is more specific than the refined or morphed data specifications.
Extension	Dependency
Super Class	Morphing
Change from UTP 1.2	«Refines» has been newly introduced by UTP 2.

8.6.2 Data Values

The payload of an <u>expect response action</u> is also called expected <u>response</u> argument value as opposed to the actual <u>response</u> argument value. During <u>arbitration specification</u>, usually a comparator evaluates whether the actual <u>response</u> matches with the expected ones in terms of event type and its payload. It is then the task of the <u>arbitration specification</u> to decide on the <u>verdict</u> that has to be assigned. In UTP <u>data</u> values are expressed by means of ValueSpecifications to specify both the payload for a <u>stimulus</u> and the payload of expected <u>response</u>s. In case of an

expected <u>response</u>, the ValueSpecification does also implicitly define a matching mechanism used by a comparator during arbitration in order to evaluate whether the expected payload matches the actual payload.

The implicitly applied matching mechanism is determined by the ValueSpecification used to describe an expected payload argument in the context of an expected <u>response</u>. The prescribed matching mechanisms semantics, inherently bound to ValueSpecifications, are defined by UTP as follows:

- ValueSpecification (abstract metaclass): In general, any native UML ValueSpecification infers an *equality matching mechanism*, i.e., the actual payload, also known as <u>response</u> argument value, must be exactly the same as the expected payload. Any deviation will result in a mismatch.
- LiteralInteger: Checks for equality of the expected and actual <u>response</u> Integer-typed argument value.
- LiteralString: Checks for equality of the expected and actual response String-typed argument value.
- LiteralReal: Checks for equality of the expected and actual <u>response</u> Real-typed argument value.
- LiteralBoolean: Checks for equality of the expected and actual <u>response</u> Boolean-typed argument value.
- LiteralUnlimitedNatural: Checks for equality of the expected and actual <u>response</u> Integer-typed argument value including infinity.
- LiteralNull: Checks for absence of an actual <u>response</u> argument value of any type.
- InstanceValue: Checks for equality of the expected and actual <u>response</u> complex <u>data type</u> instance argument value.

All these equality matching mechanisms are natively given by UML, whereas UTP adds just a few more ValueSpecifications that provide matching mechanisms currently not given by UML. These kinds of ValueSpecifications are sometimes called Wildcards (TTCN-3) or Facets (XML Schema):

- <u>AnyValue</u>: Represents a set of all possible values for a given type and checks if actual <u>response</u> argument value is contained in this set. In case of optionality, the set of known values includes the absence of a value. This is implemented as stereotype «<u>AnyValue</u>».
- <u>RegularExpression</u>: Represents a set of values for a given type described by a regular expression and checks if the actual <u>response</u> argument value belongs to that set. This is implemented as stereotype «<u>RegularExpression</u>».

UMLTP-6 Last paragraph of Clause 8.6.2 Data Values needs to be removed

8.6.2.1 Data Value Extensions

The diagram below shows the abstract syntax of the ValueSpecification extensions introduced by UTP.

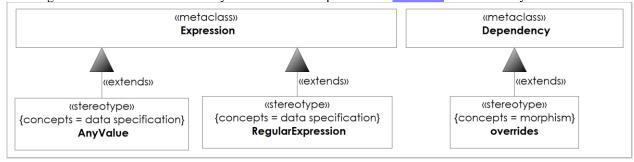


Figure 8.19 - Data Value Extensions

8.6.2.2 Stereotype Specifications

8.6.2.2.1 AnyValue

Description	The stereotype «AnyValue» extends ValueSpecification and represents an implicit
	set of known values for a given type. The expected <u>response</u> argument value
	matches with each actual <u>response</u> argument value, as long as type-compliance is
	given. In case of optionality, the set of known values includes the absence of a
	value.
Extension	Expression

Change from UTP 1.2	Changed and renamed from UTP 1.2. In UTP 1.2, «AnyValue» was called
	«LiteralAny» and extended LiteralSpecification.

8.6.2.2.2 overrides

8.6.2.2.2 overrides	
Description	Overrides is a relationship between at least two InstanceSpecifications, i.e., the modifying InstanceSpecification and the modified InstanceSpecification. Modifying InstanceSpecifications constitute the client elements of the underlying dependency, and consequently, modified InstanceSpecifications constitute the supplier elements of the underlying dependency. A modifying InstanceSpecification reuses all slot values of the modified InstanceSpecification in a way as if the slot values would have been copied into the modifying InstanceSpecification as its owned slots. Furthermore, the modifying InstanceSpecification is allowed to specify slots, which have not been declared by the modified InstanceSpecification at all. This enables user to gradually complete InstanceSpecifications and to reuse already or maybe partially defined InstanceSpecifications in order to create large sets of data by avoiding redundancy. Additionally, a modifying InstanceSpecification is able to overwrite slots with new values. A slot is considered to be overwritten if a modifying InstanceSpecification defines an owned slot that refers to the very same defining feature as the owned slot of the modified InstanceSpecification, or to a feature that redefines, directly or transitively, the slot's defining feature. An overwriting slot's value list entirely replaces the value list of the slot that is overwritten. Modification requires type compatibility between the modifying and modified InstanceSpecification's classifier list. Two classifier lists are compatible if the modifying InstanceSpecification's classifier list. Two classifier lists are compatible if the modifying InstanceSpecification's classifier list. Type compatible with the modified InstanceSpecification or classifier list. Type compatibility between classifiers is defined in the UML specification. Cyclic modifications are not allowed. A cyclic modification describes a situation in which a modifying InstanceSpecification establishes a modification to a modified InstanceSpecificati
Extension	Dependency
Constraints	Restriction of client and supplier
	As client and supplier of the underlying Dependency , only InstanceSpecification are allowed. Cyclic modifications Cyclic override are not allowed. A cyclic override means that an overridden InstanceSpecification transitively overrides its overriding InstanceSpecification .
Change from UTP 1.2	«overrides» was renamed by UTP 2. In UTP 1.2, it was named «modifies».

8.6.2.2.3 Regular Expression

Description	The stereotype « <u>RegularExpression</u> » extends Expression and represents an implicit set of values for a given type described by a regular expression. The expected <u>response</u> argument value matches with each actual <u>response</u> argument value if the actual one belongs to the set of values defined by the regular expression.
	A <u>RegularExpression</u> can be used for test <u>data</u> generation or to compare whether an actual <u>response</u> matches with expected <u>response</u> .
	The attribute <i>symbol</i> of the underlying Expression must contain the String that is evaluated as the regular expression. It might be omitted, in that case the <i>operands</i> of the underlying Expression must be used as abstract syntax tree for the regular expression.
Extension	Expression
Change from UTP 1.2	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	«RegularExpression» has been newly introduced by UTP 2.

8.7 **Test Evaluation**

The concepts for test evaluation are necessary to decide about the outcome of the dynamic test process activities. They implement in the specification of (proprietary) <u>arbitration specifications</u> on <u>test set</u>, <u>test case</u> and <u>procedural element</u> level, as well as in the ability to incorporate the <u>test log</u>s produced during the execution of a test-specific <u>procedure</u> and its <u>procedural element</u> in a platform-independent, but user-specific way.

UMLTP22-10: Introducing an arbitration specification binding mechanism

8.7.1 Arbitration Specifications

8.7.1.1 Arbitration Facility

8.7.2 Arbitration Specifications

In dynamic testing, the term *Arbitration* describes the application of a certain rule set on the outcome of a test execution activity, usually captured as <u>test log</u> for comprehensibility, in order to derive the final <u>verdict</u> of an execution <u>test set</u> or <u>test case</u>. Thus the arbitration of an executed <u>test set</u> or <u>test case</u> is the most important activity of the test evaluation activities with respect to requirements, <u>test requirement</u> or <u>test objective</u> coverage. Arbitration can both happen immediately during test execution (dynamic arbitration) and after test execution based on the captured <u>test logs</u> (post-execution arbitration). Due to whatever reason (organizational, technical etc.), one might be preferred over the other.

The UTP arbitration facility offers stereotypes for specifying proprietary <u>arbitration specifications</u> that vary from the default <u>arbitration specifications</u> in terms of their <u>verdict</u> calculation algorithm. Users can define user-specific <u>arbitration specifications</u> for <u>test sets</u>, <u>test execution schedules</u>, <u>test cases</u> and <u>procedural elements</u> by simply applying the stereotypes offered by the UTP arbitration facility to applicable metaclasses. The degree of formalism of a user-defined <u>arbitration specification</u> is left open. An <u>arbitration specification</u> might be represented by something as simple as an identifier (referring to an implementation), by natural language describing the arbitration rules, by any kind of UML Behavior or by something formal as executable specifications or mathematical definitions.

Arbitration specifications are usually implemented (or interpreted) by an arbiter component that belongs to the utilized the test execution tool. UTP does not prescribe any implementation details of an arbiter component as part of an test execution tool, nor how or when information from test sets, test cases and procedural elements are passed

to an arbiter component.

It is left open, if the arbitration activities are carried out automatically or by a human.

UTP introduces three different kinds of verdicts that can be produced:

- <u>procedural element verdicts</u>: Verdicts produced by a <u>procedural element arbitration specification</u>;
- <u>test case verdicts</u>: Verdicts produced by a <u>test case</u> <u>arbitration specification</u>;
- <u>test set verdicts</u>: Verdicts produced by a <u>test set arbitration specification</u>.

The fundamental <u>verdict</u> calculation and provisioning schema is as follows:

- <u>test set arbitration specifications</u>: they derive the <u>test set verdict</u> from the <u>test case verdicts</u> that have been executed as part of the <u>test set</u> (i.e., the <u>test case verdicts</u> are passed to the <u>arbitration specification</u> of the surrounding <u>test set</u>);
- <u>test case arbitration specifications</u>: they derive the <u>test case verdicts</u> from the <u>procedural element verdicts</u> (first and foremost the <u>test action verdicts</u>) that have been executed as part of the <u>test case</u> (i.e., the <u>procedural element verdicts</u> are assembled and passed on to the <u>test case</u> arbitration specification);
- <u>procedural element arbitration specifications</u>: they derive <u>procedural element verdicts</u> from the information conveyed by the <u>procedural element</u>, or in case of a <u>compound procedural element</u>, the <u>procedural element verdicts</u> received from the <u>arbitration specifications</u> of the contained <u>procedural elements</u>.

8.7.2.1 Test Procedure Arbitration Specifications

In dynamic testing, the term arbitration describes the process of calculating a <u>verdict</u> (also known as test result) for an executed <u>test case</u> based on so called pass/fail criteria. Arbitration is the most important activity of a dynamic test process with respect to calculating the coverage of requirements, <u>test requirements</u> or <u>test objectives</u>. Arbitration includes the process of comparing the expected and actual behavior of a <u>test item</u> to detect any deviation from the expectation. Often, the comparison process is used as a synonym for arbitration, but this is not correct. Comparison is one part of arbitration that simply decides whether an expectation was met, or not. The outcome is usually a Boolean value true or false. This Boolean value is than passed to the pass/fail criteria that is responsible to derive a <u>verdict</u> (the test result) for a <u>test case</u>. When the calculation is done automatically, the component that implements the pass/fail criteria is usually referred to as the arbiter. In UTP 2, the pass/fail criteria are formalized as <u>arbitration specifications</u>.

In UTP 2, several <u>artifacts</u> may deliver a <u>verdict</u>. Such an <u>artifact</u> is called an arbitration target. UTP 2 distinguished the following arbitration targets: <u>procedural element</u>, <u>test case</u>, <u>test execution schedule</u> and <u>test set</u>. These arbitration targets define a hierarchy of arbitration scopes. Verdicts produced by arbitration targets on lower scopes are passed to higher scopes, more precisely, passed to the <u>arbitration specification</u> of higher scope arbitration targets. The arbitration scopes of UTP 2are subsequently described in ascending hierarchy (i.e., starting with the lowest arbitration scope):

- Procedural element scope: Every <u>procedural element</u> is (potentially implicitly) associated with a <u>procedural element arbitration specification</u> that produces a corresponding <u>procedural element verdict</u>. Procedural elements <u>verdicts</u> might be atomic, i.e., they cannot be further decomposed, in case the <u>verdicts</u> have been produced by an <u>atomic procedural element arbitration specification</u>. It might also consist of other <u>procedural element verdicts</u> in case the <u>verdict</u> has been produced by a <u>compound procedural element verdict</u>.
- Test case scope: Every <u>test case</u> is (potentially implicitly) associated with a <u>test case arbitration</u> <u>specification</u> that produces the corresponding <u>test case verdict</u> for that <u>test case</u>. A <u>test case verdict</u> is usually calculated by evaluating the <u>procedural element verdicts</u> it received from its <u>procedural element</u> arbitration scope.
- Test execution schedule/<u>test set</u> scope: Every <u>test set</u> is (potentially implicitly) associated with a <u>test set</u> arbitration specification that produces the corresponding <u>test set verdict</u> for that <u>test set</u>. A <u>test set verdict</u> is usually calculated by evaluating the <u>test case verdicts</u> it received from its <u>test case</u> arbitration scope.

For each specific arbitration target (e.g., a <u>test case</u>, an <u>expect response action</u>) a corresponding <u>arbitration</u> <u>specification</u> exist (e.g. <u>test case arbitration specification</u>, <u>expect response action arbitration specification</u>). This strong typing of <u>arbitration specifications</u> results in a high number of stereotypes, but prevents confusion, manual errors and ambiguities when binding <u>arbitration specifications</u> to arbitration targets. UTP 2 defines default

<u>arbitration specifications</u> for every specific arbitration target. If the default <u>arbitration specifications</u> is sufficient in a given context, the user does not have to care about defining specific <u>arbitration specifications</u> at all. However, in some situations it might be required to define proprietary <u>arbitration specifications</u>, <u>verdicts</u> or <u>verdicts</u> precedence rules. Users can define user-specific <u>arbitration specifications</u> for any arbitration target. The degree of formalism of a user-defined <u>arbitration specification</u> is left open. An <u>arbitration specification</u> might be represented by something as simple as an identifier (referring to an implementation), by natural language describing the arbitration rules, by any kind of UML Behavior or by something formal as executable specifications or mathematical definitions. The semantics of the default <u>arbitration specification</u> is explained in the stereotype specification of <u>«ArbitrationSpecification»</u> and its subclasses.

Arbitration can both happen immediately during test execution (dynamic arbitration) and after test execution based on the captured <u>test log</u>s (post-execution arbitration). Due to whatever reason (organizational, technical etc.), one might be preferred to the other.

Arbitration specifications are usually implemented (or interpreted) by an arbiter that belongs to the utilized test execution tool. UTP 2 does not prescribe any implementation details of an arbiter, nor how or when information from <u>test sets</u>, <u>test cases</u> and <u>procedural elements</u> are passed to an arbiter component.

It is left open, if the arbitration process is carried out automatically or by a human being.

Arbitration Specification Binding

In case it is needed, user can override the default <u>arbitration specifications</u> of arbitration targets with proprietary ones. The UTP 2 arbitration facility offers a binding mechanism to empower user to integrate their own <u>arbitration specification</u> into the arbitration facility. The binding mechanism was designed to provide a high degree of flexibility, i.e., the user can override <u>arbitration specification</u>s of single arbitration targets without the need to care about all the other arbitration targets.

A binding connects an arbitration target with a corresponding, matching <u>arbitration specification</u>. The matching mechanism for arbitration targets and <u>arbitration specifications</u> are explained in the stereotype specifications of «ArbitrationSpecificationBinding» and the concrete sub-classes of «ArbitrationSpecification».

Due to the hierarchical nature of arbitration targets (e.g. an arbitration target «<u>TestCase</u>» may consist of arbitration targets «<u>ExpectResponseAction</u>») the binding of an <u>arbitration specification</u> to an arbitration target may also be defined on different hierarchical scopes. UTP 2 defines the following evaluation scopes where bindings can be defined for a given arbitration target:

- Global scope: The topmost scope; each binding on global scope applies to all matching arbitration targets on lower scopes if not overridden in a cascading manner.
- Test Set scope: The first scope with a concrete arbitration targets; bindings on that scope apply to all matching arbitration targets on lower scopes if not overridden in a cascading manner.
- Test Case scope: The scope of a single <u>test case</u>; bindings on that scope apply only to the <u>procedural</u> elements of the given test case, if not overridden in a cascading manner
- Procedural Element scope: The lowest scope; a <u>procedural element</u> binding <u>overrides</u> any binding that was defined for that <u>procedural element</u> on any higher scope.

Bindings that are declared on lower scopes override bindings on higher scopes in a cascading manner. When an arbitration process is carried out eventually, the arbiter will first calculate the set of effective <u>arbitration</u> <u>specifications</u> for a given arbitration target and then execute the rules of that <u>arbitration specification</u> target to produce a <u>verdict</u>. The cascading nature of the <u>arbitration specification</u> bindings allows for specifying multiple bindings for a given arbitration target on different binding scopes, but only one (i.e. the most downwards one) will be the effective <u>arbitration specification</u> for that arbitration target.

Let us assume we have a <u>test set</u> 'TS_1' defined that consists of two <u>test cases</u> 'TC_1' and 'TC_2'. A <u>test set</u> <u>arbitration specification</u> binding exists that defines a target-free binding on <u>test set</u> scope that binds explicit expect <u>response arbitration specification</u> 'ERAS_1' to the <u>test set</u> 'TS_1'. Let's further assume that there is a sub-scope <u>test case arbitration specification</u> binding (i.e., 'TCASB_1' with arbitration target 'TC_1') defined for the <u>test set arbitration specification</u> binding. TCASB_1 further defines that for all <u>expect response actions</u> of that evaluation scope, the expect <u>response arbitration specification</u> 'ERAS_2' shall be bound. If the arbitration process for the <u>test set</u> 'TS_1' is started, 'ERAS_2' will be bound to all <u>expect response actions</u> (i.e. all <u>expect response actions</u> of <u>test case</u> 'TC_1') in the scope of 'TCASB_1', whereas 'ERAS_1' will be used for all remaining <u>expect response actions</u> (i.e. of <u>test case</u> 'TC_2').

Arbitration Directives

Arbitration bindings simply declare that arbitration targets and arbitration specifications refer to each other. These

relationships need to be put into effect at a certain point of time. It is therefore required to explicitly define which binding should be taken into effect. The UTP 2 arbitration facility leverages the UTP 2 test directive facility for that purpose. An arbitration directive collects a set of <u>arbitration specification</u> bindings and ties them to an input for which these bindings shall be put into effect. The input is usually a <u>test log</u> on which the arbitration process shall operate (i.e., post-execution arbitration) or a <u>test set</u>, a <u>test execution schedule</u> or a set of <u>test cases</u> for which the bindings shall be put into effect (i.e., dynamic arbitration). The arbitration directive be the configuration of an arbiter for <u>verdict</u> calculation.

Deprecation of the former binding mechanism

Before UTP 2.2 each arbitration target (i.e., <u>test set</u>, <u>test execution schedule</u>, <u>test case</u> and <u>procedural element</u> as well as its subclasses) had a direct association to their matching <u>arbitration specifications</u>. This association is for the sake of backward-compatibility technically still present but marked as deprecated and should not be used anymore. The newly introduced cascading <u>arbitration specification</u> facility is more flexible and less intrusive than the former one.

8.7.2.1.1 Arbitration Facility Overview

The following figure shows the foundations of the arbitration facility of UTP. It illustrates how arbitration specifications and arbitration targets relate to each other using the arbitration specification binding mechanism. An arbitration specification produce arbitration results. The most important, yet not the sole information conveyed by an arbitration result is the verdict. Due to the design of the stereotype «<u>ArbitrationResult</u>» it is easily possible to incorporate further, yet proprietary information into the «ArbitrationResult» using UML's native

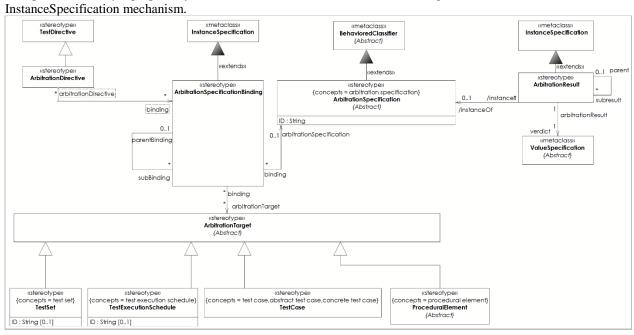


Figure 8.20 - Arbitration Specifications Overview

8.7.2.1.2 Stereotype Specifications

8.7.2.1.2.1 Arbitration Directive

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Description	An arbitration directive instructs a human tester or a machine to calculate <u>verdicts</u> (represented by the stereotype « <u>ArbitrationResult</u> ».	
Super Class	<u>TestDirective</u>	
Associations	binding: ArbitrationSpecificationBinding [*] {unique} The set of arbitration specification bindings that are defined in the scope of the arbitration directive.	

8.7.2.1.2.2 ArbitrationResult

Description

An <u>«ArbitrationResult</u>» stores information about the execution and the <u>verdict</u> produced by an <u>arbitration specification</u> that was put into effect by an arbiter. Arbitration results can be calculated for any arbitration target. The characteristics of an <u>«ArbitrationResult</u>» is determined by the <u>«ArbitrationSpecification</u>» of which the <u>«ArbitrationResult</u>» represents an instance of.

The most important information an <u>arbitration specification</u> produces is the eventual <u>verdict</u>. Other helpful, but not standardized information may include the timestamp of the execution, characteristics of the arbiter (e.g. the human being or implementation) that produced the result, the outcome of the comparison process of actual and expected value including deviation details in case of mismatches, etc. Additional information can be incorporated by using the ordinary underlying UML **InstanceSpecification** mechanism.

An <u>«ArbitrationResult</u>» may points to the corresponding <u>«TestLogElement</u>» that provides the actual information captured during test execution. The expected information is specified by the corresponding arbitration target linked by the <u>arbitration specification</u> the arbitration result is an instance of. All information that were involved in calculating the <u>verdict</u> are therefore accessible for analysis or understanding.

«<u>ArbitrationResult</u>»s may link with other «<u>ArbitrationResult</u>»s. An arbitration result of a <u>test set</u> is usually calculated by the arbitration result of the executed <u>test case</u>s, which, in turn, are calculated by the arbitration result of the executed <u>procedural elements</u>. The tag definitions 'subresults' and 'parent' of «<u>ArbitrationResult</u>» enable keeping depending «<u>ArbitrationResult</u>» closely connected to one another.

Extension

InstanceSpecification

Associations

verdict : ValueSpecification

The <u>verdict</u> that was produced for a given <u>test case</u>, <u>test set</u> or <u>procedural element</u> according to the respective bound <u>arbitration specification</u> and the actual information captured in the corresponding <u>test log</u>.

/instanceOf : ArbitrationSpecification [0..1]

The <u>arbitration specification</u> whose rules were used to produce the <u>test set</u>. The <u>arbitration specification</u> is derived from the underlying **InstanceSpecification**'s set of **Classifiers** with <u>«ArbitrationSpecification</u>» applied or specializations thereof. There can be more than one **Classifier** set for an <u>«ArbitrationResult</u>» **InstanceSpecification**, but only one of these **Classifiers** are allowed to be stereotyped with <u>«ArbitrationSpecification</u>» or a specialization thereof.

resultFor : TestLogElement [0..1]

The corresponding test log element for which the given «<u>ArbitrationResult</u>» captures the calculated <u>test set</u> and any other relevant information.

subresult : ArbitrationResult [*]

A set of linked «<u>ArbitrationResult</u>»s that influenced the calculation of the current <u>verdict</u>.

In case of a compound <u>procedural element</u>, it is possible (not mandatory, though) to link all the «<u>ArbitrationResult</u>»s produced for the <u>procedural element</u>s contained by the compound procedural element.

parent : ArbitrationResult [0..1]

The superior «ArbitrationResult» the current «ArbitrationResult» has an impact on.

Constraints	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	Type of verdict ValueSpecification
	The type of the ValueSpecification referenced by the tag definition <u>verdict</u> must be of type <u>verdict</u> (or a subtype thereof) as defined in the UTP Types Library.
Change from UTP 1.2	« <u>ArbitrationResult</u> » has been newly introduced by UTP 2.

UMLTP22-10: Introducing an arbitration specification binding mechanism

8.7.2.1.2.3 ArbitrationSpecification

3.7.2.1.2.3 Arbitration	ArbitrationSpecification: A set of rules that calculates the eventual <u>verdict</u> of an
Description	executed test case, test set or procedural element.
	The stereotype « <u>ArbitrationSpecification</u> » extends BehavioredClassifier and is used to specify the decision process for <u>verdicts</u> . It is an abstract stereotype that is specialized by stereotypes that deal with the <u>verdicts</u> of <u>test sets</u> , <u>test cases</u> , and <u>procedural elements</u> (i.e. <u>test set verdicts</u> , <u>test case verdicts</u> , and <u>procedural element verdicts</u>).
	The concept of an <u>arbitration specification</u> allows for specifying user-defined algorithms for the calculation of the <u>verdict</u> based on the executed <u>test case</u> s or the captured <u>test case log</u> s.
	The semantics of the default <u>arbitration specification</u> defines a default precedence of the predefined instances, which is: <u>None</u> < <u>Pass</u> < <u>Inconclusive</u> < <u>Fail</u> < <u>Error</u> .
	That means that <u>verdicts</u> with lower precedence can be overwritten with <u>verdicts</u> of higher precedence, but not vice versa.
	Other default <u>arbitration specifications</u> defined by UTP adhere by that precedence rule defined by <u>«ArbitrationSpecification</u> » and <u>complement</u> it with their specific semantics. User-defined <u>arbitration specifications</u> may override that default semantics as well as the precedence of <u>verdicts</u> .
	The result of an <u>arbitration specification</u> is stored in an <u>«ArbitrationResult</u> » that contains the eventual <u>verdict</u> and links the <u>«ArbitrationSpecification</u> » to the element it was applied to
Graphical syntax	EY
Extension	BehavioredClassifier
Sub Class	ProceduralElementArbitrationSpecification, TestCaseArbitrationSpecification, TestSetArbitrationSpecification
Attributes	ID : String [1]
Attributes	ID: String [1]
Attributes	A unique identifier that unambiguously identifies the given arbitration specification.
Attributes Associations	
	A unique identifier that unambiguously identifies the given <u>arbitration specification</u> .

	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	<u>DRAS01</u> : It is necessary that an <u>arbitration specification</u> determines exactly one <u>verdict</u> .
Change from UTP 1.2	« <u>ArbitrationSpecification</u> » has been newly introduced into UTP 2.

UMLTP22-10: Introducing an arbitration specification binding mechanism

8.7.2.1.2.4 ArbitrationSpecificationBinding

Description

«ArbitrationSpecificationBinding» binds <u>arbitration specifications</u> to suitable arbitration targets.

A bound <u>arbitration specification</u> is responsible to calculate the arbitration results (i.e., the <u>verdict</u>) for a given arbitration target. The given arbitration target defines the scope where the binding and potential sub-bindings become valid.

Every <u>arbitration specification</u> binding on the very same evaluation scope shall refer to unique arbitration targets. If not, it is ambiguous which binding shall become valid during the evaluation process. Let us assume there are two <u>test set</u> bindings defined in the same binding scope and both refer to the <u>test set</u> 'TS_1' as arbitration target but different <u>test set</u> <u>arbitration specifications</u>, it is unclear which <u>arbitration specification</u> should be utilized for the arbitration process.

If there are multiple matching arbitration targets defined by the binding, the corresponding arbitration specification is bound to all mentioned arbitration targets. The arbitration target might be omitted in a binding. These kinds of bindings are called target-free bindings. They apply not only to the explicitly mentioned arbitration targets, but to all matching arbitration targets in the current evaluation scope (and, if not overridden also in lower evaluation scopes). In that case, the bound arbitration specification is applied to all matching arbitration targets within the given and lower evaluation scopes. Let us assume there is a test case arbitration specification binding that binds the test case arbitration specification 'TCAS_1' to an empty arbitration target. This empty arbitration target is evaluated at processing time to all possible test cases that exist in the current evaluation scope. Let us further assume that there is another test case arbitration specification binding that binds the test case arbitration specification 'TCAS_2' to an explicit arbitration target, i.e., test case 'TC 5'. If both bindings are defined in the same evaluation scope, for all test cases but 'TC_5' the test case arbitration specification 'TCAS_1' becomes valid. For 'TC_5' the test case arbitration specification 'TCAS_2' becomes valid.

There should only be one target-free binding of the same type defined on the same evaluation scope. Otherwise it is ambiguous which binding shall become valid during evaluation process. Let us assume there are two target-free <u>test case</u> bindings in the scope of the same <u>test set</u> binding. Since both bindings would apply concurrently to all <u>test case</u>s, it is not clear which binding should eventually be evaluated during the evaluation process.

The bound <u>arbitration specification</u> might also be omitted. In that case, the (implicitly defined) default <u>arbitration specification</u> for the given arbitration target will be bound. This construct is helpful in situation where a binding on a higher evaluation scope is defined, but the default <u>arbitration specification</u> shall be applied nonetheless for an arbitration target.

Arbitration specification bindings have a cascading nature, i.e., sub-scope arbitration specification bindings override bindings on a higher evaluation scope. Target-free bindings are not allowed to define sub-bindings. The reason for this restriction is comprehensibility.

Arbitration specification bindings must only bind matching arbitration targets and <u>arbitration specifications</u>. The type of the binding is determined by the characteristics of the arbitration target and the <u>arbitration specification</u>. For example, if an arbitration specification binding binds a <u>test case arbitration specification</u> to a <u>test case</u> (i.e., the arbitration target) the binding is called *test case arbitration specification binding*.

Extension	InstanceSpecification
Associations	<pre>subBinding : ArbitrationSpecificationBinding [*]{unique}</pre>
	The set of arbitration specification bindings on a lower evaluation scope that this
	binding specification binding refers to as sub-bindings.
	<pre>parentBinding :</pre>
	ArbitrationSpecificationBinding[*] {unique}
	The set of arbitration specification bindings on a higher evaluation scope that refer
	to this arbitration specification binding as sub-binding.
	<pre>arbitrationTarget : ArbitrationTarget [*] {unique}</pre>
	The set of arbitration targets to which referenced <u>arbitration specification</u> will be bound to. If left empty, it means that the bound <u>arbitration specification</u> will be
	bound implicitly to all matching arbitration targets within the scope of the binding.
	arbitrationSpecification: ArbitrationSpecification [01]
	The <u>arbitration specification</u> that is eventually bound to the arbitration target. If it is
	left empty, the default <u>arbitration specification</u> for the corresponding arbitration
	target is set implicitly.
Constraints	UMLTP22-40 Correction of typographical and editorial formatting on
	specification documents
	Verdict of ArbitrationSpecification
	DRAS01: It is necessary that an <u>arbitration specification</u> determines exactly one verdict.
	Target-free bindings shall not define sub-scope bindings
	If the arbitration target is omitted, no sub-bindings shall be defined.
	Uniqueness of arbitration targets on same evaluation scope
	Any two arbitration specification bindings on the very same evluation scope shall
	refer to different arbitration targets.
	Uniqueness of target-free bindings on same arbitration scope
	Any two target-free arbitration specification bindings on the very same evaluation scope shall be of different type.
Change from UTP 1.2	«ArbitrationSpecificationBinding» was newly introduced by UTP 2.2.

8.7.2.1.2.5 ArbitrationTarget

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Description	An arbitration target is any element that may produce a <u>verdict</u> , i.e. an arbitration result. It is an abstract metaclass that simply marks certain elements as arbitration targets, i.e. test set, test case, test execution schedule and <u>procedural element</u> as well as any of its subclasses.
Sub Class	ProceduralElement, TestCase, TestExecutionSchedule, TestSet
Change from UTP 1.2	« <u>ArbitrationTarget</u> » was newly introduced by UTP 2.2.

8.7.2.1.2.6 TestCaseArbitrationSpecification

Description	TestCaseArbitrationSpecification: A set of rules that calculates the eventual verdict of an executed test case, test set or procedural element. A «TestCaseArbitrationSpecification» specifies the rules for the eventual calculation of a test case verdict based on the procedural element verdicts that have been executed in the context of the corresponding test case. The semantics of the default «TestCaseArbitrationSpecification» complements the semantics of «ArbitrationSpecification» by defining the rule that determines the assignment of test case verdicts. The rule of the default test case arbitration specification is as follows: None: The verdict 'None' is assigned when the test case was not yet executed or no other procedural element verdict was produced yet. Pass: The verdict 'Pass' is assigned, if all procedural elements that participate in the arbitration process of that specific test case evaluate to
	 Pass'. Inconclusive: The verdict 'Inconclusive' is assigned, if at least one procedural element that participates in the arbitration process of that test case, evaluates to 'Inconclusive', while the remaining procedural elements evaluate to 'Pass' or 'None'. Fail: The verdict 'Fail' is assigned, if at least one procedural element that participates in the arbitration process of that test case evaluates to 'Fail', while the remaining procedural elements evaluate to 'Inconclusive', 'Pass' or 'None'. Error: The verdict 'Error' is assigned, if at least one procedural element that participates in the arbitration process of that test case evaluates to 'Error', or the arbitration process itself failed with a technical error.
Extension	BehavioredClassifier
Super Class	ArbitrationSpecification
Associations	: TestCase [*]
Change from UTP 1.2	Newly introduced by UTP 2.

UMLTP22-10: Introducing an arbitration specification binding mechanism

8.7.2.1.2.7 TestSetArbitrationSpecification

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UMLTP22-10:	<u>TestSetArbitrationSpecification</u> : A set of rules that calculates the eventual <u>verdict</u> of an executed <u>test case</u> , test set or procedural element.
	A «TestSetArbitrationSpecification» specifies the rules of how a test set verdict will be calculated based on the verdicts of the test cases that have been executed in the context of the corresponding test set. A <u>test set arbitration specification</u> is used by both « <u>TestSet</u> » and « <u>TestExecutionSchedule</u> ».
	The semantics of the default «TestSetArbitrationSpecification» complements the semantics of «ArbitrationSpecification» by defining the rule that determines the assignment of test set verdicts. The rule of the default test set arbitration specification is as follows:
	 None: The verdict 'None' is assigned when the test set was not yet executed, i.e., any test case assembled or contained in the test set had produced a test case verdict yet. Pass: The verdict 'Pass' is assigned, if all executed test cases that participate in the arbitration process of that specific test set also evaluated to 'Pass'. Inconclusive: The verdict 'Inconclusive' is assigned, if at least one executed test case that participates in the arbitration process of that test set evaluates to 'Inconclusive', while the remaining test cases evaluate to 'Pass' or 'None'. Fail: The verdict 'Fail' is assigned, if at least one executed test case that participates in the arbitration process of that test set evaluates to 'Fail', while the remaining test cases evaluate to 'Inconclusive', 'Pass' or 'None'. Error: The verdict 'Error' is assigned, if at least one executed test case that participates in the arbitration process of that test set evaluates to 'Error', or
Extension	the arbitration process itself failed with a technical error. BehavioredClassifier
Super Class	ArbitrationSpecification
1	: TestSet [*]
Associations	: TestSet [^] : TestExecutionSchedule [*]
Change from LITD 1.2	
Change from UTP 1.2	Newly introduced by UTP 2.

8.7.2.2 Procedural Element Arbitration Specifications

The <u>procedural element arbitration specification</u> sections summarize the different type of <u>arbitration specifications</u> that can be used to define proprietary <u>procedural element arbitration specifications</u>.

8.7.2.2.1 Arbitration of AtomicProceduralElements

The diagram below shows the abstract syntax of <u>arbitration specification</u> elements for <u>atomic procedural elements</u>.

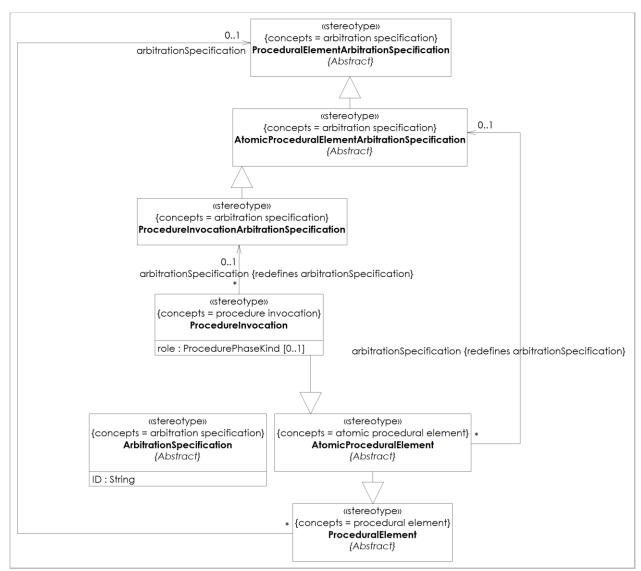


Figure 8.21 - Arbitration of AtomicProceduralElements

8.7.2.2.2 Arbitration of CompoundProceduralElements

The diagram below shows the abstract syntax of <u>arbitration specification</u> elements for <u>compound procedural</u> elements.

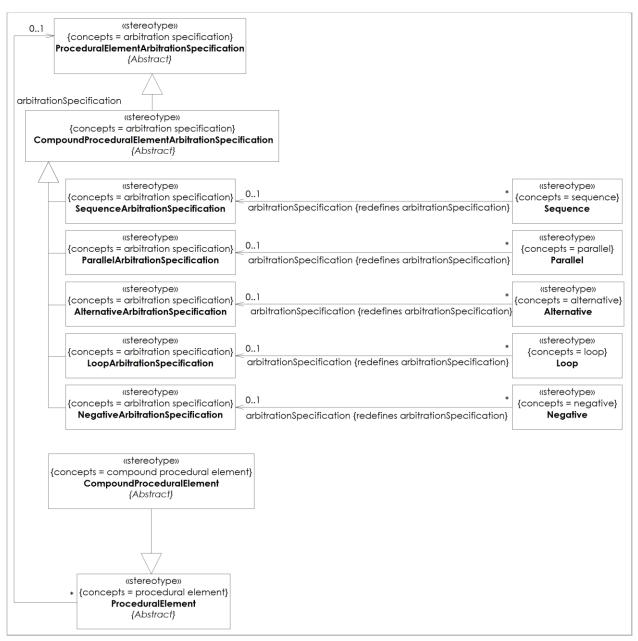


Figure 8.22 - Arbitration of CompoundProceduralElements

8.7.2.2.3 Stereotype Specifications

8.7.2.2.3.1 Alternative Arbitration Specification

U.T.Z.Z.J. I AICHIALIVE	Arbitrationopeemeation
Description	An «AlternativeArbitrationSpecification» calculates a verdict for a set of procedural
_	elements that are executed in mutually exclusive branches.
	«Alternative Arbitration Specification» adheres by the semantics of the default
	«CompoundProceduralElementArbitrationSpecification».
Extension	BehavioredClassifier
Super Class	CompoundProceduralElementArbitrationSpecification
Associations	: Alternative [*]
Change from UTP 1.2	Newly introduced by UTP 2.

8.7.2.2.3.2 AtomicProceduralElementArbitrationSpecification

Description	An « <u>AtomicProceduralElementArbitrationSpecification</u> » calculates a <u>verdict</u> for a single atomic procedural element.	
	«AtomicProceduralElementArbitrationSpecification» adheres by the semantics of	
	the default «ProceduralElementArbitrationSpecification».	
Extension	BehavioredClassifier	
Super Class	<u>ProceduralElementArbitrationSpecification</u>	
Sub Class	CheckPropertyArbitrationSpecification, CreateLogEntryArbitrationSpecification,	
	CreateStimulusArbitrationSpecification, ExpectResponseArbitrationSpecification,	
	ProcedureInvocationArbitrationSpecification,	
	<u>SuggestVerdictArbitrationSpecification</u>	
Associations	: AtomicProceduralElement [*]	
Change from UTP 1.2	Newly introduced by UTP 2.	

8.7.2.2.3.3 CompoundProceduralElementArbitrationSpecification

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Description	A «CompoundProceduralElementArbitrationSpecification» calculates a verdict for a set of procedural elements that are executed together. The verdict is derived from all or parts of the verdicts calculated of their respective arbitration specifications. The semantics of the default «CompoundProceduralElementArbitrationSpecification» refines the semantics of «ProceduralElementArbitrationSpecification» with respect to the following verdicts: Fail: The verdict 'Fail' is assigned, if any of the procedural elements, that were executed in the scope of the «CompoundProceduralElement», evaluates to 'Fail'.
Extension	BehavioredClassifier
Super Class	<u>ProceduralElementArbitrationSpecification</u>
Sub Class	Alternative Arbitration Specification, Loop Arbitration Specification,
	Negative Arbitration Specification, Parallel Arbitration Specification,
	<u>Sequence Arbitration Specification</u>
Associations	: CompoundProceduralElement [*]
Change from UTP 1.2	Newly introduced by UTP 2.

8.7.2.2.3.4 LoopArbitrationSpecification

Description	A « <u>LoopArbitrationSpecification</u> » calculates a <u>verdict</u> for a set of <u>procedural elements</u> that are sequentially executed in a <u>loop</u> .			
	«LoopArbitrationSpecification» adheres by the semantics of the default «CompoundProceduralElementSpecification». In addition, the maximal and minimal loop counters are part of the arbitration process for loops. With respect to verdict calculation, the following semantics is predefined for the default «LoopArbitrationSpecification»:			
	Minimal number of loops violated: Verdict 'Error' is assigned.			
	Maximal number of loops violated: Verdict 'Error' is assigned.			
Extension	BehavioredClassifier			
Super Class	<u>CompoundProceduralElementArbitrationSpecification</u>			
Associations	: Loop [*]			
Change from UTP 1.2	Newly introduced by UTP 2.			

8.7.2.2.3.5 NegativeArbitrationSpecification

U.T.Z.Z.J.J NegativeAi	ganvezionationopeemeation		
Description	A « <u>NegativeArbitrationSpecification</u> » calculates a <u>verdict</u> for set of <u>procedural</u> <u>element</u> s that are forbidden to be executed in this <u>sequence</u> .		
	«NegativeArbitrationSpecification» adheres by the semantics of the default «CompoundProceduralElementArbitrationSpecification», but refines it with an inversion of the verdicts 'Pass' and 'Fail'. In cases where a 'Fail' would be produced, a verdict 'Pass' shall be assigned. In cases where a 'Pass' would be produced, a verdict 'Fail' shall be assigned.		
Extension	BehavioredClassifier		
Super Class	<u>CompoundProceduralElementArbitrationSpecification</u>		
Associations	: Negative [*]		
Change from UTP 1.2	Newly introduced by UTP 2.		

8.7.2.2.3.6 Parallel Arbitration Specification

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Description	A « <u>ParallelArbitrationSpecification</u> » calculates a <u>verdict</u> for a set of <u>procedural</u>
	<u>elements</u> that were executed in <u>parallel</u> .
	«ParallelArbitrationSpecification» adheres by the semantics of the default
	· · · · · · · · · · · · · · · · · · ·
	«CompoundProceduralElementArbitrationSpecification».
Extension	BehavioredClassifier
Super Class	<u>CompoundProceduralElementArbitrationSpecification</u>
Associations	: Parallel [*]
Change from UTP 1.2	Newly introduced by UTP 2.

Description	uralElementArbitrationSpecification A «ProceduralElementArbitrationSpecification» calculates a verdict for a single or a
	set of <u>procedural elements</u> .
	A <u>procedural element arbitration specification</u> incorporates sequence information about when and how long the execution of a corresponding <u>procedural element</u>
	happened, because <u>procedural elements</u> define an execution window in which their execution shall happen. This execution window is either defined by means of ordering (i.e., after the execution of a previous <u>procedural element</u> , or after the start of a <u>test case</u> execution) or by means of time. When using a time-based execution window, it is possible to specify the earliest and latest point in time when the execution of the <u>procedural element</u> as well as the maximum duration the execution of the <u>procedural element</u> may have. UTP does not prescribe how to specify time-based execution windows. Using UML Simple Time might be one solution, the time
	concepts of MARTE another one. If no time execution windows are defined, the ordering execution window is implicitly set, i.e., the execution of a <u>procedural element</u> shall happen after the execution of its previous <u>procedural element</u> has finished.
	Specific procedural element arbitration specifications (e.g., expect response action arbitration specification) incorporate the Boolean statement whether expected data values, that belong to the corresponding procedural element, match with the actual data values that were used during execution of the corresponding procedural element. Those data values of interest comprise actual parameters in case of a procedure invocation, actual payload of a creat stimulus action or expect response action or the actual value obtained from a checked property in case of a check property action. In UTP, the matching semantics of data values are defined by the semantics of ValueSpecifications and the UTP-specific (normative and nonnormative) data value extensions.
	The semantics of the default « <u>ProceduralElementArbitrationSpecification</u> » complements the semantics of « <u>ArbitrationSpecification</u> » by defining the general rule that determines the assignment of <u>verdicts</u> . All other sub-classes of « <u>ProceduralElementArbitrationSpecification</u> » either adhere by, complement or refine that semantics. The semantics of the default <u>procedural element arbitration specification</u> is as follows:
	 None: The verdict 'None' is assigned when the procedural element was not yet executed. Pass: The verdict 'Pass' is assigned, when the expected execution of the procedural element matches with the extend execution of the procedural.
	procedural element matches with the actual execution of the procedural element, including sequence information and potentially data value comparison.
	 Inconclusive: The verdict 'Inconclusive' is never assigned by default arbitration specifications. Fail: The verdict 'Fail' can only be assigned by the following arbitration
	specifications: compound procedural element arbitration specification, expect response arbitration specification, suggest verdict arbitration specification and check property orbitration specification. The default

	element was not correctly performed (by a human or a test execution tool).
•	Error: The verdict 'Error' is assigned, if the execution of a procedural
	these respective stereotypes.
	semantics these specific arbitration specifications will be described by
	specification and check property arbitration specification. The default
	, , , , , , , , , , , , , , , , , , , ,

Extension	BehavioredClassifier
Super Class	<u>ArbitrationSpecification</u>
Sub Class	AtomicProceduralElementArbitrationSpecification,

	<u>CompoundProceduralElementArbitrationSpecification</u>
Associations	: ProceduralElement [*]
Change from UTP 1.2	Newly introduced by UTP 2.

8.7.2.2.3.8 ProcedureInvocationArbitrationSpecification

	Treediter and an energy control and a
Description	A « <u>ProcedureInvocationArbitrationSpecification</u> » calculates a <u>verdict</u> for an executed <u>procedure invocation</u> .
	«ProcedureInvocationArbitrationSpecification» complements the semantics of the default «ProceduralElementArbitrationSpecification»:
	Procedure invocations may pass actual parameter values to the invoked procedure. If there is a mismatch between the expected actual parameter values, prescribed by a «ProcedureInvocation», and the actual execution of the «ProcedureInvocation», the verdict 'Error' shall be assigned.
Extension	BehavioredClassifier
Super Class	<u>AtomicProceduralElementArbitrationSpecification</u>
Associations	: ProcedureInvocation [*]
Change from UTP 1.2	Newly introduced by UTP 2.

8.7.2.2.3.9 SequenceArbitrationSpecification

Description	A «Sequence Arbitration Specification» calculates a verdict for a sequence of executed procedural elements. «Sequence Arbitration Specification» adheres by the semantics of the default «Compound Procedural Element Arbitration Specification».
Extension	RehavioredClassifier
Super Class	<u>CompoundProceduralElementArbitrationSpecification</u>
Associations	: Sequence [*]
Change from UTP 1.2	Newly introduced by UTP 2.

8.7.2.3 Test-specific Action Arbitration Specifications

The <u>test action arbitration specification</u> sections summarize the different types of <u>arbitration specification</u>s that can be used to define proprietary <u>arbitration specifications</u> for prescribing <u>test action</u>.

8.7.2.3.1 Arbitration of Test-specific Actions

The diagram below shows the abstract syntax of the <u>arbitration specifications</u> for dedicated <u>test actions</u>.

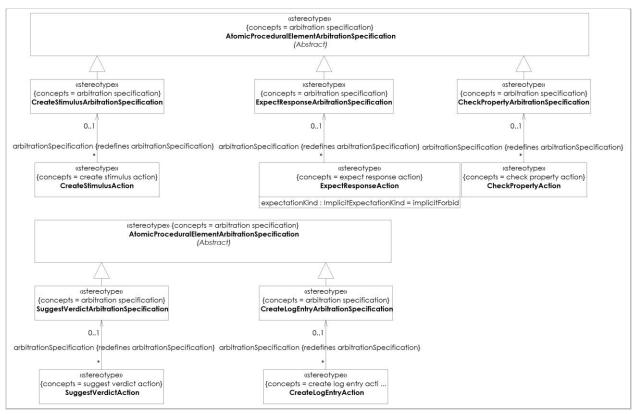


Figure 8.23 - Arbitration of Test-specific Actions

8.7.2.3.2 Stereotype Specifications

8.7.2.3.2.1 CreateStimulusArbitrationSpecification

Description	An « <u>AtomicProceduralElementArbitrationSpecification</u> » that specifies the <u>verdict</u> calculation rule for a <u>create stimulus action</u> .
	The semantics of the default « <u>CreateStimulusArbitrationSpecification</u> » shall include an evaluation of permitted and forbidden elements. If an element was sent to the test item that was declared as <u>forbiddenElement</u> , the <u>verdict</u> ' <u>error</u> ' shall be assigned. If an element was sent to the test item that was declared as <u>permittedElement</u> (including potential arguments of the « <u>CreateStimulusAction</u> ») and the expected data values of that element does not match with the actual data values of the actually sent element, the <u>verdict</u> ' <u>error</u> ' shall be assigned.
Extension	BehavioredClassifier
Super Class	<u>AtomicProceduralElementArbitrationSpecification</u>
Associations	: CreateStimulusAction [*]
Change from UTP 1.2	Newly introduced by UTP 2.

8.7.2.3.2.2 ExpectResponseArbitrationSpecification

Description	An « <u>AtomicProceduralElementArbitrationSpecification</u> » that specifies the <u>verdict</u> calculation rule for an <u>expect response action</u> .
	« <u>ExpectResponseArbitrationSpecification</u> » complements the semantics of the default « <u>AtomicProceduralElementArbitrationSpecification</u> n» with respect to sequence information and data value matching:
	If the expected execution time window of an « <u>ExpectResponseAction</u> » does not match with the actual execution time point, the <u>verdict 'fail'</u> shall be assigned. If the actual ordering of the execution of an « <u>ExpectResponseAction</u> » does not match with the expected ordering, the <u>verdict 'error'</u> shall be assigned.
	If the actual data values, that convey the «ExpectResponseAction» as its payload, obtained from the test item do not match with the expected payload data values, the verdict 'fail' shall be assigned.
	The semantics of the default «ExpectResponseArbitrationSpecification» includes an evaluation of the ignored, forbidden and expected elements declaration. If a received element is declared as forbiddenElement , the verdict 'fail' shall be assigned. If a received element is declared as ignoredElement , it shall be discarded and not contribute to the ExpectResponseArbitrationSpecification » for further evaluation. If a received element is declared as expected element, the verdict 'pass' shall be assigned.
Extension	BehavioredClassifier
Super Class	<u>AtomicProceduralElementArbitrationSpecification</u>
Associations	: ExpectResponseAction [*]
Change from UTP 1.2	Newly introduced by UTP 2.

8.7.2.3.2.3 CheckPropertyArbitrationSpecification

Description	An « <u>AtomicProceduralElementArbitrationSpecification</u> » that specifies the <u>verdict</u>
	calculation rule for a check property action.
	« <u>CheckPropertyArbitrationSpecification</u> » adheres by the semantics of the default
	« <u>AtomicProceduralElementArbitrationSpecification</u> ».
Extension	BehavioredClassifier
Super Class	<u>AtomicProceduralElementArbitrationSpecification</u>
Associations	: CheckPropertyAction [*]
Change from UTP 1.2	Newly introduced by UTP 2.

8.7.2.3.2.4 SuggestVerdictArbitrationSpecification

on initional and addition	alotAl bit attoriopeonication
Description	An « <u>AtomicProceduralElementArbitrationSpecification</u> » that specifies the <u>verdict</u> calculation rule for a <u>suggest verdict action</u> .
	«SuggestVerdictArbitrationSpecification» complements the semantics of the default «AtomicProceduralElementArbitrationSpecification» with respect to the provision of the suggested verdict to the «TestCaseArbitrationSpecification»: In case, the «SuggestVerdictArbitrationSpecification» evaluates to a 'pass', the suggested verdict is passed to the «TestCaseArbitrationSpecification». It will be discarded, if the «SuggestVerdictArbitrationSpecification» evaluates to 'error'.
Extension	BehavioredClassifier
Super Class	<u>AtomicProceduralElementArbitrationSpecification</u>
Associations	: SuggestVerdictAction [*]
Change from UTP 1.2	Newly introduced by UTP 2.

8.7.2.3.2.5 CreateLogEntryArbitrationSpecification

Description	An « <u>AtomicProceduralElementArbitrationSpecification</u> » specification that specifies	
	the <u>verdict</u> calculation rule for a <u>create log entry action</u> .	
	«CreateLogEntryArbitrationSpecification» adheres by the semantics of the default	
	«AtomicProceduralElementArbitrationSpecification».	
Extension	BehavioredClassifier	
Super Class	<u>AtomicProceduralElementArbitrationSpecification</u>	
Associations	: CreateLogEntryAction [*]	
Change from UTP 1.2	Newly introduced by UTP 2.	

8.7.3 Test Logging

The UTP test logging facility allows incorporating details about the execution of test-specific procedures, such as test execution schedules and test cases, but also of procedural elements. The UTP test logging facility differs between two kinds of test log information:

- Test log header; and
- Test log details.

The test log header represents the at least required information to comprehend or trace the status of the test execution such as also coverage of test objectives or test requirements. The test log details further refine the test log with the details of relevant events (i.e., execution of procedural elements) that happened at runtime. The information the test log details yield are in particular important for analyses of the test execution such comparison, verdict calculation, failure inspection or root cause analysis.

The UTP test logging facility builds upon UML's InstanceSpecification and classification mechanism (henceforth called classifier-instance relationship). Every test log element is represented by an InstanceSpecification with an inherent set of structural information. These inherently provided structural information are the executing entity, the execution start and the duration. The classifier-instance-based representation of test logs grants high flexibility to the user. It enables the definition of additional, user-defined structural information of arbitrary complexity to every test log element.

Logging of behavioral constituents (i.e., Actions or OccurrenceSpecifications) is not intended by UML but relevant for testing, though. UTP integrates the behavioral constituents of the underlying UML and the classifier-instance-based test log model by means of dedicated test log entries and their structural information. Every test log entry captures the details of a corresponding procedural element that was executed in the course of the execution of a test-specific procedure.

UTP defines dedicated test log entry structures for logging of procedure invocations, create stimulus actions and expect response actions. These test log entry structures specify the at least required structural information of those procedural elements such as formal parameters and invocation targets. The corresponding test log entries build upon this structural information and yield the corresponding actual parameter values captured in the course of the execution of such a procedural element.

8.7.3.1 Test Logging Overview

The following diagram shows the abstract syntax of the basic concepts of the test logging facility.

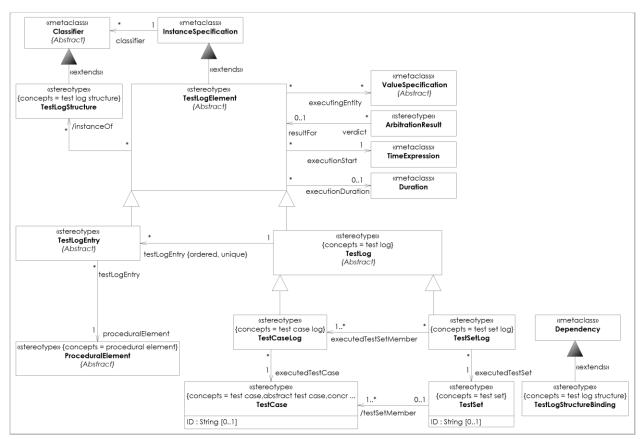


Figure 8.24 - Test Logging Overview

8.7.3.2 Test Log Entries Overview

The following diagram shows the abstract syntax of the basics of test log entries.

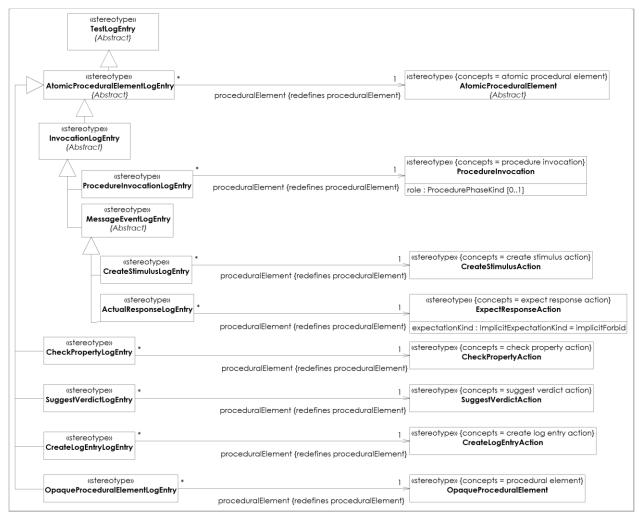


Figure 8.25 - Test Log Entries Overview

8.7.3.3 Test Log Entries Details

The following diagram shows the abstract syntax of the details of test log entries.

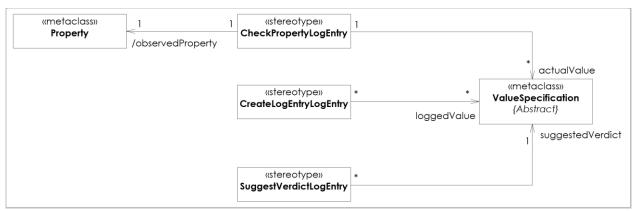


Figure 8.26 - Test Log Entries Details

8.7.3.4 Invocation Test Log Entry Details

The following diagram shows the abstract syntax of test log entries that capture details of invocations.

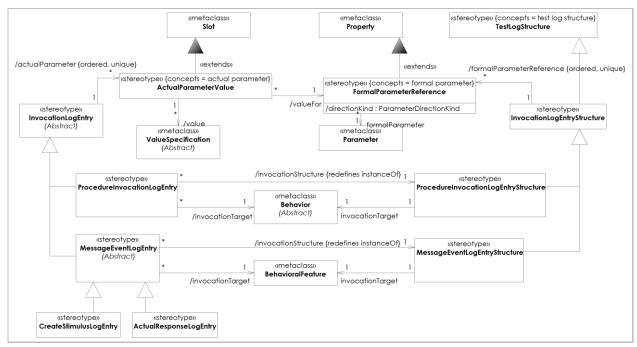


Figure 8.27 - Invocation Test Log Entry Details

8.7.3.5 Stereotype Specifications

8.7.3.5.1 TestLogElement

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Description	A test log element represents a single building block in the realm of test logging. For each test log element there is an corresponding executable element that has been carried out. These corresponding executable elements can either be whole test sets or test cases or one or more procedural elements. A test log element provides a corresponding executable element with information about the starting point in time of the execution, the duration of the execution of the logged element and an entity (i.e., a machine or a human) that executed the element. These default structural information are common for each concrete test log element. Further structural information may be added by sub-stereotypes or by dedicated structural extension using the stereotype «TestLogStructure». Every test log element can be related with an arbitration result (i.e., a verdict) that was calculated based on the test log element, the corresponding executable element and the arbitration specification that ties both elements together for verdict calculation. Section ArbitrationSpecification provides further details about the verdict calculation process. The stereotype «TestLogElement» extends InstanceSpecification. User-defined structural information can be added by using the underling UML classification mechanism. The set of additional test log element structural information are determined by all Classifier of the underlying InstanceSpecification that have «TestLogStructure» applied.
Extension	InstanceSpecification
Sub Class	TestLog, TestLogEntry
Suo Class	restrog, restrogently

Associations	/instanceOf : TestLogStructure [*]
	The set of additional structural information associated with that test log element. It is derived from the all Classifier with «TestLogStructure» applied that classify the underlying InstanceSpecification.
	executingEntity : ValueSpecification [*]
	Information about the executing entity or entities (i.e., either humans or machines) that were in charge of carrying out the element that corresponds to the test log element.
	verdict : ArbitrationResult [*]
	executionDuration : Duration [01]
	Denotes how long the execution of the corresponding executable element lasted.
	executionStart : TimeExpression
	Denotes the point in time when the execution of the corresponding executable element began.
Constraints	Restriction of extendable metaclasses
	«TestLogElement» shall not be applied to EnumerationLiteral.
Change from UTP 1.2	«TestLogElement» was newly introduced by UTP 2.1.

Description	UMLTP22-40 Correction of typographical and editorial formatting on specification documents
	<u>TestLog</u> : A <u>test log</u> is the instance of a <u>test log structure</u> that captures relevant information from the execution of a <u>test case</u> or <u>test set</u> . The least required information to be logged is defined by the <u>test log structure</u> of the <u>test log</u> .
	A <u>test log</u> captures information on the execution of a <u>test case</u> or <u>test set</u> that actually happened according to the specification required by its <u>test log structure</u> . Each <u>test log</u> is, at least, an instance of the implicitly defined default <u>test log structure</u> . This is reflected by its tag definitions that comprise the required log information. If further information is not required for capturing by an <u>executing entity</u> , a <u>test log</u> may not refer to an explicit <u>test log structure</u> (i.e., the <u>Classifier</u> of the underlying <u>InstanceSpecification</u> remains empty).
	In addition to the information given by the implicit default <u>test log structure</u> , users may set an explicitly defined a <u>test log structure</u> of arbitrary complex internal structures. In that case, the underlying InstanceSpecification may capture the additional information by relying on the native UML InstanceSpecification mechanism, namely Slots.
	Structural information on <u>test log</u> level are sufficient to comprehend the status of testing or coverage of <u>test objectives</u> and <u>test requirements</u> . This minimal log information are referred to as the <i>test log header</i> . Header information only contain high-level information about the status of a test run, not about the details of the run. Details of the test run are captured by means of test log entries. As opposed to the test log header, detailed logging on proceedings alarment lovel is referred to as test log.
	test log header, detailed logging on procedural element level is referred to as test log details. Test log details capture detailed information about the executed sequence of procedural element represented by test log entries. Test log details provide a deeper insight into the test execution process and leverage the analysis of test runs (e.g., what is the reason for a failing test case). Recording test log details is an optional, but powerful feature of a test log.
Extension	InstanceSpecification
Super Class	TestLogElement

Sub Class	TestCaseLog, TestSetLog
Associations	/referencedBy : TestContext [*]
	testLogEntry {ordered, unique} : TestLogEntry [*]
	The sequence of test log entries that captures the details of the test execution. This sequence is referred to as <i>test log details</i> .
Change from UTP 1.2	Changed from UTP 1.2. In UTP 1.2 «TestLog» was used to capture the execution of a test case or a test set (called test content in UTP 1.2). In UTP 2, two dedicated concepts have been newly introduced therefore (i.e., «TestCaseLog» and «TestSetLog»).

8.7.3.5.3 TestSetLog

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Description	A <u>test set log</u> captures the least required information on the execution of a <u>test set</u> by an <u>executing entity</u> . The least required information is defined by the corresponding (potentially implicit) <u>test log structure</u> of the <u>test set log</u> . A <u>test set log</u> consists mainly of the logs of the executed <u>test cases</u> that are members of the <u>test set</u> . Since not all <u>test cases</u> of a <u>test set</u> must necessarily be executed by an <u>executing entity</u> , a <u>test set log</u> may only refer to the <u>test case log</u> s of a subset of the
	test set's <u>test case</u> s.
Extension	InstanceSpecification
Super Class	<u>TestLog</u>
Associations	<pre>executedTestSetMember : TestCaseLog [1*]</pre>
	Refers to the test cases that are the members of the test set log's corresponding test set and whose execution were captured as a result of the execution of the test set. executedTestSet: TestSet
	Refers to the test set whose execution was captured by means of the given test case log.
Constraints	Executed test cases and definition of test set members must be consistent
	A « <u>TestSetLog</u> » must only refer to « <u>TestCaseLog</u> »s of « <u>TestCase</u> »s that are members of the executed « <u>TestSet</u> ».
Change from UTP 1.2	Newly introduced by UTP 2.

8.7.3.5.4 TestCaseLog

0.7.3.3.7 TC3tGd3CEG	9
Description	<u>TestCaseLog</u> : A <u>test log</u> that captures relevant information on the execution of a <u>test</u>
	<u>case</u> .
	A <u>test case log</u> captures the least relevant information on the execution of a <u>test case</u>
	by an executing entity. The at least required information is defined by the
	corresponding and potentially implicit <u>test log structure</u> of the <u>test case log</u> .
Extension	InstanceSpecification
Super Class	<u>TestLog</u>
Associations	: TestSetLog [*]
	executedTestCase : TestCase
	Refers to the TestCase whose execution was captured by means of the given
	TestCaseLog.
Change from UTP 1.2	Newly introduced by UTP 2.

8.7.3.5.5 TestLogStructure

8.7.3.5.5 TestLogStru	cture
Description	A test log structure enables the specification of user-defined structures that must be logged by an executing entity, such as human tester or a test execution tool, during the execution of test suites, test cases or test execution schedules. This information is also called the least required log information, because executing entities are not restricted to capturing only information mentioned in the test log structure. A test log structure may describe both the required information for the header part as well as the body part of a test log. There is an implicit default (undefined) test log structure available in UTP that every user-defined test log structure complies with. The default test log structure represents the least required log information for the header part. This information comprises one or more of an executing entity; a point in time where the execution of the test case, test suite or test execution schedule began; the duration the execution of the test case, test suite or test schedule lasted; and the final verdict that was calculated by the corresponding arbitration specification. Those pieces of information of the default (implicit) test log structure are represented as tag definitions of the stereotype test log solely because they are eventually instantiated when a test log is created.
Extension	Classifier
Sub Class	InvocationLogEntryStructure
Associations	: TestLogElement [*]
Constraints	Restriction of extendable metaclasses
	«TestLogStructure» shall only be applied to instances of ther metaclass Datatype or Class.
	Specialization of TestLogStructure Classifier
	Classifiers with « <u>TestLogStructure</u> » applied must only extend <u>Classifier</u> with « <u>TestLogStructure</u> » applied.
	Internal structure of TestLogStructure Classifier
	Classifiers with «TestLogStructure» applied must only own Properties.
	CollaborationUse not allowed
	A « <u>TestLogStructure</u> » Classifier must not participate in Collaborations.
Change from UTP 1.2	Newly introduced by UTP 2.

8.7.3.5.6 TestLogEntry

Description	A test log entry represents an actual instance of an executed procedural element.
	While the referenced procedural elements denotes what is expected – either from the
	test item or from the test component (including human tester) – a test log entry
	denotes the actual instance of that procedural element, captured during runtime.
	Test log entry inherits all default structural information from test log element.
Extension	InstanceSpecification
Super Class	<u>TestLogElement</u>
Sub Class	<u>AtomicProceduralElementLogEntry</u>
Associations	: TestLog
	proceduralElement : ProceduralElement
	Refers to the expected procedural element that was actually carried out by an
	executing entity at runtime.

Change from UTP 1.2	Changed from UTP 1.2. In UTP 1.2, «TestLogEntry» extended
6	
	OccurenceSpecification.

8.7.3.5.7 AtomicProceduralElementLogEntry

	ouarai Eromonic Log Eria y
Description	Atomic procedural element log entry captures details of the execution of an atomic
	procedural element.
Extension	InstanceSpecification
Super Class	<u>TestLogEntry</u>
Sub Class	CheckPropertyLogEntry, CreateLogEntryLogEntry, InvocationLogEntry,
	<u>OpaqueProceduralElementLogEntry</u> , <u>SuggestVerdictLogEntry</u>
Associations	<pre>proceduralElement {redefines proceduralElement} :</pre>
	AtomicProceduralElement
	Refers to the atomic procedural element that was carried out by an executing entity
	at runtime.
Change from UTP 1.2	«AtomicProceduralElementLogEntry» was newly introduced by UTP 2.1

8.7.3.5.8 InvocationLogEntryStructure

Description	Invocation log entry structure refines test log structure for expressing log entries of any kind of invocations that happened at runtime. It specifies the at least required structural information for logging the invocation of a procedure or the exchange of a message, i.e., for one the formal parameters the invocation target offers and the actual target of the invocation.
Extension	Classifier
Super Class	<u>TestLogStructure</u>
Sub Class	MessageEventLogEntryStructure, ProcedureInvocationLogEntryStructure
Associations	<pre>/formalParameterReference {ordered, unique} : FormalParameterReference [*]</pre>
	The ordered set of formal parameters offered by the invocation target. The derivation algorithm in the context of an «InvocationLogEntryStructure» is defined as follows:
	• Iterate over the <i>ownedParameter</i> of the invocation target
	• For ownedParameter p, look for any ownedProperty with
	«FormalParameterReference» of the underlying Classifier that refers as <i>formalParameter</i> to Paramater p.
	• Add the found «FormalParameterReference» to the ordered set of formalParameterReference.
Change from UTP 1.2	«InvocationLogEntryStructure» was newly introduced by UTP 2.1

8.7.3.5.9 FormalParameterReference

Description	In the classifier-instance-based representation of test logs in UTP 2, formal parameter of invocation targets are defined as Properties and actual parameter are defined as values of a Slots that refers to the corresponding Property. «FormalParameterReference» conveniently binds a Parameter of a Behavior or BehavioralFeature to the corresponding Property the underlying Classifier of any concrete «InvocationLogEntryStructure». In combination with «ActualParameterValue» both the formal and actual parameter are tightly integrated with each other. This integration simplifies the processing of parameters for they can be directly accessed via the abstracting stereotypes without considering the type of invocation target.
Extension	Property
Attributes	directionKind : ParameterDirectionKind [1]

	The direction kind of the formal parameter. It is derived from the direction kind of the owned parameter of the invocation target.
Associations	: ActualParameterValue [*]
	formalParameter : Parameter [*]
	The owned parameter of the invocation target.
	: InvocationLogEntryStructure
Change from UTP 1.2	«FormalParameterReferenece» was newly introduced by UTP 2.1.

8.7.3.5.10 InvocationLogEntry

Description	Invocation log entry captures details about the execution of procedure invocations or message exchange that actually happened at runtime. In UTP 2, expected message exchange is represented by the test actions create stimulus action and expect response action. Both procedure invocation and message exchange can be parameterized. The actual values that convey a procedure invocation or message exchange are referred to as actual parameter.
Extension	InstanceSpecification
Super Class	<u>AtomicProceduralElementLogEntry</u>
Sub Class	MessageEventLogEntry, ProcedureInvocationLogEntry
Associations	/actualParameter {ordered, unique} : ActualParameterValue [*]
	Refers to the ordered set of actual parameter values for the captured invocation. The order of actual parameter values is derived from the ordered set of formal parameter values specified by the corresponding invocation log entry structure of the given invocation log entry.
Change from UTP 1.2	«InvocationLogEntry» was newly introduced by UTP 2.1

8.7.3.5.11 ActualParameterValue

Description	In the classifier-instance-based representation of test logs in UTP 2, actual parameter of invocation targets are defined as Slot values and formal parameters are defined as Properties of Classifiers to which these Slots refer. «ActualParameterValue» abstracts from the different kinds of UML representations of actual parameter values to simplify processing of that information. In Activities, actual parameter value are denoted as InputPins contained by an InvocationAction or OutputPins of an AcceptEventAction, in Interactions as ValueSpecifications of a Message. In combination with «FormalParameterReference» both the formal and actual parameter are tightly integrated with each other. This integration simplifies the processing of parameters for they can be directly accessed via the abstracting stereotypes without considering the invocation target.
Extension	Slot
Associations	/valueFor: FormalParameterReference Relates this actual parameter value to its formal parameter of the corresponding invocation log entry structure. It is derived from the association end 'definingFeature' of the underlying Slot. : InvocationLogEntry /value: ValueSpecification [*] The actually submitted or received payload while invoking a procedure or exchanging a message. It is derived from the association end value of the underlying Slot.
Change from UTP 1.2	«ActualParameterValue» was newly introduced by UTP 2.1.

8.7.3.5.12 ProcedureInvocationLogEntryStructure

Description	Procedure invocation log entry structure provides the at least required structural information for logging the execution of procedure invocations.
Extension	Classifier
Super Class	<u>InvocationLogEntryStructure</u>
Associations	: ProcedureInvocationLogEntry [*]
	invocationTarget : Behavior
	Refers to the procedure whose invocations can be logged with details of the given procedure invocation log entry structure.
Change from UTP 1.2	«ProcedureInvocationLogEntryStructure» was newly introduced by UTP 2.1

8.7.3.5.13 ProcedureInvocationLogEntry

Description	A procedure invocation log entry yields the details about the execution of a procedure invocation.
Extension	InstanceSpecification
Super Class	InvocationLogEntry
Associations	<pre>proceduralElement {redefines proceduralElement} : ProcedureInvocation</pre>
	Refers to the procedure invocation that was carried out by an executing entity at runtime.
	<pre>/invocationStructure {redefines instanceOf} : ProcedureInvocationLogEntryStructure</pre>
	Refers to the invoked procedure, i.e., Behavior. It is derived from the invocation target of the corresponding invocation structure.
	/invocationTarget : Behavior
	Refers to the structural information for the given invocation log entry. It is derived from the sequences of Classifier of the underlying InstanceSpecification with «ProcedureInvocationLogEntryStructure» applied.
Change from UTP 1.2	«ProcedureInvocationLogEntry» was newly introduced by UTP 2.1.

8.7.3.5.14 MessageEventLogEntryStructure

Description	Message event log entry structure provides the at least required structural information for logging the exchange of message, i.e., either the submission of a stimulus or the reception of an actual response.
Extension	Classifier
Super Class	<u>InvocationLogEntryStructure</u>
Associations	: MessageEventLogEntry [*]
	invocationTarget : BehavioralFeature
	Refers to the BehavioralFeature whose invocation details can be logged with the
	given message event log entry structure.
Change from UTP 1.2	«MessageEventLogEntryStructure» was newly introduced by UTP 2.1.

8.7.3.5.15 MessageEventLogEntry

Description	A message event log entry captures details about any message exchange that happened between the test item and a test component. Message exchange can happen when a stimulus is submitted or an actual response was received by a test component. Sending a stimulus and receiving a response represent important events in the course of test execution with respect to verdict calculation.
Extension	InstanceSpecification
Super Class	InvocationLogEntry
Sub Class	ActualResponseLogEntry, CreateStimulusLogEntry
Associations	/invocationTarget : BehavioralFeature Refers to the invoked or received Operation or Reception. It is derived from the invocation target of the corresponding invocation structure. /invocationStructure {redefines instanceOf}:
	MessageEventLogEntryStructure
	Refers to the structural information for the given invocation log entry. It is derived from the sequences of Classifier of the underlying InstanceSpecification with «MesageEventLogEntryStructure» applied.
Change from UTP 1.2	«MessageEventLogEntry» was newly introduced by UTP 2.1.

8.7.3.5.16 CreateStimulusLogEntry

	······································
Description	A create stimulus log entry yields details about the submission of a stimulus at runtime. It represents an instance of a corresponding create stimulus action contained in a test case.
Entancian	
Extension	InstanceSpecification
Super Class	<u>MessageEventLogEntry</u>
Associations	<pre>proceduralElement {redefines proceduralElement} :</pre>
	CreateStimulusAction
	Refers to the create stimulus action that was carried out by an executing entity at
	runtime.
Change from UTP 1.2	«CreateStimulusLogEntry» was newly introduced by UTP 2.1.

8.7.3.5.17 ActualResponseLogEntry

Description	An actual response log entry yields details about the reception of test item's response at runtime. It represents an instance of a corresponding expect response
	action contained in a test case.
Extension	InstanceSpecification
Super Class	MessageEventLogEntry
Associations	<pre>proceduralElement {redefines proceduralElement} :</pre>
	ExpectResponseAction
	Refers to the expect response action that was carried out by an executing entity at
	runtime.
Change from UTP 1.2	«ActualResponseLogEntry» was newly introduced by UTP 2.1.

8.7.3.5.18 CheckPropertyLogEntry

Description	A check property log entry yields the details about the execution of a check property action.
Extension	InstanceSpecification
Super Class	<u>AtomicProceduralElementLogEntry</u>

Associations	<pre>proceduralElement {redefines proceduralElement} : CheckPropertyAction</pre>
	Refers to the check property action that was carried out by an executing entity at runtime.
	/observedProperty : Property
	Refers to the Property whose value was checked. Usually, this is the Property of the corresponding check property action.
	actualValue : ValueSpecification [*]
	The actual value or values of the observed Property.
Change from UTP 1.2	«CheckPropertyLogEntry» was newly introduced by UTP 2.1.

8.7.3.5.19 SuggestVerdictLogEntry

Description	A suggest verdict log entry yields the details about the execution of a suggest verdict action.
Extension	InstanceSpecification
Super Class	<u>AtomicProceduralElementLogEntry</u>
Associations	proceduralElement {redefines proceduralElement}: SuggestVerdictAction Refers to the suggest verdict action that was carried out by an executing entity at runtime.
	suggestedVerdict : ValueSpecification The actual verdict that was suggested by the executing entity.
Change from UTP 1.2	«SuggestVerdictLogEntry» was newly introduced by UTP 2.1.

8.7.3.5.20 CreateLogEntryLogEntry

Description	A create log entry log entry yields the details about the execution of a create log entry action.
Extension	InstanceSpecification
Super Class	<u>AtomicProceduralElementLogEntry</u>
Associations	proceduralElement {redefines proceduralElement}: CreateLogEntryAction Refers to the create log entry action that was carried out by an executing entity at runtime. loggedValue: ValueSpecification [*] Refers to the values that were actually logged.
Change from UTP 1.2	«CreateLogEntryLogEntry» was newly introduced by UTP 2.1.

8.7.3.5.21 OpaqueProceduralElementLogEntry

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Description	An opaque procedural element log entry yields the details about the execution of an
	opaque procedural element.
Extension	InstanceSpecification
Super Class	<u>AtomicProceduralElementLogEntry</u>
Associations	<pre>proceduralElement {redefines proceduralElement} :</pre>
	OpaqueProceduralElement
	Refers to the opaque procedural element that was carried out by an executing entity at runtime.
Change from UTP 1.2	«OpaqueProceduralElementLogEntry» was newly introduced by UTP 2.1.

8.7.3.5.22 TestLogStructureBinding

5.7.3.3.22 residogstructurebinding	
Description	A <u>test log structure</u> binding is responsible to explicitly bind <u>test log structure</u> s to <u>test case</u> s or <u>test set</u> s.
	It is possible to reuse the very same test log structure at different locations. Since there are different possibilities how to model this, UTP suggests three methods to achieve multiple binding of test log structures: • Single Dependency/many suppliers method: This method binds many test cases or test sets as suppliers of the «TestLogStructureBinding» Dependency to a single «TestLogStructure» Classifier client. • Multiple Dependencies/single suppliers method: This method binds a single test case or test set as supplier of the «TestLogStructureBinding» Dependency to a single «TestCase» BehavioredClassifier client. • Combined method: This method combines the first two methods.
	The sum of all bound test log structures for a <u>test case</u> or <u>test set</u> is calculated by merging all suppliers of all visible « <u>TestLogStructureBinding</u> » Dependencies in a certain logical or technical scope. Visibility of <u>test log structure</u> binding is not defined by this specification. Moreover, this specification neither prescribes how <u>test log structure</u> bindings are finally put into effect by an <u>executing entity</u> nor how to select them for later use by an <u>executing entity</u> . Since <u>Dependency</u> is a PackageableElement, a possible method could be to use the UML deployment capabilities in order to implement the desired « <u>TestLogStructureBinding</u> » <u>Dependency</u> to putting it into effect in the test execution system.
Extension	Dependency
Constraints	Specification of Dependency client A Dependency with «TestLogStructureBinding» must have exactly one client containing a Classifier with «TestLogStructure» applied. Specification of Dependency supplier A Dependency with «TestLogStructureBinding» must have at least one but an unlimited number of suppliers containing a BehavioredClassifier with «TestCase» applied.
Change from UTP 1.2	Newly introduced by UTP 2.

8.8 **Test Directives**

The UTP 2 test directive facility builds the foundation for the specification of test-related activities. A test directive assembles a set of test techniques that shall be executed either manually or automatically. A test technique instructs a human or machine what to do, i.e., how to carry out the represented activity in detail. A test directive provides the assembled test techniques with all necessary information to carry out the corresponding test-related activity. Therefore, a test directive refers to a set of input elements that are accessible by the related test techniques. Usually, a test directive generates some output by processing the output.

Both test directive and test technique are intended to be sub-classed to specify concrete test-related activities. For example, the test design facility introduced in section 8.3.2 Test Design builds upon the test directive facility by specializing both test directive and test technique.

Additional structural information required to both the test directive and test technique shall be provided via the corresponding stereotypes <<TestDirectiveStructure>> and <<TestTechniqueStructure>>.

8.8.1 Test Directive Facility

The diagram below shows the abstract syntax of the test directive facility.

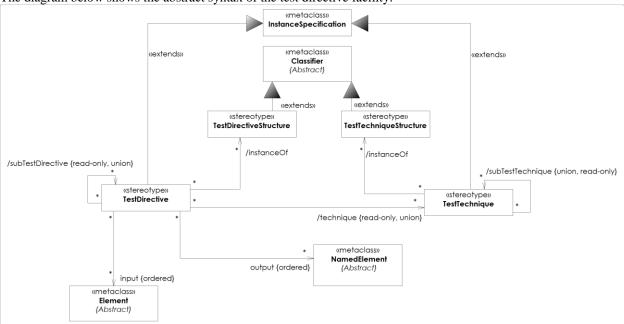


Figure 8.28 - Test Directive Facility

8.8.2 Stereotype Specifications

8.8.2.1 TestDirective

Description	A test directive specifies a certain test-related activity that may consist of specific tasks and instructs a human or machine to carry out these tasks. Tasks are specified by test techniques. A test directive assembles and governs test techniques and provides them with all relevant information to be carried out.
	A test directive operates on a certain input set of NamedElements represented by the association end <i>input</i> . The input elements are visible to the test directive and transitively visible to and accessible by the assembled test techniques. The test techniques operate on the input elements to produce the output elements while processing the test directive. Output elements are represented by the association end <i>output</i> .
	A test directive may provide sub-directives by means of the association end subDirective. Providing a nested test directive enables testers to refine the test-related activity for certain input elements.
Extension	InstanceSpecification
Sub Class	TestDesignDirective
Associations	/instanceOf: TestDirectiveStructure [*] Refers to the test directive structures of which the given test directive is an instance of. The set of test directive structures is derived from all Classifiers with «TestDirectiveStructure» applied that are referred to as classifiers by the underlying InstanceSpecification. /technique {read-only, union}: TestTechnique [*] The set of test techniques that are assembled and governed by the test directive.

	/subTestDirective {read-only, union} : TestDirective [*]		
	Refers to potentially nested test directives that shall be carried out along with the nesting test directive.		
	: TestDirective [*]		
	<pre>input {ordered} : Element [*]</pre>		
	Refers to the sequence of NamedElements on which the test directive operates on.		
	output {ordered} : NamedElement [*]		
	Refers to the sequence of NamedElements which are generated while carrying out the test directive.		
Change from UTP 1.2	«TestDirective» has been newly introduced by UTP 2.1.		

8.8.2.2 TestDirectiveStructure

Description	The stereotype «TestDirectiveStructure» enables the definition of user-defined or context-specific additional information that augments a test directive. A Classifier with «TestDirectiveStructure» applied might be of arbitrary complexity. It enables the provision of information that is relevant in a certain context.	
Extension	Classifier	
Sub Class	<u>TestDesignDirectiveStructure</u>	
Associations	: TestDirective [*]	
Change from UTP 1.2	«TestDirectiveStructure» has been newly introduced by UTP 2.1.	

8.8.2.3 TestTechnique

Description	A test technique is the specification of a test-related task used to carry out test-related tasks manually or automatically. Test techniques are assembled and governed by test directives. Information visible to the assembling test directive are transitively visible and accessible the the assembled test technique.	
	A test technique may define sub-techniques. Providing a sub test technique enables testers to refine the given test techniques with respect to certain elements contained in the test directive input and also to enrich existing (potentially pre-defined) test techniques with user-defined respectively context-specific information.	
Extension	InstanceSpecification	
Sub Class	TestDesignTechnique	
Associations	/instanceOf: TestTechniqueStructure [*] Refers to the test technique structures of which the given test directive is an instance of. The set of test technique structures is derived from all Classifiers with «TestTechniqueStructure» applied that are referred to as classifiers by the underlying InstanceSpecification.	
	: TestDirective [*]	
	/subTestTechnique {union, read-only} : TestTechnique [*] The set of nested test techniques that augment the given test technique. : TestTechnique [*]	
Change from UTP 1.2	«TestTechnique» has been newly introduced by UTP 2.1.	

8.8.2.4 TestTechniqueStructure

Description	The stereotype «TestTechniqueStructure» enables the definition of user-defined or context-specific additional information that augments a test technique. A Classifier with «TestTechniqueStructure» applied might be of arbitrary complexity. It enables the provision of information that is relevant in a certain context.	
Extension	Classifier	
Sub Class	<u>TestDesignTechniqueStructure</u>	
Associations	: TestTechnique [*]	
Change from UTP 1.2	«TestTechniqueStructure» has been newly introduced by UTP 2.1.	

9 Model Libraries

This section describes a set of type libraries relevant to UTP.

9.1 **UTP Types Library**

9.1.1 Predefined types

The following diagram shows the predefined types provided by UTP 2.



Figure 9.1 - Predefined types

Name	Description
AnyType	The pre-defined type AnyType is the least common ancestor of any type known in the context of a certain test type system. As a result,
	StructuralFeatures typed with <u>AnyType</u> can be assigned any value, regardless
	whether primitive or complex.
verdict	The pre-defined type <u>verdict</u> represents the basis for the <u>verdict</u> -related
	mechanisms and user-specfic extensions thereof. Tester may subclass the
	<u>verdict</u> type in order to define specialized <u>verdict</u> types.

9.1.2 Predefined verdict instances

The <u>verdict</u> instances predefined by UTP 2 are <u>none</u>, <u>pass</u>, <u>inconclusive</u>, <u>fail</u> and <u>error</u>. Test modellers can make use of those predefined verdicts out of the box to avoid redundancy.

There is a predefined (default) precedence rule for these <u>verdicts</u>, with ascending precedence from left to right: none < pass < inconclusive < fail < error. That means that setting a verdict is a one-way street. It is not permitted to reassign a verdict with lower precedence to a test set, test case or procedural element, whereas the other way round, verdicts with higher precedence may override verdicts with lower precedence at any point in time during vedict calculation process. The default verdict precedence reflects the default arbitration specification semantics. This semantics can be modified or even completely overriden by user-defined arbitration specifications. If any additional user-defined verdict types are introduced (e.g., complex verdict types and user-defined instances thereof), it is left open how precedence of those user-defined verdicts and the default verdicts integrate with each other.

Even though the predefined verdict instances are expressed using InstanceSpecifications, it is not forbidden to use other representation formats such as LiteralString, Expression or even OpaqueExpression to express user-defined verdict instances in a UTP-based test model.

none : verdict	pass : verdict	inconclusive : verdict	fail : verdict	error : verdict
none . verdici	pass . Veraici	inconclosive . Verdici	Idii . Verdici	ellol . Veluici

Figure 9.2 - Predefined verdict instances

Name	Description
error	The predefined verdict 'error' indicates a result of a test set, test case or
	procedural element, where a non-test item related problem occured. This might be a technical problem in the test environment (e.g., breakdown of a
	network connection that is required for executing the test case), a malfunction
	of a component in the test environment or an incorrectly executed test
	procedure, test case or test set. 'Error' differs from a 'fail' in a sense that the
	test item did not caused the deviation between the expected and the actual

Name	Description
	responses.
fail	The predefined <u>verdict</u> ' <u>fail</u> ' indicates a result of a <u>test set</u> , <u>test case</u> or <u>procedural element</u> , where the <u>test item</u> does not react as expected.
inconclusive	The predefined <u>verdict</u> ' <u>inconclusive</u> ' indicates that a situation where it is not possible to determine whether the <u>test item</u> behaved as expected or not. It is, however, not predefined when the <u>verdict</u> 'inconclusive' shall bet assigned. This depends on the rules of the applied <u>arbitration specification</u> . The default <u>arbitration specifications</u> do not utilize this <u>verdict</u> instance. The concept was obtained from [ISO/IEC 9646-1] where it says: "Test <u>verdict</u> given when the observed test outcome is such that neither a pass nor a fail <u>verdict</u> can be given"
none	The predefined <u>verdict</u> ' <u>none</u> ' indicates that a situation where either a <u>test set</u> , <u>test case</u> or <u>procedural element</u> has not yet been executed, or <u>verdict</u> calculation has not yet taken place (e.g., in post-execution comparison).
pass	The predefined <u>verdict</u> ' <u>pass</u> ' indicates a result of a <u>test set</u> , <u>test case</u> or <u>procedural element</u> , where both the tester but in particular the <u>test item</u> behaved, respectively responded as expected.

9.2 **UTP Auxiliary Library**

9.2.1 UTP Auxiliary Library

The UTP auxiliary library collects well-established and commonly accepted information whose use is optional. The purpose of the auxiliary library is to provide users with a set of useful and predefined types and values to foster reusability across modeling tools and approaches. For example, the ISO 25010 quality model is supposed to be used by multiple organizational units within the test process. Instead of building proprietary and potentially technically conflicting representations of the very same quality model, users may reuse the ISO 25010 [ISO25010] quality model that comes along with UTP itself. Of course, such types and values are often tailored to specific needs (e.g., Robustness testing is a frequently used testing type which is actually given in ISO 9216 or ISO 25010), but still needs to be specified. However, the existence of the UTP auxiliary model does not prevent such an approach.

9.2.1.1 The UTP auxiliary library

Overview of the UTP auxiliary library.

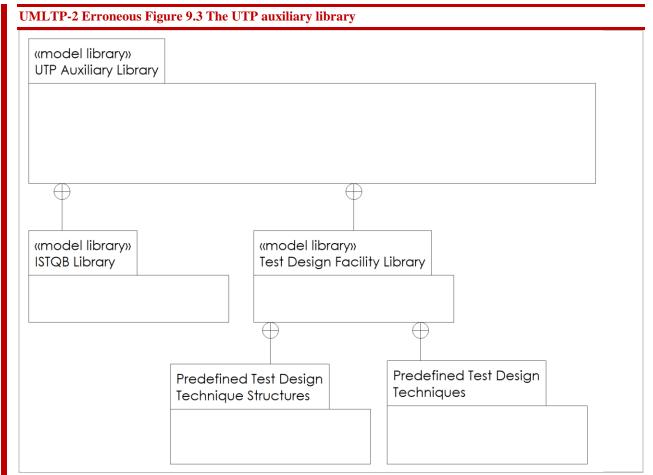


Figure 9.3 - The UTP auxiliary library

9.2.1.2 ISTQB Library

The ISTQB library offers concepts that can be used to organize some aspects of the test process, if required. In particular, the ISTQB library offers a commonly used set of <u>test levels</u> and <u>test set purpose</u>s.

9.2.1.2.1 Overview of the ISTQB library

The following diagram shows the predefined test process library provided by UTP to be used for the specification of <u>test contexts</u> and <u>test sets</u>.

«model library» ISTQB Library «enumeration» «enumeration» «enumeration» **ISTQB Test Level** ISTQB Agile Test Set Purpose ISTQB Test Set Purpose Smoke Test Build verification test component test level Intake Test Feature acceptance testing integration test level Manual Test system test level Feature verification testing acceptance test level Automated Test Feature validation testing Negative Test Regression Testing Alpha Testing Beta Testing API Testing Failover Test Stress Testing Load Testing Recoverability Test Interface testing Acceptance testing

Figure 9.4 - Overview of the ISTQB library

Name	Description	Enumeration literals
ISTQB Agile Test Set Purpose		Build verification test
		"A set of automated tests which validates the integrity of each new build and verifies its key/core functionality, stability and testability. It is an industry practice when a high frequency of build releases occurs (e.g., Agile projects) and it is run on every new build before the build is released for further testing." [ISTQB]
		Feature acceptance testing
		Acceptance testing of a feature, often broken down into Feature verification testing and Feature validation testing.
		Feature verification testing
		Usually carried out automatically may be done by developers or testers, and involves testing against the user story's acceptance criteria.
		Feature validation testing
		Usually carried out manually and can involve developers, testers, and business stakeholders working collaboratively to determine whether the feature is fit for use, to improve visibility of the progress made, and

Name	Description	Enumeration literals
	•	to receive real feedback from the business stakeholders.
ISTQB Test Level	A common set of test levels. A test level is considered as a set of testing activities related to	component test level
		A test designed to provide information about the quality of the component.
	the outermost boundaries of the test items.	integration test level
	test tems.	A test designed to provide information about the direct interface between two integrated components for example in the form of a parameter list.
		system test level
		A test designed to assess the quality of the complete system after integration.
		acceptance test level
		A test designed to demonstrate to the customer the acceptability of the final system in terms of their specified requirements.
ISTQB Test Set	A set of reasons why <u>test set</u> s	Smoke Test
Purpose	might have been assembled.	"A subset of all defined/planned test cases that cover the main functionality of a component or system, to ascertaining that the most crucial functions of a program work, but not bothering with finer details." [ISTOB]
		Intake Test
		"A special instance of a smoke test to decide if the component or system is ready for detailed and further testing. An intake test is typically carried out at the start of the test execution phase." [ISTQB]
		Manual Test
		A test set whose test cases will be executed manually.
		Automated Test
		A test set whose test cases will be executed automatically.
		Negative Test
		"Tests aimed at showing that a component or system does not work." [ISTQB]
		Regression Testing
		"Testing of a previously tested program following modification to ensure that defects have not been introduced or uncovered in unchanged areas of the software, as a result of the changes made." [ISTQB]
		Alpha Testing
		"Simulated or actual operational testing by potential customers/users or an independent test team at the software developers' site, but outside the development organization. Alpha testing is employed for off-the-shelf software as a form of internal acceptance testing." [ISTOB]

Name	Description	Enumeration literals
		Beta Testing
		"Operational testing by potential and/or existing customers/users at an external site not otherwise involved with the developers, to determine whether or not a component of system satisfies the user needs and fits within the business processes. Note: Beta testing is often employed as a form of external acceptance testing in order to acquire feedback from the market." [ISTOB]
		API Testing
		"Testing the code which enables communication between different processes, programs and/or systems. API testing often involves negative testing, e.g., to validate the robustness of error handling." [ISTQB]
		Failover Test
		"Testing by simulating failure modes or actually causing failures in a controlled environment. Following a failure, the failover mechanism is tested to ensure that data is not lost or corrupted and that any agreed service levels are maintained (e.g., function availability or response times)." [ISTOB]
		Stress Testing
		"A type of performance testing conducted to evaluate a system or component at or beyond the limits of its anticipated or specified workloads, or with reduced availability of resources such as access to memory or servers. [After IEEE 610]" [ISTQB]
		Load Testing
		"A type of performance testing conducted to evaluate the behavior of a component or system with increasing load, e.g. number of parallel users and/or numbers of transactions to determine what load can be handled by the component or system." [ISTQB]
		Recoverability Test
		"The process of testing to determine the recoverability of a software product." [ISTQB] Interface testing
		"An integration test type that is concerned with testing the interfaces between components or systems." [ISTQB]
		Acceptance testing
		"Formal testing with respect to user needs, requirements, and business processes conducted to determine whether or not a system satisfies the acceptance criteria and to enable the user, customers or other authorized entity to determine whether or not to

Name	Description	Enumeration literals
		accept the system." [ISTQB]

9.2.1.3 Test Design Facility Library

The test design facility library provides a set of <u>test design techniques</u> as well as some default test design technique structures that can be used out of the box for the specification of the test design activities. Since these <u>test design techniques</u> are by definition not dependent upon the <u>test design input</u> element, they are called context-free <u>test design techniques</u>.

9.2.1.3.1 The UTP test design facility library

The following diagram shows the predefined <u>test design technique</u>s provided by UTP 2 to be used for the specification of test directives.

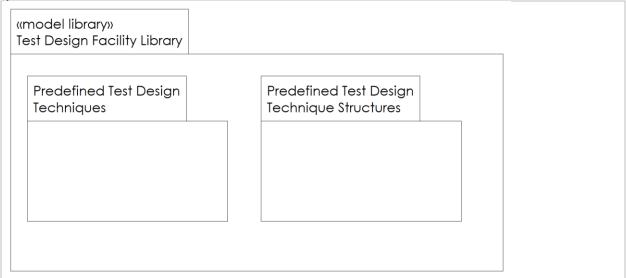


Figure 9.5 - The UTP test design facility library

9.2.1.3.2 Predefined Test Design Techniques

UTP offers a set of context-free <u>test design technique</u>s, meaning that these <u>test design technique</u>s do not require any further information from the <u>test design input</u> of the assembling <u>test design directive</u>. They can be immediately used by the generic <u>test design directive</u> or any other predefined or specialized <u>test design technique</u> or test design directive.

9.2.1.3.2.1 Predefined context-free test design techniques

The following diagram depicts the predefined and ready-to-use test design technique provided by UTP 2.

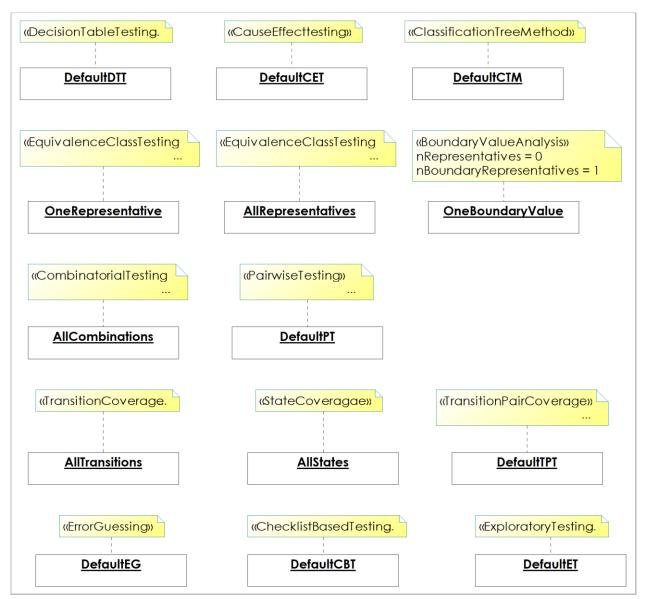


Figure 9.6 - Predefined context-free test design techniques

Name	Description
AllCombinations	A predefined instance of the CombinatorialTesting TestDesignTechnique ready for being assembled by TestDesignDirectives. The semantics is that all possible combinations of input parameters must be covered by the resulting test cases.
AllRepresentatives	A predefined instance of the <u>EquivalenceClassPartitioning</u> <u>TestDesignTechnique</u> ready for being assembled by <u>TestDesignDirectives</u> . All representatives of the equivalence classes must be selected.
AllStates	The predefined instance of the StateCoverage TestDesignTechnique ready for being assembled by TestDesignDirectives. The default semantics is that all States of the corresponding State Machine(s) must be covered by the resulting test cases.
AllTransitions	The predefined instance of the TransitionCoverage TestDesignTechnique ready for being assembled by TestDesignDirectives. The default semantics is that all Transitions of the corresponding State Machine(s) must be covered by

Name	Description	
	the resulting test cases.	
DefaultCBT	The predefined instance of the ChecklistBasedTesting TestDesignTechnique ready for being assembled by TestDesignDirectives.	
DefaultCET	The predefined instance of the CauseEffectAnalysis <u>TestDesignTechnique</u> ready for being assembled by <u>TestDesignDirective</u> s.	
DefaultCTM	The predefined instance of the ClassificationTreeMethod TestDesignTechnique ready for being assembled by TestDesignDirectives.	
DefaultDTT	The predefined instance of the DecisionTableTesting TestDesignTechnique ready for being assembled by TestDesignDirectives.	
DefaultEG	The predefined instance of the ErrorGuessing TestDesignTechnique ready for being assembled by TestDesignDirectives.	
DefaultET	The predefined instance of the ExploratoryTesting TestDesignTechnique ready for being assembled by TestDesignDirectives.	
DefaultPT	The predefined instance of the PairwiseTesting TestDesignTechnique ready for being assembled by TestDesignDirectives.	
DefaultTPT	The predefined instance of the TransitionPairTesting TestDesignTechnique ready for being assembled by TestDesignDirectives. The default semantics is that at least all pairs of subsequent Transitions must be covered by the resulting test cases.	
OneBoundaryValue	The predefined instance of the <u>BoundaryValueAnalysis</u> <u>TestDesignTechnique</u> ready for being assembled by <u>TestDesignDirective</u> s. The default semantics is that a single value at the boundaries of the equivalence class must be selected.	
OneRepresentative	A predefined instance of the EquivalenceClassPartitioning TestDesignTechnique ready for being assembled by TestDesignDirectives. Exactly one representative of each equivalence class must be selected.	

9.2.1.3.3 Predefined Test Design Technique Structures

The predefined test design technique structures offer some structural information to enrich test design techniques, if required.

9.2.1.3.3.1 Overview of the predefined test design technique structures

The following diagram depicts the predefined and ready-to-use <u>test design technique</u> structures provided by UTP. They can be used to build proprietary generic <u>test design techniques</u> or to augment the predefined <u>test design techniques</u>.

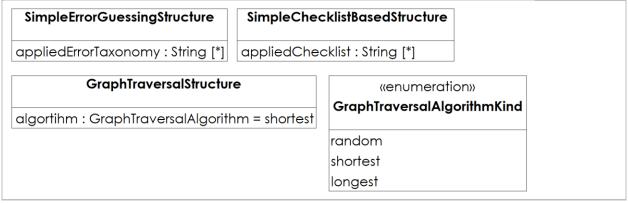


Figure 9.7 - Overview of the predefined test design technique structures

Name	Description
GraphTraversalStructure	A <u>test design technique</u> structure that enables testers to specify the traversal
	algorithm a test designing entity must apply.

Name	Description	
SimpleChecklistBasedStructure		
	some checklists that should be used for test design.	
SimpleErrorGuessingStructure	An error guessing test design technique that enables test engineers to refer to	
	some error taxonomies that should be used for test design.	

Name	Description	Enumeration literals
GraphTraversalAlg orithmKind	A set of graph traversal strategies.	random
		A test designing entity must take a random walk through the graph in order to achieve a certain coverage criterion of the test design input element.
		shortest
		A test designing entity must take the shortest path possible in order to achieve a certain coverage criterion of the test design input element.
		longest
		A test designing entity must take the longest path possible to achieve a certain coverage criterion of the test design input element.

Annex A (Informative): Examples

This section illustrates some concepts of the UML Testing Profile by means of different examples. These examples were provided by different companies reflecting different approaches to MBT, different interpretations of MBT with UTP and finally different methodologies for applying UTP. It underlines the flexibility and open-endedness of UTP.

A.1 Croissants Example

A.1.1 The Test Item

This example illustrates some of the major concepts of UTP 2 on the "not so serious" <u>test item</u> (French) "Croissants". This is a particularly interesting example since the <u>test item</u> is not a software system (at least not in the classical sense;-), but a rather common physical system (i.e., croissants).



Figure A.1 - The Croissants Example

A.1.1.1 Given Requirements on the Test Item

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Id	Туре	Description	Req. on
RQ-0001 RQ-0002	functional	Each croissant shall have a chocolate core	Croissant
RQ-0002	functional	Each croissant shall have a consistency of	Croissant
		greater than 3	
RQ-0003	functional	Each croissant shall be considered as "good	Croissant

Id	Type	Description	Req. on
		tasting" by more than 80% of ordinary	
		people	

A.1.2 Test Requirements

The following diagram shows the hierarchy of test objectives as well as the constraints on this test series expressed as test requirements.

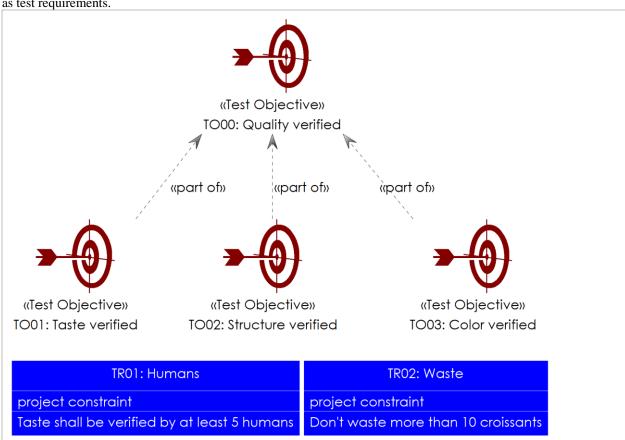


Figure A.2 - Test Objectives

A.1.2.1 Given Test Objectives

Name	Description	Priority
TO00: Quality verified	The high quality of the croissants we enjoy during our working meetings is ensured.	n/a
TO01: Taste verified	The quality of the flavor of the croissants we enjoy during our working meetings is ensured.	high
TO02: Structure verified	The physical composition of the croissants we enjoy during our working meetings is ensured.	medium
TO03: Color verified	The tasteful look of the croissants we enjoy during our working meetings is ensured.	high

A.1.2.2 Given Requirements

TR01: Humans	
Description	Taste shall be verified by at least 5 humans
Requirement type	project constraint
Requirement kind	Quality

TR02: Waste	
Description	Don't waste more than 10 croissants
Requirement type	project constraint
Requirement kind	Resource Consumption

A.1.3 Test Design

The following diagram shows the applied test design strategy as well as the test directives derived from that test design strategy.

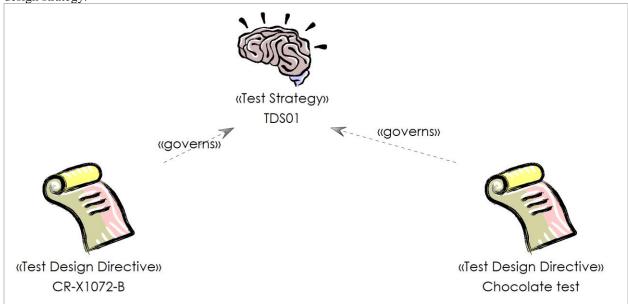


Figure A.3 - Test Strategy

A.1.3.1 Test Design Strategies shown on "Test Strategy"

A.1.3.2 Test Directives shown on "Test Strategy"

UMLTP22-40 Correction of typographical and editorial formatting on specification documents

Chocolate test	
Description	Keep every piece of chocolate at least 10 seconds on your tongue.
Applies to	Chocolate Portion
Requires capability	Gustaoceptionary Proficiency

UMLTP22-40 Correction of typographical and editorial formatting on specification documents CR-X1072-B Description Apply Croissant-Standard CR-X1072-B to test them. Applies to Croissant Requires capability Knowledge of CR-X1072-B

A.1.4 Test Configuration

UMLTP22-40 Correction of typographical and editorial formatting on specification documents

The figure below shows the Test Configuration of the Croissants abstracted as a UML class diagram.

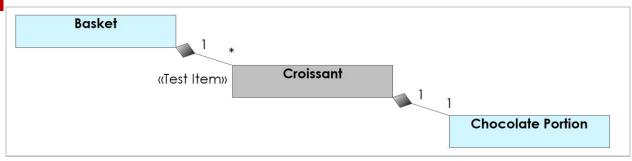


Figure A.4 - Objects

Based on this description, the following figure shows the <u>concrete test configuration</u> instantiated as a composite structure diagram.

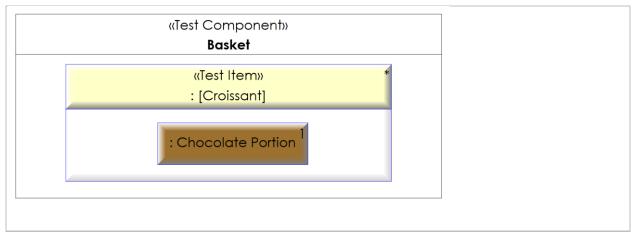


Figure A.5 - Test Configuration

A.1.5 Test Cases

The <u>test case</u>s (particularly the <u>test procedure</u>s) in this <u>test set</u> are not specified fully and formally but rather in a structured informal way. This is to show that <u>test case</u>s in UTPs don't always have to be fully formalized.

A.1.5.1 Test Set "Manual croissants test"

The following diagram shows the <u>Test Set "Manual croissants test"</u> containing the relevant test cases and how they relate to the stated test objectives. Further, the test requirements constraining this test set also are shown.

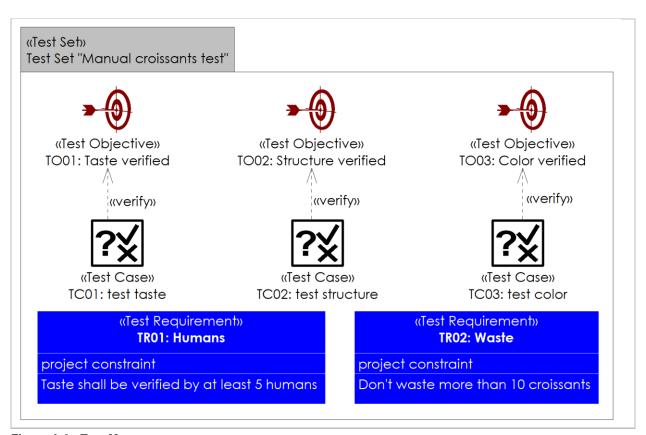


Figure A.6 - Test Map

Test Cases shown on "Test Map"

UMLTP22-40 Correction of typographical and editorial formatting on specification documents

TC01: test taste	
Test objectives	TO01: Taste verified
Priority	high
Precondition	There must be a Croissant available
Test procedure	Apply the following steps:
	Break the Croissant in its middle
	Check whether there is chocolate in it
	Bite into the Croissant
	Evaluate its taste
	Eat the remains or throw them into the waste basket
Postcondition	The Croissant is eaten
Verifies	TO01: Taste verified
Estimated effort	10 seconds
Is abstract	FALSE

UMLTP22-40 Correction of typographical and editorial formatting on specification documents

TC02: test structure		
Test objectives	TO02: Structure verified	
Priority	low	
Precondition	There must be a Croissant available	
	The Croissant must not be broken	
Test procedure	Apply the following steps:	

	Press the Croissant with two fingers	
	Check the elasticity of the Croissant	
	Bend the Croissant until it breaks	
	Check the breaking angle	
	• Eat the remains or throw them into the waste basket	
Postcondition	The Croissant is broken	
Verifies	TO02: Structure verified	
Estimated effort	20 seconds	
Is abstract	FALSE	

UMLTP22-40 Correction of typographical and editorial formatting on specification documents

,		
TC03: test color		
Test objectives	TO03: Color verified	
Priority	medium	
Precondition	There must be a Croissant available	
Test procedure	Apply the following steps:	
	Look at the Croissant	
	Evaluate its color	
Postcondition	There is still a Croissant available	
Verifies	TO03: Color verified	
Estimated effort	5 seconds	
Is abstract	FALSE	

A.2 LoginServer Example

The LoginServer example represents a simplified version of a real case study taken from the EU FP7 research project REMICS. It was optimized for the initial submission section to demonstrate the core concepts of UTP 2 that are stable enough and unlikely to be substantially changed in the revised submissions. The LoginServer offers functionality to log into a system (in the mentioned REMICS project, the login functionality was integrated into a Cloud-based system for managing travel excursions). In this example section, the following capabilities of UTP 2 are demonstrated:

- Defining the structure of a test plan using test contexts as well as test level and test types
- Specification of test requirements as a result of the test analysis activities
- Modeling of the logical interfaces of the <u>test item</u> (also known as <u>test item</u> <u>test item</u>) optimized for deriving logical <u>test case</u>s
- Modeling of the <u>test type</u> system and <u>data specifications</u> required for deriving appropriate <u>data</u>
- Specification of structural aspects of the test environment, in particular the required <u>test components</u>, <u>test configuration</u> and connection between the test environment and the <u>test item</u>
- Modeling of logical test cases using sequence diagrams (i.e., Interactions)
- Informal and rough description of a mapping from UTP 2 <u>test case</u>s expressed as sequence diagrams (i.e. Interactions) to semantically equivalent TTCN-3 test scripts

This example demonstrates the Test Model-only approach to model-based testing. There are no further (e.g., design or requirements) models available for reuse. In addition, the methodology follows the so called test requirement/requirements analysis, since the test design activities are guided by test requirements which, in turn, are derived from the test basis. Both the applied MBT approach and the test approach (which is called test practice in ISO 29119) of the LoginServer example are just a single interpretation how UTP 2 could be used and embedded into a methodology. The described test process and its distinct phases (e.g., test planning, test analysis, etc.) are inspired by the ISTQB fundamental test process.

A.2.1 Requirements Specification

The following table shows a simplified excerpt of the requirements for the LoginServer example. These few requirements suffice to demonstrate most of the core concepts of UTP 2.

Table A.9.1 - LoginServer Requirements

Id	Name	Description
F1	User login	The user shall be able to log into the system using a valid ID/password combination.
F2	Failed user login	The system shall reject the login request and answer with an appropriate error message, if the user tries to log into the system with a known ID but invalid password.
F3	Unknown user login	The system shall reject the login request and answer with an appropriate error message, if an unknown user (i.e., a non-registered ID) requests a login.
F4	User banishing	The system shall banish an ID and answer with an appropriate message, if a user tries to log into system three times in a row with an invalid ID/password combination.
F5	Mail address modification	A user who is logged into the system shall be able to update his mail address. A valid mail address complies to the following regular expression: [a-zA-Z0-9%+-]+@[a-zA-Z0-9]+\.[a-zA-Z]{2,4}
F6	User logout	A user who is logged in shall be able to log out from the system.
F7	Login response time	The system shall respond to login request within 5 seconds.

A.2.2 Test Planning

In the test planning phase, the test manager usually starts specifying the test plan. This means that the resources for testing are estimated, requested and allocated. Furthermore, the test process is broken down into so called test subprocesses, each strives to fulfil the <u>test objectives</u> of this test sub-process. These test sub-processes are called <u>test context</u> in UTP 2.

Based on the knowledge about the system to be tested (also known as <u>test item</u> or <u>test item</u>), the test manager decides on the number of test sub-processes, their objectives and the strategies used to fulfil those <u>test objective</u>s. The diagram below shows the corresponding structure of the test specification for the LoginServer <u>test item</u>.

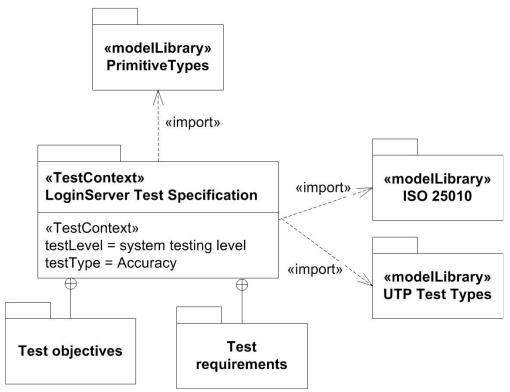


Figure A.7 - The LoginServer Test Context

Due to the simplicity of the LoginServer, the entire test plan only consists of a single <u>test context</u>. In more sophisticated test processes, the test plan is usually sub-structured into multiple test (sub-)plans, so called master and level test plans. The <u>test context</u> copes with this need, since it allows for sub-structure <u>test contexts</u>. The diagram above also demonstrate the use of two model libraries provided by the UTP Auxiliary library in order to specify the <u>test level</u> and <u>test type</u> that are addressed by the given <u>test context</u>. In this example, the <u>test context</u> LoginServer Test Specification targets functional system testing. Each <u>test case</u> accessible to the <u>test context</u> is considered to be designed for the mentioned <u>test level</u> and <u>test type</u>. This enables tester to apply the very same <u>test case</u> to different <u>test types</u> and <u>test levels</u> (if needed). For example, it is a good practice to reuse functional <u>test case</u> with different data sets or a different, yet compatible test configuration for security or performance testing.

The LoginServer Test Specification contains two ordinary packages for storing the <u>test objectives</u> and <u>test requirements</u>. Whereas the specification of <u>test objectives</u> is not shown in this example, the derivation of <u>test requirements</u> as one of the most important outcomes of the test analysis phase will be shown in the next section.

A.2.3 Test Analysis

The activities in the test analysis phase are, first and foremost, dedicated to analyze the test basis in order to comprehend both the test item and what is expected from the test item. Test basis is an abstract concept that comprises any information that helps deriving test cases for a certain test item with respect to the test objectives of the given test sub-process (i.e., test context). The requirements specification usually represents an important part of the test basis for functional system testing.

A.2.3.1 Derivation and Modeling of Test Requirements

In UTP, <u>test requirements</u> specify which features of a requirement should be verified by corresponding <u>test case</u>s. <u>test requirements</u> are an important means to establish traceability between <u>test case</u>s and the test basis, in particular the requirements. The degree of detail of <u>test requirements</u> varies between test processes and depends on different aspects like the applied test methodology, details of the test basis, sufficient time available to actually specify, review and validate those <u>test requirements</u> etc.

For the given example, only a subset of all possible <u>test requirements</u> is derived from the functional system requirements. For later submission, this specification will provide a more elaborated and complete example.

Table 9.2 - Test Requirements

UMLTP22-40 Correction of typographical and editorial formatting on specification docume	ents
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Id	Description	Covers	Comments
TR-F1-1	Ensure that a user successfully logs into the system, if the login request is performed using a valid ID and corresponding password.	User login	No information about response of the definition of valid ID yet. Req. change request submitted (RCR-ID: 0015)
TR-F1-2	Ensure that the system responses with an error message "Invalid ID" if an invalid ID was provided with the login request.	User login	Invalid ID behavior discussed with system architect. An according req. change request was submitted (RCR-ID: 0016)
TR-F5-1	Ensure that the system responses with a message "Mail address updated" if the modification request was successful. This requires a valid mail address. Valid mail addresses shall comply with the following regular expression: [a-zA-Z0-9%+-]+@[a-zA-Z0-9]+\.[a-zA-Z]{2,4}	Mail address modification	No information about response of the system available yet. Req. change request submitted (CR-ID: 0064). The current expected result is very likely to change in future.
TR-F5-2	Ensure that the system issues an error message "Invalid Format" if the mail address the user submitted for modification does not comply with the regular expression given in F5.	Mail address modification	No information about response of the system available yet. Req. change request submitted (CR-ID: 0065). The current expected result is very likely to change in future.
TR-F5-3	Ensure that the system rejects the modification request if the user is not logged into the system with the error message "Login required".	Mail address modification	No information about response of the system available yet. Req. change request submitted (CR-ID: 0065). The current expected result is very likely to change in future.
TR-F6-1	Ensure that a user, who is currently logged into the system and requests a logout from the system, is actually logged out. The system shall responds with a message "User logged out"	User logout	
TR-F6-2	Ensure that the system responds with an error message "Logout requires to be logged in" if a user who is not logged into the system sends a logout request.	User logout	
TR-F7-1	Ensure that the system responds to login requests within 5 seconds.	Login response time	

The diagram below depicts the content of the corresponding <u>test requirement</u> package. To keep the diagram clean, only unique identifier of the <u>test requirements</u> are shown. In this methodology, <u>test requirements</u> do not have a name, so the name is automatically (by virtue of a UTP 2 tool) kept in synch with the unique identifier. Unfortunately and deliberately for this example, the targeted requirements are not available as model <u>artifacts</u>, but stored somewhere else (e.g., a dedicated requirements management tool like DOORS or even Excel). Traceability

from <u>test requirements</u> to requirements (i.e., from the test specification to the test basis) by means of UTP 2 can at most be established informally.

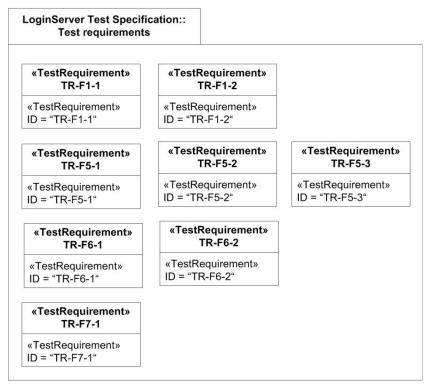


Figure A.8 - Test Requirements

A.2.3.2 Modeling the Type System and Logical Interfaces

Since the test model is designed in a standalone manner, it is in the responsibilities of the test analysts to identify and specify the means for interacting with the <u>test item</u>. <u>test requirements</u> usually provide further information for the design of the logical interfaces of the <u>test item</u> and the <u>test type</u> system used for information exchange. For example, the phrase "a user … logs into the system if the login request is performed using a valid ID and corresponding <u>Pass</u>word." indicates that has to be an operation that allows providing an ID and a <u>Pass</u>word for a login request. Of course, the same holds true, of course, for the specification of constraints on data in order to build <u>data</u> <u>specifications</u>. The <u>test requirements</u> TR-F1-1 and TR-F5-1 are examples in which constraints on data are specified. These data constraints could be exploited for data-based test design strategies like equivalence class partitioning or boundary value analysis. Whatever <u>test design technique</u> will be applied, UTP 2 offers the required capabilities to capture such data constraints and explicitly specify <u>data specifications</u> as means of equivalence classes or even classification trees.

The diagram below shows the logical interface operations and <u>test type</u> systems derived from the <u>test requirements</u> TR-F1-1, TR-F2-1, TR-F6-1 and TR-F6-2.

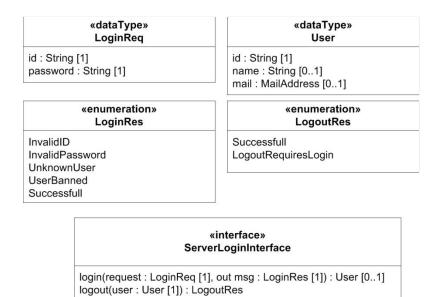


Figure A.9 - Logical Interface of LoginServer (1)

The diagram below depicts the logical interface operations and <u>test type</u> systems derived from the <u>test requirements</u> TR-F5-1, TR-F52, and TR-F5-3.

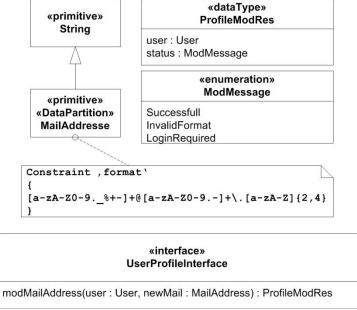


Figure A.10 - Logical Interface of LoginServer (2)

A.2.3.3 Modeling Test Data

The <u>data specification</u> MailAddress specialized the primitive type String (provided by the UML PrimitiveTypes package imported by the surrounding <u>test context</u>) and restricts the values for this type according to requirement F5 and <u>test requirements</u> TR-F5-1. The actual specification of the Constraint 'format' is represented by a LiteralString (this cannot be inferred by the means of the diagram). The diagram below shows the corresponding object diagram of the relevant parts of the diagram above.

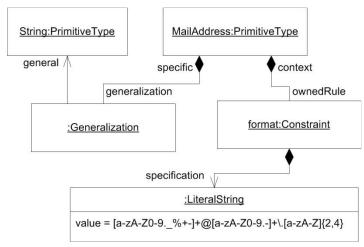


Figure A.11 - Object Diagram specifying data

Both names and representation of derived <u>artifacts</u> are just examples how UTP 2 could be applied to support test analysis and depend on the respective methodology.

A.2.4 Test Design

The main target of the test design activity is to derive test cases by following either systematic test design techniques or in an ad-hoc manner. However performed, the test design activity is responsible for

- Deriving according test data based on the test type system
- Deriving the test architecture and test configuration including the communication channels between the test components and the test item
- Designing test cases based on the findings of the test analysis activities
- Link test cases to test objectives and/or test requirements

A.2.4.1 Test Architecture and Test Configuration

The test architecture comprises of the <u>test item</u> and the corresponding <u>test components</u> required driving the execution of <u>test cases</u> against the <u>test item</u>. The diagram below depicts the specification of two components within the LoginServer Test Specification. The decision made to go for two distinct interfaces for the LoginServer instead of a single interface results in a bigger modeling efforts, since an interface component (see BasicPortConfiguration) is required in order to offer multi-offering Ports. This diagram does not make use of any UTP 2 stereotypes but relies completely on the class modeling capabilities of UML. The Port ~basicPort of type Client is a conjugated Port typed by BasicPortConfiguration.

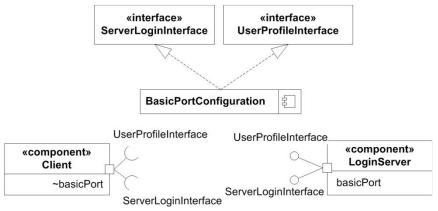


Figure A.12 - LoginServer Component Specification

The role each of those components will play in the given <u>test context</u> is not prescribed. Binding of roles for types is accomplished by the <u>test configuration</u>. The <u>test configuration</u> also describes the communication channels over which information exchange among the <u>test component(s)</u> and the <u>test item</u> will be established later. UTP 2 allows for at least two ways to specify the <u>test configuration</u>:

- Shared <u>test configuration</u>: The shared <u>test configuration</u> mechanism enables the test analyst to bind <u>test case</u>s to a previously defined <u>test configuration</u>. By doing so, the <u>test configuration</u> might be reused by different <u>test case</u>s. One means to shared <u>test configuration</u> is by utilizing Collaborations. This is not shown in this example.
- Isolated <u>test configuration</u>: In contrast to shared <u>test configuration</u>, the isolated <u>test configuration</u> builds the <u>test configuration</u> every time from scratch. This option is only possible, if <u>«TestCase</u>» is applied on (a subclass of) Behavior directly. Since Behavior is a StructuredClassifier it is possible to directly make use of the stereotypes <u>«TestItem</u>» and <u>«TestComponent</u>» within the composite structure of the respective Behavior. However, this prevents the advantages of reuse.

The diagram below denotes the very simple <u>test configuration</u> contained in the <u>test case</u> TC1_F1. The <u>test case</u> could be seen as a <u>test case</u> declaration which can be created and fostered very early in the test process. The <u>test configuration</u> comprises two parts, one being stereotyped as <u>TestComponent</u> and the other stereotyped as <u>TestItem</u>, whose compatible Ports are connected by Connector c1. The Connector is an important means for specifying over which communication channel the information exchange between <u>test component(s)</u> and <u>test item</u>s are supposed to take place during the execution of the <u>test case</u>.

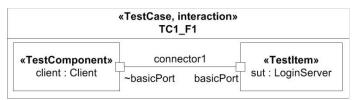


Figure A.13 - LoginServer Test Configuration

UTP 2 does not prescribe nor emphasize which variant to be used. Often, this depends on the applied test modeling methodology, the applied tooling, and the acceptance of the test analysts. For example, if generative approaches to test design are applied, then it might not be important to reuse <u>test configurations</u> throughout several <u>test cases</u> for the <u>test configurations</u> would be automatically derived from the boundary descriptions of the <u>«TestItem»</u>.

A.2.4.2 Specification of Complex Test Data

The <u>test type</u> system specifies which data types are supposed to be exchanged within <u>test cases</u> among the <u>test components</u> and the <u>test item</u>. For the actual specification of <u>test cases</u>, values or instances for the <u>test type</u> systems need to be defined. This is in particular necessary for complex data types (e.g., DataType, Class, Signal etc.). The diagram below shows the InstanceSpecifications for the data types LoginReq and User required for the realization of <u>test cases</u>.

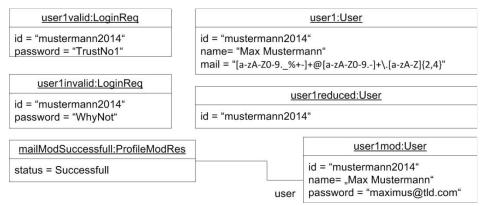


Figure A.14 - Test Data Specification

The interesting aspect in the <u>data</u> specification is the difference in dealing with the mail address attribute in the User-type InstanceSpecification. In the first case (user1), the Slot value is set to the regular expression, which was taken over from the type definition of MailAddress. It will later on be used to define expected results of the login operation. The semantics of such a concept is that as long as the actual response for a user's mail address complies with the stated regular expression, the actual response matches the <u>expect response action</u> and will not cause the <u>test case</u> to <u>Fail</u>.

The InstanceSpecification user1reduced omits all slots that are not required for a user object. This will later on be used for the modification of a user's mail address. In the last case (user1 mod) a concrete and very precise mail address was stated for the very same user. This InstanceSpecification is used as part of the profile modification response (i.e., data type ProfileModRes) after an update of the mail address was requested. This is necessary, since it is important to see that the modification of was actually successful. All other data values are defined directly within the test case as ordinary ValueSpecifications.

A.2.4.3 Test Requirements Realization

The actual design of <u>test cases</u> is the most important part of the test design phase. According to the applied methodology for the given example, <u>test requirements</u> are supposed to be realized by <u>test cases</u>, and thus, <u>test case</u> transitively verify or falsify the requirements that are covered by <u>test requirements</u>. The assignment of <u>test requirements</u> to <u>test cases</u> is part of the test design phase and results in our case in the following (partially shown) assignments (see diagram below).

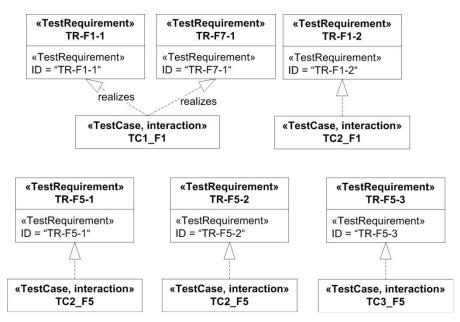


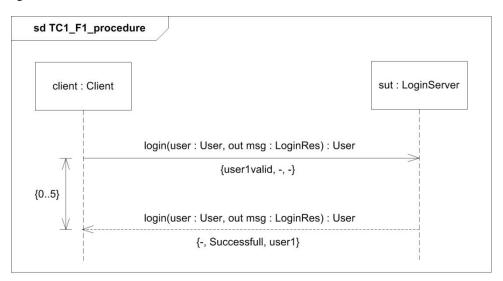
Figure A.15 - Realization of Test Requirements

The respective <u>test configuration</u> for each <u>test case</u> is not shown in the diagram for the sake of comprehensibility, but is present nevertheless for each <u>test case</u> and identical to the <u>test configuration</u> shown above.

A.2.4.4 Design of Test Case Procedures

Based on both the specification of the <u>test requirements</u> what to test and the precise specification of the <u>test configuration</u> in order to realize how to test what has to be tested, the <u>test case procedure</u>s can be derived. As already shown, in this example sequence diagrams (i.e., Interactions) are going to be used as a <u>test procedure</u>. The semantics of these <u>test case</u> interactions is that any deviation from the described interactions and message arguments will cause the <u>test case</u> to <u>Fail</u>. However, if the actual response matches the expected ones during test execution, the <u>test case</u> will <u>Pass</u>.

The two diagrams below show the <u>test procedures</u> of two <u>test cases</u> for the <u>test requirements</u> TR-F1-1, TR-F1-2 and TR-F7-1. This specification deliberately neglected the parameterization of <u>test cases</u> due to an unresolved issue filed against UML Interactions.



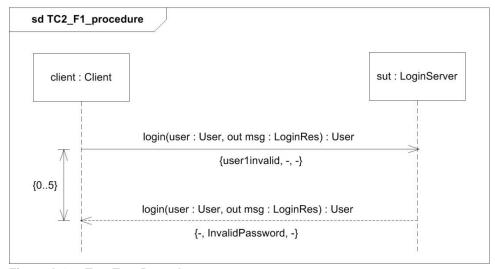


Figure A.16 - Two Test Procedures

The DurationConstraints ensure that any response to the login request that is recognized later than 5 time units (in this case seconds) after the actual request will violate the DurationConstraint, and thus, cause the <u>test case</u> to <u>Fail</u>. The message arguments used in these <u>test case</u>s are represented by InstanceValues that have the same name as the InstanceSpecifications they refer to. Successful and InvalidPassword are EnumerationLiterals of the Enumeration LoginRes. The messages are sent via the Connector connector1 of the corresponding <u>test configuration</u>. This enables a precise definition of the Ports that should be used for sending stimuli and receiving <u>expect response actions</u>.

The diagram below depicts a <u>test case</u> for the successful modification of a logged in user's mail address. It reuses (actually reimplements for no explicit reuse - by means of InteractionUse of the <u>test procedure</u> of <u>test case</u> TC1_F1) the behavioral description for a successful user login request. The is usually called the preamble of the <u>test case</u> (although the current version of UTP 2 has no means to explicitly denote parts of the behavioral description as preamble or postamble; this is intended for revised submission).

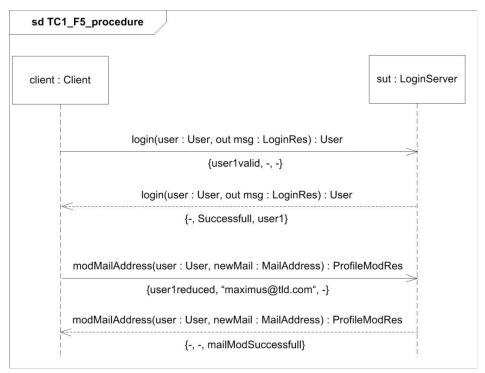


Figure A.17 - Successful Test Case

The only technical deviation from the previously shown <u>test cases</u> is that the mailModAddress request message uses a LiteralString with value "maximus@tld.com" as message argument. Otherwise, no further peculiarities need to be discussed.

<u>Note:</u> The use of arguments of a message represented in curly brackets below the message arrow is not UML-compliant, but was chosen for the sake of clarity.

A.2.5 Mapping to TTCN-3

The Testing and Test Control Notation version 3 (TTCN-3) standardized by the European Telecommunications Standardization Institute (ETSI) prescribes a dedicated test language and test system framework that abide by the keyword-driven testing principle. Since its final adoption is has been heavily used within the telecommunications and automotive domain, but is in general, like UTP, independent of any domain. As TTCN-3 similarly to OMG standards is not restricted to certain methodology, the following described mapping represents just one possible way to translate UTP 2 test cases to TTCN-3. For example, it is restricted to Interactions for test case procedures, whereas in principle each of the UML behavior kinds could be used for specifying test procedures.

A.2.5.1 Mapping the Test Type System

TTCN-3 comes along with a fine-grained and powerful type system that resembles the one provided by UML, which was taken over by UTP. The following snippet shows the corresponding TTCN-3 code for the LoginServer test type system starting with primitive types, over enumerations to complex types.

```
type charstring MailAddress
        (pattern "\[a-zA-Z0-9. %+-\]+@\[a-zA-Z0-9.- \]+\.\
                    [a-zA-Z\setminus]\setminus\{\overline{2},4\setminus\}");
type enumerated LoginRes
       {InvalidID, InvalidPassword, UnknownUser, UserBanned, Successfull};
type enumerated LogoutRes
       {Successfull, LogoutRequiresLogin};
type enumerated ModMessage
       {Successfull, InvalidFormat, LoginRequired};
type record LoginReq
    charstring id,
    charstring password
type record User
    charstring id,
    charstring name optional,
    charstring mail optional
type record ProfileModRes
    User user,
    ModMessage status
```

A.2.5.2 Mapping Interface Descriptions

In TTCN-3, interface operations are represented by so called signature types. A signature is a type that can be instantiated and resembles the invocation of an operation. The concept of an Interface as grouping namespace for Operations has no correspondent concept in TTCN-3. In case of ambiguous signature names (i.e., two Operation with the same name contained in different Interfaces) the qualified name of the Operation could be used as name of the signature since TTCN-3 does not offer type overloading. The mapping presented in this example utilizes the TTCN-3 group concept to logically cluster the signature types according to their containing UTP Interfaces; however, one has to be aware of the fact that a TTCN-3 group has no further semantics than to group elements. A TTCN-3 group is neither comparable to a UML Package nor any other Namespace for it does not have scoping semantics. The suggested mapping of the LoginServer interface descriptions is shown in the following snippet:

```
group ServerLoginInterface
{
    signature login (LoginReq request, out LoginRes msg) return User;
    signature logout (User user) return LogoutRes;
}
group UserProfileInterface
{
    signature modMailAddress (User user, MailAddress newMail) return ProfileModRes;
}
```

A.2.5.3 Mapping the Test Architecture

TTCN-3 relies on a component- and port-based architecture. That fits quite well with the offered concepts by UML, and thus, UTP. The following snippet demonstrates the mapping of the LoginServer test architecture to TTCN-3:

```
type port BasicPortConfiguration procedure
{
    inout login, logout, modMailInterface;
}
type component LoginSever
{
    port BasicPortConfiguration basicPort;
```

```
}
type component Client
{
    port BasicPortConfiguration basicPortConjugated;
}
```

A.2.5.4 Mapping the Test Data Specification

Data values utilized in message exchanges are called templates in TTCN-3. A template resembles an InstanceSpecification or dedicated ValueSpecification in UTP (actually UML). Templates can be either defined explicitly outside of a <u>test case</u> (called global templates), and thus, being reused by multiple <u>test case</u>s, or directly within in a message (called inline). At first this specification is going to show the mapping of global templates:

```
template LoginReq user1valid() :=
 id := "mustermann2014",
 password := "TustNo1"
};
template LoginReq userlinvalid() :=
   id := "mustermann2014",
  password := "WhyNot"
template User user1() :=
   id := "mustermann2014",
  name := "Max Mustermann",
  mail := (pattern "\[a-zA-Z0-9. %+-\]+@\[a-zA-Z0-9.-\]+\.\
                      [a-zA-Z\setminus]\setminus\{2,4\setminus\}")
template User user1reduced() :=
   id := "mustermann2014",
  name := omit,
  mail := omit
template User user1mod() :=
   id := "mustermann2014",
  name := "Max Mustermann",
  mail := "maximus@tld.com"
template ProfileModRes mailModSuccessfull() :=
 user := user1mod.
 status := Successful
```

A.2.5.5 Mapping Test Cases and Test Configuration

In TTCN-3 a <u>test configuration</u> is inherently bound to a <u>test case</u>, whereas in UTP a <u>test configuration</u> could be potentially shared across multiple <u>test case</u>s (even though this feature is not shown in the given example). The following snippet shows the mapping of the <u>test case</u> TC1_F1:

```
//continually checks whether the expected response is received
    //by the test system
    []basicPortConjugated.getreply(login:{-,Successfull}
                                                         value user1)
        //{\rm indicates} that the test case has passed
        setverdict(pass);
    //continually checks whether any other response is received
    []basicPortConjugated.getreply
         //indicates that the test case has failed due to mismatch
        //between actual and expected response
        setverdict(fail)p;
    //continually checks whether the implicit timer expired
    []basicPortConjugated.catch(timeout)
         //indicates that the test case has failed due to timout
        setverdict(fail);
    }
}
```

A.3 Videoconferencing Example

This example is inspired from the case study about a Videoconferencing System (VS) that is reported in [1] with the aim of demonstrating the application of UTPV.2. This example illustrates some of the major concepts of UTP 2 on the software of the VS such as <u>test item</u>, <u>test item configuration</u>, and <u>test component configuration</u> on the three key features of the VS. One focuses on the establishing the videoconference, the second one related to sending presentations in addition to the videoconference, and third one focuses on modeling behavior of VS in the presence of packet loss.

The rest of this section is organized as follows. Section Given Requirements on the Test Item lists the key requirements that are focused for modelling in this section, Section Modeling the Structure of the System demonstrates how this specification models structure of the VS using the UML class diagrams with UTP, Section Modeling the Behavior of the System demonstrates how this specification modeled the three key requirements as UML State Machines and UTP, Section The TRUST Test Generator shows our test generator that generates executable test cases from UML Class Diagrams and UML State Machines, and Section Mapping to Code shows an example of mapping from the models to code.

A.3.1 Given Requirements on the Test Item

In this section, this specification will demonstrate modelling the four key functionalities of a VS that must be tested. These functionalities are listed in the table below:

Table A.9.3 -	Videoconferencing	Requirements
----------------------	-------------------	--------------

Id	Type	Description
R-0001	functional	A VS should be able to connect to maximum n number of VSs at the same time.
R-0002	functional	A VS should be able to start presentation even it is not in the videoconference. In this case, the presentation will be only shown to the VS itself.
R-0003	functional	A VS should be able to start presentation when it is in a videoconference. In this case, the presentation will be transmitted to all the connected VSs (referred as end points).
R-0004	non-functional	A VS should be able to handle packet loss. If the VS cannot handle packet loss of greater than x% for t minutes, it disconnects the current active call.

A.3.2 Modeling the Structure of the System

In this section, this specification models the structure of VS that is modeled as a UML class diagram. A VS can establish calls with 1 to * number of endpoints, i.e., other VSs. The VS is stereotyped as «TestItem» and «TestDesignInput» to label the system being tested, whereas other endpoints (i.e., Endpoint) is stereotyped as «TestComponent». The VS has five attributes, NumberOfParticipants, MaximumParticipants, Presentation, H323, and packetLoss representing the current number of endpoints in a videoconference, maximum number of calls supported by the VS, if the VS is in presentation or not, if H323 mode is on or not, and percentage of packet loss it is facing. The packetLoss attribute is of type NFP_Percentage from the MARTE profile. The VS class has five operations to support dialing to an endpoint (connectCall()), disconnecting a participant from a videoconference (disconnectCall()), starting presentation (presentationOn()), stopping presentation (presentationOff()), and disconnecting all the participants in a call (disconnectAll()). In addition, this specification defines a constraint in OCL on VS to model configuration for testing:

```
context VS inv:
self.H323
```

This constraint demonstrates that the VS must be configured to support a videoconference with h323 conferencing protocol. The constraint is stereotyped as «<u>TestItemConfiguration</u>» to signify that the constraint is a configuration for VS and is handled accordingly by test generator. In addition, «<u>TestItem</u>» has an attribute <u>configuration</u> {subsets

<u>roleConfiguration</u>}, which is linked to this OCL constraint with <u>«TestItemConfiguration</u>» (not shown in the figure). A similar constraint for Endpoint is also specified in the figure below and is stereotyped as <u>«TestComponentConfiguration</u>».

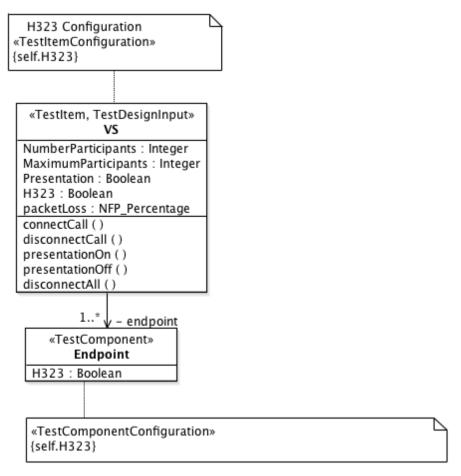


Figure A.18 - UML Class Diagram

A.3.3 Modeling the Behavior of the System

The figure below shows the behavior of the VS modeled as a UML state machine stereotyped as «<u>TestDesignInput</u>» to instruct test generator that the state machine should be used for generation of test cases. In our context it is important to stereotype a state machine that must be used for generation of test cases since not all the state machines are used for generation of test cases. The state machine has three regions: 1) The first region models first requirement for testing, i.e., establishing videoconference, 2) The second region models the second two requirements related to presenting while in a videoconference and presenting without a conference, and 3) The third region models the fourth requirement.

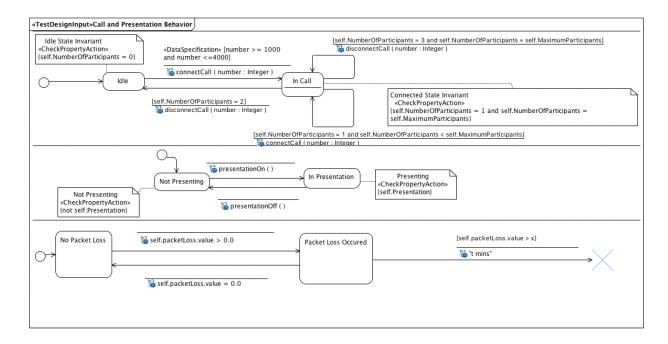


Figure A.19 - UML State Machine Diagram

In the first region, this specification models the behavior of a VS related to establishing a videoconference. The first region has two states, i.e., Idle and In Call demonstrating that the VS is Idle state and the VS is in a videoconference respectively. Each state has a state invariant defined as an OCL constraint based on the attributes defined in the VS class diagram. For example, the Idle state has the following state invariant specified as an OCL constraint:

```
context VS inv:
self.NumberOfPartcipants = 0
```

The state invariant is stereotyped as «CheckPropertyAction» to instruct the test generator to use the constraint to generate code that compares the actual state of VS at the runtime (e.g., value of NumberOfParticipants in this example) with the one specified as CheckPropertyAction. If the state matches then it means everything is fine, however, if the state doesn't match it means there is a fault. The attributes of «CheckPropertyAction» are shown below in the figure. For example, the checkedProperty attribute is linked to the NumberOfParticipants in the VS class (only shown as Entries:1). The value of expected is set to true meaning that the expected evaluation value of this constraint is true.



Figure A.20 - Attribute values of «CheckPropertyAction»

Transitions in the state machine are modeled with Call Events corresponding to the operations defined in the VS class. For example, from the Idle state, the transition with connectCall() trigger will lead to InCall if the call is established successfully. In addition, some of the transitions have guard conditions with the stereotype «DataSpecification». Recall that DataSpecification is "A named boolean expression composed of a data type and a set of constraints applicable to some data in order to determine whether or not its data items are conformant to this data specification" as defined in the conceptual model. A DataSpecification (e.g., guard condition in this example) signifies that the transition from the Idle state to the In Call state with a guard condition number>=100 and number <=4000, (i.e., an OCL constraint) can only be triggered by calling the connectCall(number:Integer) Call Event with

a number between the range of values specified by the guard condition. In our context, this guard condition, i.e., an OCL constraint is used by the test generator to generate valid values within the range to trigger a transition, for example, the connectCall() operation in this case.

The second region of the state machine models the behavior of VS related to starting the presentation in parallel to the videoconference. The region has two states (i.e., Not Presenting and In Presentation) showing the states that the VS is not presenting and presenting respectively. As with the first region, each state has a state invariant modeled as an OCL constraint. Similarly, the third region models the behavior of VS in presence of packet loss.

A.3.4 The TRUST Test Generator

The figure below shows a very high level architecture of test case generator. The full details of the test generator can be found in [3]. At a high level, the test generator called as TRUST takes UML State Machines and UML Class Diagrams with stereotypes from UTP as input and generates executable test cases based on various coverage criteria such as All State coverage and All Transition coverage (e.g., ts:TestStrategy with «StateTransitionTechnique») [3]. According to [ISTQB] StateTransitionTechnique is "A black box test design technique in which Test Cases are designed to execute valid and invalid state transitions". In addition, TRUST has a built in algorithm that flattens the state machines with hierarchy and concurrency before generating test cases. The details of the algorithm can also be found in [3]. TRUST also invokes a test data generation tool called EsOCL that takes input an OCL constraint (specified in class diagrams and state machines) and provides a set of data that satisfy the constraint based on a test data generation strategy (e.g., td:TestDataGenerationStrategy with the «BoundaryValueAnalysis» stereotype). According to [ISTQB], BoundaryValueAnalysis is "A black box test design technique in which Test Cases are designed based on boundary values". The details of EsOCL can be found in [4].

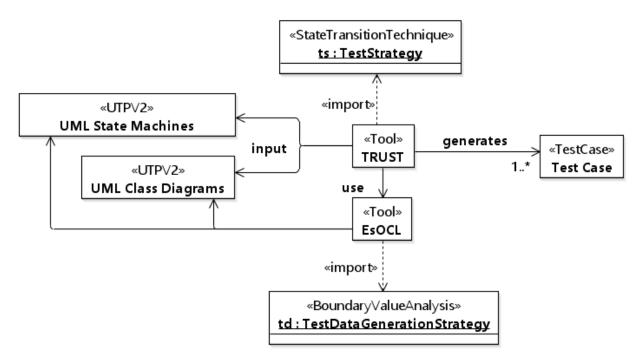


Figure A.21 - Test Generator

The figure below shows a high level architecture of our Test Driver. The test driver takes input a test case and executes it on the VS that communicates with the n number of endpoints. The test driver also sends commands to configure endpoints based on test configurations specified in the test case. In our current example, the test driver executes only test cases on one VS; however, in reality it can execute test cases on multiple VSs in a videoconference. During the execution, test driver invokes an OCL Evaluator called DresdenOCL (www.dresdenocl.org/) to evaluate OCL constraints that were stereotyped as «CheckPropertyAction» against the actual state of the VS that eventually determines the success or failure of the execution of test cases.

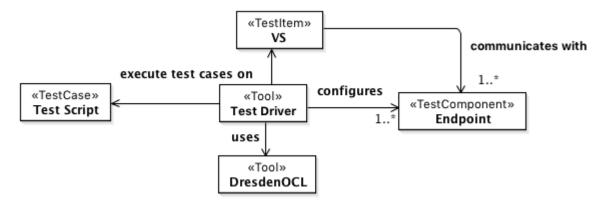


Figure A.22 - Test Driver

A.3.5 Mapping to Code

Below, this specification shows a sample code corresponding to <u>test item configuration</u> and <u>test component</u> <u>configuration</u>. Line 1 and Line 2 reserves VS (A) and Endpoint (B) for the execution of <u>test case</u>s, whereas Line 3 enables H323 mode on <u>test item</u> based on the constraints with stereotype in «<u>TestItemConfiguration</u>».

Line 1: self.A=test.api.initialize('a') Line 2: self.B=test.api.initialize('b')

Line 3: self.A.H323 = true

Below, this specification shows the code corresponding to the start and stop presentation behavior and also the code that checks state of the VS. Line 1 executes presentation start command on the VS and Line 2 checks whether the VS is in correct state by checking the value for the Presentation attribute of the VS, which should be equal to true.

Line 1: Execute.Command("Command.Presentation.Start()", self.A)

Line 2: self.assertFalse(self.A.Presentation == true)

A.3.6 References

[1] Ali, Shaukat, Lionel Claude Briand, and Hadi Hemmati. "Modeling Robustness Behavior Using Aspect-Oriented Modeling to Support Robustness Testing of Industrial Systems." Software and Systems Modeling 11 (2012): 633-670.

[2] Ali, Shaukat, Lionel Claude Briand, Andrea Arcuri, and Suneth Walawege. An Industrial Application of Robustness Testing Using Aspect-Oriented Modeling, UML/MARTE, and Search Algorithms In ACM/IEEE 14th International Conference on Model Driven Engineering Languages and Systems (Models 2011), Edited by Jon Whittle, Tony Clark and Thomas Kühne. .: ACM/IEEE, 2011.

[3] Ali, Shaukat, Hadi Hemmati, Nina Elisabeth Holt, Erik Arisholm, and Lionel Briand. Model Transformations As a Strategy to Automate Model-Based Testing - a Tool and Industrial Case Studies. Simula Research Laboratory, 2010.

[4] Ali, Shaukat, Muhammad Zohaib Iqbal, Andrea Arcuri, and Lionel Claude Briand. "Generating Test Data From OCL Constraints With Search Techniques." IEEE Transactions on Software Engineering 39 (2013).

A.4 Subsea Production System Example

A.4.1 Description of Case Study

A subsea production system is a cyber-physical system that produces oil and gas from subsea. Typically such subsea production systems are highly configurable in the sense that their hardware topologies and software parameters can be configured based on requirements customer such as the size of a subsea field and its natural environment (e.g., depth of sea). A subsea production system is composed of two sets of systems: topside and subsea systems. Umbilical connections (e.g., cables or hoses which supply air, power, electrical power, fiber optics to subsea equipment) are established to connect topside and subsea. Commands (e.g., opening valves) are sent by operators via topside systems to subsea systems, which control different kinds of subsea actuators (e.g., choke and valve) and monitor various sensors (e.g., pressure and temperature).

Please note that the case study is designed to demonstrate that the UTP 2 stereotypes can be used for developing domain specific language based MBT methodologies such as RTCM [3].

A.4.2 Functionality to Test

To demonstrate the application of UTP 2 to this case study, this specification specifies one of the key functionalities of Subsea Electronic Module (SEM), which has configurable software deployed to control subsea instruments. This functionality OpenValve is specified using the Restricted Use Case Modeling methodology (RUCM) [1][2] and the RUCM Editor, as shown in the figure below. Notice that the use case model (i.e., UCModel) is indicated as a TestRequirement using <<TestRequirement>>, which is a UTP 2 stereotype.

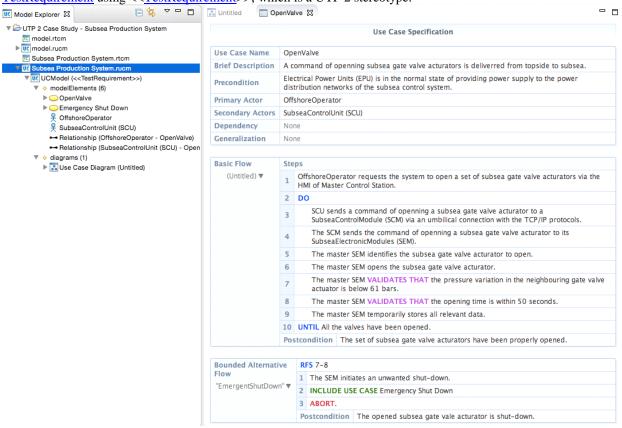


Figure A.23 - Use Case OpenValve (Specified in RUCM)

A.4.3 Test Design Inputs

To test the *OpenValve* functionality presented in the figure above, this specification defines four test design inputs, as shown in the figure below. Notice that this specification aims to test the functionality of *OpenValve* of SEM using a simulator that is particularly designed for testing SEM.

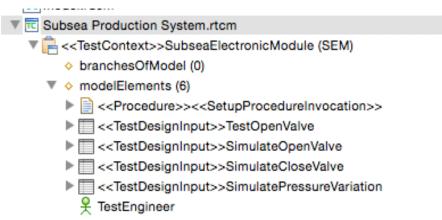


Figure A.24 - The Four TestDesignInput and one procedure

The test objective of the test context SubseaElectronicModule (SEM) is defined as the description of the test context: "<< TestObjective>> The goal of these tests is for system testing of the functionalities of << TestItem>> SEM."

In the figure below, this specification presents the test design input of *TestOpenValve*, which is specified/modeled using the Restricted Test Case Specification methodology (RTCM) [3]. Notice that the test case specification is annotated with UTP 2 stereotypes using stereotype notations. For example, steps 3, 4 and 10 of the basic flow (i.e., <<<u>Sequence</u>>>Pass) are annotated as <<<u>ExpectResponseAction</u>>>. Step 1 is annotated with <<<u>CreateStimulusAction</u>>> and steps 2, 6, 8 and 9 are annotated with <<<u>ProcedureInvocation</u>>> as these four steps invoke other test case specifications with keywords INCLUDE TC SPEC. Steps with keyword VERIFIES THAT are annotated with either <<<u>ExpectResponseAction</u>>> or <<<u>CheckPropertyAction</u>>>. TestSetup is annotated with <<<u>TestConfiguration</u>>> and can be reused across test case specifications.

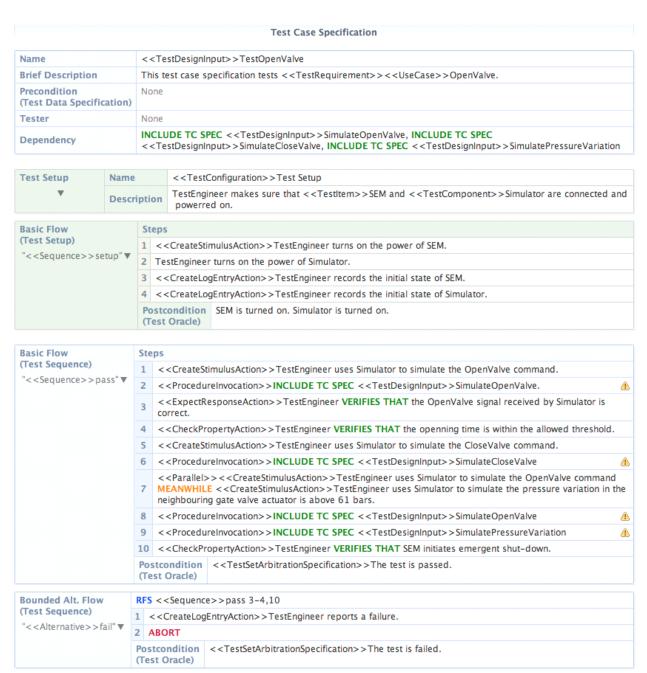


Figure A.25 - test design input TestOpenValve

A.4.4 Generation of Test Sets and Abstract Test Cases

By taking the test design inputs as the input, the test generator of RTCM [3] automatically generates abstract test cases, as shown in the figure below. Based on different coverage criteria, from the <u>test design input</u> of *TestOpenValve*, the generator can generate three test sets, which contain various numbers of abstract <u>test case</u>s.

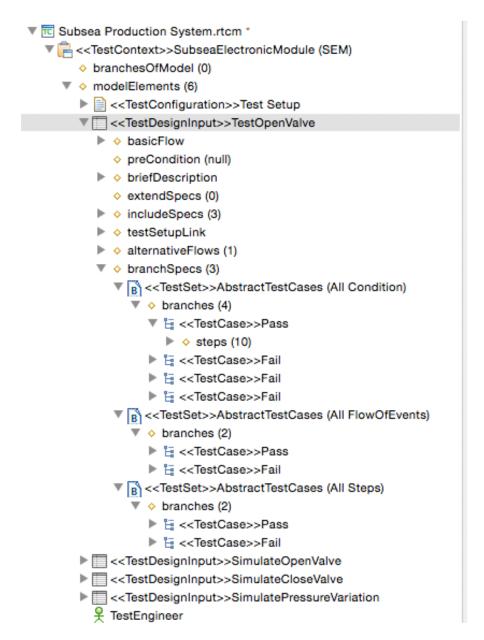


Figure A.26 - Generated test sets

The automated generation is possible due to the fact that use case specifications in RUCM and test case specifications in RTCM can all be formalized as instances of the UCMeta [2] and TCMeta [3][4] metamodels respectively. Paths can then be automatically generated from formalized specifications/paths by following various coverage strategies (e.g., *All Sentence Coverage* and *All FlowOfEvents Coverage*).

One example of the abstract <u>test case</u>s generated from the <u>test design input</u> of *TestOpenValve* is provided in the figure below for reference. The step marked with the red color means the step failed. The step marked with the Green color means the step passes.

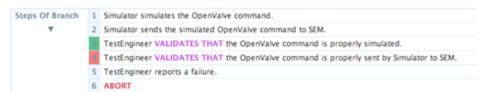


Figure A.27 - An Example of a generated abstract test case XE "abstract test case"

A.4.5 References

- [1] Tao Yue, Lionel Briand, and Yvan Labiche, "Facilitating the Transition from Use Case Models to Analysis Models: Approach and Experiments", in Transactions on Software Engineering and Methodology (TOSEM), Volume 22, Issue 1, 2013.
- [2] Tao Yue, Lionel Briand, and Yvan Labiche. "Toucan: an Automated Framework to Derive UML Analysis Models From Use Case Models.", in ACM Transactions on Software Engineering and Methodology (TOSEM) 24, no. 3 (2015).
- [3] Tao Yue, Shaukat Ali, and Man Zhang. Applying A Restricted Natural Language Based Test Case Generation Approach in An Industrial Context, in International Symposium on Software Testing and Analysis (ISSTA)., 2015. [4] Man Zhang, Tao Yue, Shaukat Ali, Huihui Zhang and Ji Wu. "A Systematic Approach to Automatically Derive Test Cases From Use Cases Specified in Restricted Natural Lan-guages", 8th System Analysis and Modelling Conference (SAM), 2014

A.5 ATM Example

A.5.1 General

This annex contains the Banking example introduced in the earlier version of UTP [UTP1.2]. The following model has been updated for the current UTP standard. It shows how to utilize UTP, version 2, to specify test models for unit level tests, component level tests and system tests.

The given example is motivated by an interbank exchange scenario in which a customer with an EU Bank account wishes to deposit money into that account from an Automated Teller Machine (ATM) in the United States. The figure below provides an overview of the architecture of the system. The ATM used by this customer interconnects to the EU Bank, through the SWIFT Network¹, which plays the role of a gateway between the logical networks of the US Bank and the EU Bank.

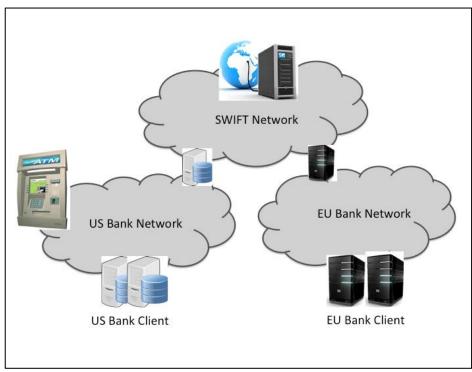


Figure A.28 - Overview on the InterBank Exchange Network (IBEN)

The figure below shows the UML system model² of the InterBank Exchange Network. In the model, five UML packages called *ATM*, *Bank*, *SWIFTNetwork*, *HWControl* and *Money* are provided. The dashed arrows between the packages show their import dependencies.

The following sub-sections demonstrate the use of UTP 2 for:

- unit test modeling on Money classes (Subsection 2),
- integration test modeling of the components ATM, HWControl and Bank (Subsection 3), and
- system test modeling of IBEN system (Subsection 4).

¹ SWIFT = Society for Worldwide Interbank Financial Telecommunication

² The diagrams of this example are modelled in Papyrus.

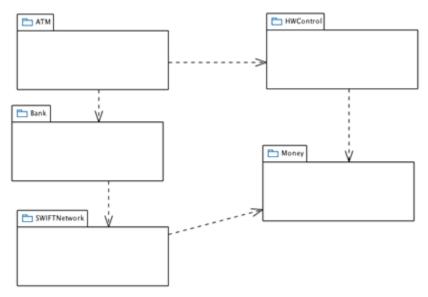


Figure A.29 - Packages of the InterBank Exchange Network (IBEN) System Model

A.5.2 Unit Test Example

This sub-section illustrates the use of UTP version 2 in order to define unit <u>test level test case</u>s. It reuses and extends the *Money* and *MoneyBag* classes provided as examples of the well-known JUnit test framework ([JUnit_web], [JUnit_Example]).

Before starting modeling tests, the <u>test item</u> is first explained. The figure below shows the package *Money* (blue color) which will be tested.

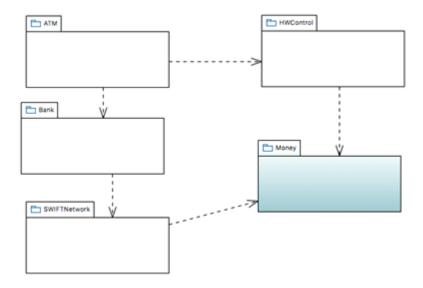


Figure A.30 - Package Money with Test Items for Unit Test of IBEN

The figure below shows the classes defined in the package *Money*³. It shows an interface class called *IMoney*, which is realized by the class *Money*, and class *MoneyBag*.

³ Even though the naming of the package Money and of the class Money may lead to misunderstanding, the definition of the example provided by www.junit.org. is still used

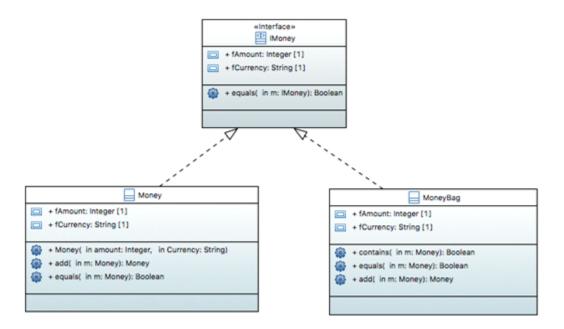


Figure A.31 - Classes in Package Money in IBEN Modell

The ATM uses these classes in order to count the bills entered by a user when making a deposit in cash. Two <u>test</u> requirements are defined:

- Verify that the Money class is appropriately counting the bills added by the user, when bills from the <u>same</u> currency are entered;
- Verify that the Money and MoneyBag classes are appropriately recognizing the bills added by the user when bills from <u>different</u> currencies are entered.

The figure below shows the <u>test configuration</u> between the <u>test component</u> named *unitTestComponent* and the <u>test items</u> called *myMoney1* and *myMoney2* of class *Money* and *myMoneyBag* of class *MoneyBag*. The <u>test configuration</u> is modeled as UML Collaboration in order to be able to apply as CollaborationUse to the <u>test case</u>s.

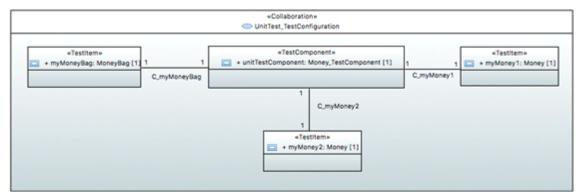


Figure A.32 - Unit Test Configuration

The figure below shows the application of the unit <u>test configuration</u> to the <u>test case</u> <u>addSameMoney_TC</u>. By using the UML CollaborationUse the binding between the <u>test configuration</u> and the <u>test case</u> is guaranteed.

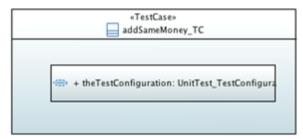


Figure A.33 - Use of Test Configuration for Test Case AddSameMoney_TC

The figure below shows the <u>test context</u> of the unit test *UnitTest_Banking_Example*. Class *Money* is the item to be tested. It is defined in package *Money* which is imported from the system model. The package must be imported in order to get access during test execution. The <u>test requirements</u> approveAddSameMoney and approveAddDifferentMoney should approve that the addition of two money objects returns an object of class *Money* with the correct amount and currency. In the former requirement, money of the same currency will be added. In the latter, money of different currencies are to be added. The <u>test cases</u> called addSameMoney and addDifferentMoney verify the test <u>test requirements</u>.

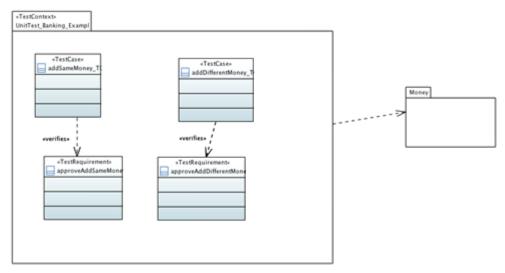


Figure A.34 - Test Context for the Unit Test

The figure below specifies the behavior of the <u>test case</u> called <u>addSameMoney</u> verifying the <u>test requirement</u> <u>approveAddSameMoney</u>. In this test scenario, two objects of class <u>Money</u> are created, namely <u>myMoney1</u> with 20 USD and <u>myMoney2</u> with 50 USD. Afterward, <u>myMoney2</u> is added to <u>myMoney1</u>. The result is sent back to the <u>test component</u> for approval.

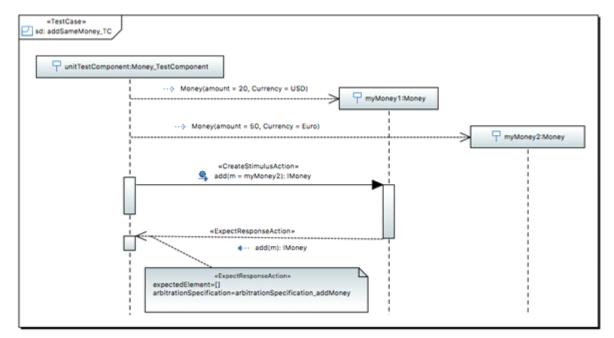


Figure A.35 - Test case addSameMoney_TC

The correctness of the response is checked in either the default <u>arbitration specification</u>⁴, or as in this case, by the user-defined <u>arbitration specification</u> called *arbitrationSpecification_addMoney*. Finally, the figure shows that in case the result of add() is 70 USD, the <u>arbitration specification</u> sets the test <u>verdict</u> to <u>Pass</u>, otherwise to <u>Fail</u>.

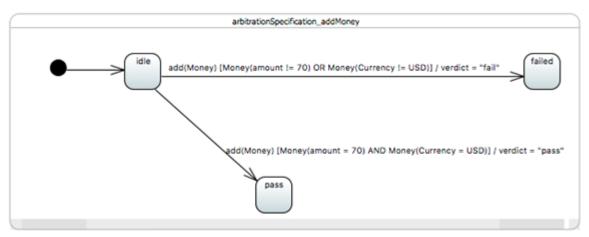


Figure A.36 - User-Defined Arbitration Specification

The second <u>test requirement</u> <u>approveAddDifferentMoney</u> is verified by <u>test case</u> <u>addDifferentMoney</u> (see figure below). For this <u>test case</u>, a third <u>test item</u> of class <u>MoneyBag</u> is needed in order to be able to distinguish money of different currencies. This <u>test case</u> uses the default <u>arbitration specification</u>s that should be provided by the tool vendor.

⁴ The default arbitration is provided by the tool vendor.

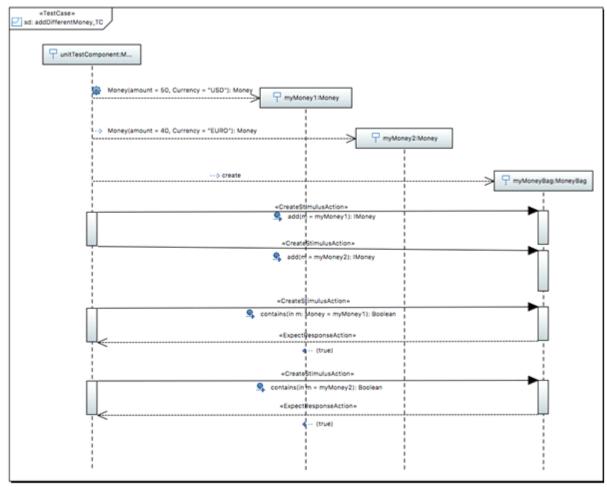


Figure A.37 - Test Case AddDifferentMoney

A.5.3 Integration Testing Example

This section illustrates how UTP 2 can be used for specifying tests at integration <u>test level</u>. The main focus of integration testing is the communication of the <u>test item</u> and its <u>test components</u>.

The <u>test requirements</u> are to verify the logic of the ATM machine when a user initiates a money deposit transaction to an account in another part of the world. Thus, the <u>test requirements</u> include:

- The hardware terminal (*HWControl*) provides user's card and user's pin-code. The ATM shall authorize this card and its pin-code.
- After a successful authorization of user's data, money shall be deposited into the bank. The ATM shall assure a correct transaction communication with the *Bank*.

Since the logic of ATM itself is being tested, the rest of the IBEN (i.e. *HWControl*, *Bank*, and *SWIFTNetwork*) shall be emulated. The figure below shows the <u>test item</u>s of blue color.

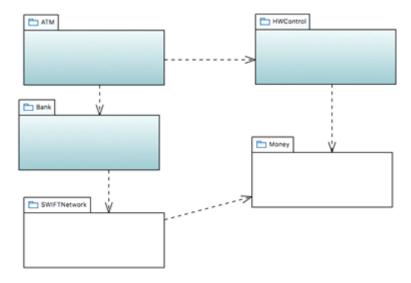


Figure A.38 - Test Items for Integration Test of IBEN

The logic of the *ATM* is specified in the figure below. It imports both the *HWControl* and the *Bank* packages where only the interfaces to the hardware and the bank are needed. Component *ATM* controls the logic of ATM and is the test item for our integration test. It provides the *IATM* interface for the control logic and communicates with the hardware and the bank via interface. Since the hardware and the bank are emulated in the test, only the interface classes of the *HWControl* and *Bank* packages are needed (see the following three figures).

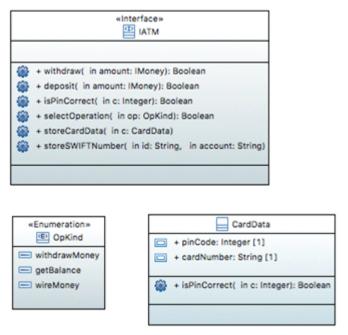


Figure A.39 - Classes and Interface in Package ATM

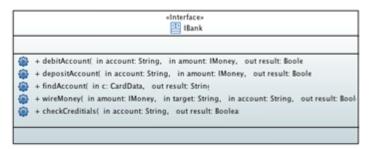


Figure A.40 - Interface Class in Package Bank

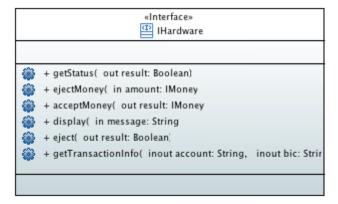


Figure A.41 - Interface Class in Package HWControl

The figure below shows the <u>test configuration</u> of the test. It specifies the relationship between the <u>test item</u>, the emulated <u>test components</u> for the hardware and bank (*hw* and *be*), and a card data management component (*card*).

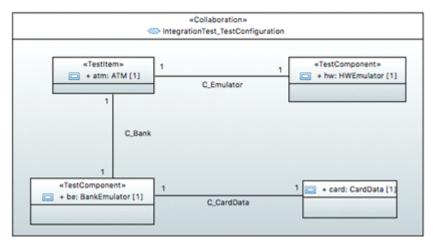


Figure A.42 - Integration Test Configuration

The figure below shows the binding of the <u>test configuration</u> to <u>test case</u> *invalidPIN_TCI*.

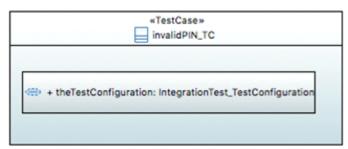


Figure A.43 - Binding of Test Configuration to Test Case invalidPIN_TC

The ATM integration test package (see figure below) shows the model elements necessary to specify integration tests. It imports the ATM package of the system model in order to get access to the elements to be tested. The package contains two <u>test components</u>: *BankEmulator* and *HWEmulator* and three testcases: *validWiring*, *invalidPIN*, and *authorizeCard*. The <u>test components</u> *BankEmulator* and *HWEmulator* realize the interfaces of the *HWControl* and *Bank* packages and serve as emulators in order to communicate with the *ATM*.

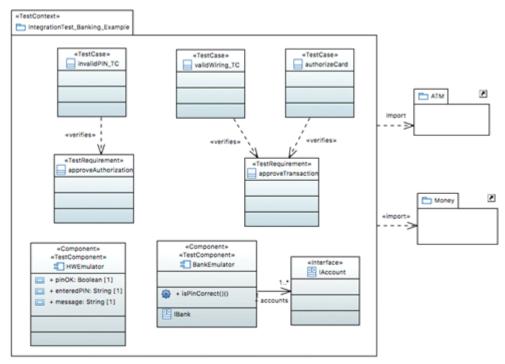


Figure A.44 - Test Context for Integration Test

The following section only concentrates on the modeling of the <u>test case</u> *invalidPIN*, which approves the requirement of a correct authorization mentioned on earlier. The objective of this test is:

• Verify that if a valid card is inserted, and an invalid pin-code is entered, the user is prompted to re-enter the pin-code.

Behaviors of a <u>test case</u> can be specified using any UML behavior Diagrams (e.g. Interaction Diagram, State Machine, Sequence Diagram etc.). In this case, UML Sequence Diagram has been chosen (see figure below).

The signals between the <u>test components</u> are all stereotyped by UTP 2 actions (e.g. <<CreateStimulus-Action>>). By doing so, the default <u>arbitration specifications</u> are activated and it is assured that unexpected behavior is caught within the <u>arbitration specifications</u>. In parallel, the setting of <u>test case verdicts</u> is also done in the <u>arbitration specifications</u>. The response time of *isPinCorrect* should last no more than 3 seconds, otherwise the <u>arbitration</u>

specification <<ExpectResponseAction>> will be carried out.

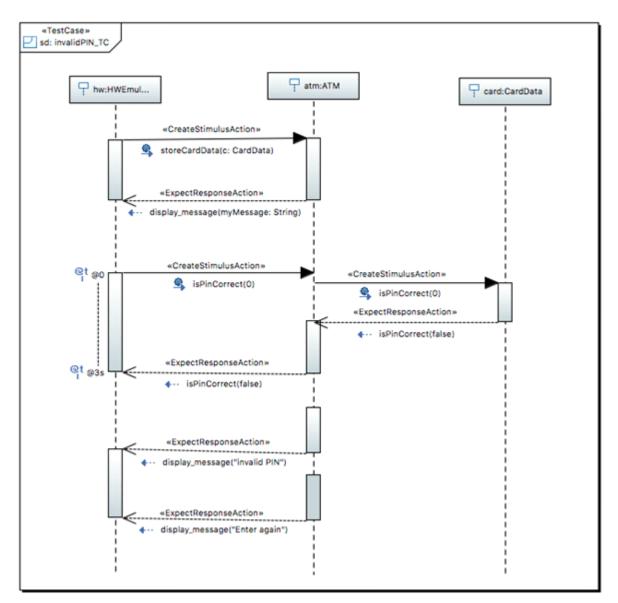


Figure A.45 - Test Case invalidPIN_TC

In many cases, there's a need to specify the detailed behavior of individual <u>test components</u> (e.g., for test generation purposes). Therefore, state machines provide good means. The figure below shows an excerpt of test behavior for the *HWEmulator* <u>test component</u> which corresponds to <u>test case</u> <u>invalidPIN_TC</u>. The validation action <<ExpectResponseAction>> evaluates the test result and sets the <u>test case verdict</u>.

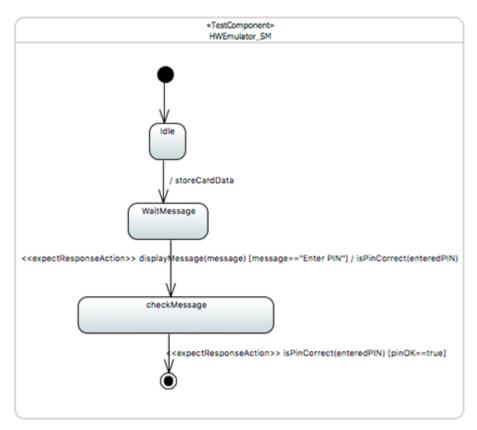


Figure A.46 - Statemachine for the Hardware Emulator

A.5.4 System Test Example

This chapter shows the UTP2 model for system level tests. The test model shows an interbank exchange scenario where a customer with an EU bank account deposits money into his/her account from an ATM in the United States.

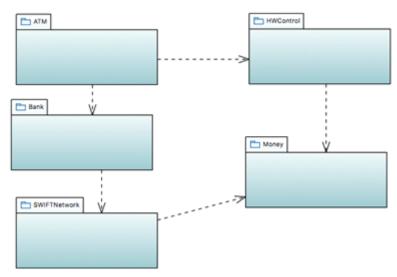


Figure A.47 - Packages with Test Items for System Test of IBEN

In order to perform the system testing of IBEN, all the five packages in the system model are needed. The packages

ATM, Money, and HWControl are known from the previous examples. The figure below illustrates the contents of the Bank package. The IBank interface provides methods to find, credit, and debit accounts. It checks credentials and wires money from one account to another. The IAccount interface also provides operations to credit and debit accounts, in addition to checking the balance of an account.

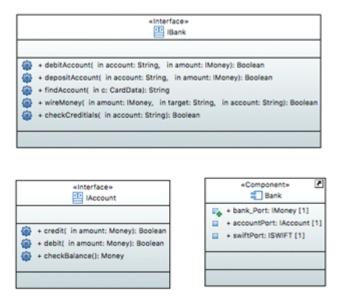


Figure A.48 - Classes and Components in Bank Package

The figure below shows the content of the *SWIFTNetwork* package. The *ISWIFT* interface provides an operation to transfer a given amount from a source account to a target account. Since system testing is a black-box test strategy, only the communication between the interfaces is of interest.



Figure A.49 - Classes and Components in the SWIFTNetwork Package

For the system testing, the following test requirements are defined:

- 1. EU and US initiated transactions must behave correctly.
- 2. Money can be transferred rom an US account to an EU account, and vice-versa.
- 3. An invalid transfer should be identified and canceled.
- 4. The system should handle up to 1000000 transactions in parallel without system failure.

The figure below shows the system <u>test context</u>. The <u>test items</u> are the SWIFTNetwork, the US and EU Banks, and the ATM systems. Three <u>test cases</u> called *runUSTrxn*, *runEUTrxn* and *loadTest* are specified in this <u>test context</u>. The <u>test cases</u> *runUSTrxn* or *runEUTrxn* approve that a transaction that is initiated from the US ATM will be transferred

to the EU Bank, or vice versa. The <u>test case</u> *loadTest* verifies a non-functional <u>test requirement</u>. It shall approve that IBEN behaves correctly even by high transaction requests. Two additional <u>test components</u> called *TransactionController* and *LoadManager* provide the capability to execute and verify that the money is transferred correctly.

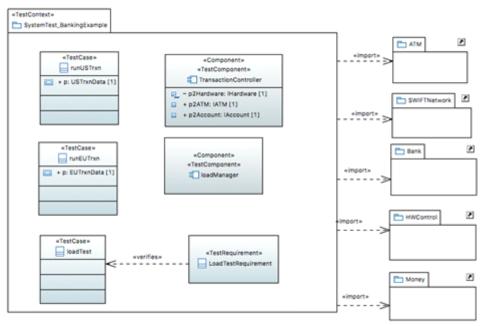


Figure A.50 - System Test Context

The <u>test configuration</u> is illustrated in the figure below. The *TransactionController* drives both ATMs on the European and US sides and is used to represent the accounts for both the US and EU banks. The *LoadManager* provides and controls the workload of the load test. It has access to the test data in the *SystemTestDataPool*.

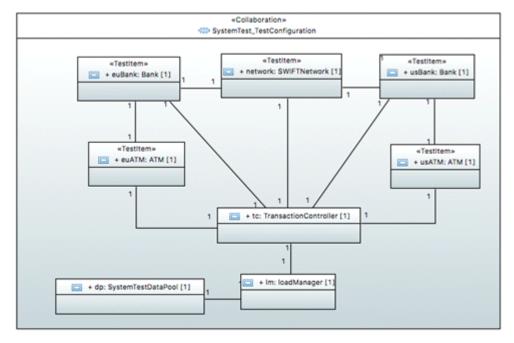


Figure A.51 - System Test Configuration

The figure below shows data used for the system test. TrxnData defines the transaction data.

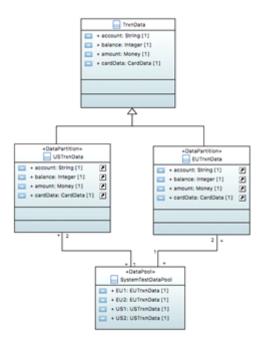


Figure A.52 - Test Data and its Variations

The <u>data pool</u> SystemTestDataPool contains instances of TrxnData called EU1, EU2, US1 and US2 (see figure below. Two <u>data partitions</u> are defined in order to distinguish the EU transactions from the US transactions. These <u>data partitions</u> are chosen from the <u>data pool</u> and have two data samples each. Data instance EU1 is shown in the diagram explicitly by all its attribute values⁵. Another data instance called Fred defines a modification of EU1, where 500 override the balance of 10000.

⁵ This diagram only shows the data values of EU1. Those of EU2, US1 and US2 are equivalently defined.

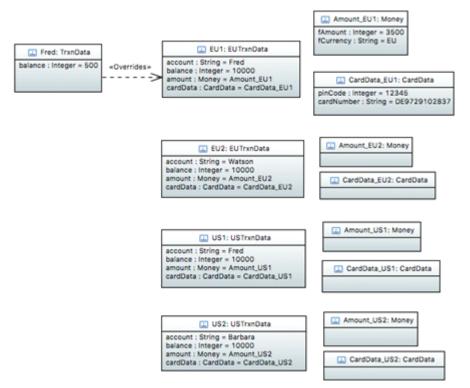


Figure A.53 - Data Instances and its Modification

The figure below illustrates the behavior of <u>test case</u> *loadTest* which shall verify the <u>test requirement</u> 4 listed above. This <u>test case</u> shall approve that minimum 100 and maximum 1000000 transactions can be successfully handled in parallel. The *LoadArbitrationSpecification* will assure that whenever a transaction fails, the whole test will fail.

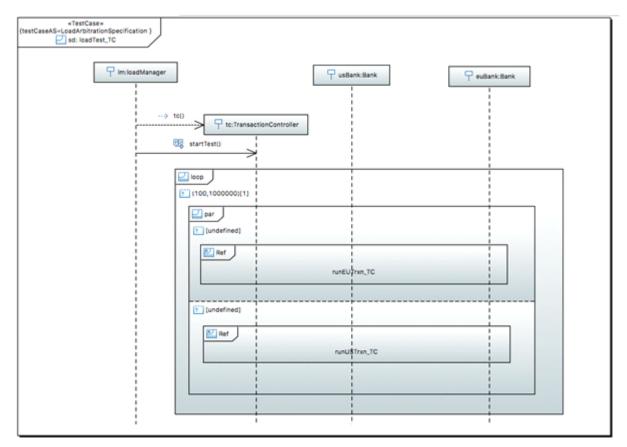


Figure A.54 - Test Case loadTest

A.5.5 References

 $[UTP1.2]\ Object\ Management\ Group:\ "UML\ Testing\ Profile,\ version\ 1.2",\ OMG\ Document\ Number:\ formal/2013-04-03$

 $[JUnit_Example] \ http://junit.sourceforge.net/doc/cookbook/cookbook.htm \\ [JUnit_web] \ www.junit.org$

Annex B (Informative): Mappings

B.1 Mapping between UTP 1 and UTP 2

The following table summarizes the changes on stereotypes of UTP 2 compared with UTP 1.2:

The following table summarizes the changes on stereotypes of UTP 2 compared with UTP 1.2:			
Name	Change from UTP 1.2		
<u>ActualParameterValue</u>	«ActualParameterValue» was newly introduced by UTP 2.1.		
<u>ActualResponseLogEntry</u>	«ActualResponseLogEntry» was newly introduced by UTP 2.1.		
Alternative	« <u>Alternative</u> » has been newly introduced by UTP 2.		
Alternative Arbitration Specifica	Newly introduced by UTP 2.		
tion			
AnyValue	Changed and renamed from UTP 1.2. In UTP 1.2, «AnyValue» was called «LiteralAny» and extended LiteralSpecification.		
UMLTP22-10: Introducing an	arbitration specification binding mechanism		
<u>ArbitrationDirective</u>	«ArbitrationDirective» was newly introduced by UTP 2.2.		
ArbitrationResult	« <u>ArbitrationResult</u> » has been newly introduced by UTP 2.		
ArbitrationSpecification	«ArbitrationSpecification» has been newly introduced into UTP 2.		
	arbitration specification binding mechanism		
ArbitrationSpecificationBindin	«ArbitrationSpecificationBinding» was newly introduced by UTP 2.2.		
<u>g</u>			
<u>ArbitrationTarget</u>	« <u>ArbitrationTarget</u> » was newly introduced by UTP 2.2.		
<u>AtomicProceduralElement</u>	«AtomicProceduralElement» has been newly introduced by UTP 2.		
<u>AtomicProceduralElementArbit</u>	Newly introduced by UTP 2.		
<u>rationSpecification</u>			
<u>AtomicProceduralElementLogE</u>	«AtomicProceduralElementLogEntry» was newly introduced by UTP 2.1		
<u>ntry</u>			
<u>BoundaryValueAnalysis</u>	«BoundaryValueAnalysis» has been newly introduced by UTP 2.		
<u>CauseEffectAnalysis</u>	«CauseEffectAnalysis» has been newly introduced by UTP 2.		
ChecklistBasedTesting	«ChecklistBasedTesting» has been newly introduced by UTP 2.		
<u>CheckPropertyAction</u>	«CheckPropertyAction» has been newly introduced by UTP 2.		
<u>CheckPropertyArbitrationSpeci</u>	Newly introduced by UTP 2.		
fication			
<u>CheckPropertyLogEntry</u>	«CheckPropertyLogEntry» was newly introduced by UTP 2.1.		
<u>ChoiceOfValues</u>	«ChoiceOfValues» has been newly introduced by UTP 2.		
ClassificationTreeMethod	«ClassificationTreeMethod» has been newly introduced by UTP 2.		
CollectionExpression			
CombinatorialTesting	«CombinatorialTesting» has been newly introduced by UTP 2.		
ComplementedValue	«ComplementedValue» has been newly introduced by UTP 2.		
Complements	«Complements» has been newly introduced by UTP 2.		
CompoundProceduralElement	«CompoundProceduralElement» has been newly introduced by UTP 2.		
CompoundProceduralElementA	Newly introduced by UTP 2.		
rbitrationSpecification			
CreateLogEntryAction	«CreateLogEntryAction» has been newly introduced by UTP 2.		
<u>CreateLogEntryArbitrationSpec</u>	Newly introduced by UTP 2.		
ification			
CreateLogEntryLogEntry	«CreateLogEntryLogEntry» was newly introduced by UTP 2.1.		
CreateStimulusAction	«CreateStimulusAction» has been newly introduced by UTP 2.		
CreateStimulusArbitrationSpeci	Newly introduced by UTP 2.		
	. •		

Name	Change from UTP 1.2	
fication		
<u>CreateStimulusLogEntry</u>	«CreateStimulusLogEntry» was newly introduced by UTP 2.1.	
DataPartition	«DataPartition» has been newly introduced by UTP 2.	
DataPool	Changed from UTP 1.2. In UTP 1.2 « <u>DataPool</u> » extended both Classifier and	
<u>Butter oor</u>	Property.	
<u>DataProvider</u>	«DataProvider» has been newly introduced by UTP 2.	
<u>DataSpecification</u>	«DataSpecification» has been newly introduced by UTP 2.	
<u>DecisionTableTesting</u>	«DecisionTableTesting» has been newly introduced by UTP 2.	
EquivalenceClassPartitioning	«EquivalenceClassPartitioning» has been newly introduced by UTP 2.	
ErrorGuessing	«ErrorGuessing» has been newly introduced by UTP 2.	
<u>ExpectResponseAction</u>	«ExpectResponseAction» has been newly introduced by UTP 2.	
<u>ExpectResponseArbitrationSpe</u>	Newly introduced by UTP 2.	
cification	·	
<u>ExperienceBasedTechnique</u>	«ExperienceBasedTechnique» has been newly introduced by UTP 2.	
ExploratoryTesting	«ExploratoryTesting» has been newly introduced by UTP 2.	
Extends	«Extends» has been newly introduced by UTP 2.	
<u>FormalParameterReference</u>	«FormalParameterReferenece» was newly introduced by UTP 2.1.	
<u>GenericTestDesignDirective</u>	«GenericTestDesignDirective» has been newly introduced by UTP 2.	
<u>GenericTestDesignTechnique</u>	«GenericTestDesignTechnique» has been newly introduced by UTP 2.	
InvocationLogEntry	«InvocationLogEntry» was newly introduced by UTP 2.1	
InvocationLogEntryStructure	«InvocationLogEntryStructure» was newly introduced by UTP 2.1	
Loop	«Loop» has been newly introduced by UTP 2.	
<u>LoopArbitrationSpecification</u>	Newly introduced by UTP 2.	
<u>MatchingCollectionExpression</u>	«CollectionExpression» has been newly introduced by UTP 2.	
<u>MessageEventLogEntry</u>	«MessageEventLogEntry» was newly introduced by UTP 2.1.	
MessageEventLogEntryStructur e	«MessageEventLogEntryStructure» was newly introduced by UTP 2.1.	
Morphing	«Morphing» has been newly introduced by UTP 2.	
Negative	«Negative» has been newly introduced by UTP 2.	
<u>NegativeArbitrationSpecificatio</u>	Newly introduced by UTP 2.	
<u>n</u>		
<u>NSwitchCoverage</u>	«NSwitchCoverage» has been newly introduced by UTP 2.	
<u>OpaqueProceduralElement</u>	«OpaqueProceduralElement» has been newly introduced by UTP 2.	
OpaqueProceduralElementLog Entry	«OpaqueProceduralElementLogEntry» was newly introduced by UTP 2.1.	
<u>overrides</u>	«overrides» was renamed by UTP 2. In UTP 1.2, it was named «modifies».	
PairwiseTesting	«PairwiseTesting» has been newly introduced by UTP 2.	
Parallel Parallel	«Parallel» has been newly introduced by UTP 2.	
Parallel Arbitration Specification	Newly introduced by UTP 2.	
ProceduralElement	«ProceduralElement» has been newly introduced by UTP 2.	
ProceduralElementArbitrationS	Newly introduced by UTP 2.	
pecification		
<u>ProcedureInvocation</u>	«ProcedureInvocation» has been newly introduced by UTP 2.	
<u>ProcedureInvocationArbitration</u>	Newly introduced by UTP 2.	
Specification		
<u>ProcedureInvocationLogEntry</u>	«ProcedureInvocationLogEntry» was newly introduced by UTP 2.1.	
<u>ProcedureInvocationLogEntryS</u>	«ProcedureInvocationLogEntryStructure» was newly introduced by UTP 2.1	
tructure		
RangeValue	«RangeValue» has been newly introduced by UTP 2.	
Refines	«Refines» has been newly introduced by UTP 2.	

Name	Change from UTP 1.2	
RegularExpression	«RegularExpression» has been newly introduced by UTP 2.	
RoleConfiguration	«RoleConfiguration» is newly introduced in UTP 2.	
Sequence	«Sequence» has been newly introduced by UTP 2.	
Sequence Arbitration Specificati	Newly introduced by UTP 2.	
on		
StateCoverage	«StateCoverage» has been newly introduced by UTP 2.	
StateTransitionTechnique	«StateTransitionTechnique» has been newly introduced by UTP 2.	
SuggestVerdictAction	«SuggestVerdictAction» has been newly introduced by UTP 2.	
SuggestVerdictArbitrationSpeci	Newly introduced by UTP 2.	
fication	, ,	
SuggestVerdictLogEntry	«SuggestVerdictLogEntry» was newly introduced by UTP 2.1.	
TestCase	Changed from UTP 1.2. «TestCase» extended Behavior and Operation in UTP	
	1.2.	
<u>TestCaseArbitrationSpecificatio</u>	Newly introduced by UTP 2.	
<u>n</u>		
<u>TestCaseLog</u>	Newly introduced by UTP 2.	
<u>TestComponent</u>	Changed from UTP 1.2. In UTP 1.2., « <u>TestComponent</u> » only extended Class.	
<u>TestComponentConfiguration</u>	« <u>TestComponentConfiguration</u> » has been newly introduced into UTP 2.	
<u>TestConfiguration</u>	« <u>TestConfiguration</u> » has been newly introduced into UTP 2. It was	
	conceptually represented by the composite structure of a «TestContext» in	
	UTP 1.2.	
<u>TestConfigurationRole</u>	« <u>TestConfigurationRole</u> » is newly introduced in UTP 2.	
<u>TestContext</u>	Changed from UTP 1.2. In UTP 1.2 «TestContext» extended	
	StructuredClassifier and BehavioredClassifier as well as incorporated the	
	concepts TestSet, TestExecutionSchedule and TestConfiguration into a single	
	concept.	
<u>TestDesignDirective</u>	«TestDesignDirective» has been newly introduced by UTP 2.	
<u>TestDesignDirectiveStructure</u>	«TestDesignDirectiveStructure» has been newly introduced by UTP 2.	
TestDesignInput	«TestDesignInput» has been newly introduced by UTP 2.	
<u>TestDesignTechnique</u>	«TestDesignTechnique» has been newly introduced by UTP 2.	
<u>TestDesignTechniqueStructure</u>	«TestDesignTechniqueStructure» has been newly introduced by UTP 2.	
<u>TestDirective</u>	«TestDirective» has been newly introduced by UTP 2.1.	
<u>TestDirectiveStructure</u>	«TestDirectiveStructure» has been newly introduced by UTP 2.1.	
<u>TestExecutionSchedule</u>	« <u>TestExecutionSchedule</u> » has been newly introduced by UTP 2. It was	
	conceptually represented as the classifier behavior of a «TestContext» in UTP	
The state of the s	1.2.	
<u>TestItem</u>	« <u>TestItem</u> » has been newly introduced into UTP 2 and supersedes the «SUT»	
TotaltanaConfinentia	stereotype in UTP 1.	
TestItemConfiguration TestItemConfiguration	« <u>TestItemConfiguration</u> » has been newly introduced into UTP 2.	
<u>TestLog</u>	Changed from UTP 1.2. In UTP 1.2 «TestLog» was used to capture the execution of a test case or a test set (called test content in UTP 1.2). In UTP 2,	
	two dedicated concepts have been newly introduced therefore (i.e.,	
	«TestCaseLog» and «TestSetLog»).	
<u>TestLogElement</u>	«TestLogElement» was newly introduced by UTP 2.1.	
TestLogEntry TestLogEntry	Changed from UTP 1.2. In UTP 1.2, «TestLogEntry» extended	
1 CSILOgishity	OccurenceSpecification.	
<u>TestLogStructure</u>	Newly introduced by UTP 2.	
TestLogStructureBinding	Newly introduced by UTP 2. Newly introduced by UTP 2.	
TestObjective	Changed from UTP 1.2. In UTP 1.2, «TestObjective» was called	
<u>restorjective</u>	«TestObjectiveSpecification».	
TestProcedure	«TestProcedure» has been newly introduced by UTP 2.	
TestRequirement TestRequirement	« <u>TestRequirement</u> » has been newly introduced into UTP 2.	
1 Couxequirement	"100th equilibrium nas occu newly introduced into U11 2.	

Name	Change from UTP 1.2	
<u>TestSet</u>	«TestSet» has been newly introduced by UTP 2. It was part of the TestContext	
	in UTP 1.2.	
<u>TestSetArbitrationSpecification</u>	Newly introduced by UTP 2.	
<u>TestSetLog</u>	Newly introduced by UTP 2.	
<u>TestTechnique</u>	«TestTechnique» has been newly introduced by UTP 2.1.	
<u>TestTechniqueStructure</u>	«TestTechniqueStructure» has been newly introduced by UTP 2.1.	
<u>TransitionCoverage</u>	«TransitionCoverage» has been newly introduced by UTP 2.	
<u>TransitionPairCoverage</u>	«TransitionPairCoverage» has been newly introduced by UTP 2.	
<u>UseCaseTesting</u>	«UseCaseTesting» has been newly introduced by UTP 2.	
<u>verifies</u>	«verifies» has been newly introduced into UTP 2. In UTP 1.2 the «verify»	
	stereotype from SysML was recommended.	

The three primitive data types including Timepoint, Duration, and Timezone are also removed from UTP 2.

The following stereotypes are also removed from UTP 2: «GetTimeZoneAction», «SetTimeZoneAction», «DataSelector», «CodingRule», «LiteralAnyOrNull», and «TestLogEntry».

Annex C (Informative): Value Specification Extensions

C.1 Profile Summary

The following table gives a brief summary on the stereotypes introduced by the UML Testing Profile 2 (listed in the second column of the table). The first column specifies the mapping to the conceptual model shown in the previous section and the third column specifies the UML 2.5 metaclasses that are extended by the stereotypes.

Stereotype	UML 2.5 Metaclasses	Concepts
ChoiceOfValues	Expression	<u>data</u>
CollectionExpression	Expression	<u>data</u>
ComplementedValue	ValueSpecification	<u>data</u>
MatchingCollectionExpression	Expression	• <u>data</u>
		• data specification
RangeValue	Expression	data specification

C.2 Non-normative data value extensions

In addition to the normative ValueSpecification <u>extensions</u> of UTP, for sake of simplicity, UTP provides also some more <u>extensions</u> as part of this non-normative annex. These kinds of ValueSpecifications are:

- Complemented: Represents a set of expected <u>response</u> argument values for a known type described by a the complemented set of values described the underlying ValueSpecification and checks if actual <u>response</u> argument value belongs to that set.
- Range Value: Represents a set of ordered expected <u>response</u> argument values for a known type described by its upper and lower boundaries. The Actual <u>response</u> argument value matches with each expected one if the actual one belongs to the set defined by its boundaries.
- <u>ChoiceOfValues</u>: Represents a set of expected <u>response</u> argument values for a known type described by an enumeration of values. The actual <u>response</u> argument value matches with expected one if the actual one belongs to the set defined by the enumeration.
- <u>MatchingCollectionExpression</u>: Represents a set of expected <u>response</u> argument collection values for a known type described by the members of the expected collection and the matching kind operator. The actual <u>response</u> argument collection value match with the expected ones if the actual one belongs to the set of collections values defined by members and the collection matching kind.
- <u>CollectionExpression</u>: Represents a collection value used for defining argument collection values for stimuli or expected <u>response</u> values. If used as expected <u>response</u> argument collection value the actual <u>response</u> argument collection value matches with the expected one if their respective members match with each other. In case ordering is important, the members should also occur in the exact same order.

Implementations of the profile are free to decide how to incorporate and offer the non-normative <u>extension</u>s to the users.

C.1.1 Overview of non-normative ValueSpecification Extensions

The diagram below shows some additional, non-normative <u>extensions</u> to the UML ValueSpecifications metamodel. These UTP ValueSpecification <u>extensions</u> are deemed helpful for testers in order to be express <u>data</u> values used to specify the payload for stimuli and expected <u>responses</u>. It is treated as non-normative <u>extension</u> nonetheless, because all the given <u>extensions</u> could also be expressed by means of the OCL, which is considered as integral part of UML. However, OCL imposes additional knowledge on the test engineers which may result in a reduced acceptance by the industrial testing community. Therefore, this non-normative <u>extension</u> to the UTP provides dedicated concepts as special ValueSpecifications which can be immediately used by the testers without knowing anything about OCL at all. All these extended ValueSpecifications have been taken over from [TTCN-3] where they have been proven beneficial for the design of executable test cases in the industry since many years.

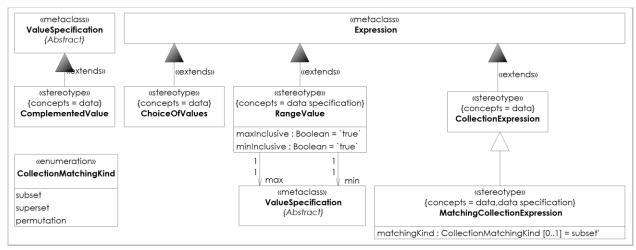


Figure 9.8 - Overview of non-normative ValueSpecification Extensions

C.2.2 Stereotype Specifications

C.2.2.1 ChoiceOfValues

Description	<u>ChoiceOfValues</u> represents an enumeration of possible values defined for the payload of an expected <u>response</u> , out of which at least one entry must match with the payload of the actual <u>response</u> .
	If a choice of possible values is used in a check <u>response data</u> action, then the enumerated values denote several possible check <u>response data</u> actions out of which one possible value must match with the actually received <u>response data</u> .
	The list of possible values is expressed as the list of ValueSpecifications composed by the underlying Expression's operand attribute. As defined above, any available ValueSpecification can be enumerated as choice of possible values.
	As a recommendation, <u>ChoiceOfValues</u> must either be only in check <u>response</u> <u>data</u> actions in <u>test cases</u> or for test generation. It is highly recommended to not use ChoiceofValues as payload for <u>create stimulus action</u> for it may negatively affect the repeatability of <u>test case</u> executions.
Extension	Expression
Change from UTP 1.2	«ChoiceOfValues» has been newly introduced by UTP 2.

C.2.2.2 CollectionExpression

Description	A <u>CollectionExpression</u> enables the modelling of collections based on the
2 csempusm	ValueSpecification metaclass Expression. Using collections values is essential when
	specifying stimuli and expected <u>responses</u> of a <u>test case</u> . By means of the stereotype
	«CollectionExpression» it is possible to describe inline values for a given
	ConnectableElement (i.e., Property or Parameter) and use those collections values as
	payload for a <u>stimulus</u> or an expected <u>response</u> as required. The kind (i.e., order and
	uniqueness) of the <u>CollectionExpression</u> is prescribed by the related
	MultiplicityElement (i.e., Property or Parameter) of this <u>CollectionExpression</u> .
	«CollectionExpression» might be used as payload for both stimulus and expected
	<u>response</u> s. If it represents the payload of an expected <u>response</u> , the payload of the
	actual <u>response</u> s must match with the expected <u>CollectionExpression</u> with respect to
	both, items listed in the collection and their respective index in the actual payload
	collection, if the corresponding ConnectableElement (i.e., Property or Parameter) is
	ordered. Any deviation is supposed to result in a mismatch.
Extension	Expression
Sub Class	<u>MatchingCollectionExpression</u>

C.2.2.3 ComplementedValue

Description	A <u>ComplementedValue</u> specifies a set of values that are not contained in the set	
	specified by the genuine ValueSpecification.	
Extension	ValueSpecification	
Change from UTP 1.2	«ComplementedValue» has been newly introduced by UTP 2.	

C.2.2.4 MatchingCollectionExpression

A MatchingCollectionExpression is a CollectionExpression that enables the tester to define matching criteria when used as the payload of an expected response. Thus, it is not allowed to use a MatchingCollectionExpression as payload for a stimulus, but only as payload for expected responses. The CollectionMatchingKind attribute of the CollectionExpression determines the matching mechanism that must be applied on the actual payload when received in order to calculate a match or mismatch of actual and expected responses. These matching kinds are the following: • subset (default) • superset • permutation If the corresponding MultiplicityElement (i.e., Property or Parameter) has is ordered (i.e., isOrdered = true), the collection items in the payload of the actual response have to occur in the exact same order as the elements in the expected response. Whether nested CollectionExpressions are considered to be flattened for the comparison of expected and actual responses is not defined in UTP 2. Extension Super Class CollectionExpression Must be used as payload for an expected responses A MatchingKind: CollectionMatchingKind [01] = subset' Constraints Must be used as payload for an expected responses Use of permutation matching kind The matchingKind permutation must only be applied if the corresponding	C.2.2.4 MatchingC	follectionExpression	
the comparison of expected and actual responses is not defined in UTP 2. Extension Super Class Attributes Must be used as payload for an expected responses A MatchingCollectionExpression must only specify the payload of an expected response. Use of permutation matching kind	Description	is not allowed to use a MatchingCollectionExpression as payload for a stimulus, but only as payload for expected responses. The CollectionMatchingKind attribute of the CollectionExpression determines the matching mechanism that must be applied on the actual payload when received in order to calculate a match or mismatch of actual and expected responses. These matching kinds are the following: subset (default) superset permutation If the corresponding MultiplicityElement (i.e., Property or Parameter) has is ordered (i.e., isOrdered = true), the collection items in the payload of the actual response have to occur in the exact same order as the elements in the expected	
Super Class CollectionExpression Attributes matchingKind: CollectionMatchingKind [01] = subset' Constraints Must be used as payload for an expected responses A MatchingCollectionExpression must only specify the payload of an expected response. Use of permutation matching kind		<u> </u>	
Attributes matchingKind: CollectionMatchingKind [01] = subset' Constraints Must be used as payload for an expected responses A MatchingCollectionExpression must only specify the payload of an expected response. Use of permutation matching kind			
Constraints Must be used as payload for an expected responses A MatchingCollectionExpression must only specify the payload of an expected response. Use of permutation matching kind			
A MatchingCollectionExpression must only specify the payload of an expected response. Use of permutation matching kind	Attributes	matchingKind: CollectionMatchingKind [01] = subset'	
	Constraints	A <u>MatchingCollectionExpression</u> must only specify the payload of an expected response.	

	ConnectableElement (i.e., Property or Parameter) of the expected response has set <i>isOrdered</i> to <i>false</i> .
Change from UTP 1.2	«CollectionExpression» has been newly introduced by UTP 2.

C.2.2.5 RangeValue

C.2.2.5 Rangevalue		
Description	A Range Value represents a range between two naturally ordered boundaries, the upper and the lower bound. A Range Value can be used as wildcard value (i.e. qualified) instead of a concrete value (i.e. quantified). Conceptually, a range represents an enumeration of the values between the min and max values; however, it does not represent a set or collection of values. In that sense, Range Value is semantically equivalent to a Choice Of Value: Value Specification would explicitly enumerate all value between the min and max boundary. The eventual min value must always be less or equal than the eventual max value. In case that the min and max evaluate to the very same value, the range spans only a single value. If minInclusive is set to true, the lower boundary (represented by the min value) is included in the range, otherwise it is excluded. Default is true (i.e., the min value is included). If maxInclusive is set to true, the upper boundary (represented by the max value) is included in the range, otherwise it is excluded. Default is true, i.e., the max value is included. For example, if the min value evaluates to 10 and minInclusive is set to false, the actual lowerBoundary is 11. If a Range Value is used in combination with an Integer- or Real-typed element, the lower and upper bounds describes the lowest and highest number of that numeric instance. If a Range Value used in combination with a String-typed element (or subclasses thereof), the lower and upper bounds determine the minimal and maximal length of that String's instance. Users are allowed to define other proprietary natural orderings (e.g., complex types and re-use Range Value to denote upper and lower boundaries for these types). The semantics how the ordering is defined; however, is out of scope of the Range Value concept.	
	If applied to an expected <u>response</u> , a <u>RangeValue</u> matches with the actual received value from the <u>test item</u> , and if the actual value is within the boundaries of the	
	expected Range Value.	
Extension	Expression	
Attributes	maxInclusive : Boolean [1] = `true`	
	minInclusive : Boolean [1] = `true`	
Associations	min : ValueSpecification	
	max : ValueSpecification	
Constraints	Operands shall be empty	
	The attribute <i>operand</i> of the underlying Expression must be empty.	
Change from UTP 1.2	«RangeValue» has been newly introduced by UTP 2.	

C.2.3 Enumeration Specifications

Name	Description	Enumeration literals
CollectionMatching Kind	The CollectionMatchingKind lists different possibilities how a collections that specifies an expected response shall be compared with an actual response's collection.	The subsets matching kind indicates that all the elements in the expected response must be contained in the actual response, but there can be more elements in the actual response. The expected response is a real subset of the actual response.

Name	Description	Enumeration literals
		superset
		The supersets matching kind indicates that the elements in expected response represent those values that might be contained in the actual response, but there can be possible less elements contained in the payload of the actual response. The expected response is a real superset of the actual response.
		permutation
		The permutation matching kind indicates that all the
		elements of the expected response must be contained in
		the actual response, but in any arbitrary order.
		Permutation can only be applied if the corresponding
		MultiplicityElement (i.e., Property or Parameter) is
		unordered (i.e., isOrdered = false).

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